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Plasma-wall interaction: comparison between kinetic simulations and fluid theory

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Core-edge-wall interaction influences tokamak confinement quality

 Microscale turbulence ⇒ cross-field transport ⇒ degrades the confinement unless a transport barrier exists

[Wagner1982]

• Turbulence regulated by ExB sheared flow at LCFS

core
$$E_r \propto \frac{\nabla P}{en}$$
 SOL $E_r \propto -\frac{\nabla T_e}{e}$

E_r **in SOL influenced by plasma-wall interaction** [Stangeby]

Goals : 1) study of kinetic plasma wall interaction
 2) implementation in GYSELA gyrokinetic code (WIP)







Plasma wall interaction results from absorbing wall and inertia difference between ions and electrons

[Stangeby 2000, ...Ghendrih 2011 and many more]

- Electrons: low inertia compared to ions
- Positively charged layer: Debye sheath (Poisson)



Main properties of sheath:

Repels slow electrons



 $\lambda_D \ll \rho_c$ turbulent scale \rightarrow sheath difficult to describe in turbulence code, GK quasineutrality ≠ Poisson eq. (no || Laplacian)

(few λ_{D})

Wall (dense, cold)

Fluid theory of plasma-wall interaction

2 Comparison with kinetic simulations

Simplified 1D geometry: single magnetic field line, no curvature



1D fluid model to describe plasma along a magnetic field line





Particle flux governed by the source Total pressure constant in quasineutral limit

Steady state eq.

$$abla_x \Gamma = S_n$$

 $abla_x \Pi = -e(n_i - n_e)
abla_x \phi$

$$\Gamma = \Gamma_e = \Gamma_i \qquad \qquad \Pi = \Pi_e + \Pi_i$$

Total pressure

• Quasineutral limit: $n_i - n_e pprox 0$

$$\Pi = \text{constant} \qquad \Gamma = \int_0^x ds \ S_n$$

- Rq: $\Pi \approx {
m cte.}$ at sheath entrance



Quasineutral plasma flow is necessarily subsonic



Supersonic transition driven by quasineutrality breakdown

$$A = \frac{4\sqrt{m_i T_s} \Gamma}{3 \left(\Pi + (n_i - n_e)T_e\right)} = \frac{\sqrt{8 \left(1 + M^2\right)}M}{(1 + 3M^2)}$$

- Outside source region $\Gamma = {
 m constant}$
- At the sheath entrance $n_i n_e > 0$
- $\partial_x \Pi \ll \partial_x \left\{ \left(n_e n_i \right) T_e \right\}$

Decrease of A driven by quasineutrality breakdown

- Scale separation sheath size << plasma size $\rightarrow M > 1$ in sheath



Sound speed critically depends on the chosen closure

• M=1 At sheath entrance $M=u_i/c_s$ c_s closure-dependent !

• Isothermal closure
$$T_a = cst$$
 $c_s = \sqrt{T/m_i} \longrightarrow \begin{cases} \text{Similar expression for } A_s \\ A = 2\sqrt{m_i T_0} \frac{\Gamma}{\Pi} = \frac{2M}{1+M^2} \end{cases}$
[Ghendrih2011]

• Maxwellian closure $Q_a^{\rm heat}=0$ $c_{s}=\sqrt{3\,T/m_{i}}$

• **Polytropic** closure
$$\frac{dp}{p} - \gamma_p \frac{dn}{n} = 0$$
 $c_s = \sqrt{\gamma_p p/m_i n}$

• **High order** linear closure
$$N_{\ell} = 0$$
 $c_s = \left(\ell \frac{N_{\ell-1}}{n}\right)^{1/(\ell-1)}$ with $N_{\ell} = \int dv \ (v-u)^{\ell} f$



Fluid theory of plasma-wall interaction

2 Comparison with kinetic simulations

Boltzmann-Poisson system 1D in space and 1D in velocity to study kinetic plasma wall interaction

Electrons & ions f distribution function

$$f_a(x, v_a, t)$$

mass ratio

$$A_a = \frac{m_e}{m_a}$$

Normalized Poisson eq.

$$\partial_x^2 \phi = -\rho$$
 $\rho = \sum_{\text{species}} Z_a n_a$
 $n_a = \int_{-\infty}^{+\infty} dv f_a$

 $\partial_t f_a + \sqrt{A_a} \left(v_a \partial_x f_a - Z_a \partial_x \phi \ \partial_{v_a} f_a \right) = \mathcal{C}(f_a) + \mathcal{S}(f_a)$

• Normalizations:

Normalized Boltzmann eq.

time
$$\omega_{pe_0} = \sqrt{\frac{\varepsilon_0 T_0}{n_0 e^2}}$$
 length $\lambda_{D_0} = \sqrt{\frac{\varepsilon_0 T_0}{n_0 e^2}}$ velocity $v_{T_{0a}} = \sqrt{\frac{T_0}{m_a}}$

Single species collisions \rightarrow Fokker-Planck operator Inter species collisions \rightarrow fluid-like momentum/energy exchange

$$Df_a/Dt = \mathcal{S}(f_a) + \mathcal{C}(f_a) \longrightarrow \mathcal{C}_{intra}(f_a) + \mathcal{C}_{inter}(f_a)$$
 [Dif-Pradalier2011, Estève 2015]

Intra species Fokker-Planck collision operator

$$C_{intra}(f_a) = \partial_v \left[D_v f_M \partial_v \left(\frac{f_a}{f_M} \right) \right]$$

 $D_v \propto 1/v^3$ Collisionality decreases with velocity

Inter species collision operator

$$\mathcal{C}_{\text{inter}}(f_a) = \frac{2\mathcal{Q}_{ab}}{n_a T_a} \left[\frac{m_a}{2T_a} \left(v_a - u_a \right)^2 - \frac{1}{2} \right] F_M + \frac{\mathcal{R}_{ab}}{n_a T_a} \left(v_a - u_a \right) F_M$$

 \mathcal{Q}_{ab} \mathcal{R}_{ab} Momentum & energy exchange terms



Wall = particle, momentum, energy sink immersed in plasma

$$Df_a/Dt = \mathcal{C}(f_a) + \mathcal{S}(f_a) \longrightarrow \mathcal{S}_{\rm src}(f_a) + S_{\rm sink}(f_a)$$



- Relaxation of f_a towards g in the wall

$$\mathcal{S}_{\text{sink}}(f_a) = -\nu \mathcal{M}_{w}(x) \left(f_a - g\right)$$

$$g(v) = \frac{n_{\rm w}}{\sqrt{2\pi T_{\rm w}}} e^{-\frac{v^2}{2T_{\rm w}}} \qquad \qquad n_{\rm w} \approx 10^{-9} \ll 1 \\ T_{\rm w} < T_{\rm src}$$

- Particles, momentum energy absorption in wall
- Wall immersed inside simulation domain
- No boundary condition @ plasma-wall transition
- Decorrelate sheath physics from numerical BC





Expected kinetic physics: ion accelerated towards the wall slow electrons reflected back to plasma

Acceleration of ions

Reflection of slow electrons



- Cut-off velocity between fast and slow electrons $v_{
m c}$ =

$$= \sqrt{\frac{2e \left|\Delta\phi_{\rm sh}\right|}{m_e}}$$

- Steady state at wall: $\Gamma_i = \Gamma_e$



Ion distribution function at sheath entrance: acceleration & no ions coming back from the wall



- Positive mean velocity (Bohm criterion ?)
- No ions coming back from wall

particle source & collisions Simulation f_i • 10^{0} -Ji 10^{-1} - 10^{-2} Source 10^{-3} term shape 10^{-4} 0 2 3 Λ

ν



 $[n_0 v_{T_0}^{-1}]$

Prediction for f_i 10⁻³



Competition between

Electron distribution function at sheath entrance: slow electrons reflected into plasma, fast ones absorbed

• **Prediction** for *f_e*



• Simulation f_e



 $2e \left| \Delta \phi_{\rm sh} \right|$

 m_e

 $v_{\rm c} =$

A parameter constant after source region \rightarrow fluid theory suggests subsonic regime

Maxwellian closure







Limit of fluid theory : Mach number cannot only depend on A



19/21

Non vanishing electron heat flux: $\mathbf{Q}_{\mathbf{e}}^{\mathbf{heat}} pprox \mathbf{Q}_{\mathbf{e}}/2$







Conclusion

- Comparison of kinetic simulation of plasma-wall interaction with fluid theory
- Trajectories of particles in plasma highlight kinetic sheath physics at play :
 - Acceleration of ions, reflection of slow electrons
 - Collisions 0
- Reduced fluid model fails to predict plasma behavior :
 - Sound speed critically depends on closure : Bohm's criterion is unoperational
 - Vanishing heat flux closure not relevant 0
 - Validity of **other closures can be questioned** : isothermal, zero collisional flux, high order 0 moments...
 - Sheath heat transmission factor in good agreement with predictions (6% difference)

[Munschy et al. 2023] Kinetic plasma-sheath self organization

Kinetic plasma-wall interaction using immersed [Munschy et al. 2023] boundary conditions





Sheath heat transmission factor: good agreement with predictions

- Γ and T_e measured experimentally (Langmuir probes)

$$Q=\gamma\Gamma T_e$$

 γ gives an estimation of deposited power on divertor plates

- Usual value
$$\gamma = \gamma_{\rm i}^{\rm isoth.} + \gamma_{\rm e} pprox 7.5.$$

Good agreement with kinetic code

 $\gamma_{\rm code} \approx 7.9$



Scale separation \Rightarrow supersonic transition at sheath entrance



Robust physics with respect to the choice of the penalization term

1. Constant coefficients : conducting wall

Charge conservation from Boltzmann eq.

 $\begin{array}{ccc} \partial_t \rho + \partial_x j_{\text{tot}} = & -\mathcal{M}_w \left\{ \nu_{\text{iw}} (n_{\text{i}} - n_w) \\ & & & \\ & & & \\ j_{\text{e}} + j_{\text{i}} \end{array} \right. \xrightarrow{} \mathcal{N}_{\text{ew}} (n_{\text{e}} - n_w) \\ \end{array} \xrightarrow{} \begin{array}{c} \rightarrow \text{ currents in} \\ & & \text{wall} \end{array}$

2. Adaptive coefficients : insulating wall

 $v_{iw} = constant$ $v_{ew}(x,t) = v_{iw} \frac{n_i - n_w}{n_e - n_w}$ Rhs. vanishing \rightarrow no net current in wall

 Robust physics : almost identical potential drop in the sheath in both cases : focus on the conducting case in the following



Distribution functions at sheath entrance



Shape resembles sum of two Maxwellian with different temperatures : collisions vs. injection of particles by the source

Sheath entrance definition



Collisions = detrapping mechanism trapped and passing

- Phase space characteristics for electrons in the whole plasma
- Particle source fraction injected in passing region
 : 1% for a typical value of sheath potential drop
- Without collisions trapped particles stay trapped while passing are absorbed in the wall
- Collisions : necessary transfer mechanism between trapped and passing, and necessary condition to reach steady state (the particle source must be compensated by the sink in the wall region)



Using Stangeby's prediction of the sheath potential drop to explain parametric dependencies



Correction terms for the potential drop prediction

Potential drop prediction [Stangeby]

$$\Delta \phi_{\rm sh}^{\rm pred.} = \frac{T_{\rm e}^{\rm sh}}{e} \log \left(\sqrt{2\pi \frac{m_{\rm e}}{m_{\rm i}} M^2 \left(1 + \frac{T_{\rm i}^{\rm sh}}{T_{\rm e}^{\rm sh}} \right)} \right)$$

Correction term 1:

• electron reemission from the wall due to finite penalization strength

$$\Gamma_{i}^{\rm sh} = \Gamma_{\rm e}^{+} + \Gamma_{\rm e}^{-} \leq \Gamma_{\rm e}^{+} \qquad \Gamma_{\rm e}^{-} = \int_{-\infty}^{-\nu_{\rm c}} d\nu \, \nu f_{\rm e}^{\rm sh}$$

Correction term 2:

• Effective temperature to match forward flux to predicted Maxwellian flux

$$\Gamma_{\rm e}^{+\,\rm pred} = \int_{v_c}^{+\infty} dv \, v \, {\rm maxw.} \, (T_{\rm e}^{\rm sh}) \neq \boxed{\Gamma_{\rm e}^+} \longrightarrow \int_{v_c}^{+\infty} dv \, v \, {\rm maxw.}$$

Corrected potential drop prediction



$$\int_{v_c}^{+\infty} dv \ v \text{ maxw.} \left(\widetilde{T}_e^{sh} \right) = \Gamma_e^+$$

$$\Delta \phi_{sh}^{pred.} = \frac{\widetilde{T}_{e}^{sh}}{e} \log \left(\sqrt{2\pi \frac{m_{e}}{m_{i}} M^{2} \left(1 + \frac{T_{i}^{sh}}{\widetilde{T}_{e}^{sh}}\right)} \left(1 + \frac{\Gamma_{e}^{-}}{\Gamma_{i}^{sh}}\right) \right)_{g/28} 2023}$$

Yann Munschy – EFTC 2023

Ions trajectories in phase space: acceleration & gap



Fast electrons overcome the sheath potential barrier



Ion-to-electron mass ratio dependency recovered

$$\Delta \phi_{\rm sh}^{\rm pred.} = \frac{T_{\rm e}^{\rm sh}}{e} \log \left(\sqrt{2\pi M^2 \left(1 + \frac{T_{\rm i}^{\rm sh}}{T_{\rm e}^{\rm sh}} \right)} \left(1 + \frac{\Gamma_{\rm e}^-}{\Gamma_{\rm i}^{\rm sh}} \right) \times \sqrt{\frac{m_{\rm e}}{m_{\rm i}}} \right)$$

Relation $\Delta \overline{\phi}_{sh} \propto \log\left(\frac{m_e}{m_i}\right)$ verified

Electron reemission from the wall explains the ۲ difference observed between theory and simulation



Electron reemission : finite steepness of penalization ٠ mask & absorption of electrons in wall not perfect

•
$$\Delta \bar{\phi}_{\rm sh}^{\rm pred.} = \frac{e\Delta \phi_{\rm sh}^{\rm pred.}}{T_{\rm e}^{\rm sh}} - \log(\Theta) = \frac{1}{2} \log\left(\frac{m_{\rm e}}{m_{\rm i}}\right)$$



Electron temperature dependency $\Delta \phi_{sh} \propto T_e^{sh}$ recovered

$$\Delta \phi_{\rm sh}^{\rm pred.} = \frac{T_{\rm e}^{\rm sh}}{e} \log \left(\sqrt{2\pi \frac{m_{\rm e}}{m_{\rm i}} M^2 \left(1 + \frac{T_{\rm i}^{\rm sh}}{T_{\rm e}^{\rm sh}}\right)} \left(1 + \frac{\Gamma_{\rm e}^-}{\Gamma_{\rm i}^{\rm sh}}\right) \right) \longrightarrow \frac{e \Delta \phi_{\rm sh}^{\rm pred.}}{\Lambda} = T_{\rm e}^{\rm sh}}{\Lambda}$$

Linear relation $\Delta \phi_{sh} \propto T_e^{sh}$ recovered

• The faster the electrons, the greater the potential drop





Existing solution for including sheath physics in GK codes

Logical sheath

- At a point of the simulation / plasma boundary
- Count the number of ions going out of the plasma
- Absorb an equal number of the fastest electrons
- Reflect the other electrons back into the plasma
- ightarrow Equality of ion and electron fluxes at plasma boundary
- → Equality of fluxes defines the electron threshold velocity

$$\int_0^\infty dv\, v f_\mathrm{i}(x,y,L_\parallel/2,v,\mu) = \int_{v_\mathrm{c}}^\infty dv\, v f_\mathrm{e}(x,y,L_\parallel/2,v,\mu).$$



Conducting sheath

• define electron threshold velocity is defined with the electric potential

$$\frac{1}{2}m_e v_c^2 = e\phi_{boundary}$$

- Absorb all outcoming ions, and electrons faster than v_c
- No direct constraint on electron and ion fluxes
- Idea : the potential at plasma boundary reacts to the current

1D1V code parallelized on GPU with non equidistant grid points to mesh Debye length

- Backward semi Lagrangian scheme for Boltzmann eq.
 - [Bourne2022] Cubic spline interpolation on **non equidistant mesh**
 - Test bench for C++ version of GYSELA (5D gyrokinetic code)
- GPU parallelization
- 1 simulation: ~20h on NVIDIA Tesla V100 (5120 CUDA cores)





Collisions

Intra species:
$$C_{aa}(f_a) = \partial_{v_a} \left[D_v f_{Ma} \partial_{v_a} \left(\frac{f_a}{f_{Ma}} \right) \right]$$
; $f_{Ma} = \frac{n_a}{\sqrt{2\pi T_{Ma}}} \exp \left\{ \frac{1}{2T_{Ma}} (v_a - u_{Ma})^2 \right\}$
[Dif-Pradalier2011]

Conservation of density:
$$\int dv \ C_{aa}(f_a) = 0$$

Conservation of momentum: $\int dv \ v_a C_{aa}(f_a) = 0$
Conservation of energy: $\int dv \ v_a C_{aa}(f_a) = 0$
Gives $T_{Ma}(x, t)$ and $u_{Ma}(x, t)$

Inter species:
$$C_{ab}(f_a) = \frac{2Q_{ab}^M}{n_a T_a} \left[\frac{m_a}{2T_a} (v_a - V_a)^2 - \frac{1}{2} \right] F_{Ma} + \frac{R_{ab}^M}{n_a T_a} (v_a - V_a) F_{Ma}$$

[Esteve2015]
 $R_{ab}^M = -n_a v_{ab} \left(u_a - \sqrt{\frac{A_b}{A_a}} u_b \right)$ friction force (momentum transfer)
 $Q_{ab}^M = -3n_a \frac{A_b}{A_b + A_a} v_{ab} (T_a - T_b) - u_a R_{ab}^M$ energy transfer



Prediction for potential drop in the sheath region





Breakdown of electron flux at sheath entrance



Numerical parameters for reference simulation

	TABLE I. Refe	rence simula	tion parameters.	
L_x	L_{\parallel}	$d_{ m w}$	$n_{ m w}$	ν_0^\star

700	406	0.2	10^{-9}	0.1



Code verification : fluid conservation equations



$$\partial_t n_a + \sqrt{A_a} \partial_x (n_a u_a) = S_{na}, \qquad (B1)$$

$$n_a (\partial_t u_a + \sqrt{A_a} u_a \partial_x u_a) + \sqrt{A_a} \partial_x (n_a T_a) \qquad (B2)$$

$$= n_a Z_a E + S_{ua} + \mathcal{R}^M_{ab}, \qquad (B3)$$

$$\partial_t (n_a T_a) + \sqrt{A_a} u_a \partial_x (n_a T_a) \qquad (B3)$$

$$+ \sqrt{A_a} (\partial_x Q_a + 3n_a T_a \partial_x u_a)$$

$$= S_{ha} + 2\mathcal{Q}^M_{ab}, \qquad (B3)$$

Effect of collisions on the distribution function shape

