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2D(r,θ) simulations of the HBC-4 power-to-melt experiment with the fuel performance code ALCYONE

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5 Abstract

This paper presents 2D(r,θ) simulations of the HBC-4 power-to-melt experiment performed with the fuel performance code ALCYONE. The HBC-4 experiment is one of the two test cases selected for the Simulation exercise on past fuel melting experiments of the Power to Melt and Maneuverability (P2M) project. The Ramp Terminal Level (RTL) at Peak Power Node (PPN) has been estimated at $66 \text{ kW}\cdot\text{m}^{-1}$ by gamma scanning and $70 \text{ kW}\cdot\text{m}^{-1}$ based on online measurements of thermal fluxes. The fuel burnup at PPN was close to $60 \text{ GWd}\cdot\text{tU}^{-1}$. The cladding failed during the short holding time at RTL of 40 s. Fuel melting took place at the pellet center and in particular in front of clad cracks.

In this paper, simulations of the HBC-4 power-to-melt experiment are performed using an updated version of the 2D(r,θ) scheme of ALCYONE where half of the fuel pellet is described. This configuration allows the modeling of clad failure by Iodine Stress Corrosion Cracking, and of its consequences on the fuel pellet deformation. The modeling of fuel melting relies on thermochemical equilibrium calculations performed with the OpenCalphad Gibbs Energy Minimizer and the Thermodynamics of Advanced Fuels International Database (TAF-ID).

The simulation without clad failure indicates that the solidus is reached during the HBC-4 experiment but not the liquidus. The simulation with clad failure leads to a small increase of the fuel temperature that is sufficient to reach the liquidus at the pellet center, in agreement with Post Irradiation Examination (PIE). The impact of water ingress in the rod and vaporization at the pellet surface is discussed, showing that it could explain the pronounced swelling of the fuel pellet reported from PIE.

Keywords: PWR, power ramp, fuel melting, simulation, Post-Irradiation Examinations

I. Introduction

The Power to Melt and Maneuverability (P2M) project, proposed within the OECD/NEA Framework for Irradiation ExperimentS (FIDES [1][2]) by the Nuclear Research Center (SCK-CEN, Belgium), the Commissariat à l'Énergie Atomique et aux Énergies Alternatives (CEA, France) and Électricité de France (EdF, France), aims at studying the behavior of Light Water Reactor (LWR) high burnup fuel during slow power transients up to fuel centerline melting. Staircase power ramps are planned in the BR2 reactor [3] (Belgium) to avoid failure of the cladding

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by Iodine Stress Corrosion Cracking (I-SCC) during the transients.

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In 2021, an international Simulation Exercise (SE) on past fuel melting experiments was organized within the P2M project in order to calibrate fuel performance codes on past power ramps during which fuel melting took place [4][5]. Among the two test cases considered for the SE, the HBC-4 fast power ramp ($72 \text{ kW}\cdot\text{m}^{-1}\cdot\text{min}^{-1}$) was performed on high burnup fuel ($60 \text{ GWd}\cdot\text{tU}^{-1}$ at Peak Power Node) up to a RTL of $66 \text{ kW}\cdot\text{m}^{-1}$, as obtained by gamma spectrometry, $70 \text{ kW}\cdot\text{m}^{-1}$, according to online measurements of thermal fluxes during the test. In the P2M Simulation Exercise, it was decided to consider the lowest value as the reference and include calculations with the estimated $\pm 7\%$ power uncertainty to cover the highest value. According to the Post Irradiation Examination (PIE) of the rod [6][7], fuel centerline melting and fuel relocation took place, indicating that the liquidus was reached during the test. Failure of the cladding by I-SCC was also reported at six axial locations around the PPN. In some of the ceramographies, clad failure, central holes, fuel melting and extensive fuel expansion were observed.

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To the authors knowledge, the impact of I-SCC clad failure on the fuel deformation, temperature and potential melting has been little studied. On the contrary, the impact of water ingress in CANDU fuel rods following clad failure by I-SCC has been extensively studied by Lewis et al. [8][9] to estimate the release of Fission Products (FP) in the coolant. The modeling of fuel oxidation by water vapor in defective CANDU fuel was later considered by Lewis et al. [10][11] and Higgs et al. [12] since it can alter significantly the thermal conductivity of the fuel and therefore increase the fuel centerline temperature. During the high power operating conditions specific to CANDU reactors, fuel melting has been observed in defective fuel rods at power levels lower than expected. Welland and al. [13] developed a phase field model of incipient melting in defective fuel where thermal heat transport is coupled to oxygen transport. This approach confirmed the deleterious impact of fuel oxidation on the power-to-melt of unirradiated fuel in CANDU reactors.

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The Simulation Exercise was limited to 1.5D simulations of the HBC-4 fuel melting experiment, as detailed in D'Ambrosi et al. [4][5]. To investigate the (potential) impact of clad failure on fuel melting, 2D(r,θ) simulations of this experiment with the fuel performance code ALCYONE are proposed in this paper. The 2D(r,θ) scheme is first described in section II together with the thermodynamic based modeling of fuel melting available in ALCYONE. The main characteristics of the HBC-4 power ramp are then briefly recalled in section III. Simulations results with and without clad failure are then presented in section IV. An extensive discussion of the results including the impact of water ingress and of fuel oxidation by steam is then proposed in section V.

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II. The 2D(r,θ) schemes of ALCYONE

ALCYONE is the fuel performance code dedicated to PWR fuels developed by the CEA, EdF and FRAMATOME within the PLEIADES computational environment [14][15][16]. The latest version (ALCYONE V2.1 [17]) includes three different schemes that provide a description of the complete fuel rod behavior (1.5D scheme) or of the local behavior at a given axial position in the rod (2D(r,θ) [18] or 3D [19] schemes). A 1.5D simulation of the fuel rod behavior during the power transient and the base irradiation that precedes is a necessary step before performing 2D(r,θ) or 3D local simulations. The local power history, the rod internal pressure history and

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the local clad external temperature history are obtained from the 1.5D simulation and used as inputs in the $2D(r,\theta)$ and 3D simulations. The 1.5D simulation results of the HBC-4 experiment and of the base irradiation in the BR3 reactor are described in D'Ambrosi et al. [4] and will not be detailed here. They have been used to generate the data files of the $2D(r,\theta)$ simulations of this paper.

II.A. Standard $2D(r,\theta)$ scheme

ALCYONE V2.1 includes by default a $2D(r,\theta)$ generalized plane strain¹ scheme, specifically developed for Pellet Cladding Interaction (PCI) studies [18]. The scheme describes the behavior of a fuel rod containing pellets initially fractured in eight identical fragments (based on the observed crack pattern of fuel pellets after base irradiation in PWR). Due to the symmetry conditions, only half of a pellet fragment (vertex angle of 22.5°) and the overlying cladding are meshed, as illustrated in Figure 1.

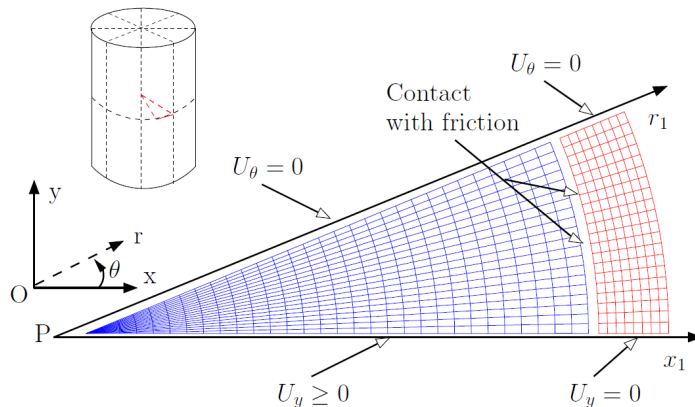


Figure 1: Mesh and boundary conditions in ALCYONE $2D(r,\theta)$ standard scheme (reproduced from [18]).

The mechanical boundary conditions reflect the pellet fragmentation with the symmetry plane of the fragment ($U_\theta = 0$) on (Pr_1) and the fracture plane on (Px_1) where the vertical displacement $U_y \geq 0$ is not known a priori but depends on the loading conditions of the fuel. For the cladding, symmetry conditions ($U_\theta = 0$) are prescribed on the same planes. Unilateral contact with friction is considered at the pellet clad interface since it greatly modifies the stress distribution in the cladding and the secondary cracking of the fuel pellet (i.e., the cracks that develop during a power transient). More details on this aspect can be found in Sercombe et al. [18].

The thermal boundary conditions are somehow disconnected from the mechanical boundary conditions. The temperature history of the clad outer surface obtained from the 1.5D simulation at the axial position of interest in the rod is prescribed in the $2D(r,\theta)$ calculation (i.e., there is no evaluation of the coolant thermal-hydraulics in $2D(r,\theta)$). Heat transfers between adjacent fuel

¹Realistic out-of-plane stresses and strains are included in the analysis

pellet fragments (i.e., in the fuel pellet radial cracks) are furthermore neglected (i.e., a zero heat flux is prescribed on the symmetry and fracture planes of the fragment). This condition leads a
 85 temperature distribution in the pellet fragment that is very close to the radial profile of the 1.5D simulation.

The thermal and mechanical models used in the 2D(r,θ) simulations are as in the 1.5D simulation of the fuel rod. Fuel secondary cracking and thermal creep, fission gas induced swelling and release [20], fuel densification and solid swelling, clad irradiation and thermal creep, clad
 90 plasticity are all part of the physical models considered in the simulations. Details can be found in Sercombe et al. [15][18]. For this reason, very close results (temperature distribution, fuel and clad average deformation) are obtained with the 1.5D and 2D(r,θ) schemes. The 2D(r,θ) scheme does however provide the stress localization in the cladding expected in front of an opening pellet
 95 radial crack [21].

II.B. Multi-fragment 2D(r,θ) scheme for clad failure

Simulation of clad failure is not possible with the standard 2D(r,θ) scheme of ALCYONE. The modeling of the partial failure of the cladding during Reactivity Initiated Accidents (RIA) has however been reported by Sercombe et al. [22]. In this work, the brittle failure of a hydride
 100 blister extending over 50 % of the cladding thickness was assumed to occur when the hoop stress at the clad outer wall reached a specific level. It was shown that this partial failure led to the reopening of the pellet-clad gap below the partially cracked cladding and to the local bending of the cladding. The standard 2D(r,θ) configuration is however not realistic when a through-wall crack forms in the cladding. For this reason, a multi-fragment 2D(r,θ) scheme has been
 105 developed in ALCYONE. It is described in Figure 2.

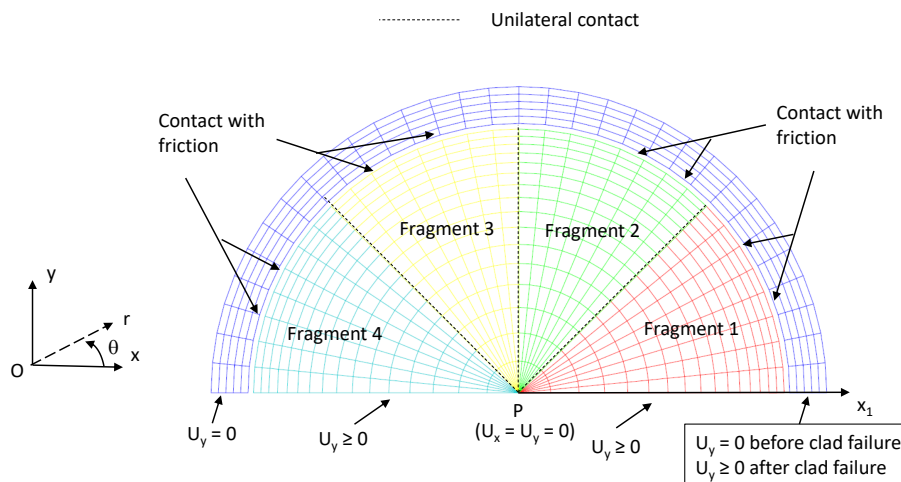


Figure 2: Mesh and boundary conditions in ALCYONE 2D(r,θ) multi-fragment scheme.

Compared to Figure 1, half of the fragmented pellet and of the overlying cladding is this time considered in the simulation. Four identical fragments with a vertex angle of 45° are meshed.

The mechanical boundary conditions are chosen to match those of the standard 2D(r,θ) scheme. Fracture planes are introduced on the (Px_1) plane: $U_y \geq 0$ for fragment 1 and 4. Unilateral contact conditions are introduced at the fragment - fragment interfaces to avoid interpenetration (marked by the dashed black lines). As in the standard 2D(r,θ) scheme of ALCYONE, unilateral contact with friction is considered at the pellet fragments - clad interface.

Concerning the thermal boundary conditions, very high heat fluxes are considered at the fragment - fragment interfaces to avoid marked discontinuities on the radial temperature profiles between fragments. This might be a strong hypothesis but it has been adopted since a proper heat transfer coefficient - pellet crack opening function is not available at present. The remaining boundary conditions are similar to those considered in the standard 2D(r,θ) scheme: prescribed temperature on the clad outer wall, zero heat flux on plane (Px_1). It is thus implicitly assumed that the clad to coolant heat exchanges are not significantly modified by the failure of the rod. This is related to the small change in rod geometry induced by the I-SCC failure of the cladding.

During base irradiation and at the beginning of the power transient, the mechanical boundary conditions reflect the integrity of the cladding with symmetry conditions on the plane (Px_1): $U_y = 0$ on the clad walls situated in front of fragments 1 and 4. The progressive failure of the cladding by I-SCC is then represented by a time-dependent relaxation of the $U_y = 0$ boundary condition on the plane (Px_1) in front of fragment 1. The following function is used:

$$f(t) = \frac{(t - t_0)}{(t_f - t_0)} \quad \text{if } t_0 \leq t \leq t_f \quad (1)$$

where $f(t)$ is the fraction of the cladding thickness that is cracked at time t , t_0 the crack initiation time on the inner clad wall and t_f , the time when a through-wall crack is found. At each time t , all the cladding nodes on plane (Px_1) that are located between $x = R_i$ and $x = R_i + f(t) \times e$ with R_i the clad inner radius and e the cladding thickness, are free to move upward ($U_y \geq 0$). When a through-wall crack is formed (at $t = t_f$), the internal rod conditions are changed as follows: the rod internal pressure is set equal to the external coolant pressure and applied on all the fragment surfaces and internal clad wall; the rod internal composition is modified assuming that all the gases are replaced by water vapor. The URGAP model is used in ALCYONE to estimate the heat exchange coefficient at the pellet-clad interface [23]. In theory, water ingress in the rod can lead to a substantial decrease of the heat exchange coefficient due to the five times lower thermal conductivity of water vapor ($0.06 \text{ W.m}^{-1}.\text{K}^{-1}$ at 700 K) compared to that of He filling the non irradiated rod ($0.28 \text{ W.m}^{-1}.\text{K}^{-1}$ at 700 K).

Verification of the multi-fragment 2D(r,θ) scheme was done by comparing the results of the calculation without clad failure to those obtained from the standard 2D(r,θ) scheme: the fuel centerline temperature, the fuel radius, the clad outer radius and the Fission Gas Release (FGR) were checked, showing the good behavior of the multi-fragment 2D(r,θ) scheme.

II.C. Thermodynamic based modeling of fuel melting

The assessment of fuel melting being the primary goal of the P2M project, an advanced model has been implemented in ALCYONE. It relies on thermodynamic equilibrium calculations of the complex system at hand in irradiated fuel. The Fission Products (FP) and actinides considered in the calculations are given in Table I.

To reduce the size of the system to 14 representative elements (He, U, Pu, Ba, Zr, Cs, I, Te, Mo, Ru, Pd, Cd, La, Gd) plus oxygen (the non irradiated fuel is assumed at stoichiometry), the FP and actinides that have a similar chemical behavior (e.g., high solubility in the fuel, found mostly in metallic form, ...) are grouped together. The quantity of each element is estimated with the neutronics based solver PRODHHEL integrated in ALCYONE [24]. The inventory includes only the long-lived FP obtained after an irradiation at a fixed power (20 kW.m⁻¹) up to an average fuel burnup of 10 to 80 GWd.tU⁻¹.

He	U	Pu	O	Ba	Zr	Cs	I	Te	Mo	Ru	Pd	Ce	La	Gd
Xe	U	Pu	O	Ba	Zr	Cs	I	Te	Mo	Ru	Pd	Ce	La	Eu
Kr		Np		Sr	Nb	Rb	Br	Se		Tc	Sn	Pr	Y	Sm
He		Am						Ge		Rh	Sb			Gm
		Cm						As						Nd
														Pm

Table I: Fission Products and actinides considered in the thermochemical equilibrium calculations. The first line gives the representative element.

Thermodynamic equilibrium calculations have been performed using the open-source software OpenCalphad [25][26] integrated in ALCYONE [27] and the Thermodynamics of Advanced Fuels International Database [28][29] (TAF-ID). The latter includes a sophisticated thermodynamic model to describe the fluorite phase U-Pu-O-FP where the solubility of some FP (Ce, Gd, La, Nd, Np, Pu, Zr) is taken into account. The TAF-ID relies on the Calphad method and is therefore well suited to evaluate the melting of the fluorite phase. In this respect, numerous experimental data on U-O and U-Pu-O melting are used to build the models and ensure therefore a precise assessment of the solidus and liquidus as a function of the stoichiometry deviation of the fuel [30][31].

The results from the thermodynamic equilibrium calculations are summarized in Figure 3. The evolution of the fuel liquid fraction (i.e., the moles of elements in the liquid phase divided by the total number of moles) as a function of temperature is given for different fuel average burnups. Each curve is characterized by a negligible liquid fraction up to a temperature of ~ 2600°C. The non zero liquid fraction before this temperature reflects the formation of secondary liquid stoichiometric phases in small quantities such as CsI_(l), Cs₂MoO_{4(l)}, ... At a given temperature threshold corresponding to the melting of the fluorite phase, a slow increase of the liquid fraction takes place followed by a sharp increase. The temperature at which the liquid fraction reaches one is the liquidus. The temperature corresponding to the melting of the fluorite phase is the solidus. Irrespective of the fuel average burnup, it starts when the liquid fraction reaches ~ 10 %. The curves of Figure 3 illustrate the impact of FP on melting with a decrease of the solidus and liquidus temperatures with the average burnup, as expected from available measurements [32][33]. Thermodynamic equilibrium calculations provide also the evolution of the fuel enthalpy from which the heat capacity can be derived. The evolution of the latter with temperature is plotted in Figure 4 for different fuel average burnups.

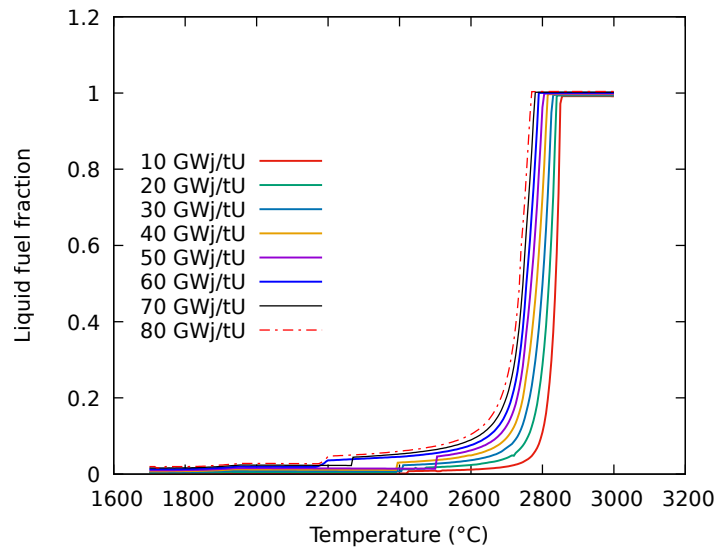


Figure 3: Calculated evolution of the fuel liquid fraction with temperature and fuel average burnup

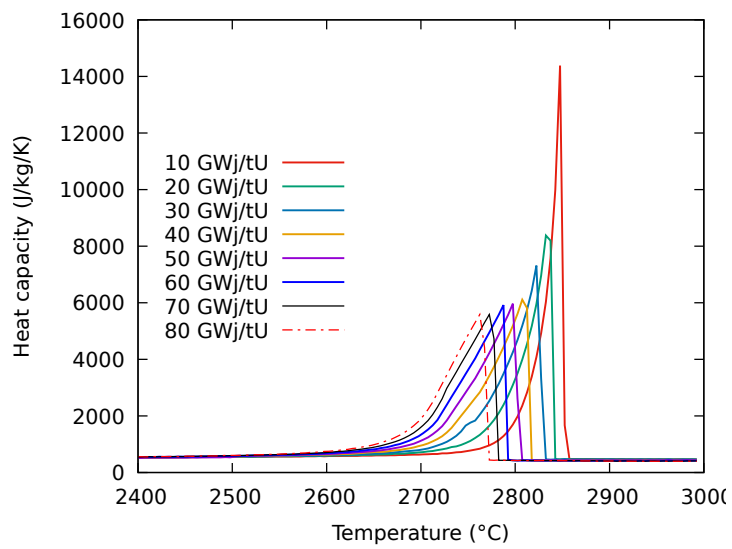


Figure 4: Calculated evolution of the fuel heat capacity with temperature and fuel average burnup

185 The trend with burnup follows that of the liquid fraction with a decrease of the magnitude of
the peak observed upon melting (i.e., corresponding to the latent heat of melting) and a shift of
the curves towards lower temperatures. In the proposed application to the HBC-4 power ramp
and since only the PPN is of interest, the fuel liquid fraction and the heat capacity curves cor-
responding to an average burnup of 60 GWd.tU^{-1} are used in ALCYONE. According to the
190 thermodynamic calculations, the solidus is reached at $2645 \text{ }^\circ\text{C}$ and the liquidus at $2790 \text{ }^\circ\text{C}$.

Several thermo-mechanical models have furthermore been adapted to account for fuel mel-
ting. The thermal conductivity of the fuel, the elastic and shear moduli, the thermal expansion of
the fuel have been made dependent on the fuel liquid fraction using a simple mixing law. This
195 allows a continuous description in case of phase change. Furthermore, the fuel thermal expansion
model includes the 9.6 % volume change associated to fuel melting. More details can be found
in D'Ambrosi et al. [34] where the same methodology was applied to a fuel of average burnup
 30 GWd.tU^{-1} .

III. Main characteristics of the HBC4 power ramp

200 A short description of the HBC-4 power ramp is here provided. The irradiation sequence that
took place before the power transient is not detailed. PIE of importance for the present $2\text{D}(r,\theta)$
simulations are detailed. A complete description of the HBC-4 fuel rod, loading histories and of
the available PIE is proposed in D'Ambrosi et al. [4] and Bonny et al. [7], based on the report of
Blanpain [6]. Readers may refer to these papers for more information.

205 III.A. Power history and clad failure

The power or Linear Heat Generation Rate (LHGR) history at PPN during the last part of the
HBC-4 experiment is plotted in Figure 5. Note that the time equal to zero refers to the time when
the RTL is first reached. The irradiation consisted first in a gradual and slow power increase by
steps of 5 kW.m^{-1} up to the preconditioning level of $\sim 37\text{-}41 \text{ kW.m}^{-1}$ (not shown in Figure 5).
210 After 20 h, the power was raised in $\sim 30 \text{ s}$ till an estimated $66.3 \pm 4.6 \text{ kW.m}^{-1}$. This power
was then maintained during 40 s and then decreased to 10 kW.m^{-1} in 60 s. During the test, the
radiation detector located along the sampling line gave no sign of fuel rod damage and Fission
Product (FP) release in the loop. During the depressurization of the rig, a considerable rise in
activity was measured, indicating that the cladding had failed. The timing of the failure in hot
215 conditions was however ascertained later by microstructural examinations. Note that the $66.3 \pm 4.6 \text{ kW.m}^{-1}$
LHGR at RTL is based on the measured axial profile of Zr activity by gamma
spectrometry after the power ramp. On-line measurements of the thermal fluxes in the testing
loop have led to a calculated LHGR of $70 \pm 7.1 \text{ kW.m}^{-1}$. In the P2M simulation exercise [4],
it was decided to consider the 66 kW.m^{-1} as a reference and include simulations with a $\pm 7\%$
220 power uncertainty to cover the highest estimate.

In this work, to model clad failure, it has been assumed that crack propagation is initiated
at $t_0 = 0 \text{ s}$ and proceeds until $t_f = 30 \text{ s}$ where a through-wall crack is formed. The failure
time indicated in Figure 5 (30 s) is indicative but consistent with the late release of FP in the
225 coolant. Failure times by I-SCC during straight power ramps performed in the OSIRIS reactor
in France have been reported by Mougel et al. [35], based on FP release detection in the test
loop, and analyzed later by Sercombe et al. [36]. It was found that the failure time decreases

with the power increase step ($\Delta P = 15$ to 40 kW.m^{-1}) and reaches a lower limit of $\sim 100 \text{ s}$ at a ΔP of 25 kW.m^{-1} . The 30 seconds considered in the HBC-4 simulation are therefore below the measured failure times in the OSIRIS reactor. Several reasons might explain this fast failure of the cladding. First, the power increase rate in the HBC-4 power ramp is much higher than in all the OSIRIS ramps ($72 \text{ kW.m}^{-1}.\text{min}^{-1}$ compared to $10 \text{ kW.m}^{-1}.\text{min}^{-1}$). Second, the RTL and the fuel average burnup are extremely high ($\geq 66 \text{ kW.m}^{-1}$ and 60 GWd.tU^{-1}), which are not common conditions. These conditions have certainly led to higher than usual temperatures of the clad inner wall. Since temperature is a very important factor with respect to I-SCC kinetics [37], this might explain the fast failure of the cladding during the HBC-4 power ramp.

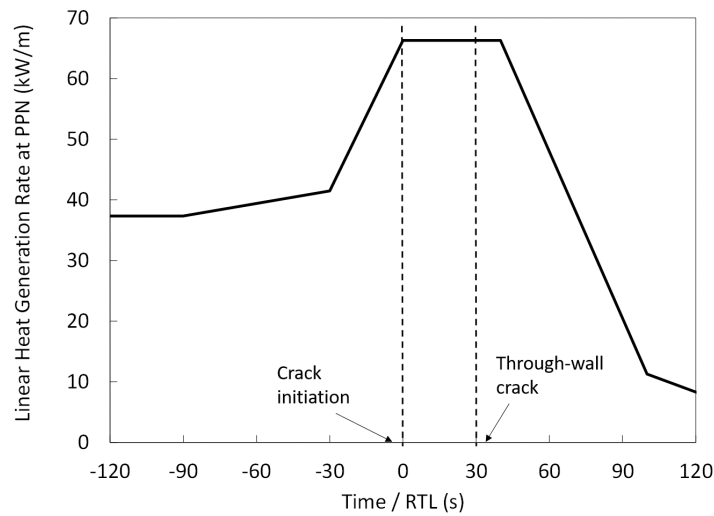


Figure 5: Power history at PPN during the HBC-4 fast transient

III.B. PIE

Figure 6 presents the clad diameter axial profile (black line) in the vicinity of the PPN, together with the normalized axial power profile (red line). The clad diameter profile presents six peaks that mark the position of the cracks in the cladding. While the diameter in the non cracked regions is close to 9.5 mm, it reaches 9.8-10 mm in front of the clad cracks. The axial power profile presents a marked evolution along the rod that is characteristic of reactor irradiation in BR2. The location of the main central holes detected by neutron radiography are also indicated in Figure 6. They have been confirmed by the observations of the transverse (CT1, CT2) or longitudinal (CL1) ceramographies performed at the same axial positions. A third transverse ceramography was performed outside of the failed cladding region (CT3). The CT1, CT2 and CT3 transverse ceramographies are presented in Figure 7.

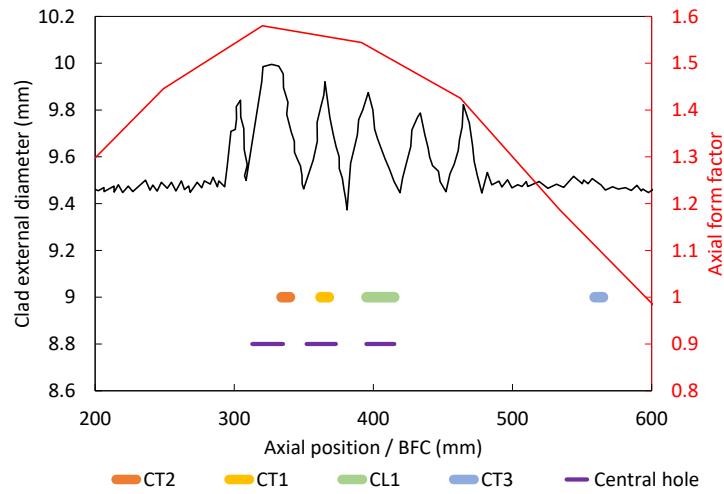


Figure 6: Clad diameter axial profile (black line) after the HBC-4 power ramp, axial power profile (red line) and axial location of the ceramographies and of the central voids (arbitrary vertical position in the graph).

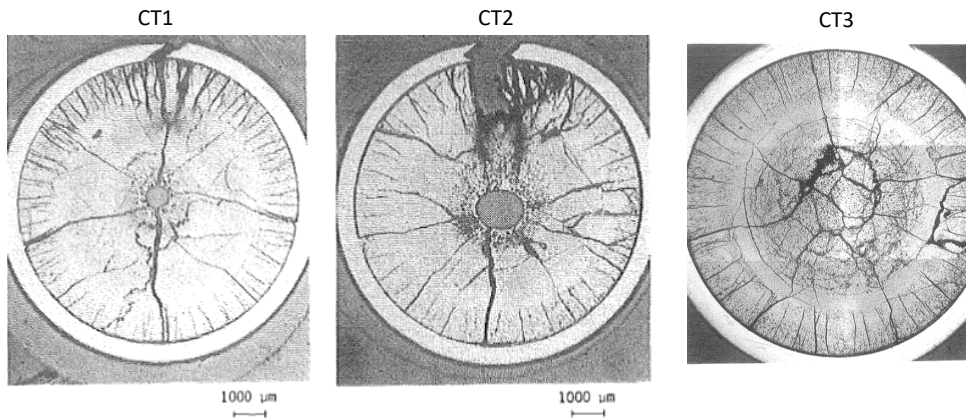


Figure 7: Ceramographies performed after the HBC-4 power ramp.

250 The CT1 and CT2 ceramographies confirmed the presence of central voids and of through-
 wall cracks in the cladding that showed the characteristic pattern of I-SCC with a bifurcation
 halfway through the clad thickness (slow crack propagation by I-SCC followed by a low ductile
 failure of the remaining clad ligament). The roundness of the central void is clear in the
 CT1 cross-section while it has an elliptic shape in the case of CT2 with the smallest dimension
 255 of the clad crack since no reopening of the pellet-clad gap is visible. This results in a central hole
 that appears shifted away from the clad crack. On the contrary, due to the lower local LHGR
 ($56 \text{ kW}\cdot\text{m}^{-1}$), CT3 presents no central void and no crack in the cladding. One important fea-

ture that was reported is the axial discontinuity of the central holes, separated by regions filled with molten fuel. This clearly indicated that the central holes were not a consequence of the well-known pore migration mechanism triggered by the temperature gradient that takes place in Sodium Fast Reactor fuels [38] but rather of fuel melting. The reason being that the short holding time at RTL was probably not sufficient to activate significant pore migration. The melt diameters were estimated at 1.6 mm in CT1 and 2.2 mm in CT2, based on the size of the dense fuel ring with numerous pear-shaped and spherical pores that surrounds the central holes. These melt diameters are somehow consistent with the size of the central holes (0.7 mm in CT1 and 1.2 mm in CT2) if one considers that the latter are formed by shrinkage of the once molten fuel upon cooling down [39] and slightly increased by fuel relocation. The longitudinal cross-section and a more in-depth discussion of the melted fuel microstructure can be found in [4] and [7].

IV. Simulation results

Simulation results obtained with the $2D(r,\theta)$ multi-fragment scheme accounting or not for clad failure are presented to illustrate the impact of clad failure on the fuel deformation, temperature and melting. Note that the results obtained with the $2D(r,\theta)$ scheme without clad failure are very close to the simulation results of the 1.5D scheme concerning the slice located at PPN. These results are detailed in D'Ambrosi et al. [4] and will not be recalled here.

IV.A. Clad failure

Figure 8 gives the evolution of the calculated clad external diameter situated on the (Px_1) axis in the multi-fragment $2D(r,\theta)$ simulations with and without clad failure. The LHGR evolution is also given in the figure.

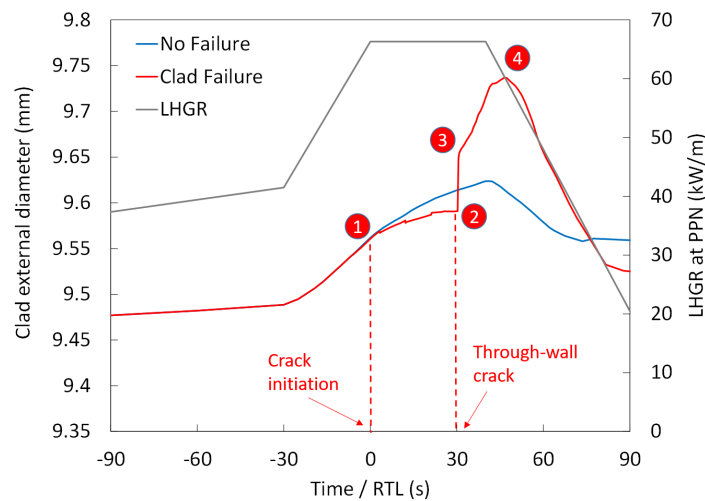


Figure 8: Calculated evolution of the clad diameter in the multi-fragment $2D(r,\theta)$ simulations with and without clad failure.

During the power transient (-30 to 0 s), the clad diameter in the $2D(r,\theta)$ calculation without clad failure increases regularly (see the blue curve). A maximum is reached at the end of the

holding period at RTL (40 s). In the $2D(r,\theta)$ calculation with clad failure, crack propagation is initiated at $t_0 = 0$ s (time 1) and proceeds until $t_f = 30$ s (time 2) where a through-wall crack is formed. The crack propagation kinetics is given by equation 1 and illustrated in Figure 9. The colors indicate the temperature distribution in the fuel pellet. To give a full picture of the pellet state, the simulated half pellet is duplicated by symmetry along the (Px_1) axis.

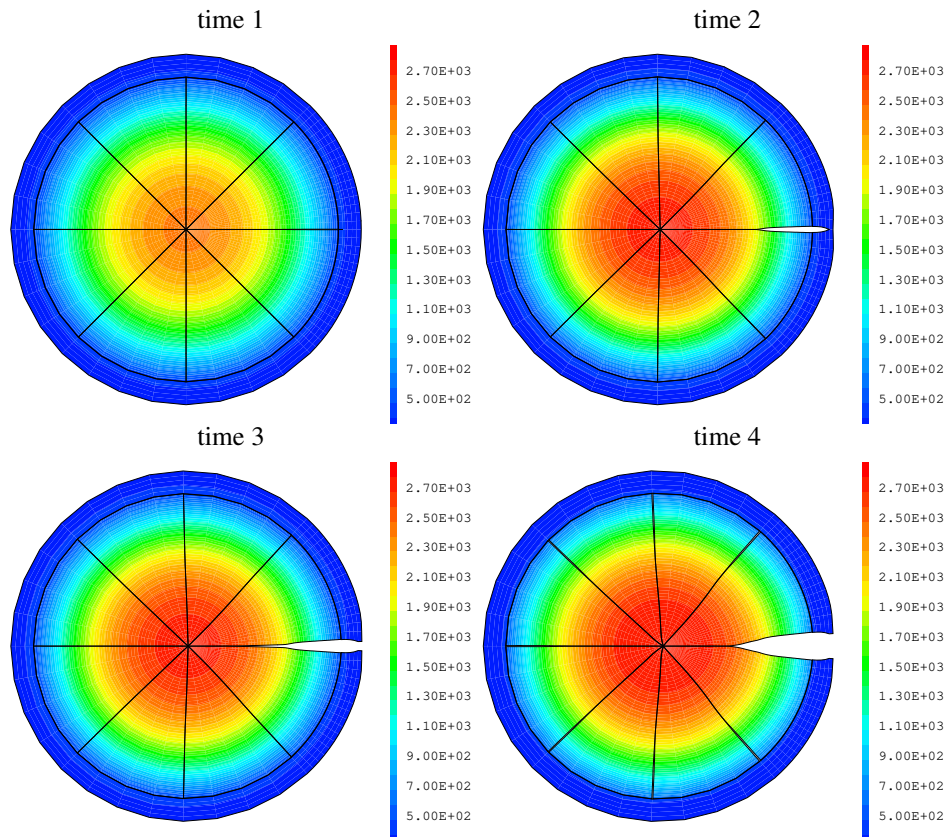


Figure 9: Simulation of clad failure with the multi-fragment $2D(r,\theta)$ scheme: time 1, crack initiation at the inner clad wall at $t = 0$ s; time 2, crack propagation with contraction of the clad outer wall (necking) at $t = 30$ s; time 3, through-wall crack at $t = 30.6$ s; time 4, maximum crack opening at $t = 48$ s. The scales and the colors show the temperature distribution in $^{\circ}C$.

As can be seen in Figure 8, the crack propagation phase (time 1 to 2) leads to a decrease of the clad diameter when compared to the calculation without clad failure. This is due to the slow propagation of the crack in the clad thickness that takes place when the cladding is loaded by the expanding fuel pellet. It leads to a plastic strain localization in the non cracked clad ligament that is characterized by a pronounced necking, see Figure 9. Between times 2 ($t = 30$ s) and 3 ($t = 30.6$ s), the development of a through-wall crack leads to a sudden increase of the clad external diameter (by 0.05 mm). Between times 3 ($t = 30.6$ s) and 4 ($t = 48$ s), the clad diameter increases rapidly due to the expanding fuel pellet that is no more restrained by the cladding. The clad diameter reaches a maximum of 9.73 mm to be compared with the 9.62 mm when clad failure

295 is not considered. In the $2D(r,\theta)$ calculation without failure, the end of the holding period at
 RTL ($t = 40$ s) marks the beginning of the decrease of the clad diameter. This is not the case in
 the $2D(r,\theta)$ calculation with clad failure where the momentum up to $t = 40$ s is kept during the
 next few seconds in spite of the decreasing LHGR. The pace of the increasing clad diameter is
 however reduced during this time period ($t = 40$ to 48 s).

300

The evolution of the clad crack opening during the power ramp is plotted in Figure 10. It
 reaches a maximum of 0.7 mm at $t = 48$ s. The return to cold state leads to a partial closing of
 the crack with a residual value of 0.3 mm (not shown on the graph). Note that the high friction
 coefficient at the pellet-clad interface leads to a clad crack opening that follows the pellet radial
 crack opening (see time 4 in Figure 9). In ALCYONE, the friction coefficient increases with the
 305 burnup of the fuel, as detailed in Sercombe et al. [18].

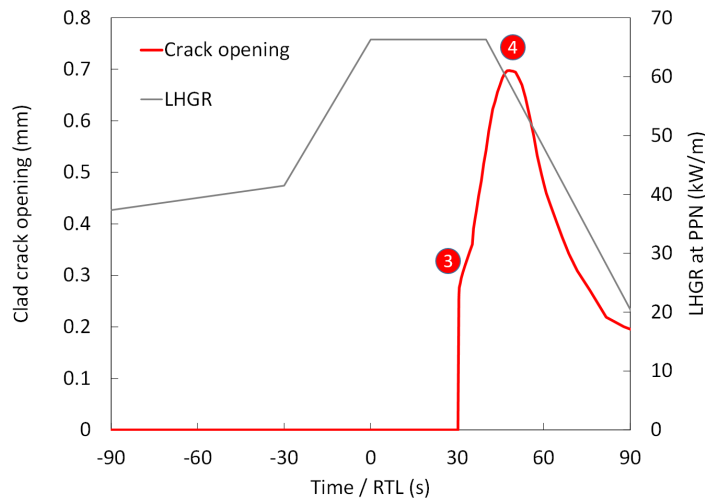


Figure 10: Calculated evolution of the clad crack opening in the multi-fragment $2D(r,\theta)$ simulation

IV.B. Fuel temperature and melting

The evolutions of the calculated fuel temperatures at the pellet center in the multi-fragment
 $2D(r,\theta)$ simulations with and without clad failure are given in Figure 11. As can be seen, equi-
 310 valent temperatures are obtained in the two simulations up to $t = 0$ s (time 1). A small difference
 is then observed due to the propagation of the crack in the clad thickness (5 °C at $t = 30$ s). When
 the through-wall crack is formed at $t = 30.6$ s (time 3), the temperature rises in the calculation
 and reaches a maximum of 2801 °C that can be compared to the 2761 °C in the calculation with
 no failure of the cladding. This small temperature difference (40 °C) is due to the increase of the
 315 pellet diameter that results from the loss of rigidity of the cladding and to the replacement of He
 in the rod by water vapor that degrades the heat exchange coefficient at the pellet-clad interface.
 As for the clad diameter, the temperature continues increasing during the first few seconds that
 follow the end of the holding period at RTL ($t = 40$ to 48 s) in spite of the decreasing LHGR.
 This shows that thermal equilibrium has not been reached following clad failure.

320

The maximum liquid fuel fractions calculated during the multi-fragment $2D(r,\theta)$ simulations with and without clad failure are shown in Figure 12. As was expected from the calculated temperatures, the liquidus is reached at the pellet center in the calculation with clad failure while the liquid fuel fraction does not exceed 0.7 in the calculation without failure.

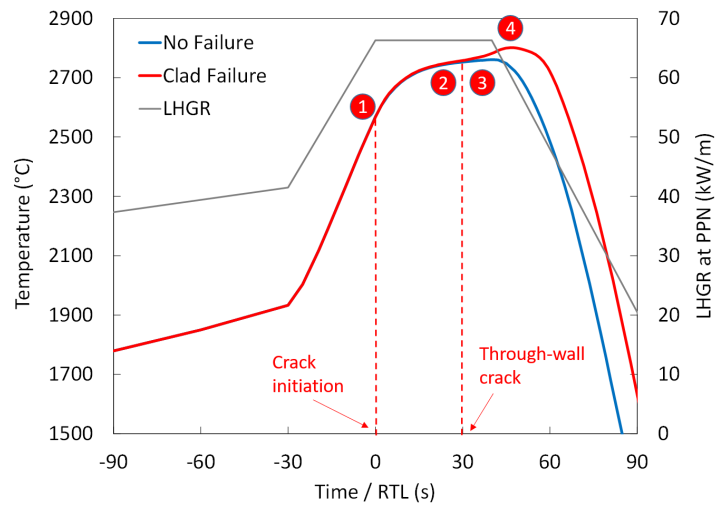


Figure 11: Calculated evolution of the fuel temperature at the pellet center in the multi-fragment $2D(r,\theta)$ simulations with or without clad failure

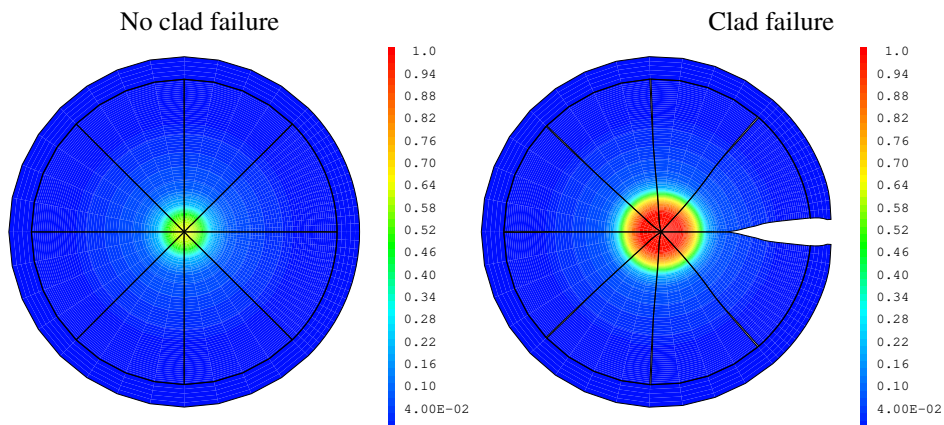


Figure 12: Calculated maximum liquid fuel fractions during the multi-fragment $2D(r,\theta)$ simulations with or without clad failure

325

The radial profiles of the liquid fuel fraction at $t = 48$ s (time 4) in the four pellet fragments are plotted in Figure 13. According to the calculated profiles, the completely melted fuel region (liquid fuel fraction equal to 1) extends up to a radius of 0.5 mm. The solidus (corresponding to a liquid fuel fraction of 0.1) is reached at a radius of 1.4 to 1.5 mm. The radial extension of

the liquid fuel fraction is slightly affected by the clad crack opening. Fragment 1 presents the
 330 highest liquid fuel fractions at all radial positions. Consistent with this result, a small dissymmetry
 appears in the maximum fuel diameters with a greater diameter in the (Px1) plane aligned with
 the clad crack (8.537 mm) compared to the one in the perpendicular direction (8.507 mm). This
 leads to a small excentricity of the hot fuel pellet center in the direction of the clad crack (see
 the apparent radial displacement of the pellet center in Figure 12) that reaches a maximum of
 335 0.13 mm at time 4. In spite of this small dissymmetry, the central melted zone remains very close
 to circular with no marked influence of the clad crack.

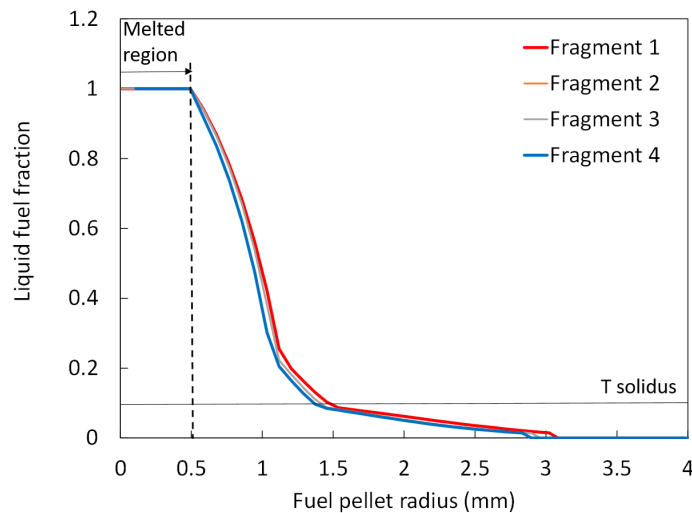


Figure 13: Calculated radial profiles of the fuel liquid fraction in the four fragments of the 2D(r,θ) simulation with clad failure

V. Discussion

Simulation results can be compared to the available PIE. A refined analysis of the CT1 cross-
 section is first proposed to better assess the simulation results. The ceramography at the location
 340 of CT1 is preferred to CT2 because the clad defect is less developed and one can expect less
 consequences related to water ingress. This corresponds better with the 2D(r,θ) simulations of
 this paper where only thermomechanical aspects related to clad failure have been studied. Figure
 14 presents the CT1 cross-section with a zoom on the fuel pellet center and the clad crack. It
 must be emphasized that the ceramography is of poor quality which leads to some non negligible
 345 uncertainties in the determination of the different measures proposed hereafter. To reduce uncer-
 tainty as much as possible, measurements have been made manually on 20 cm x 30 cm prints of
 the ceramographies.

The magnification led to the estimation of the pellet center position (intersection of the red
 350 lines) that does not correspond with the center of the central hole. The latter appears shifted in
 the direction of the clad crack. The radial shift is small and estimated at 0.15 mm. The central

hole is close to circular with an estimated diameter of 0.7 mm. The magnification of the central part of the pellet shows the existence of a dense fuel layer with numerous large pores surrounding the central hole. As discussed by Blanpain [6] and D'Ambrosi et al. [4], this dense layer can also be associated with fuel melting. The dense layer thickness is not homogeneous but slightly more important in the direction of the clad crack. Overall, the melted fuel diameter reaches ~ 1.6 mm. From Figure 14, the residual clad crack opening can be estimated at ~ 0.35 - 0.54 mm, depending on the radial position in the clad wall.

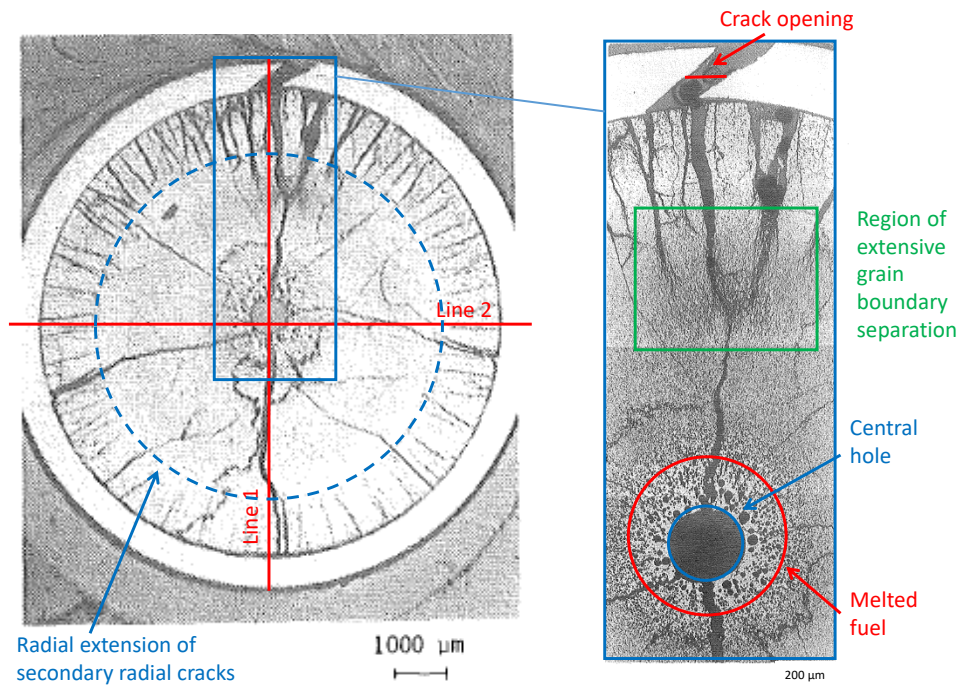


Figure 14: CT1 cross-section with a zoom showing the central hole, the dense fuel layer surrounding it, the region where extensive grain boundary separation is visible and the clad crack.

The mesh size of the $2D(r,\theta)$ simulations at the pellet center in the radial direction being of 0.1 mm, the melt diameter can only be estimated with a similar precision. If a fuel liquid fraction of 1 is considered as an indicator of fuel melting, the calculated melted fuel diameter equals 1 mm (see Figure 13), which is smaller than the measured diameter from the CT1 cross-section based on the boundary of the dense fuel layer (1.6 mm). The 1.6 mm diameter corresponds to a calculated liquid fuel fraction of ~ 0.8 (see Figure 13). Since this value remains close to 1, it is possible that the estimated melt diameter includes a small proportion of nearly molten fuel close to the boundary. This might explain the underestimation of the melted fuel diameter. A small excentricity of the melted fuel region in the direction of the clad crack is visible in the $2D(r,\theta)$ simulation, see Figure 9, with a maximum offset of 0.13 mm, to be compared with the measured 0.15 mm.

The $2D(r,\theta)$ simulation underestimates the residual clad crack opening (0.3 mm compared to the measured 0.3-0.54 mm). It must be recalled that the $2D(r,\theta)$ configuration is based on the assumption that the simulated crack is infinite in the out-of-plane direction. In reality, the axial extension of the crack is limited to a few millimeters and might present extensive plastic strains at the crack tips that could hinder the closing of the crack upon unloading. This might explain the obtained discrepancy. Another reason could be the underestimation of the pellet fragment swelling, as discussed in the next paragraphs.

The CT1 cross-section of Figure 14 can also be used to give rough estimates of the fuel and clad diameters in the plane of the clad crack (red line 1) and perpendicular to the plane of the clad crack (red line 2). The fuel pellet diameter along line 1 is equal to ~ 8.7 mm, while it is equal to ~ 8.52 mm along line 2. Considering the pre-ramp fuel pellet diameter of 8.2 mm, this leads to a maximum fuel expansion of $\sim 6\%$. The clad diameter along line 1 is somehow equal to the clad diameter along line 2 (~ 9.81 mm). This shows that the residual strain in the cladding is uniform along the circumference, which is characteristic of a brittle failure by I-SCC.

The calculated fuel pellet diameters at cold state are 8.238 mm and 8.27 mm along lines 1 and 2, respectively. On average, they indicate a fuel pellet swelling of 2.6%, far from the measured 6%. Note that the fuel pellet swelling in the CT3 ceramography (no fuel melting) was estimated at 2% [6], in relative agreement with the calculation proposed here for CT1. It must be recalled that the modeling of melting in ALCYONE accounts for the 9.6% volume expansion related to the phase change. The calculated versus measured difference in the fuel pellet swelling could be due to the numerous secondary radial cracks that can be seen at the pellet periphery, see the CT1 ceramography of Figure 14. As illustrated by Figure 15 where the calculated fuel pellet radial cracking at $t = 30$ s in Fragment 1 is plotted, ALCYONE includes a fuel pellet mechanical model that describes the development of secondary radial cracks during the power ramp [18][40]. Note that the radial extension of the secondary cracks is fairly consistent with those of the CT1 ceramography (~ 1 mm, see Figure 14). However, in this model, reversibility of the pellet radial crack opening is assumed which means that the pellet secondary cracks will disappear completely at cold state. This might explain the underestimation of the apparent fuel pellet volume.

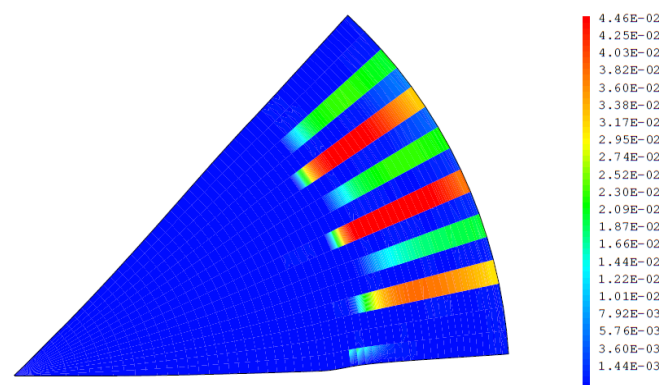


Figure 15: Calculated secondary radial cracks in fragment 1 facing the clad crack at time $t = 30$ s (the colors show the associated crack strains).

There are at least three more possible reasons to the underestimation of fuel pellet swelling:

- fission-gas induced swelling might be underestimated at these high temperatures where very few experimental data are available (conversely, Fission Gas Release could be over-estimated),
- the 2D(r,θ) configuration does not account for the potential axial fuel creep that might contribute to fill the space where the rigidity of the cladding is lost. In this respect, neutron radiography showed pronounced dish filling in most of the fuel pellets around the PPN in spite of the short holding time of 40 s at RTL. The limited axial extension of the clad cracks might therefore lead to a preferential fuel creep in the pellet parts facing opened clad cracks.
- water ingress might have led to fuel surface oxidation which is known to result in some non negligible expansion associated to grain-boundary cracking [10]. Extensive grain boundary separation is visible in the pellet region facing the clad crack, see the magnification of the CT1 cross-section in this region given in Figure 14. This is also the case in the CT2 cross-section where the clad defect is more important, see Figure 7.

While the first proposed explanation is difficult to investigate in more details due to the limited PIE available and to the non measurable FGR after the power ramp, the second one could be investigated by sophisticated 3D simulations that are out of the scope of the present work. With the model proposed by Lewis et al. [10] for CANDU fuels, the third explanation can however be assessed to some extent. This model describes high pressure steam oxidation of the fuel pellet surface in failed CANDU rods. According to Lewis et al. [10], the fuel surface oxidation kinetics is given by the following equation:

$$x_s(t) = x_e \left[1 - \exp\left(-\frac{S}{V} \sqrt{P_{H_2O} \alpha t}\right) \right] \quad (2)$$

where $x_s(t)$ is the fuel stoichiometry deviation at the pellet surface, x_e , the equilibrium stoichiometry deviation obtained by equating the oxygen potential in the fuel to that in the pellet-clad gap volume, S/V is the surface-to-volume ratio of the fuel (m), P_{H_2O} is the partial pressure of steam (in atm) in the gap volume and α is a surface exchange coefficient ($\text{m}\cdot\text{s}^{-1}$). In practice, x_e , P_{H_2O} and α depend on temperature. Details on how these quantities are estimated are provided in Appendix A.

To analyze the potential increase of fuel stoichiometry during the HBC-4 power ramp, the 2D(r,θ) simulation results obtained 10 seconds after the formation of the through-wall crack (at $t = 40$ s) are here considered. The radial profile of temperature in the pellet fragment is given in Figure 16 (blue curve). The deformed pellet fragment facing the clad defect is also shown in Figure 16. As can be seen, the opening of the pellet crack facing the clad defect is limited by the compression stresses that hold at the hot pellet center. The pellet-clad gap is furthermore closed. The dashed line on the left marks the minimum radial position (~ 1.8 mm) where fuel crack oxidation by water is possible during the holding period at RTL (region where the pellet crack is opened). By using equation 2, the fuel stoichiometry deviation at the fuel crack surface can be calculated. Since a strong radial temperature gradient holds along the pellet radial crack, the fuel stoichiometry will vary significantly in the radial direction and increase towards the pellet center, as illustrated in Figure 16 (red curve). The fuel oxidation model shows that a fast oxidation of the fuel (in the 10 seconds at RTL that follow the formation of a through-wall crack)

is possible when the temperature exceeds 1700°C. A maximum stoichiometry deviation of 0.17 is reached at the radial position of 1.8 mm. In Figure 16, the dashed line on the right marks the maximum radial position (~ 3.4 mm) where a non negligible fuel stoichiometry deviation is calculated ($x_s > 0.01$). Closer to the pellet periphery, the temperature is too low to induce significant fuel oxidation ($x_s \sim 0$). The calculated fuel oxidized region (radius between 1.8 mm and 3.4 mm) is in very good agreement with the CT1 ceramography. Fuel swelling associated to grain boundary separation in consequence of fuel oxidation could therefore explain the underestimated fuel expansion.

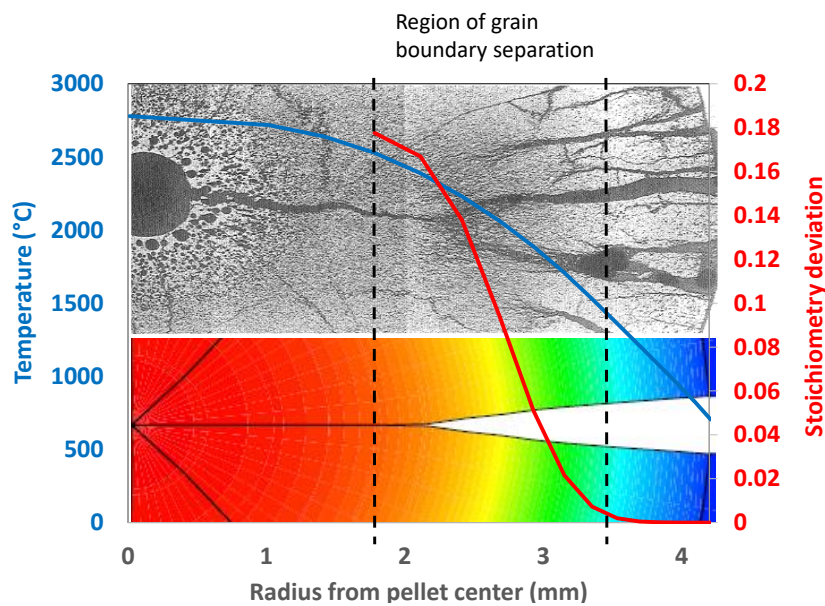


Figure 16: Calculated temperature and stoichiometry deviation profiles along the fuel pellet radial crack, 10 seconds after clad failure. The black dashed lines give the boundaries of the region where grain boundary separation is observed. The CT1 ceramography and the calculated pellet radial crack opening at the end of the holding time at RTL are superimposed.

Note that the calculations of the pellet radial crack opening has been the topic of extensive verification work in ALCYONE [21] and can be used in analyses with some confidence. Interestingly, the cold state of the pellet fragment facing the clad defect, calculated with the 2D(r,θ) scheme, bears some resemblance with the CT1 ceramography, as shown in Figure 17. In particular, the 2D(r,θ) simulation indicates that a reopening of the main crack extending to the pellet center is likely at cold state with a partial closure at mid-radius.

Finally, it must be underlined that the CT2 ceramography presents some differences with CT1 that are obviously not caught by the 2D(r,θ) simulation. First, the excentricity of the central hole opposit to the clad crack. Second, the elliptic shape of the central hole. These differences may be related to a more advanced oxidation state of the fuel when compared to CT1. The greater crack opening could be at the origin of a more in-depth fuel oxidation that would lead to a more pronounced fuel swelling associated to grain boundary separation. This would apparently shift the

melted central region in the direction opposite to the clad crack. The elliptic shape of the central hole could be related to the water ingress closer to the pellet center with potential cooling of the fuel surface in front of the clad defect [13]. Overall, both phenomena (enhanced cooling by water ingress and steam oxidation) could have counterbalanced effects on the fuel central temperature leading to a melted fuel diameter of similar dimension than in the CT1 ceramography. This indicates that enhanced cracking of the cladding does not necessarily lead to additional melting of the fuel.

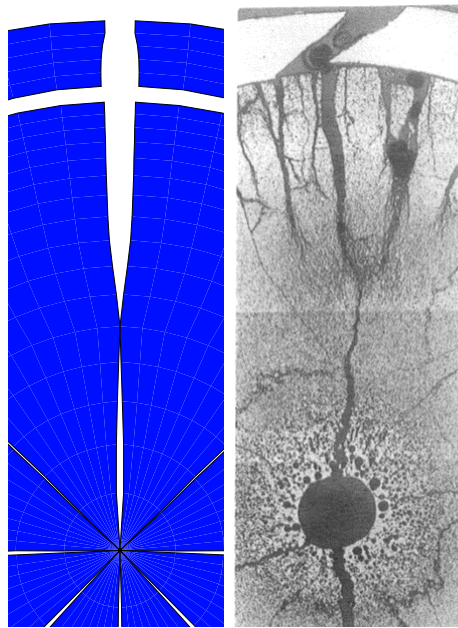


Figure 17: Calculated state of the fuel pellet main radial crack facing the clad defect after return at cold state, compared to the CT1 ceramography

VI. Conclusions

In this paper, advanced simulations of fuel melting and clad failure by I-SCC during a fast power ramp have been described, based on the $2D(r,\theta)$ multi-fragment scheme available in the fuel performance code ALCYONE. The main purpose of this study was to investigate the impact of clad failure and water ingress on fuel temperature and melting. The following conclusions can be drawn:

- the thermodynamic based modeling of fuel melting integrated in ALCYONE led to a reasonable estimation of the melt diameter during the power ramp. The liquid fraction at the melted fuel boundary was found equal to 0.8;

- 485 • clad failure leads to a small increase of the fuel centerline temperature (40 °C) due to the increased clad and fuel diameters in consequence of the loss of the clad rigidity and of the water ingress that degrades the thermal conductivity of the gas mixture at the pellet-clad interface (assuming He is replaced by water vapor);
- 490 • the fuel temperature increase in consequence of the clad failure (40 °C) remains small when compared to that resulting from the experimental uncertainty on the LHGR ($66.3 \pm 4.6 \text{ kW.m}^{-1}$ from gamma spectrometry measurements after the power ramp, $70.5 \pm 7.1 \text{ kW.m}^{-1}$ from online measurements of the thermal fluxes in the experimental loop). D'Ambrosi et al. [4] showed that the fuel temperature increase in a 1.5D simulation with +7 % LHGR (nominal 66 kW.m^{-1}) exceeded 100 °C.
- clad failure leads to a dissymetry in the fuel and clad diameters and to an excentricity of the melted fuel region in the direction of the clad crack;
- 495 • fuel pellet swelling during the power ramp cannot be attributed to thermal expansion and phase change only;
- enhanced fission gas induced swelling at temperatures close to fuel melting, preferential fuel creep at locations close to clad defects in consequence of the limited axial extension of the defects and grain boundary separation related to fuel oxidation by water vapor in the radial cracks facing the clad defect might all explain the underestimated swelling of the fuel in the 2D(r,θ) simulation.

To complete this work, the formation of the central hole related or not to fuel melting and fuel axial relocation could be included in the 2D(r,θ) simulation scheme, as proposed for instance by Novascone et al. [41] and Barani et al. [42]. The impact of the central hole on the fuel temperature and on the clad loading could then be investigated in more details. Fuel thermal conduction and melting dependent on fuel oxidation and interstitial oxygen diffusion could be added to better describe the consequences of water ingress in the rod. In this respect, a sophisticated coupled thermochemical - oxygen transport model based on the work of Welland [13] is already available in the PLEIADES computational environment [43] but needs to be adapted to the 2D(r,θ) geometry. Axial redistribution of fuel at the pellet center related to creep or melting could also be studied by 3D simulations of the HBC-4 power ramp.

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Appendix A. Fuel surface oxidation model

Lewis et al. [10] proposed the following expression to describe the oxidation of the fuel pellet external surface in contact with the gap atmosphere, accounting for high pressure effects:

$$x_s(t) = x_e \left[1 - \exp\left(-\frac{S}{V} \sqrt{P_{H_2O}} \alpha t\right) \right] \quad (\text{A.1})$$

615 where $x_s(t)$ is the fuel stoichiometry deviation at the pellet surface, x_e , the equilibrium stoichiometry deviation, S/V is the surface-to-volume ratio of the fuel (m^{-1}), P_{H_2O} is the partial pressure of steam in the gap and α is a surface exchange coefficient (m/s) given by:

$$\alpha = 0.365 \exp\left(-\frac{23500}{T}\right) \quad (\text{A.2})$$

with the temperature T in K. A typical S/V ratio of 330 m^{-1} is proposed for CANDU fuels and has been considered also for the HBC-4 fuel. The calculation of the equilibrium stoichiometry deviation requires the estimation of the partial oxygen pressure P_{O_2} (atm) in the gap. This is done by solving the following cubic equation that results from mass balance considerations for the H and O in the gas mixture before and after steam dissociation [44][45]:

$$4(P_{O_2})^3 + 4[P_{H_2}^0 - K_{H_2O}^2](P_{H_2})^2 + [(P_{O_2}^0)^2 + 4P_{H_2O}^0 K_{H_2O}^2]P_{O_2} - [(P_{H_2O}^0)^2 K_{H_2O}^2] = 0 \quad (\text{A.3})$$

625 with $P_{H_2O}^0$ and $P_{H_2}^0$ as the initial partial pressures of steam and hydrogen in the gap (atm). The equilibrium constant K_{H_2O} of the H_2O decomposition reaction ($\text{H}_2\text{O} = \text{H}_2 + \frac{1}{2} \text{O}_2$) is given by:

$$K_{H_2O} = \frac{P_{H_2} \sqrt{P_{O_2}}}{P_{H_2O}} = \exp\left(0.9794 \ln T - 1.1125 - \frac{28820}{T}\right) \quad (\text{A.4})$$

The equilibrium stoichiometry deviation x_e is then obtained by solving the following equation based on the Blackburn thermochemical model [46]:

$$\ln P_{O_2} - 2 \ln \frac{x_e(2 + x_e)}{1 - x_e} - 108x_e^2 + \frac{32700}{T} - 9.92 = 0 \quad (\text{A.5})$$

In the proposed application to the HBC-4 power ramp, the following partial pressures have been considered: $P_{H_2O}^0 = 155 \text{ atm}$, $P_{H_2}^0 = 0 \text{ atm}$.