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# Irradiation of plutonium Tributyl Phosphate complexes by ionizing alpha particles: a computational study

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## Abstract

The PUREX solvent extraction process is widely used for recovering uranium and plutonium from spent nuclear fuel, it uses an organic solvent made up of TriButyl Phosphate (TBP). The emission of ionizing particles such as alpha particles, resulting from the decay of plutonium, make the organic solvent vulnerable to degradation. Here, we study the ultra-short time alpha irradiation of tributylphosphate (TBP) and  $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$  complex formed in the PUREX process. Electron dynamics is propagated by Real-Time Time-Dependent Auxiliary Density Functional Theory (RT-TD-ADFT). We investigate the use of previously proposed Complex Absorbing Potential (CAP) in energy space to treat secondary electron emission. Basis set and exchange correlation functionals effects with CAP are reported as well as a detailed analysis of the CAP parametrisation. Preliminary results on water molecule and then on TBP show that the phenomenological nature of the CAP parameters induces an adapted

choice of their values for each studied system. Irradiation of free and complexed TBP shows an influence of the ligands in the variation of atomic charges at the femtosecond time scale. An accumulation of atomic charges in the alkyl chains of TBP is observed in the case where the nitrate groups are predominantly irradiated.

## Introduction

The effect of ionising radiations on matter has been known and studied since the late 19th century<sup>1,2</sup>. Their range of action extends from the extreme conditions of interstellar space to nuclear power plant reactors<sup>3,4</sup>. Ionizing radiations are also central players in nuclear medicine, and notably radio-therapies<sup>5,6</sup> as well as in food industry for sterilization purposes<sup>7</sup>. In the nuclear fuel cycle, one issue is to achieve the extraction of radioactive elements (actinides) during the recycling of nuclear fuel using organic extractant molecules, which are susceptible to degradation due to ionizing radiations. Research groups are therefore pursuing a strategy to design radio-resistant extracting molecules. In this perspective, understanding the degradation mechanisms of extractant molecules is mandatory.

Experimental methods such as gas chromatography or mass spectrometry permit the identification of degradation products resulting from the passage of ionising radiation in matter<sup>8-10</sup>. Pulsed irradiation experiments have made it possible to trace the chronology of these mechanisms, both intra- and inter-molecular, down to the picosecond scale<sup>11,12</sup> in real-time. There is also work studying indirect irradiation by secondary electrons emitted with the medium<sup>13</sup>. Finally, the development of pump-probe spectroscopy at ultrashort times now makes it possible to probe matter on the attosecond scale<sup>14</sup>, and to understand electronic rearrangements in the case of irradiation with ionising electromagnetic waves<sup>15</sup>.

However, many grey areas remain out of reach of experimental techniques especially when that are considering ultrafast (<ps) responses. Numerical simulations are therefore essential to unravel the origin of these phenomena and to link them to experimental data. This is particularly true for systems such as nanoparticles<sup>16</sup>, charge transfer mechanisms or elec-

tronic rearrangements<sup>17</sup>, biological systems<sup>18</sup>, or irradiation by massive ionising particles. Among theoretical chemistry approaches, time-dependent DFT (TD-DFT), introduced by Runge and Gross is one of the most appealing<sup>19</sup>. It constitutes the basis of the first numerical propagations developed with the time-dependent local density approximation (TD-LDA) method in the 90's<sup>20-22</sup>. These propagations first used a linear response formalism, suitable for small perturbations<sup>23</sup>, but were very quickly improved by explicitly propagating the electron density over time<sup>21</sup>. The so-called real-time (RT-) TD-DFT method gives access to non-adiabatic phenomena and strong perturbations. Recently we have extended RT-TD-DFT to the Auxiliary DFT (ADFT) framework opening up the possibility to deal routinely with large molecular systems<sup>24</sup>. In ADFT, auxiliary gaussian functions are combined with basis functions to decrease the total number of terms in the repulsive Coulomb and exchange-correlation parts. The fitted electron density is obtained by minimization of self-interaction error as illustrated in<sup>25</sup>. Electron dynamics calculations have been used to study ultrafast processes such as proton transfers as in the work of Sharma and Fernández-Serra<sup>26</sup>, the ionisation and degradation of biological molecules subjected to a beam of ionising particles<sup>18,27,28</sup>, or 2D chemical systems<sup>29</sup>. RT-TD-DFT has also found promising applications to the calculation of electronic stopping power of fast ions in matter<sup>30-34</sup>. We finally note the work of Dinh et al to calculate the resonance energy of a secondary electron bound state capture<sup>35</sup>.

We are interested in the irradiation of tributyl phosphate (TBP) by alpha particles, an extractant molecule mainly used in the PUREX (Plutonium, Uranium, Reduction, Extraction) process for recycling uranium (VI) and plutonium (IV). Our objective is to unravel the mechanisms of energy deposition upon irradiation and the ultrafast responses triggered by irradiation of the TBP ligand or heavy elements chelated by TBP. This knowledge would bring valuable information to understand the early mechanisms leading to a loss of extracting properties of TBP solutions. To this end, we aim here at simulating the interaction of the fast ions with the electron cloud of TBP and Pu complexes.

Upon alpha decay of a plutonium nucleus (equation 1) most of the energy released is transferred to the momentum of the alpha particle (Eq. 1). As a consequence of the mass difference between the uranium and helium nuclei, the energy released amounts to a few MeV.



For this range of kinetic energy, an emitted alpha particle rapidly travels away from the heavy nucleus and strongly interacts with the surrounding molecules. For the electrons of the irradiated molecules, the passage of the projectile implies a strong perturbation of the external potential leading to electronic transitions (*i.e.* excitations). A 5 MeV alpha particle travels at a speed of 0.16 Å/as and would get through a small organic molecule in a few tens of attoseconds, say 50 as. Because of the time-energy uncertainty principle ( $\Delta E \cdot \Delta t \geq \hbar/2$ ), such a transient collision would be associated with an energy uncertainty of at least 6.5 eV. Collision would thus result in the formation of a superposition of a large number of quantum states. This cannot be described by linear response methods<sup>36</sup>, but for example by RT-TD-DFT. Despite possible shortcomings of RT-TD-DFT to describe matter under strong field irradiation<sup>30,37,38</sup>, which are related to approximation in exchange correlation functional approximations, RT-TD-DFT out-performs time-dependent wave function methods, enabling the study of larger systems.

A major difficulty encountered when simulating ionizations is the description of so-called secondary electrons in non-bonding states. A common procedure to address this issue is to add complex absorbing potentials (CAP) to avoid non-physical effects of auto-ionizations and the reflection of the electron density at the edges of simulation grids. CAPs were introduced in the 90's<sup>39</sup> to deal with diffuse reactions such as collisions between atoms<sup>40,41</sup>. It was introduced as an alternative to mask functions<sup>42</sup>. By adding a complex component to the system's Hamiltonian, the electron density is gradually absorbed beyond a threshold distance<sup>37,43-63</sup>. CAPs have been shown to be very effective when coupled with multiconfigurational methods<sup>64-66</sup>, coupled-cluster methods<sup>67-75</sup>, MRCI (Multireference Configura-

tion Interaction) potential energy surfaces<sup>76-79</sup>, Extended Tremblay and Carrington (ETC) method using GENIUSH-CAP code<sup>80</sup>, Analytic Continuation of the Coupling Constant (ACCC) methods<sup>81</sup>, Time Dependent Density Functional Theory (TD-DFT)<sup>82</sup>, and Electron Attachment Algebraic-Diagrammatic Construction (EA-ADC) method<sup>83</sup> in the study of metastable resonance states for anionic molecular systems. Its applications are extended in the generation of high harmonic generation (HHG) spectra with RT-TD-DFT<sup>84</sup>, TD-CIS<sup>85</sup> or Time-Dependent Schrodinger Equation (TD-SE) with core dynamics<sup>86</sup>, in non-Hermitian exceptional point (EPs) degeneracies<sup>87</sup>, or in ionisation and excitation of systems with coupled-cluster<sup>88</sup>, or Time-Dependent Configuration Interaction (TD-CI)<sup>89</sup> methods. When using local (atom-centered) basis sets a drawback may become the computational cost generated by CAP. On one hand, the CAP needs to be placed sufficiently far away from the molecules so that bound electrons will not be absorbed during the simulation. For the simulation of ion-molecule collisions, we found that a minimum of 10 Å was advisable<sup>90</sup>. On the other hand, diffuse basis set functions are needed to cover the space between the molecules and the CAP, thereby increasing the computational cost of the simulations, sometimes drastically.

Another form of CAP was proposed by Klamroth and co-workers in their investigation of photoionization of diatomic molecules by TD-CI<sup>91</sup>. Electrons were absorbed based on a energy-level criterion. A similar approach was developed by Niehaus and co-workers in the context of TD-DFTB (DFT Tight Binding)<sup>92</sup> to simulate extreme UV (XUV) ionization of the caffeine molecule. Lopata et al. developed such an energy CAP for TD-DFT in order to simulate X-ray and XUV spectra<sup>93,94</sup>. Non-Hermitian real-time TD-DFT offers an efficient approach to interpret near-edge X-ray absorption spectra (XAS) spectra provided that proper attention is paid to the shift of the non-bounding energy levels .

In this article we assess the reliability of energy CAP in the context of fast ion-molecule collisions. Where the target molecule is an organic ligand (TBP) free or incorporated into a plutonium(IV)<sup>95,96</sup> ( $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$ ) as in the PUREX process<sup>97</sup>). After introducing the methodology, we report on a sensitivity analysis of the CAP parameters, which we think

will be of interest for other potential users of energy CAP at the TD-DFT level. We further investigate the profiles of deposited energy and the post-collision charge migrations, notably the influence of exact exchange on simulated results.

## Methodology

All calculations have been carried out with a modification of DFT-based code deMon2k (version 6.1.6)<sup>98</sup>. The geometries of TBP and  $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$  were optimized at the Auxiliary Density Function Theory (ADFT) level of theory<sup>99,100</sup>. The Coulomb as well as the exchange-correlation contributions to the Kohn-Sham potential were calculated using auxiliary electron densities obtained by variational density fitting<sup>99,100</sup>. We first determined the ground state electron density by a Self-Consistent-Field (SCF) calculation in absence of the projectile. Then, we simulated the response of the electron cloud to alpha particle irradiation by RT-TD-ADFT. Initially, the projectile is placed at the edge of a 40 Å radius sphere centered at the centre of mass of the molecule. This distance is large enough to avoid Kohn Sham potential discontinuities which would lead to spurious perturbation during the first propagation steps. The projectile had kinetic energy of 0.5 or 5.1 MeV and is therefore accurately described as a point-charge particle.

The electron density is propagated in time using the evolution operator  $U(t, t_0)$  and the numerical solution of equation 2. For this purpose, the operator  $U(t, t_0)$  is developed by discretizing time into  $n$  intervals (equation 2)

$$P(t) = U(t, t_0)P(t_0)U^\dagger(t, t_0) \quad ; \quad U(t, t_0) = \prod_i^{n-1} U(t_i + \Delta t, t_i) \quad (2)$$

with  $U(t_i + \Delta t, t_i) = \mathcal{T} \exp\left(-i \int_{t_i}^{t_i + \Delta t} H(t') dt'\right)$ ,  $\mathcal{T}$  the time-ordering operator,  $P(t)$ ,  $H(t)$  the density matrix and the system's Hamiltonian at instant  $t$ ,  $U(t, t_0)$  the evolution operator, and  $\Delta t$  the propagation time step.

The integration of the Hamiltonian over time in  $U(t_i + \Delta t, t_i)$  is approximated by the

second-order Magnus propagator (equation 3).

$$-i \int_{t_i}^{t_i+\Delta t} H(t') dt' \approx -iH' \left( t + \frac{\Delta t}{2} \right) \Delta t \quad (3)$$

The computation of the propagator (matrix exponentiation) is evaluated by a Taylor series of order 60. During the propagation, the projectile follows a linear, uniform motion and its interaction with the molecular system is represented by an electrostatic potential that follows a Coulomb law (equation 4).

$$E^{proj} = - \int \rho(\mathbf{r}, t) \phi_{proj}(\mathbf{r}, t) d\mathbf{r} + \sum_A Z_A \mathbf{R}_A \phi_{proj}(\mathbf{r}, t) \quad (4)$$

Where  $\rho$  is the electron density,  $\phi_{proj}$  is the potential generated by the projectile,  $\mathbf{r}$  is the electron position,  $Z_A$  and  $\mathbf{R}_A$  are the atomic charge and coordinate vector of nucleus A, respectively.

The deposited energies are negligible compared to the initial energy of the projectile. Therefore we assume that its velocity vector remains constant throughout the simulations. Only the coordinates are updated at each time step. Furthermore, due to their relative masses, the electrons and nuclei of the system move with different propagation times. In the attosecond timescale, only the motion of the electrons is appreciable, while the nuclei are frozen in virtue of the Born-Oppenheimer approximation.

The CAP is calculated at the beginning of the RT-TD-ADFT simulation as  $CAP = C(t)\Lambda C^\dagger(t)$  in the MO basis, where  $C$  is the ground state MO coefficients matrix. The  $\Lambda$  matrix is a diagonal matrix (equation 5)



$$\Lambda = \begin{pmatrix} \gamma_1 & 0 & \dots & 0 \\ 0 & \gamma_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \gamma_M \end{pmatrix} \quad (5)$$

The matrix terms  $\gamma_i$  are computed by 6

$$\gamma_i = \begin{cases} 0 & \tilde{\varepsilon} \leq 0 \\ \gamma_0[\exp(\xi\tilde{\varepsilon}) - 1] & \tilde{\varepsilon} > 0 \end{cases} \quad (6)$$

with  $\gamma_0$  and  $\xi$  being parameters controlling the absorption of electrons in the  $i$  state, and  $\tilde{\varepsilon}_i = \varepsilon_i - \varepsilon_0$  the  $i$  MO shifted energy by the  $\varepsilon_0$  term. Indeed, within the Kohn-Sham DFT framework with the exact exchange-correlation functional,  $EA = -E_{LUMO}$  with  $E_{LUMO}$  being the Lowest Unoccupied Molecular Orbital (LUMO) energy. This is actually not true in practice for most functionals<sup>101–103</sup>.  $\varepsilon_0$  is thus introduced to shift the underestimated energies of the Kohn-Sham orbitals of unbound electrons. This is done by forcing the EA to be equal to minus the shifted LUMO energy. The electron affinity (EA) is calculated as follows from two independent SCF calculations:

$$EA = E_N - E_{N+1} \quad (7)$$

where  $E_N$  is the total energy of the system,  $E_{N+1}$  the total energy of the anion. In Lopata et al.<sup>93,94</sup>,  $\varepsilon_0$  was obtained after electron affinity interpolation for the virtual orbitals of the system. To avoid this practical solution requiring perturbative TD-DFT calculation of the anion, we started from the equation 8

$$(E_{LUMO} + \varepsilon_0) = -EA \Leftrightarrow \varepsilon_0 = (-1)(E_{LUMO} + EA) \quad (8)$$

in order to estimate  $\varepsilon_0$ . We note that as a result of the ionization process, the electron

affinity changes and so does the term  $\varepsilon_0$ . Therefore, the CAP should in principle be recomputed on-the-fly. In practice though, we did not consider this possibility here and computed the CAP once for all at the beginning of the simulations. We will devote a section to the tuning of CAP parameters in the Results section. The MOs lifetimes are calculated according to equation 9

$$\tau_i = \frac{1}{2\gamma_i} \tag{9}$$

A correct description of the ejected electrons goes through a good description of unoccupied states and requires the use of extended basis sets. The work of Bruneval and co-workers shows that the use of different size of basis sets is a reasonable choice. The closer the atoms are from the point of impact, the larger the number of basis functions should be. However, this method requires a customized set of basis functions for each trajectory and makes the analysis of the properties more difficult. Furthermore, for plutonium complexes, the use of large basis sets tends to cause convergence problems during the SCF procedure.

To avoid this issue, a unique basis set was built for light atoms using cc-PVTZ. For plutonium(IV), a quasi relativistic effective core potential (ECP) replacing 82 inner shell core electrons including 5f electrons is used with the related basis set for valence electrons<sup>104</sup>.

The VWN<sup>105</sup>, PBE<sup>106</sup>, LCPBE<sup>107</sup> and PBE0<sup>108</sup> functionals are used to calculate the exchange-correlation energy and the auxiliary density is determined using the GEN-A2\* auxiliary functions. During unperturbed electron propagation, the SCF convergence criterion must be fine enough to verify the stability of the energy and electron number. For this purpose, it is recommended to initialise the convergence threshold values for energy and auxiliary density error of  $10^{-9}$  and  $10^{-7}$  Ha respectively<sup>109</sup>.

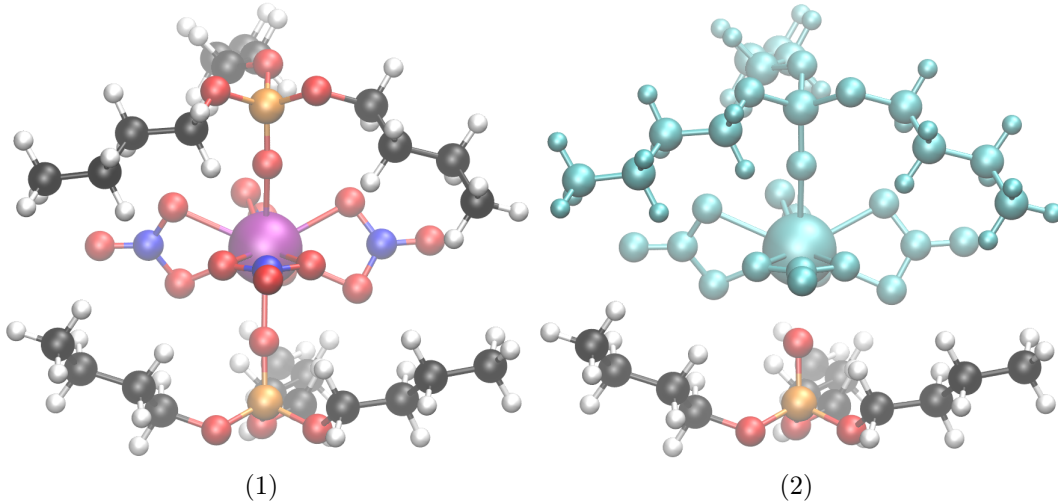


Figure 1: Studied systems : (1)  $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$  (2) TBP with ghost atoms (purple) to preserve a unique basis set

The simulation box is created starting with the complex  $\text{Pu}(\text{TBP})_2(\text{NO}_3)_4$  geometry. For the calculation of free-TBP without plutonium, ghost atoms are placed for all the others atoms (figure 1) in order to retain the same basis set than in  $\text{Pu}(\text{TBP})_2(\text{NO}_3)_4$ .

## Results and Discussion

### Electronic propagation parameters

This section is devoted to the determination of the main CAP parameters, namely  $\varepsilon_0$ ,  $\gamma_0$  and  $\xi$ , during the irradiation by an alpha particle.

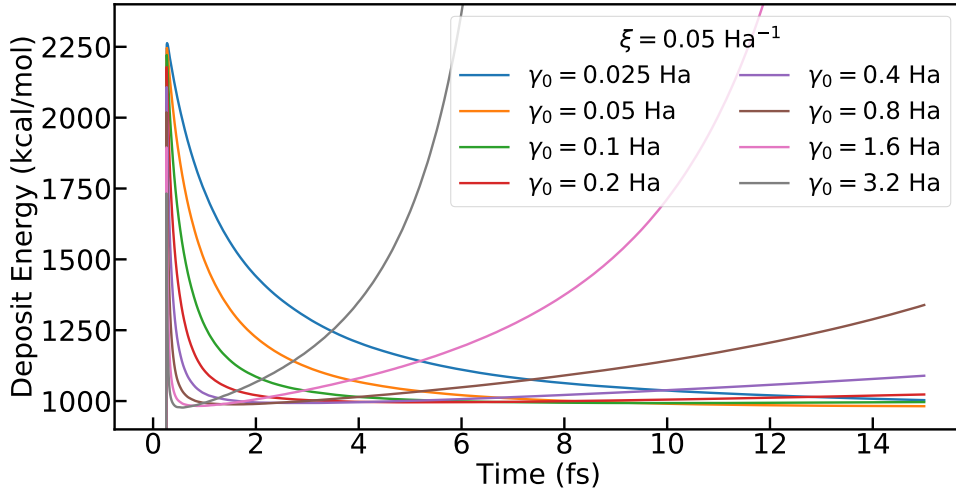
We start by evaluating the influence of the propagation time step on the deposited energy, the amount of ionisation and the charge flow after alpha particles irradiation. To this end, we consider a simple molecule, i.e.  $\text{H}_2\text{O}$ . The CAP parametrization protocol is then transposed to the TBP molecule and to the  $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$  complex. We achieve the density matrix propagation via an iterative second order Magnus propagator. The total duration of all simulations is 15 fs. A suitable propagation time step ( $t_e$ ) is estimated by the velocity of the projectile, but must also be consistent with the velocity of the phenomenon under study. For

a 5.1 MeV alpha particle, the distance travelled is estimated to be 0.1 Å in 1 as whereas it is 0.05 Å for a 0.5 MeV alpha particle. The time step must be small enough to minimize the propagation error in the equation 4, and to estimate the maximum energy deposited in the system after the addition of an absorbing complex, while keeping the cost of the calculations acceptable. The simulations are carried out with the PBE functional, the atomic basis set cc-PVTZ and the auxiliary basis set GEN-A2\*. A CAP is used with  $\gamma_0 = 0.1 \text{ Ha}/\xi = 0.05 \text{ Ha}^{-1}$  and  $\gamma_0 = 0.025 \text{ Ha}/\xi = 0.05 \text{ Ha}^{-1}$  for 5.1 and 0.5 MeV alpha particles, respectively. In Table 1, the deposited energy  $E_d$ , the number of emitted electrons  $El_{abs}$  and the root mean square deviation (RMSD) of the atomic charges are collected for different values of  $t_e$  (namely 0.05, 0.1, 0.5 and 1 as). The RMSD values of the atomic charges are calculated relative to the values obtained for  $t_e = 0.05$  as. The amount of deposited energy ( $E_d$ ) depends on the propagation time step. For a 5.1 MeV alpha particle  $E_d$  increases from 2,263 to 2,424 kcal.mol<sup>-1</sup> between 1 as and 0.5 as, and is then increases again to 2,463 kcal.mol<sup>-1</sup>. As this high energy, the time step of 1 as is not short enough to fully resolve the irradiation process. As expected  $E_d$  is less sensitive to the chosen time step for a 0.5 MeV alpha particle. For both kinetic energies, the amount of emitted electron and the charge fluctuations are weakly sensitive to the value chosen for  $t_e$ .

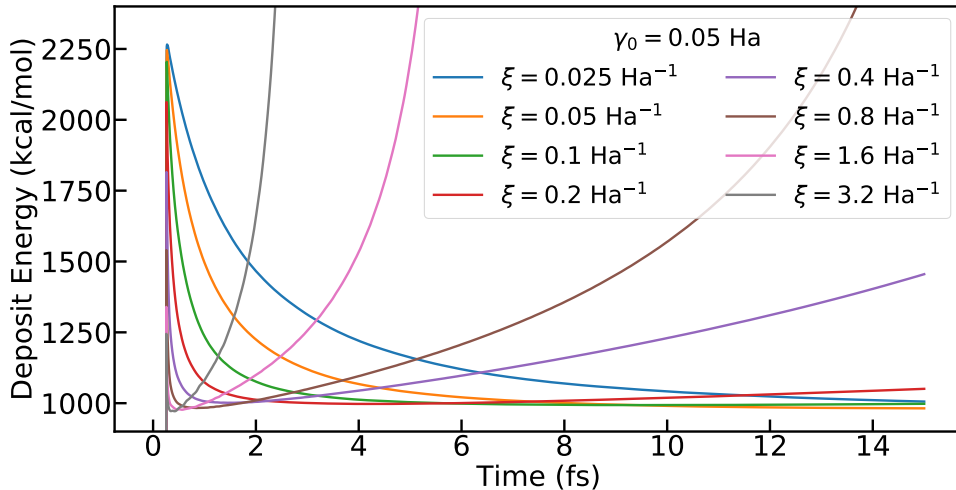
Table 1: Properties calculated from electronic propagations.  $t_e$  the propagation time step (as),  $E_\alpha$  the initial kinetic energy of the projectile (MeV),  $E_d$  the deposit energy (kcal.mol<sup>-1</sup>),  $El_{abs}$  the number of electrons absorbed, and RMSD the root mean square deviation of the atomic charge of atom X.

$t_e$	$E_\alpha = 5.1 \text{ MeV}$					$E_\alpha = 0.5 \text{ MeV}$				
	$E_d$	$El_{abs}$	RMSD			$E_d$	$El_{abs}$	RMSD		
			O	H <sub>(1)</sub>	H <sub>(2)</sub>			O	H <sub>(1)</sub>	H <sub>(2)</sub>
1 as	2,263	0.68	0.024	0.013	0.013	6,453	2.62	0.017	0.012	0.011
0.5 as	2,424	0.70	0.001	0.001	0.001	6,480	2.62	0.001	0.001	0.001
0.1 as	2,462	0.70	0.000	0.000	0.000	6,489	2.62	0.000	0.000	0.000
0.05 as	2,463	0.70	0.0	0.0	0.0	6,490	2.62	0.0	0.0	0.0

## Complex Absorbing Potential



(1)



(2)

Figure 2: Deposition energy profiles with the PBE functional. Upper panel (1): variation of  $\gamma_0$ , keeping  $\xi$  at  $0.05 \text{ Ha}^{-1}$ . Lower panel (2) variation of  $\xi$ , keeping  $\gamma_0$  at  $0.05 \text{ Ha}$

The parameters  $\gamma_0$  and  $\xi$  contribute to define the lifetime of excited electrons in high energy MO (equations 6 and 9). Here, we investigate the influence of these parameters on the energy deposition profiles, while still considering the irradiation of a water molecule. The PBE functional is used, setting  $\varepsilon_0$  to  $0.098 \text{ Ha}$  via Eq. (8) together with the cc-PVTZ and GEN-A2\* orbital and auxiliary basis sets. The results are collected in figure 2, where the plot

$\Delta E(t_i) = E(t_i) - E_{ref}$  is shown, with  $E(t_i)$  the total energy at time  $t_i$  and  $E_{ref}$  the ground state energy obtained through a self-consistent-field procedure. We expect a sharp increase in the deposit energy at the moment of the collision (around 0.25 fs after the beginning of the simulations), followed by a decrease in energy, which would be a consequence of the absorption of electrons by the CAP. After complete absorption of the emitted electrons, the energy stabilizes to a steady value. Electrons present in orbitals whose lifetime is longer than the duration of the simulation do not have the time to be absorbed and contribute very little to the decrease in energy. Such typical profiles are obtained for most CAP parameters, but not for  $\gamma_0$  higher than 0.1 Ha or for  $\xi$  higher than 0.1 Ha<sup>-1</sup>. In the latter cases, a divergence of the total energy is observed after the energy drop. This evolution indicates unphysical dynamics reflecting massive absorption of valence and core electrons. Otherwise, when considering the stable dynamics, when we increase the values of  $\gamma_0$  or of  $\xi$ , the lifetime of electrons in non-bonding orbitals decreases accelerating the post-collision energy decrease, as expected. The stability of RT-TD-DFT simulations using energy-space CAP is highly sensitive to the choice of parameters. Based on the data reported here, we recommend using  $\gamma_0$  values that do not exceed 0.1 Ha.

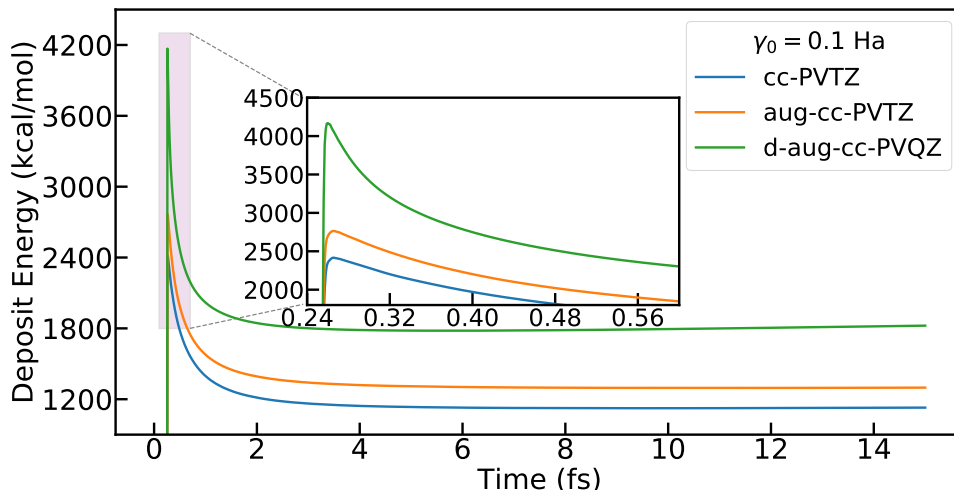


Figure 3: Deposited energy as a function of time at the RT-TD-ADFT level, with PBE functional. The blue, orange and green curves correspond to cc-PVTZ, aug-cc-PVTZ and d-aug-cc-PVQZ atomic basis sets. The value of  $\gamma_0$  is assigned to 0.1 Ha while  $\xi$  is fixed at  $0.05 \text{ Ha}^{-1}$

It is known that the amount of deposited energy by the projectile, or equivalently the electronic stopping power, is strongly dependent on the basis set. While some codes rely on plane-waves<sup>110–112</sup>, deMon2k uses atom-centered Gaussian type orbitals to expand the Kohn-Sham MO. Bruneval et al., studying the electronic stopping power in solid models (eg. Li, Al), proposed to use of mixed bases, with richer basis positioned on the atoms near the projectile’s propagation line and smaller bases for distant atoms. This was argued to be an effective way to describe the multiple excitations of electrons in energy levels. This is in order to limit the computational cost of the simulations<sup>113</sup>. Although appealing, this strategy is not applicable here because we have to deal with heterogenous molecules. The effects of an irradiation on the electron density are local, centred around the trajectory. Unitarily, it is possible to define an optimal atomic basis set for each of them. However, sampling implies a comparison of all the simulations, which can only be done by keeping the same atomic basis functions from one trajectory to another. Following the strategy used in<sup>113</sup> would introduce strong basis set effect.

Figure 3 shows the deposited energies in a water molecule for which the atomic basis sets

cc-PVTZ, aug-cc-PVTZ and d-aug-cc-PVTZ are used. We use an energy CAP with  $\gamma_0 = 0.1$  Ha and  $\xi = 0.05$  Ha<sup>-1</sup>. The parameter  $\varepsilon_0$  was determined for each calculation, shifting the higher MO eigenvalues by 0.098, 0.046 and 0.058 Ha for cc-PVTZ, aug-cc-PVTZ and d-aug-cc-PVTZ respectively.

The richer the Gaussian basis set, the higher the deposit energy increases. For d-aug-cc-PVTZ the number of emitted electrons is higher than for the other two basis sets, but the final deposit energy is also more important. This can be explained by the quantities of binding orbitals described. Indeed, the CAP defines lifetimes for non-bonding orbitals only. Ten binding, but non-populated, orbitals are described by d-aug-cc-PVTZ, they contribute to the increase of the total energy of the system without the CAP being able to influence their lifetimes. This same number falls to two for the atomic basis functions aug-cc-PVTZ and cc-PVTZ. The difference in energy of the latter is explained by a longer occupation time of the electrons in the first non-bonding orbitals with aug-cc-PVTZ.

Table 2: Periods of time following irradiation of H<sub>2</sub>O, for which energy stability is reached and between  $-5.10^{-5}$  and  $+5.10^{-5}$  kcal.mol<sup>-1</sup>. The simulations were performed at the RT-TD-ADFT level, the cc-PVTZ atomic base, and the GEN-A2\* auxiliary base.

$\gamma_0$	0.025	0.05	0.1	0.2	0.4	0.8	1.6	3.2
PBE	$> 5.10^{-5}$	13.9–14.9 fs	6.7–13 fs	3.9–7 fs	3.1–4 fs	div.	div.	div.
LCPBE	$> 5.10^{-5}$	10.7–13.3 fs	5.4–7.3 fs	3–3.6 fs	div.	div.	div.	div.
VWN	$> 5.10^{-5}$	14.1–14.8 fs	7.3–12.5 fs	3.8–7.8 fs	3–3.8 fs	div.	div.	div.
PBE0	$> 5.10^{-5}$	13.1–14.7 fs	6.3–10.2 fs	3.2–5.5 fs	div.	div.	div.	div.

Finally, we turn to the effect of the XC functional. The  $\varepsilon_0$  parameters, which determine the energy level of the continuum depend on the MO eigenvalues and hence on the chosen XC functional. Here, we consider four different flavors of functionals, namely the Local Density Approximation<sup>105</sup> (VWN), the Generalized Gradient Approximation<sup>106</sup> (PBE), the global hybrid<sup>108</sup> (PBE0) and the range separated<sup>107</sup> (LCPBE) functionals.  $\varepsilon_0$  is set by Eq. (8) to 0.10, 0.098, 0.07 and 0 Ha for VWN, PBE, PBE0 and LCPBE, respectively. As expected,  $\varepsilon_0$  is reduced with the inclusion of a higher fraction of exact exchange, resulting in a better



description of the energy outside the potential well. Note that for the long range corrected LCPBE functional, the correction is negligible. Figure 4 shows the deposit energies for each functional. The energy deposited at the moment of the collision depends weakly on the XC functional. This is reminiscent of previous comparisons made with the GGA and LDA functionals. This is likely due to the fact that energy deposition is the result of Coulomb scattering, for which exchange-correlation effects are negligible. However, the use of the functional LCPBE shows a significant stabilisation of the energy gap compared to that obtained with the functional PBE. The energy difference  $E_{PBE-LCPBE}$  is about  $190 \text{ kcal.mol}^{-1}$ . This can be explained by the fact that the energies of the MOs depend on the functional used. In fact, the non-bounding MOs, calculated from the functional PBE0 or LCPBE, are higher in energy than those calculated with PBE or VWN. However, the distribution of excited electrons in these MOs remains globally unchanged from one functional to another, so there is a greater energy deposition for PBE0 and LCPBE than for PBE and VWN functionals.

If we consider the effects of functionals on other properties, such as the variation of atomic charges or the amount of electrons absorbed by the CAP, their effects seem negligible (Table 3). The same conclusion is drawn when we set the functional and vary the strength of the CAP with different values of  $\gamma_0$ .

Table 3:  $El_{abs}$  the number of absorbed electrons, and the root mean square deviation of the atomic charge for oxygen and hydrogen atoms,  $H_{(1)}$  et  $H_{(2)}$ , in water molecule after irradiation

	RMSD				$\gamma_0$	RMSD			
	PBE	VWN	PBE0	LCPBE		0.025	0.05	0.1	0.2
O	0.000	0.012	0.020	0.020	O	0.000	0.022	0.023	0.024
$H_{(1)}$	0.000	0.006	0.010	0.011	$H_{(1)}$	0.000	0.013	0.015	0.017
$H_{(2)}$	0.000	0.006	0.010	0.011	$H_{(2)}$	0.000	0.013	0.015	0.017
$El_{abs}$	0.64	0.63	0.68	0.74					

Knowing that the O-H vibration mode is the fastest in a water molecule and that its frequency is about  $0.1 \text{ fs}^{-1}$ , the fixed nuclei approximation is no longer valid beyond 10 fs

of simulation. With the addition of a CAP, the stabilisation of the energy  $E_d$  should take place in this time interval. Calculating the gradient  $\nabla E_d$  gives us information about the simulation duration needed to stabilize the energy. Table 2 summarizes all the time intervals during which the energy is stabilized. If the coefficient  $\gamma_0$  is 0.025 Ha, the electron lifetimes are too long for the simulation time and stability is not achieved. For values of  $\gamma_0$  higher than 0.2 Ha, unphysical self-ionisation phenomena occur, which prevent energy stabilisation.

In the case of the water molecule, a  $\gamma_0$  value of 0.1 Ha, combined with a  $\xi$  value of 0.05 Ha<sup>-1</sup> with a total propagation time of 7 fs seems to be a reasonable choice of parameters.

In summary, we have explored the use of energy CAP to simulate ion-collisions through RT-TD-ADFT simulations. Provided a careful parameter tuning is done, such CAP is suitable for such simulations. Interestingly the XC functional doesn't seem to have a strong effect

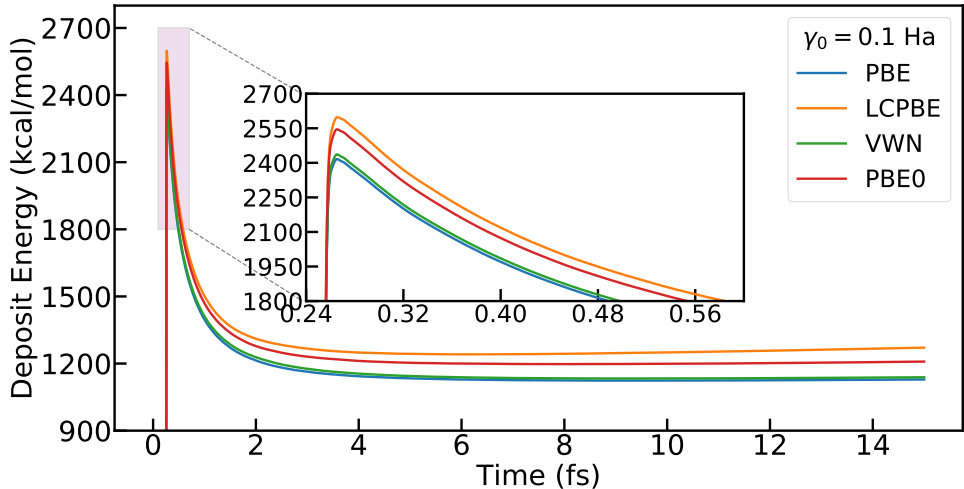


Figure 4: Deposit energy as a function of time at the RT-TD-ADFT level, using PBE (blue), LCPBE (orange), VWN (green) and PBE0 (red) functionals. The value of  $\gamma_0$  is assigned to 0.1 Ha while  $\xi$  is fixed at 0.05 Ha<sup>-1</sup>

## TBP and Pu(TBP)<sub>2</sub>(NO<sub>3</sub>)<sub>4</sub> targets

The CAP parameters were determined using the same protocol as described above for alpha particle irradiation of TBP and Pu(NO<sub>3</sub>)<sub>4</sub>(TBP)<sub>2</sub>. Table 4 gathers the time periods following

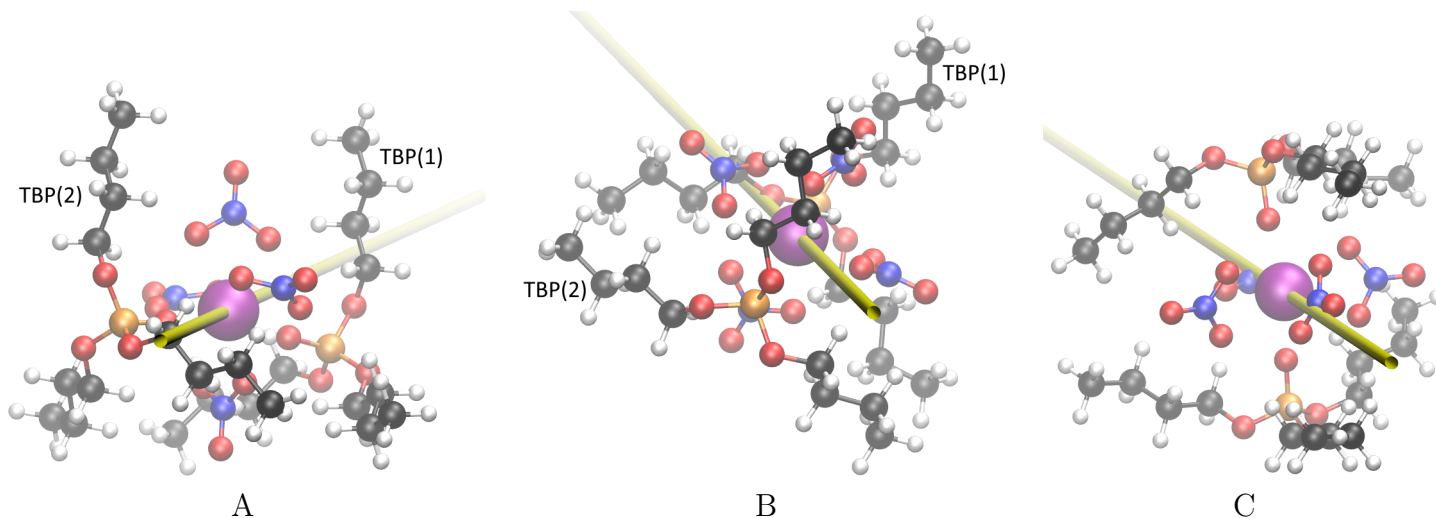


Figure 5: Visualisation of the trajectories A, B and C

the TBP irradiation, for which the energy stability is reached for a series of  $\gamma_0$  values with a constant parameter  $\xi$  at  $0.05 \text{ Ha}^{-1}$ . For TBP, energy stabilization is achieved in less than 10 fs when  $\gamma_0$  is between 0.4 and 0.8 Ha, but with a very limited time scale. To ensure the absorption of higher energy electrons without the risk of unphysical phenomena, a value of  $\gamma_0$  lower than that announced will be taken. In the case of a simulation aiming at the total number of ejected electrons, a longer simulation time would be preferable.

The set of trajectory propagation vectors was defined from an orthonormal coordinate system, constructed from the coordinates of the atoms in  $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$ . The origin of the frame is placed on the plutonium atom, which is approximately at the centre of mass of the system. The geometry of the complex  $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$  has an approximate  $C_4$  symmetry along the  $x$  axis and 49 trajectories are extracted from a quarter sphere (figure 6). They all pass through the plutonium atom.

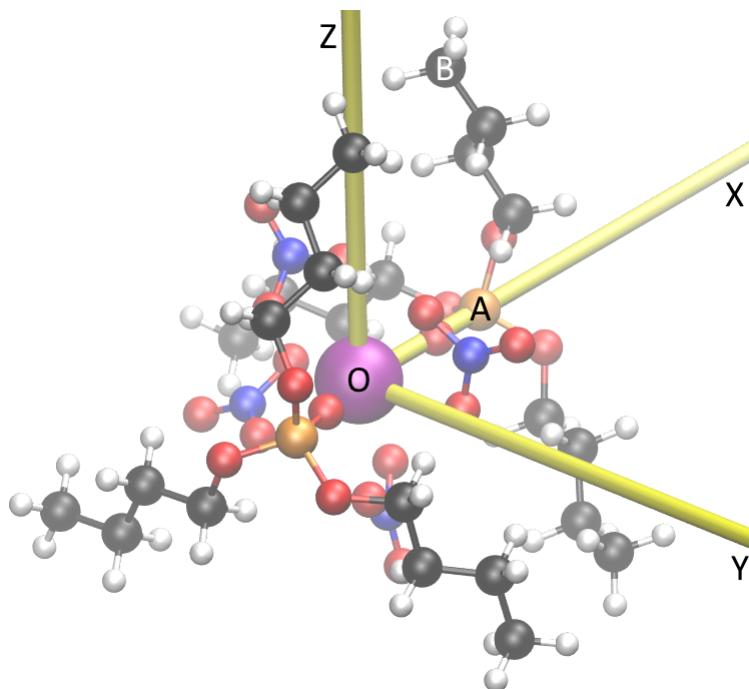


Figure 6: Orthonormal coordinate system  $(O, \hat{x}, \hat{y})$  in yellow, made from the coordinates of the atoms in  $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$

Figure 7 shows the corresponding deposit energies for an isolated TBP molecule. The deposit energies are in the range  $[90; 1,007]$  kcal/mol for a 5.1 MeV alpha particle, while they are in the range  $[223; 4,968]$  kcal/mol for a 0.5 MeV alpha particle, which is around the Bragg peak in organic phase<sup>114,115</sup>.

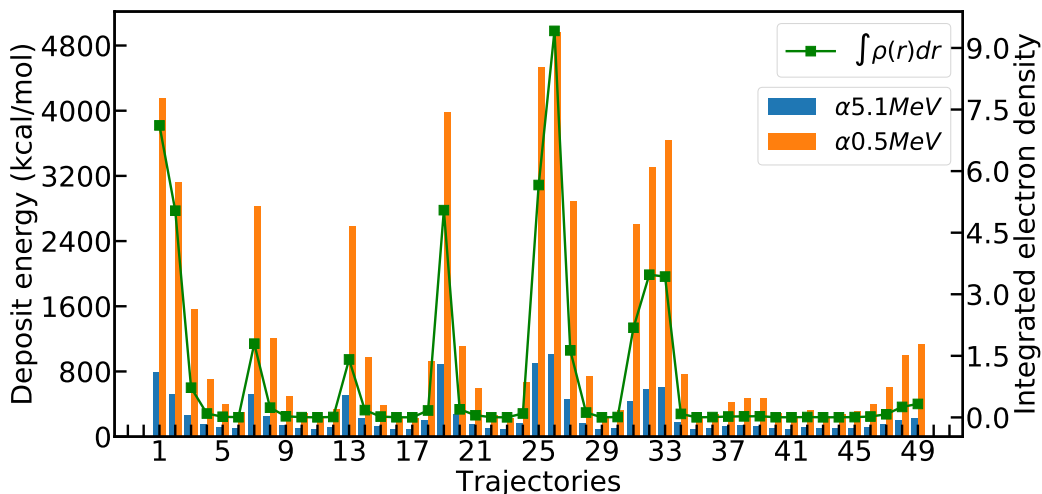


Figure 7: Deposit energy calculated for the 49 trajectories with corresponding electron density crossed by a 5.1 and 0.5 MeV projectile that interacts with a TBP target

## TPB

Due to electrostatic interactions with the electron density and the high probability of ionization, new electronic states or intramolecular charge transfers are expected. To account for these phenomena, the evolution of the atomic charges of TBP is summed by splitting the molecule into four residues, the highly polar phosphate group ( $\text{PO}_4$ ) and the three alkyl chains ( $\text{C}_4\text{H}_9(1)$ ,  $\text{C}_4\text{H}_9(2)$  and  $\text{C}_4\text{H}_9(3)$ ). According to the residue labels in  $\text{Pu}(\text{TBP})_{25}\text{NO}_3)_4$  (section ??), we work with TBP(2) in this part.

The charge variation profile depends on the type of residue crossed along the trajectory. Among the 49 trajectories, four representative profiles for charge variation can be distinguished. They correspond to the passage alternatively through an alkyl chain, nitrate ions or phosphate groups. An example is presented for the trajectory A shown in figure 5, the charges are plotted in Figure 8 (1). Trajectory vectors, cartesian coordinates of the complex, all the graph data and a python script to generate them are available in the Supporting Information (S.I.). During the passage of the alpha particle, which occurs at 0.22 fs, the relative charge of  $\text{C}_4\text{H}_9(1)$  fragment drops to -0.24 due to the electrostatic attraction of the helium nuclei. This process goes hand in hand with the positive charge values of the other

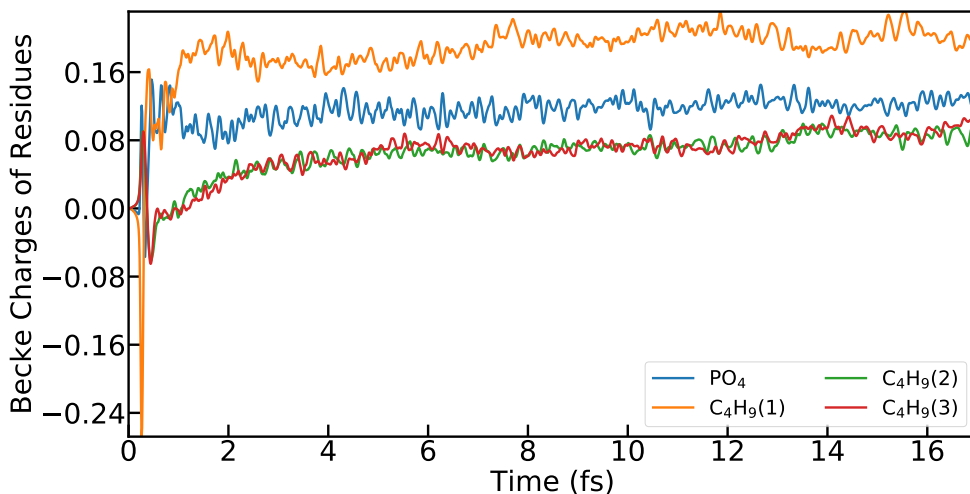
residues. Then the electron density relaxes and the charge of the phosphate group reaches 0.08 above its initial value. This electronic density perturbation is well known in the literature from the Barkas effect<sup>116,117</sup>, which demonstrated the polarisation of the electronic cloud when charged projectiles interact with a molecular target. We show that this effect is effectively captured by RT-TD-DFT<sup>118</sup>.

After a relaxation time of 0.4 fs, the atomic charge of the group C<sub>4</sub>H<sub>9</sub>(1) increases again before stabilizing around 0.16. At the same time, we observe a progressive increases of the charges of C<sub>4</sub>H<sub>9</sub>(2) and C<sub>4</sub>H<sub>9</sub>(3). These charge transfers come from all the alkyl chains and seem to stabilize the atomic charge of the phosphate group.

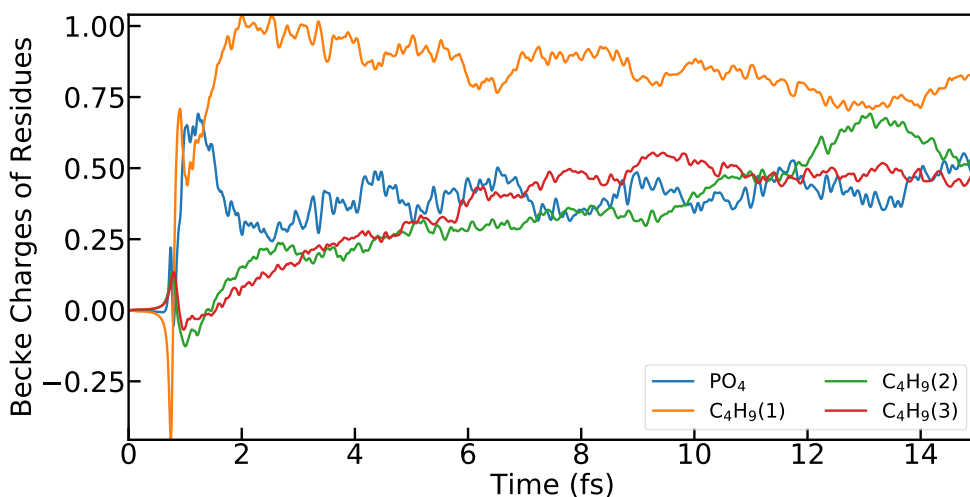
This is confirmed when a simulation is performed with a 0.5 MeV alpha particle (Figure 8 (2)). As stated above, in the kinetic energy range [0.5, 5.1] MeV, a decrease in velocity results in an increase in the amount of energy deposited, 248 kcal/mol for a 5.1 MeV and 2,831 kcal/mol for a 0.5 MeV kinetic energy projectile, as well as the number of electrons ejected. As a result, the variations of the fragment charges are amplified. They reveal that electrons of the phosphate groups and of C<sub>4</sub>H<sub>9</sub>(2) are emitted after the relaxation time. From 1.3 fs an important charge transfer of C<sub>4</sub>H<sub>9</sub>(1), C<sub>4</sub>H<sub>9</sub>(2) and C<sub>4</sub>H<sub>9</sub>(3) stabilises quickly the charge of PO<sub>4</sub> between 0.24 and 0.55. A new charge transfer occurs between the alkyl chains during this time of the simulation.

Table 4: Periods of time following the irradiation of the TBP, for which the energy stability is reached and between  $-5.10^{-5}$  and  $+5.10^{-5}$  kcal.mol<sup>-1</sup>. The simulations were performed at the RT-TD-ADFT level, with the functional PBE, the atomic base cc-PVTZ, and the auxiliary base GEN-A2\*.

	$\xi = 0.05$							
$\gamma_0$	0.025	0.05	0.1	0.2	0.4	0.8	2.0	3.0
$\tau(E_{\text{stab}})$	no stab.	no stab.	no stab.	no stab.	7.1 – 7.5 fs	3.3 – 3.4 fs	div.	div.



(1)



(2)

Figure 8: Residues charge variation in free TBP for the trajectory A. (1) 5.1 MeV; (2) 0.5 MeV alpha particle kinetic energy

### $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$

To analyze the variations of atomic charges in the  $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$  complex, the latter is divided into seven residues: the plutonium atom Pu, the TBP(1) and TBP (2) molecules, and the four nitrate ions labeled  $\text{NO}_3(1)$ ,  $\text{NO}_3(2)$ ,  $\text{NO}_3(3)$  and  $\text{NO}_3(4)$ . The TBP described in the previous part corresponds to the residue TBP(2).

The variations of fragment charges for trajectory A are represented in figure 9. A higher

charge accumulation is observed in TBP(1) after the initial electronic relaxation compared to TBP(2). The total atomic charge of TBP(1) stabilizes around -0.20. We also note an increase in the charges of the nitrate groups at the same time, with the appearance of charge transfers from 7 fs. The charges of the plutonium atom and TBP(2) remain almost unchanged during the simulation. The charge distributions in the phosphate group and the alkyl chains of TBP(2) show the same orders of magnitude to those of the isolated TBP molecule. However, intramolecular charge transfers are completely absent.

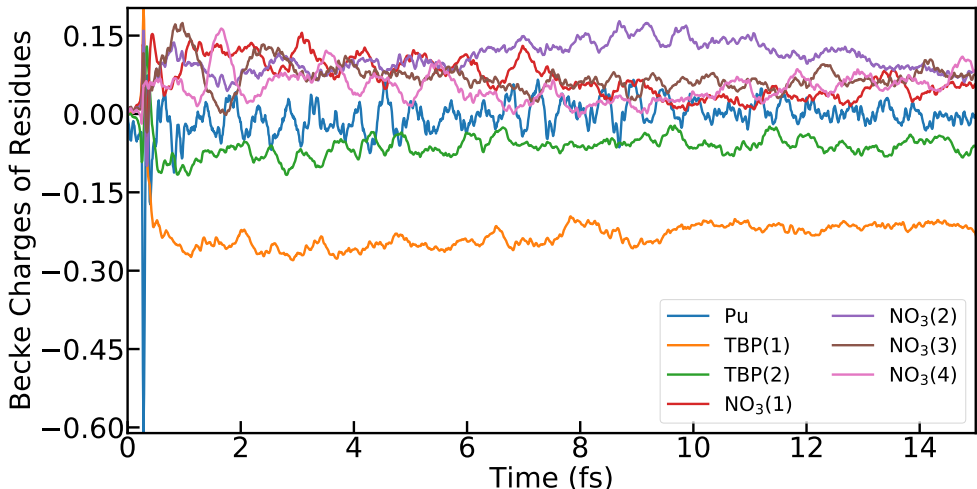


Figure 9: Residues charge variation in  $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$  for the trajectory A. Alpha particle kinetic energy of 5.1 MeV

In the case of trajectory B (Figure 5), the projectile passes through the electron densities of both TBPs. The collision occurs first with TBP(2) and then with TBP(1). The atomic charge of TBP(1) then decreases rapidly, following the relaxation period of the system, to stabilize between -0.33 and -0.20. In parallel, we observe an evolution of the TBP(2) charge into positive values, with a maximum at 0.17, before decreasing to its initial value after 12 fs of simulation. Analysis of the charges on the phosphate group of the butyl chains of TBP shows that the projectile interacts more strongly with TBP(2) than with TBP(1). The accumulation of charges in TBP(1) is due to the presence of the other ligands in the complex, since no similar atomic charge profile is found in the analyses of free TBP.



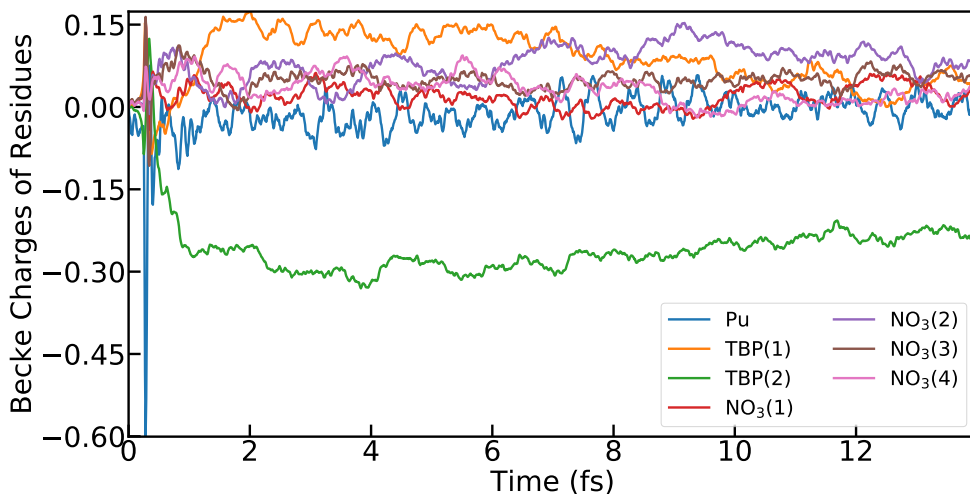


Figure 10: Residues charge variation in  $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$  for the trajectory B. Alpha particle kinetic energy of 5.1 MeV

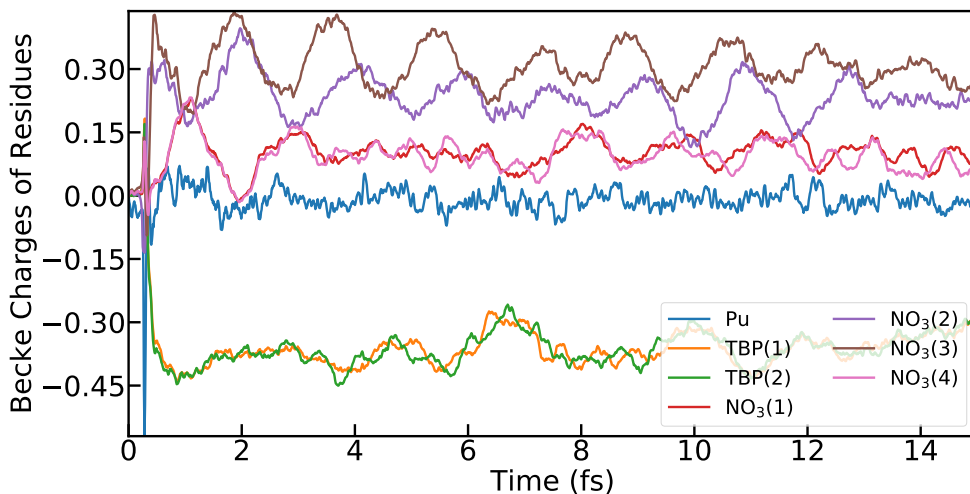


Figure 11: Residues charge variation in  $\text{Pu}(\text{NO}_3)_4(\text{TBP})_2$  for the trajectory C. Alpha particle kinetic energy of 5.1 MeV

The influence of the ligands on the TBP molecules is evident from the analysis of trajectory C (Figure 5). In this case, the projectile passes through the regions of electronic density corresponding to the location of the nitrates. Their atomic charges are all positive after relaxation, and the charge transfer occurs periodically during the simulation. Although the interaction between alpha particle and TBP interaction is extremely weak, there is a sudden decrease in their atomic charges, which is not observed for an isolated TBP molecule.

Analysis of the TBP(2) residues shows that the charge accumulation is distributed over the three alkyl chains. However, these observations should be taken with a critical eye because they do not account for ionization effects in the system. It is therefore possible to consider other intra- and inter-molecular charge migration phenomena.

## Conclusion

In this work, we have shown that RT-DT-ADFT method can be used to reproduce the interaction of an alpha particle with a free organic molecule such as TBP, and a complex formed with a plutonium cation, TBP and nitrate molecules. We have demonstrated that complexation changes the response of the electron cloud to the perturbation of the charged projectile. This is due to the presence of the other ligands. In particular, an important charge accumulation occurs on TBP when it interacts weakly with the alpha particle.

We also investigated the use of CAP in energy space to absorb electrons ejected during irradiation by swift ions. A detailed analysis of the choice of the CAP parameters on the water molecule reveals the occurrence of unphysical phenomena when the CAP strength exceed a threshold value. It reveals that a set of parameters defines a period of time during which the total energy is stabilized, and so the best duration of a simulation.

Application of CAP on Hamiltonian for the irradiation of a water molecule or TBP absorbs less than one electron along a 15 fs electron dynamics, using a 5.1 MeV projectile. In the case of a less energetic alpha particle, around the Bragg peak, the number of ejected electrons increases to 2, making the application of CAP essential to avoid self-ionization effects.

To conclude, we demonstrate that a CAP in energy space can be used as a good alternative solution of real space CAP when the choice of a large basis set cannot be applied of the system.

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**Conflict of Interest:** The authors declare no competing interests

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