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Y. Idomura, G. Dif-Pradalier, X. Garbet, Y. Sarazin. Full- f gyrokinetic simulations of Ohmic Lmode plasmas in linear and saturated Ohmic confinement regimes. Physics of Plasmas, 2023, 30 (4), pp.042508. 10.1063/5.0127346 . cea-04264780

## HAL Id: cea-04264780 https://cea.hal.science/cea-04264780v1

Submitted on 30 Oct 2023

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# full-f gyrokinetic simulations of ohmic L-mode plasmas in linear and saturated ohmic confinement regimes

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7 (Dated: 7 March 2023)

Two time slices in linear and saturated ohmic confinement (LOC and SOC) regimes in a Tore Supra ohmic L-mode 8 discharge are analyzed using nonlinear global full-f gyrokinetic simulations, and qualitative features of the LOC-SOC 9 transition are reproduced. The exhaust of carbon impurity ions is caused by ion mixing, which is driven by the toroidal 10 field stress. The intrinsic rotation develops in the opposite direction between the LOC and SOC phases, which is 11 characterized by the different features of the mode asymmetry between trapped electron modes (TEMs) in the LOC 12 phase and ion temperature gradient driven modes in the SOC phase, leading to the change of the profile shear stress. 13 Here, the mode asymmetry or the ballooning angle depends not only on the profile shear and the  $E_r$  shear but also on 14 the radial electric field  $E_r$  itself. The energy fluxes of electrons and deuterium ions are dominant in the LOC and SOC 15 phases, respectively, and the ratio of the energy confinement time between two phases agree with the experimental 16 value. Turbulent frequency spectra are characterized by quasi-coherent modes (QCMs) and broad-band spectra in the 17 LOC and SOC phases, respectively. The QCMs are produced by a split of the toroidal mode number spectra of TEMs 18 induced by the Doppler shift of poloidal  $E \times B$  rotation due to  $E_r$ , which is sustained in the electron diamagnetic 19 direction by the ripple induced counter-current rotation, and enhanced by higher temperature in the LOC phase. 20

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## 21 I. INTRODUCTION

Ohmic L-mode discharges universally show a transition of 53 22 the global energy confinement from the linear Ohmic con-54 23 finement (LOC) regime, where the energy confinement time 55 24  $\tau_E$  increases linearly with a density ramp, to the saturated <sup>56</sup> 25 Ohmic confinement (SOC) regime, where  $\tau_E$  is saturated be-57 26 yond certain critical density. This phenomenon is called as the 58 27 LOC-SOC transition, and has been one of the long-standing 59 28 issues in turbulent transport in magnetic confinement fusion 60 29 devices<sup>1,2</sup>. The LOC-SOC transition is important not only for <sup>61</sup> 30 the energy confinement but also for particle and momentum 62 31 transport. During the LOC-SOC transition, the exhaust of im-63 32 purity ions was observed in several devices<sup>3,4</sup>, and the rever-<sup>64</sup> 33 sal or change of intrinsic rotation was universally observed in 65 34 many devices<sup>2</sup>. Since the control of impurity ions and intrin-<sup>66</sup> 35 sic rotation is one of the critical issues in ITER, understanding 67 36 of the LOC-SOC transition is important. The LOC-SOC tran-68 37 sition is an interesting phenomenon also from the viewpoint of 69 38 transport physics. Detailed turbulence measurements revealed 70 39 the change of turbulent spectra, which are characterized by<sup>71</sup> 40 the so-called quasi-coherent modes (QCMs) and broad-band 72 41 spectra in the LOC and SOC regimes, respectively<sup>5-7</sup>. Mod-<sup>73</sup> 42 ulation experiments with edge cold pulses showed nonlocal 74 43 transport in the LOC regime, while this feature disappeared in 75 44 the SOC regime<sup>4</sup>. 45 The LOC-SOC transition has been analyzed based on 77 46

the LOC-SOC transition has been analyzed based on <sup>78</sup>
 theory of micro-instabilities<sup>3,8</sup>, linear gyrokinetic calcula-<sup>78</sup>
 tions<sup>6,9–18</sup>, nonlinear gyrokinetic simulations<sup>19–26</sup>, and trans-<sup>79</sup>
 port models<sup>21,22,27</sup>. Many of these theoretical analyses<sup>80</sup>
 showed transition of linearly most unstable modes from<sup>81</sup>

trapped electron modes (TEMs) to ion temperature gradient driven modes (ITGs), while the importance of a mixed mode state including subdominant modes was pointed out in Ref.18. Here, the density ramp increases a collisional stabilization effect of TEMs, while the exhaust of impurity ions reduces a dilution stabilization effect of ITGs. Gyrokinetic simulations of ITGs and TEMs were validated against fluctuation measurements. In Ref.21, the LOC-SOC transition on Alcator C-Mod was analyzed using nonlinear global  $\delta f$  gyrokinetic simulations, and turbulent spectra obtained from the phase contrast imaging in the experiment and from its synthetic diagnostics in the simulation showed qualitative agreements. In Refs.23 and 28, the LOC-SOC transition on Tore Supra was analyzed using nonlinear local  $\delta f$  gyrokinetic simulations, and turbulent spectra obtained from the reflectometry measurement in the experiment and its synthetic diagnostics in the simulation showed QCMs and broad-band spectra in the LOC and SOC regimes, respectively. Regarding turbulent transport, many works analyzed heat transport, while understanding of particle and momentum transport has not been matured. On Tore Supra, carbon impurity content in the LOC regime is at a non-tracer level, and the mechanism of the exhaust of carbon impurity ions, which is linked with transport of electrons and deuterium ions through the ambipolar condition, is still an open issue. A study on momentum transport leading to the change of intrinsic rotation is very limited, because nondiffusive momentum transport is induced by various symmetry breaking effects, which are not determined within local gyrokinetic models. The Coriolis pinch effect and the residual stress effect, in which the profile shear was prescribed, were examined against the ASDEX Upgrade (AUG) experiment using local gyrokinetic calculations, and the residual stress due to the shear of radial electric field  $E_r$ , which is determined by neoclassical poloidal rotation, and the profile shear was shown to be important<sup>20</sup>. On the other hand, in Ref. 24-26,

<sup>&</sup>lt;sup>a)</sup>Y. Peysson and Tore Supra Team, Nuclear Fusion 41, 1703 (2001).

1 duced based on the balance between the diffusive momentum 2 flux and the residual stress computed using nonlinear global з  $\delta f$  gyrokinetic simulations with zero rotation. Here, the mo-4 mentum diffusivity is estimated using the heat diffusivity and 5 a given Prandtl number, and the turbulent residual stress was 6 correlated with the shear of turbulent zonal flows and the tur-7 bulent intensity gradient. In Ref. 29, intrinsic rotation profiles 8 in the AUG experiment were analyzed using nonlinear global 9  $\delta f$  gyrokinetic simulations, where rotation and density pro-10 files are evolved, and it was shown that intrinsic flow gradi-11 ents at experimental levels were formed mainly by the profile 12 shear stress. Therefore, theoretical understanding of momen-13 tum transport is not converged, and further global simulations 14 are needed. Finally, in spite of the development of gyroki-15 netic modeling and theory, any single model cannot capture 16 all aspects of the above complicated physics in the LOC-SOC 17 transition in a self-consistent manner. 18

To address this challenging issue, we need global full-f gy-19 rokinetic models, which compute both plasma turbulence and 20 plasma profiles in a self-consistent manner including multi-21 ple transport channels induced by collisional and turbulent 22 transport. This capability enables us to study the following ef-23 fects, which are important for understanding transport physics 59 24 in the LOC-SOC transition. Firstly, the formation of intrin-25 sic rotation is directly computed under the strict toroidal an-26 gular momentum conservation<sup>30–32</sup>, in which in addition to  $\frac{1}{62}$ 27 the turbulent Reynolds stress, other mechanisms such as the 28 neoclassical Reynolds stress, the toroidal field stress, and the 29  $J \times B$  torque make significant contributions. Also, various 65 30 symmetry breaking mechanisms such as the shear of the ra-31 dial electric field  $E_r$  and zonal flows, the profile shear, and  $\epsilon_r$ 32 the turbulent intensity gradient are involved consistently, and 33 their relative importance can be examined. Secondly,  $E_r$  is  $_{69}$ 34 determined self-consistently, and the resulting effects such as 70 35 the Doppler shift of turbulent spectra, turbulence suppression 71 36 by the  $E_r$  shear, and the residual stress induced by  $E_r$  and  $E_{r_{72}}$ 37 shear are analyzed in a straightforward manner. Thirdly, self-38 consistent interaction of multiple transport channels gives the 39 following effects. The collisional ion-electron coupling de-40 termine the ion heating condition in Ohmic L-mode plasmas. 41 Particle fluxes of electrons and multi-species ions satisfy the 42 ambipolar condition, which is important in analyzing impu-43 rity transport at a non-tracer level. Particle and momentum 44 transport channels are coupled through the toroidal angular 800 45 momentum conservation. It is noted that in general, global  $\delta f$ 46 gyrokinetic models or gradient driven simulations involve ar-47 tificial source/sink terms everywhere in a plasma to fix plasma 48 profiles, and neoclassical physics is excluded. Therefore, im-81 49 portant conservation properties such as the toroidal angular 50 momentum conservation and the ambipolar condition are not 82 51 guaranteed or at least modified, which make study of the 83 52 above effects difficult. In addition, former works on compar- 84 53 isons of flux driven global full-f gyrokinetic simulations and  $\mathbf{s}_{\mathbf{5}}$ 54 gradient driven global  $\delta f$  gyrokinetic simulations showed sig- 86 55 nificantly different properties in avalanche like nonlocal trans- 87 56 port, zonal flows, and staircase structures<sup>33,34</sup>. These motivate **\*\*** 57 us to address the LOC-SOC transition via flux driven global 89 58



FIG. 1. Evolution of stored thermal energy  $W_{th}$ , line averaged density  $\bar{n}$ , and effective charge number  $Z_{eff}$  in Tore Supra ohmic L-mode discharge 48102.  $t_1 \sim 3.1$ s is in a LOC phase, and  $t_2 \sim 6.1$ s is in a SOC phase. Reproduced with permission from Plasma Phys. Control. Fusion 59, 064010 (2017)<sup>23</sup>. Copyright 2017 IOP Publishing.

full-f gyrokinetic simulations.

In this study, we address the LOC-SOC transition on Tore Supra using the Gyrokinetic Toroidal 5D full-f Eulerian code  $GT5D^{35-37}$ , which computes an electrostatic global full-f model in multi-species plasmas with kinetic electrons. The conditions of numerical experiments are based on Tore Supra ohmic L-mode discharge 48102, which were already analyzed in detail based on nonlinear local  $\delta f$  gyrokinetic simulations<sup>23</sup>. The latter work showed validation studies on heat transport and turbulent spectra, while momentum transport and impurity transport have not been analyzed yet. In this work, we address all transport channels and the corresponding turbulent spectra with a single global full-f gyrokinetic model in a self-consistent manner.

The remainder of the paper is organized as follows. In Sec.II, the experimental data, calculation models, and simulation parameters are explained. In Sec.III, the validity of simulation parameters with scaled plasma sizes is discussed. In Sec.IV, numerical experiments in the LOC and SOC phases are presented, in which transport properties of particle, momentum, and energy transport are discussed, and turbulent spectra are analyzed. Finally, a summary is given in Sec.V.

#### CALCULATION MODEL П.

In this work, we analyze Tore Supra ohmic L-mode discharge 48102, which was discussed in detail in Refs.6, 14, 23, and 28. As shown in Figs.1 and 2, the discharge is characterized by a density ramp, leading to transition from the LOC regime to the SOC regime. In the LOC-SOC transition, the trend of the energy confinement time is changed from the linear increase proportional to the electron density to the saturation, the effective charge number  $Z_{eff}$  is decreased by ex-



FIG. 2. (a) electron density, (b) electron temperature, (c) ion temperature, and (d) toroidal rotation profiles of carbon impurity ions at five time slices in Tore Supra ohmic L-mode discharge 48102. Reproduced with permission from Plasma Phys. Control. Fusion 57, 035002 (2015)<sup>14</sup>. Copyright 2015 IOP Publishing.

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1 hausting carbon impurity ions, and the relative intrinsic rota-16

<sup>2</sup> tion direction with respect to the edge rotation velocity is re- 17

<sup>3</sup> versed (see Fig.2(d)). We focus on two time slices in the LOC 18

and SOC regimes,  $t_1 \sim 3.1$ s and  $t_2 \sim 6.1$ s, which were ana-19 i

5 lyzed in Ref.23. In the latter work, it was shown that the LOC 20

6 and SOC phases are respectively dominated by TEM and ITG, 21

7 and the electron temperature gradient driven mode is unstable 22

<sup>8</sup> only in the SOC phase.

Numerical experiments are conducted using GT5D, which <sup>24</sup> computes electrostatic ion scale turbulence driven by ITG and <sup>25</sup> TEM. In the gyro-center coordinates,  $\mathbf{Z} = (t; \mathbf{R}, v_{\parallel}, \mu, \alpha)$ , a <sup>26</sup> conservative form of the electrostatic gyrokinetic equation is <sup>27</sup> commonly used for all particle species including electron, <sup>28</sup>

$$\frac{\partial \mathscr{J}_{s}f_{s}}{\partial t} + \nabla \cdot (\mathscr{J}_{s}\dot{\mathbf{R}}f_{s}) + \frac{\partial}{\partial v_{\parallel}}(\mathscr{J}_{s}\dot{v}_{\parallel}f_{s})$$

$$= \mathscr{J}_{s} \sum_{s'} C(f_{s}, f_{s'}) + \mathscr{J}_{s} S_{src,s} + \mathscr{J}_{s} S_{snk,s}, \qquad (1)$$

$$\dot{\mathbf{R}} = v_{\parallel} \mathbf{b} + \mathbf{v}_E + \mathbf{v}_D, \tag{2}$$

$$\mathbf{v}_E = \frac{c}{q_s B_{\parallel}^*} \mathbf{b} \times (q_s \nabla \langle \phi \rangle_{\alpha}), \qquad (3)$$

$$\mathbf{v}_D = \frac{c}{q_s B_{\parallel}^*} \mathbf{b} \times \left( m_s v_{\parallel}^2 \mathbf{b} \cdot \nabla \mathbf{b} + \mu \nabla B \right), \tag{4}$$

$$\dot{v_{\parallel}} = -\frac{\mathbf{B}^*}{m_s B_{\parallel}^*} \cdot \left(q_s \nabla \langle \phi \rangle_{\alpha} + \mu \nabla B\right) \tag{5}$$

where  $f_s$  denotes the guiding-center distribution function,  $\mathcal{J}_s = m_s^2 B_{\parallel}^*$  is the Jacobian of the gyro-center coordinates,  $C(f_s, f_{s'})$  is a multi-species Coulomb collision operator<sup>38</sup>,  $S_{src,s}$  and  $S_{snk,s}$  are respectively the source and sink terms, **R** is the position of the guiding center, **v** is the velocity of the guiding center,  $v_{\parallel} = \mathbf{b} \cdot \mathbf{v}$  and  $v_{\perp} = |\mathbf{b} \times \mathbf{v}|$  are respectively the velocities in the parallel and perpendicular directions to the magnetic field,  $\mu = m_s v_{\perp}^2 / 2B$  is the magnetic moment,  $\alpha$  is the gyro-phase angle,  $\mathbf{B} = B\mathbf{b}$  is the magnetic field, **b** is the unit vector in the parallel direction,  $m_s$  and  $q_s$  are respectively the mass and charge of the particle species s, c is the velocity of light,  $\Omega_s = q_s B/m_s c$  is the cyclotron frequency,  $B_{\parallel}^* = \mathbf{b} \cdot \mathbf{B}^*$  is a parallel component of  $\mathbf{B}^* = \mathbf{B} + (Bv_{\parallel}/\Omega_s)\nabla \times \mathbf{b}$ ,  $\phi$  is the electrostatic potential of turbulent fields, and the gyro-averaging operator is defined as  $\langle \cdot \rangle_{\alpha} \equiv \oint \cdot d\alpha/2\pi$ .

The electrostatic potential is determined using the hybrid kinetic electron model<sup>37</sup>, where the gyrokinetic Poisson equation is modified as

$$-\sum_{s} \nabla_{\perp} \cdot \frac{\rho_{ls}^{2}}{\lambda_{Ds}^{2}} \nabla_{\perp} \phi_{n\neq0} + \frac{\alpha_{p}}{\lambda_{De}^{2}} \phi_{n\neq0}$$
  
$$= 4\pi \left[ \sum_{s\neq e} q_{s} \int f_{s,n\neq0} \delta([\mathbf{R}+\rho] - \mathbf{x}) d^{6}Z + q_{e} \int f_{e,t,n\neq0} \delta([\mathbf{R}+\rho] - \mathbf{x}) d^{6}Z \right], \qquad (6)$$

$$-\sum_{s} \nabla_{\perp} \cdot \frac{\rho_{ts}^{2}}{\lambda_{Ds}^{2}} \nabla_{\perp} \phi_{n=0}$$
  
=  $4\pi \sum_{s} q_{s} \int f_{s,n=0} \delta([\mathbf{R}+\rho]-\mathbf{x}) d^{6}Z.$  (7)

- <sup>1</sup> Here,  $\mathbf{R} + \rho$  is the particle position,  $\rho = \mathbf{b} \times \mathbf{v} / \Omega_s$  is the Lar-
- <sup>2</sup> mor radius,  $d^{6}Z = m_{s}^{2}B_{\parallel}^{*}d\mathbf{R}dv_{\parallel}d\mu d\alpha$  is the phase space vol-
- <sup>3</sup> ume of the gyro-center coordinates,  $\rho_{ts} = v_{ts}/\Omega_s$  is the Larmor <sup>4</sup> radius evaluated with the thermal velocity  $v_{ts} = (T_s/m_s)^{1/2}$ ,
- $\lambda_{Ds} = (T_s/4\pi n_s q_s^2)^{1/2}$  is the Debye length,  $n_s$  is the density,
- $T_s$  is the temperature, *n* is the toroidal mode number,  $f_{e,t}$  is
- $\tau$  a trapped part of the electron distribution function, and  $\alpha_p$  is
- \* the flux-surface averaged fraction of passing electrons. The
- gyrokinetic Poisson equation is decomposed into  $n \neq 0$  and 10 n = 0 parts. The former is computed using adiabatic passing 11 electrons and kinetic trapped electrons to avoid the so-called 12  $\Omega_H \mod^{39}$ . Here, a full kinetic electron distribution  $f_e$  is 13 computed by Eq. (1), and in Eq. (6), its trapped part  $f_{e,t}$  is 14 extracted following a trapped-passing boundary at each posi-
- tion. The latter is solved using full kinetic electrons to satisfy the ambipolar condition, while  $\phi_{n=0,m\neq0}$  convective cells are
- <sup>17</sup> filtered out from the solution to avoid the  $\Omega_H$  mode, where *m* is the related wave and a number. In the line of the relation
- is the poloidal mode number. In the l.h.s., a linear polarization density with a long wavelength approximation,  $k_{\perp}^2 \rho_{ts}^2 \ll 1$
- is considered, which is valid for ion scale turbulence. The <sup>40</sup>
   gyrokinetic Poisson operators including the ion polarization <sup>41</sup>
   density and the adiabatic passing electron density are defined <sup>42</sup>
   using the initial density and temperature. <sup>43</sup>
- The above full-*f* gyrokinetic model yields the following two balance relations. By taking the time derivative and the flux-surface average of Eq. (7) and substituting Eq. (1), the ambipolar condition is derived as

$$-\sum_{s} \frac{\rho_{ts}^{2}}{\lambda_{Ds}^{2}} \frac{\partial E_{r}}{\partial t} = 4\pi \sum_{s} q_{s} \left\langle f_{s}(\dot{\mathbf{R}} \cdot \nabla r) - S_{src,s} - S_{snk,s} \right\rangle_{gf}, (8)$$

where  $E_r$  is the radial electric field and the gyro/flux-surface verage operator is defined as,

$$\langle A \rangle_{gf} = \left\langle \int A(\mathbf{Z}) \delta(\mathbf{R} + \boldsymbol{\rho} - \mathbf{x}) d^{6} Z \right\rangle_{f},$$
 (9)

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and  $\langle \cdot \rangle_f$  is the flux-surface average operator. From the gyroki-58 netic equation and the Hamilton's equation for the canonical 59 toroidal angular momentum, the toroidal angular momentum 60 balance<sup>32</sup> is given as

$$\left\langle \frac{\partial m_{s} v_{\parallel} b_{\varphi} f_{s}}{\partial t} \right\rangle_{gf} + \left\langle \frac{1}{\mathscr{I}} \frac{\partial}{\partial \mathbf{R}} \cdot \left( \mathscr{J} \dot{\mathbf{R}} m_{s} v_{\parallel} b_{\varphi} f_{s} \right) \right\rangle_{gf}^{62}$$

$$- \left\langle \frac{q_{s}}{c} f_{s} \dot{\mathbf{R}} \cdot \nabla \psi \right\rangle_{gf} + \left\langle f_{s} \frac{\partial \langle \phi \rangle_{\alpha}}{\partial \varphi} \right\rangle_{gf}^{65}$$

$$- \left\langle m_{s} v_{\parallel} b_{\varphi} \sum_{s'} C(f_{s}, f_{s'}) \right\rangle_{gf}^{66}$$

$$- \left\langle m_{s} v_{\parallel} b_{\varphi} (S_{src,s} + S_{snk,s}) \right\rangle_{gf} = 0, \qquad (10)$$

where  $\psi$  is the poloidal flux,  $\varphi$  is the toroidal angle, and  $b_{\varphi}$  is 73 the covariant toroidal component of **b**. Here, the first term is 74 the inertial term, the second term is the stress term, the third 75 term is the radial current term, the fourth term is the toroidal 76 field stress term, the fifth term is the collision term, and the 77 sixth term is the source term. In the stress term, **R** involve 78



FIG. 3. Radial profiles of the ohmic heating and the radiation loss at t = 3.1.

both the magnetic drift  $\mathbf{v}_D$  and the  $E \times B$  drift  $\mathbf{v}_E$ , which induce the neoclassical and turbulent Reynolds stress, respectively. Although the neoclassical Reynolds stress is negligible in the axisymmetric limit, it is greatly enhanced in the presence of non-axisymmetric turbulent fluctuations. The radial current term gives the  $J \times B$  torque, when summed over all species. This term becomes important in the presence of finite particle transport. The toroidal field stress term is determined by the phase shift between the perturbed density and fluctuating toroidal electric fields, and is also interpreted as the polarization stress<sup>40</sup> or the generalized Maxwell stress<sup>31</sup>. The code has been verified through cross-code comparisons<sup>35,41,42</sup>, neoclassical transport<sup>43,44</sup>, convergence of  $E_r$  up to third order gyrokinetics<sup>32</sup>, and multi-species plasma transport<sup>38</sup>.

In the numerical experiment, we consider deuterium (D) ions, carbon (C) impurity ions, and kinetic electrons with  $m_D/m_e = 3,672$  in a circular concentric tokamak configuration, which is a good approximation for low  $\beta$  plasmas on Tore Supra. The device parameters are B = 3.7T,  $R_0 = 2.38m$ , a = 0.7m,  $q = 0.76 \sim 4.6$ , and  $P_{joule} \sim 600$ kW. The nominal plasma parameters at r/a = 0.5 in the LOC phase are  $n_e \sim 1.88 \times 10^{19} \mathrm{m}^{-3}$ ,  $T_e = 1.54 \mathrm{keV}$ ,  $T_D = 1.04 \mathrm{keV}$ ,  $Z_{eff} \sim$ 3.06,  $v_e^* \sim 0.022$ , and  $\rho^{*-1} \sim 563$ . On the other hand, those in the SOC phase are  $n_e \sim 3.49 \times 10^{19} \text{m}^{-3}$ ,  $T_e = 0.93 \text{keV}$ ,  $T_D = 0.73$ keV,  $Z_{eff} \sim 1.36$ ,  $v_e^* \sim 0.164$ , and  $\rho^{*-1} \sim 672$ . Here, B is the toroidal magnetic field,  $R_0$  and a are the major and minor radii, r is the radial coordinate, q is the safety factor,  $P_{joule}$  is the ohmic heating power,  $v_e^* \equiv qR_0/(\varepsilon^{3/2}v_{te}\tau_{e,D})$ is the normalized electron collisionality,  $\varepsilon = r/R_0$ ,  $\tau_{s,s'}$  is the collision time between the species s and s', and  $\rho^* = \rho_{tD}/a$ .

Numerical experiments are conducted for  $0 \le r \le a_0$  to avoid extremely low temperature below 100 eV, where  $a_0 =$ 0.9*a*. The boundary conditions of density, rotation, and temperature are given based on the experimental values at  $r = a_0$ , where rotation and temperature are assumed to be the same between D and C. In the initial condition, density and temperature profiles are given by the experimental data, while rotation profiles are set as rigid rotation with the edge rotation velocity, which is determined mainly by the neoclassical 1 toroidal viscosity induced by the toroidal ripple, and is almost

<sup>2</sup> unchanged through the LOC-SOC transition (see Fig.2(d)).

<sup>3</sup> Therefore, the edge rotation velocity is given by an approx-

<sup>4</sup> imate average value over the LOC-SOC transition. By using

<sup>5</sup> the same initial rotation profiles and boundary conditions, we

• compare the formation of intrinsic rotation between the LOC

7 and SOC phases.

According to transport analysis, the radial deposition profiles of the ohmic heating and the radiation loss are given as a Fig.3, where the radiation loss makes a dominant contribution 10 to the energy loss ( $\sim 75\%$  and  $\sim 50\%$  in the LOC and SOC 11 phases, respectively). In this work, the time scale of numer-12 ical experiment  $\sim 10$ ms is significantly shorter than the time 13 scales of the current diffusion and the particle confinement. 14 Therefore, we do not compute the inductive toroidal electric 15 field, and the resulting ohmic heating and Ware pinch. The 16 ohmic heating is simply modelled as an on-axis electron heat-17 ing without particle and momentum inputs using a fixed heat 18 source model,  $S_{src,s} = v_{src,s}A_{src,s}(r)(f_{M1} - f_{M2})$ , where  $A_{src,s}$ 19 is the deposition profile,  $f_{M1}$ ,  $f_{M2}$  are local Maxwellian distri-20 butions with different temperatures, and the heating rate  $v_{src,s}$ 21 is determined to satisfy the target power input  $P_{in}$ . As the elec-22 tron collision time is sufficiently small  $\tau_{ee} \sim 10R_0/v_0$ , veloc-23 ity space perturbations due to the source term is expected to be 24 quickly thermalized, leading to velocity distribution functions 25 relevant for ohmic heating plasmas. 26

The sink model is somewhat complicated. The heat sink is 27 given by the radiation loss for r/a > 0.7 or  $r/a_0 > 0.8$ . The 28 edge rotation velocity is fixed by the momentum sink due to 29 the neoclassical toroidal viscosity induced by the toroidal rip-30 ple. However, the momentum and particle sink due to neutral 31 particles is uncertain, because the detailed distribution of neu-32 tral particles was not obtained. In order to model these prop-33 erties, we implement two sink models. One is a Krook type 34 sink operator  $S_{snk1,s} = v_{snk1,s}A_{snk1,s}(r)(f_s - f_{0s})$ , which gives 35 an effective boundary condition by keeping plasma parame-36 ters at the boundary on average. Here,  $f_{0s}$  is the initial dis-37 tribution function, the sink rate is given as  $v_{snk1.s} = 0.1v_0/a$ , 38 the reference velocity  $v_0$  is given by the thermal velocity of 59 39 D ions at 1keV, and the deposition profile  $A_{snk1,s}$  is localized 60 40 for  $r/a_0 > 0.95$ . It is noted that the above sink rate is chosen 61 41 based on the sensitivity study in Ref. 45. Another is an ax-62 42 isymmetric variant of the Krook type sink operator  $S_{snk2,s} = 63$ 43  $v_{snk2,s}A_{snk2,s}(r)(f_{s,n=0}-f_{0s})$ , where  $v_{snk2,s}=0.01v_0/a$  and 64 44  $A_{snk1,s}$  is distributed over  $r/a_0 > 0.8$  following the radiation <sub>65</sub> 45 loss profile in Fig.3. The latter model modifies only an ax-46 isymmetric part, and a turbulent part is not affected. In addi-47 tion, the sink parameter is chosen so that  $v_{snk2,s}$  is sufficiently 68 48 smaller than the linear growth rates of TEM and ITG, while 69 49 the sink effect is large enough to avoid deviations of density  $_{70}$ 50 and temperature profiles from the experimental ones by accu-71 51 mulation of particles and energy induced by plasma transport. 72 52 These features are important as a sink model distributed over<sub>73</sub> 53 turbulent regions. It is noted that this model also works as a 74 54 particle source in the outer radii. However,  $v_{snk2.s}$  is too weak <sub>75</sub> 55 to fix rotation profiles to the initial condition, and rotation pro-76 56 files freely evolve in this region. 57 77 In the numerical experiment, in order to save the com-78 58



FIG. 4. (a) frequency  $\omega$  and (b) growth rate  $\gamma$  of TEM and ITG at each time slice in the cases with  $(n, \rho^*, v_e^*) = (50, \rho_0^*, v_{e0}^*)$ ,  $(25, 2\rho_0^*, v_{e0}^*)$ , and  $(25, 2\rho_0^*, v_{e0}^*/2)$ .  $\omega < 0$  is the direction of the electron diamagnetic rotation.



FIG. 5. Linear eigenfunctions n = 25 modes at (a) LOC and (b) SOC phases in the case with  $\rho^* = 2\rho_0^*$  and  $v_e^* = v_{e0}^*$ .

putational cost, the plasma size is scaled by a half, and a 1/6 wedge of the torus is computed using 5D grids  $(N_R, N_{\zeta}, N_Z, N_{\nu\parallel}, N_{\nu\perp}) = (240, 48, 240, 96, 24)$ . The 1/6 wedge torus model is chosen based on the convergence studies in Ref. 46 and in Appendix A. Here, the computational domain in the velocity space is chosen as  $-4v_{ts,r=0} \le v_{\parallel} \le 4v_{ts,r=0}$ and  $0 \le \sqrt{2\mu B/m_s} \le 3v_{ts,r=0}$ , where  $v_{ts,r=0}$  is the thermal velocity at r = 0. It is noted that the contrast of temperature is  $T_e(r=0)/T_e(r=a_0) \sim 10$  and  $T_D(r=0)/T_D(r=a_0) \sim 5$ , and the velocity space normalized by the thermal velocity at  $r = a_0$  is expanded by  $\sim 3$  times and  $\sim 2$  times, respectively. The velocity grids are chosen to keep sufficient resolution from the core to the edge. GT5D was highly optimized on Fugaku, where a new mixed-precision communicationavoiding Krylov solver enabled efficient computation of kinetic electrons with the real mass ratio<sup>47</sup>. Even with the reduced simulation parameters and the optimization techniques, numerical experiments require costly computation, and a single numerical experiment typically for  $\sim 1,000R/v_{tD}$  required  $\sim 1.5 \times 10^5$  CPU hours on Fugaku<sup>48</sup>.



FIG. 6. (a) time history of the stored energy  $W_t$  and temperature profiles at  $tv_0/R = 800$  in (b) LOC and (c) SOC phases in the cases with  $(\rho^*, P_{in}) = (2\rho_0^*, P_0/2)$  and  $(2\rho_0^*, P_0)$ , respectively. In (b) and (c), the temperature is normalized by  $T_0 = 1$  keV and dashed and dotted black curves show the initial condition given by the experimental data.



FIG. 7. (a) time history of the stored energy  $W_t$  and temperature profiles at  $tv_0/R = 800$  in (b) LOC and (c) SOC phases in the cases with  $(\rho^*, P_{in}) = (3\rho_0^*, P_0/3)$  and  $(3\rho_0^*, P_0)$ , respectively. In (b) and (c), dashed and dotted black curves show the initial condition given by the experimental data.

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#### 1 III. VALIDITY OF SCALED PLASMA SIZE

The scaling of the plasma size affects two important non-25 2 dimensional parameters,  $\rho^*$  and  $v_e^*$ . In this section, we discuss 26 3 their influences on numerical experiments. Figure 4 shows 27 4 the frequency and growth rate of n = 50 and n = 25 modes 28 5 at each time slice in the cases with  $\rho^* = \rho_0^*$  and  $\rho^* = 2\rho_0^*$ , 29 6 respectively, where  $\rho_0^*$  is the experimental value. As shown in 30 7 Ref.23, the most unstable modes in the LOC and SOC phases 31 8 are given by TEM and ITG, respectively. The latter work also 32 a showed that in the LOC phase, ITG is stabilized by a dilu-33 10 tion effect due to high  $Z_{eff}$ , while in the SOC phase, TEM 34 11 is stabilized by high  $v_e^*$  due to the density ramp. It is noted 35 12 that in the latter work, local gyrokinetic analyses were con-36 13 ducted at r/a = 0.37. However, in Fig.5, global eigenfunc- 37 14 tions of both TEM and ITG are peaked in the outer radii. In 38 15 the experimental parameters,  $\rho^* = \rho_0^*$  and  $v_e^* = v_{e0}^*$ , the tran-39 16 sition from TEM to ITG due to the density ramp occurs at 40 17  $t = 4.5 \sim 5.0$ s. In the experiment, the transition timing was 41 18 shown to be at  $t \sim 4.85^{28}$ . However, in the 1/2 scale parame-42 19 ters,  $\rho^* = 2\rho_0^*$  and  $v_e^* = v_{e0}^*/2$ , the transition timing is shifted 43 20 to  $t = 5.0 \sim 5.5$ s and the growth rate of TEM is increased by 44 21

 $20 \sim 30\%$ . This is attributed to the change of  $v_e^* \propto R_0$ , which characterizes the collisional stabilization effect on TEM. It is noted that the collisional stabilization affects only TEM, and the stability of ITG is similar in all the cases. On the other hand, by doubling the collisionality, the stability of TEM and the transition timing in the experimental parameters are recovered even with the 1/2 scale parameters. Therefore, in this work, we use the modified 1/2 scale parameters, in which the collisionality is adjusted to recover the linear stability in the original experimental parameters.

 $\rho^*$  scaling is essentially nonlinear and rather complicated. In the experiment, the confinement scaling was shown to follow the neo-Alcator scaling and the L-mode scaling in the LOC and SOC phases, respectively<sup>1,2</sup>. The latter scaling has Bohm-like  $\rho^*$  dependency. On the other hand, according to the derivation of non-dimensional scaling in Ref.49, the former scaling gives gyro-Bohm like  $\rho^*$  dependency, while it does not satisfy the so-called Kadomtsev constraint. These controversial  $\rho^*$  dependencies between the LOC and SOC phases make the choice of input power difficult in scaled numerical experiments. In terms of the power balance, temperature profiles in a 1/N scale plasma become similar to the original ones by imposing the input power of  $P_{in} = P_0/N$ 

and  $P_{in} = P_0$ , when the energy confinement follows the Bohm 55 1 scaling and the gyro-Bohm scaling, respectively. Here,  $P_0$  is 56 2 the input power in the experiment. In order to determine a 57 з physically sound heating condition, we conduct power scan 58 4 numerical experiments with the modified 1/N scale param- 59 5 eters, in which the Bohm like heating condition  $P_{in} = P_0/N_{60}$ 6 and the gyro-Bohm like heating condition  $P_{in} = P_0$  are com-61 7 pared. Figures 6 and 7 show the numerical experiments with 62 8 the modified 1/2 and 1/3 scale parameters, respectively. In 63 9 Fig.6(a), the stored energy in the Bohm like heating condition 64 10 is almost unchanged both in the LOC and SOC phases. In 65 11 Figs.6(b) and 6(c), the electron temperature is higher in the 66 12 gyro-Bohm heating condition, while the stiff ion temperature 67 13 is similar in both heating conditions. In Fig.7, these features 68 14 are more pronounced in the modified 1/3 scale parameters, 69 15 and the increases of the stored energy and the electron tem-70 16 perature in the gyro-Bohm heating condition are clearly seen. 71 17 It is noted that in Fig.6 (c), the electron temperature in the 72 18 Bohm heating condition is lower than the experiment. This is 73 19 attributed to the modified collisionality, which is increased to 74 20 satisfy  $v_{e}^{*} = v_{0}$ . The SOC phase is characterized by high colli-75 21 sionality, and the increased collisionality leads to stronger ion-76 22 electron coupling. The Bohm like scaling in the SOC phase is 77 23 consistent with the L-mode scaling<sup>1</sup> and our previous works <sub>78</sub> on  $\rho^*$  scaling in L-mode plasmas<sup>33,46,50,51</sup>. On the other hand, <sub>79</sub> 24 25 the Bohm like scaling in the LOC phase may not be consis- 80 26 tent with the neo-Alcator scaling. This may be attributed to 81 27 the lack of Kadomtsev constraint in it. This issue will be ad-82 28 dressed in future work. Following the results of the above 83 29 power scan numerical experiments, in this work, we use the 84 30 Bohm-like heating condition. 31 85

The use of scaled plasma sizes may also affect momen-86 32 tum transport. According to the higher order ballooning the- 87 33 ory<sup>52,53</sup>, the linear mode asymmetry characterized by the bal- 88 34 looning angle  $\theta_0$  is derived as  $\theta_0 \propto \rho^{*1/3}$ , and the residual so 35 stress  $C_s$  given by the profile shear stress theory<sup>41</sup> becomes  $_{90}$  $C_s \propto \rho^{*1/3}$ , which is rather weak  $\rho^*$  dependency. However,  $_{91}$ 36 37 nonlinear gyrokinetic simulations showed much stronger  $\rho^*_{92}$ 38 dependencies of the residual stress and/or the resulting intrin-39 sic rotation profiles<sup>29,31,33,54,55</sup>. Therefore, the residual stress 40 in scaled numerical experiments may be larger than that in the 41 experiment. However,  $\rho^*$  scan numerical experiments typi-42 cally show similar shapes of intrinsic rotation profiles with 43 different flow velocities<sup>33,55</sup>, and thus, at least, the sign rela-44 tion of rotation changes from the initial rigid rotation is ex-45

<sup>46</sup> pected to be preserved.

#### 47 IV. NUMERICAL EXPERIMENT

#### 48 A. Particle transport

We conduct two numerical experiments in the LOC and <sup>49</sup> SOC phases up to  $tv_0/R \sim 1,000$  using the modified 1/2 scale <sup>51</sup> parameters with  $P_{in} = P_0/2$ . Figure 8 shows the radial pro-106 files of the density, the parallel flow, and the temperature at <sup>67</sup> the end of the numerical experiments. The time scale of the <sup>68</sup> numerical experiment is significantly short compared to the <sup>69</sup> particle confinement time, and thus, the electron density is almost unchanged from the initial condition. However, in the LOC phase, where the charge densities of D and C are comparable, the density profiles of D and C respectively show peaking and relaxation, suggesting ion mixing (see Fig.8(a)). As the exhaust of C begins around  $t \sim 3s$  in Fig.1(a), this result is qualitatively consistent with the experiment. On the other hand, in the SOC phase, where the charge density of C is at the tracer level, this kind of ion mixing does not occur. Figure 9 shows the radial profiles of the radial current. Both in the LOC and SOC phases, the steady ambipolar condition,  $\sum_{s} q_s \langle f_s(\mathbf{R} \cdot \nabla r) \rangle_{gf} = 0$ , is approximately satisfied. However, the balance of the radial current among three species is quite different between the LOC and SOC phases. In the LOC phase, the D current and the C current greatly exceed the electron current, and they balance with each other, leading to the ion mixing and the exhaust of C. On the other hand, the SOC phase shows a typical ambipolar condition, where the D current is comparable to the electron current and the balance is established mainly by the bulk species.

In the SOC phase, the particle confinement time  $\tau_{e}$  and the impurity confinement time  $\tau_C$  estimated using the volume averaged particle numbers and the particle fluxes at  $r/a \sim 0.6$ are respectively  $\tau_e \sim 21.4\tau_E$  and  $\tau_C \sim 3.4\tau_E$ , where  $\tau_E$  is estimated using the input power and the stored energy at  $tv_0/R \sim$ 1,000 (see Fig.6(a)). The impurity confinement time in the SOC phase is in a similar range as that observed in the tracer impurity injection experiments on Tore Supra<sup>56</sup>. In the LOC phase, the particle and impurity confinement times are estimated as  $\tau_e \sim 29.4 \tau_E$  and  $\tau_C \sim 1.7 \tau_E$ , respectively. Although this result looks similar to the SOC phase, the impurity content in the LOC phase is at a non-tracer level,  $n_C q_C^2 > n_D q_D^2$ , and the time scale of inward D flux is also comparable to the impurity confinement time,  $\tau_D \sim 2.9 \tau_E$ , where  $\tau_D$  is estimated using the absolute value of D flux. This asymmetric electron and D transport with an order of magnitude different particle confinement times suggest fast mixing of ions, leading to the exhaust of C impurity ions.

#### B. Momentum transport

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Figures 8(b) and 8(e) show rotation profiles observed in the numerical experiments. Although the initial condition is given by rigid rotation with the same velocity for both D and C ions, their rotation profiles deviate from the initial condition before the excitation of micro-instabilities. The rotation difference between D and C ions is determined by the neoclassical parallel momentum balance, and is produced by the pressure gradient, leading to the large rotation difference in the outer radii characterized by steep density profiles. This neoclassical effect generates the bootstrap current as shown in the benchmark of the parallel momentum balance between GT5D and the neoclassical theory in Appendix B. Because of this neoclassical effect, an effective boundary condition of the C rotation is deviated from the initial value of  $U_C/v_0 \sim -0.05$ to  $U_C/v_0 \sim -0.08$ . It is noted that the rotation difference is sustained by the collisional parallel momentum exchange be-



FIG. 8. Radial profiles of (a),(d) the density, (b),(e) the parallel flow, and (c),(f) the temperature averaged over  $tv_0/R = 900 \sim 1000$ . (a)-(c) and (d)-(f) respectively show the numerical experiments in the LOC and SOC phases. In (a) and (d), the density is normalized by  $n_0 = 10^{20} \text{m}^{-3}$ . In (a), (c), (d), and (f), dashed curves show the initial profiles given by the experimental data. In (b) and (e), dashed red and green curves show the initial profiles in the neoclassical equilibrium states before the excitation of micro-instabilities ( $tv_0/R = 50$ ).



FIG. 9. Radial profiles of the radial current  $J_s = \left\langle \frac{q_s}{c} f_s \dot{\mathbf{R}} \cdot \nabla \psi \right\rangle_{gf}$  (the third term in Eq. (10)) averaged over  $tv_0/R = 0 \sim 1000$ . (a) and (b) respectively show the numerical experiments in the LOC and SOC phases.

<sup>12</sup> tween D and C ions, which is a stronger effect than the radial <sup>13</sup> momentum transport, and thus, the axisymmetric sink  $S_{snk2,s}$  <sup>14</sup> with  $v_{snk2,s} = 0.01v_0/a$  is too weak to compensate the rotation <sup>15</sup> difference. <sup>16</sup> The intrinsic rotation shows qualitatively different profiles <sup>17</sup> in the LOC and SOC phases. In the LOC phase, the change <sup>18</sup>

of C rotation in the counter-current direction develops glob-19
ally. Here, the negative direction corresponds to the counter-20
current direction. On the other hand, in the SOC phase, the 21

<sup>10</sup> change of C rotation in the plasma core is in the co-current <sup>22</sup>



FIG. 10. Time histories of the carbon rotation at (a) r/a = 0.3 and (b) r/a = 0.6.

direction over significant radii r/a < 0.5 with respect to the effective boundary condition. In Fig.10, it is shown that in the plasma core, the intrinsic rotation in the LOC and SOC phases develop in the opposite direction after the initial changes due to the neoclassical effect. In Fig.11, the change of C rotation between the LOC and SOC phases shows a similar radial profile as the experiment.

Although the rotation change is consistent with the experiment, detailed profiles are different from the experimental observation. Figure 12 shows the time evolution of C rotation profiles and a comparison against the experiment. Here, the experimental profiles are shown by interpolated curves



FIG. 11. The change of the carbon rotation between the LOC and SOC phases.



FIG. 12. The carbon rotation profiles at  $tv_0/R = 300 \sim 1000$ . Black broken curves show the carbon rotation profiles in the experiment. (a) and (b) respectively show the numerical experiments in the LOC and SOC phases.

for simplicity. Although the rotation profiles are still slowly 1 evolving in time, qualitative features of profile shapes are un-2 changed. Both in the LOC and SOC phases, the C rotation з profiles are shifted in the counter-current direction, because of the deviation of effective boundary conditions due to the neo-5 classical effect. In the LOC phase, the positive C rotation gra-6 dient  $U_C'$  in the outer radii ( $U_C'$  averaged over  $r/a = 0.5 \sim 0.8$ 7 is  $U'_{Ca}/v_0 \sim 0.085$ ) is in a similar range as the experiment 8  $(U'_{C} \text{ averaged over } r/a = 0.3 \sim 0.9 \text{ is } U'_{C}a/v_{0} \sim 0.081).$  In 9 the SOC phase, the negative rotation gradient around the mid 10 radius ( $U'_C$  averaged over  $r/a = 0.4 \sim 0.6$  is  $U'_C a/v_0 \sim -0.13$ ) 11 is still weaker than the experiment ( $U'_C$  averaged over r/a =12  $0.6 \sim 0.7$  is  $U'_C a/v_0 \sim -0.23$ ), and the position of negative ro-13 tation gradient is shifted from  $r/a \sim 0.65$  to  $r/a \sim 0.5$ . Both 14 in the LOC and SOC phases, the rotation gradients in the 15 plasma core are opposite from the experiment, which may be 16 attributed to the sawtooth activity inside the sawtooth inver-17 sion radius  $(r/a \sim 0.25)^{14}$ . 18

In order to understand these intrinsic rotation profiles, the toroidal angular momentum balance (10) is analyzed. In 25 Fig.13, the toroidal angular momentum balance is dominated 26 by the radial current term and the toroidal field stress term, 27 and the origin of the inertial term is not clearly seen. It is 28 noted that the balance between the radial current term and the 29



FIG. 13. The toroidal angular momentum balance, Eq. (10), is plotted for (a), (c) D and (b), (d) C. (a), (b) and (c), (d) respectively show the numerical experiments in the LOC and SOC phases. The radial profiles of the inertial term (trq, the first term), the stress term (str, the second term), the radial current term (cur, the third term), the toroidal field stress term (fld, the fourth term), the collision term (col, the fifth term), the source term (src, the sixth term), and the remaining error (err) are averaged over  $tv_0/R = 0 \sim 1000$ .



FIG. 14. The gyrokinetic equation part of the toroidal angular momentum balance, Eq. (11), is plotted for D. (a) and (b) respectively show the numerical experiments in the LOC and SOC phases. The radial profiles of the inertial term (trq, the first term), the partial stress term (str, the second term), the collision term (col, the third term), the source term (src, the fourth term), and the remaining error (err) are averaged over  $tv_0/R = 0 \sim 1000$ .

toroidal field stress term indicates that the ion mixing is produced by the toroidal field stress term. In order to investigate the origin of the inertial term in detail, we remove the contributions from the radial current term and the toroidal field term by decomposing the toroidal angular momentum balance (10)



FIG. 15. Comparisons of radial profiles of (a) radial electric field  $E_r$ , (b) turbulent intensity  $|e\phi/T_0|^2$ , and (c) poloidal angle at the maximum turbulent intensity  $\theta_{max}$  between the LOC and SOC phases. The profiles are averaged over  $tv_0/R = 0 \sim 1000$ .

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1 into the gyrokinetic equation part,

$$\left\langle \frac{\partial m_s v_{\parallel} b_{\varphi} f_s}{\partial t} \right\rangle_{gf} + \left\langle \frac{m_s v_{\parallel} b_{\varphi}}{\mathscr{J}} \frac{\partial}{\partial \mathbf{Z}} \cdot \left( \mathscr{J} \dot{\mathbf{Z}} f_s \right) \right\rangle_{gf}$$

$$- \left\langle m_s v_{\parallel} b_{\varphi} \sum_{s'} C(f_s, f_{s'}) \right\rangle_{gf}$$

$$- \left\langle m_s v_{\parallel} b_{\varphi} \left( S_{src,s} + S_{snk,s} \right) \right\rangle_{gf} = 0,$$

$$(11)^{33}$$

<sup>2</sup> and the Hamilton's equation part,

$$\left\langle \frac{f_s}{\mathscr{J}} \frac{\partial}{\partial \mathbf{Z}} \cdot \left( \mathscr{J} \dot{\mathbf{Z}} m_s v_{\parallel} b_{\varphi} \right) \right\rangle_{gf}$$

$$- \left\langle \frac{q_s}{c} f_s \dot{\mathbf{R}} \cdot \nabla \psi \right\rangle_{gf} + \left\langle f_s \frac{\partial \langle \phi \rangle_{\alpha}}{\partial \varphi} \right\rangle_{gf} = 0, \quad (12)_{40}^{40}$$

following the derivation in Ref.32. Figure 14 shows the gy-42 3 rokinetic equation part of the toroidal angular momentum bal-43 4 ance, in which the inertial term is determined by the par-44 tial stress term, the collision term, and the source term. Ex-45 6 cept for the outer radii, where the source term becomes large, 46 7 the inertial term is mainly correlated with the partial stress 47 8 term, which is determined by plasma turbulence, while the 48 9 collisional momentum exchange between D and C is non-49 10 negligible in the LOC phase. Therefore, we further inves-50 11 tigate properties of turbulent momentum transport based on <sup>51</sup> 12 the mechanisms reviewed in Refs.57 and 58. It is noted that 13 the source term develops in the opposite direction in the outer 14 radii. In the numerical experiment, the momentum source is 15 given by the Krook type sink models,  $S_{snk,s1}$  and  $S_{snk,s2}$ , in <sub>52</sub> 16 which the sign of momentum source is determined in a passive 17 manner by turbulent momentum transport. Therefore, turbu-18 lent momentum transport leads to the opposite net torque input 19 between the LOC and SOC phases. 20

In the framework of local turbulence theory, the momentum flux  $\Pi_s$  may be decomposed as

$$\Pi_{s} = n_{s}m_{s}R_{0}\left[-\chi_{\varphi,s}R_{0}\Omega_{\varphi,s}' + V_{\varphi,s}R_{0}\Omega_{\varphi,s} + C_{s}\right], \quad (13)$$

where  $\Omega_{\varphi,s}$  is the toroidal angular frequency,  $\Omega'_{\varphi,s} = \frac{\partial \Omega_{\varphi,s}}{\partial r}$ ,  $\chi_{\varphi,s}$  is the momentum diffusion coefficient,  $V_{\varphi,s}$  is the pinch velocity, and  $C_s$  is the residual stress including 58

various symmetry breaking effects. Here, the residual stress comes mainly from the plasma shaping effect  $C_{PS}^{59}$ , the profile shear  $C_{\rho*}^{41}$ , the  $E_r$  shear  $C_E^{60,61}$ , and the turbulence intensity gradient  $C_I^{62}$ . The symmetry breaking due to plasma shaping does not exist in the current circular concentric tokamak configuration. In Fig.15(a), the radial electric field  $E_r$ has negative shear on average, and the momentum transport due to the  $E_r$  shear stress  $C_E \propto -dE_r/dr$  is expected to be outward both in the LOC and SOC phases. In Fig.15(b), the turbulent intensity  $I = |e\phi/T_0|^2$  shows similar profiles, which have flat profiles for  $r/a = 0.3 \sim 0.6$  and negative gradients for r/a > 0.6, and the momentum transport induced by the turbulent intensity shear stress  $C_I \propto dI/dr$  seems to be similar between the LOC and SOC phases. It is noted that the turbulent intensity normalized by  $T_0$  becomes smaller in the edge, where temperature becomes lower. However,  $|e\phi/T_e|$  and  $|\delta n_e/n_e|$ increases towards the edge. Finally, in Fig.15(c), an approximate ballooning angle  $\theta_{max}$ , which is given by the poloidal angle at the maximum turbulent intensity within each magnetic surface, shows qualitative difference between the LOC and SOC phases. In the outer radii,  $\theta_{max}$  in the LOC phase is slightly negative, while  $\theta_{max}$  in the SOC phase is positive. The sign relation of the profile shear stress  $C_{\rho*} \propto -\theta_0 \sim \theta_{max}$ is consistent with the change of intrinsic rotation between the LOC and SOC phases.

The normalized profile shear stress is given as<sup>41</sup>

$$\frac{R_0 C_{\rho*,s}}{v_{ts} \boldsymbol{\chi}_{\phi,s}} = -\frac{\hat{s} \theta_0}{2|q|k_{\theta} \rho_{ts}} \left(\frac{R_0}{L_{n,s}} + 4 - \frac{1}{(\sqrt{2}qk_{\theta} \rho_{ts})^2}\right), (14)$$

where  $\theta_0$  is the ballooning angle,  $\hat{s}$  is the magnetic shear,  $k_{\theta}$  is the poloidal wavenumber, and  $L_{n,s} = |n_s / \nabla n_s|$  is the density gradient scale length. Here, the mode asymmetry  $\theta_0$  and the corresponding linear growth rate  $\gamma$  are given by the higher order ballooning theory<sup>52,53</sup> as,

$$\theta_0 = -sign\left(\hat{s}\omega_r'\right) \left|\frac{\omega_r'}{2k_\theta\gamma_0\hat{s}}\right|^{1/3},\tag{15}$$

$$\gamma = \gamma_0 \cos(\theta_0), \tag{16}$$

where  $\gamma_0$  is the linear growth rate without mode asymmetry,  $\omega_r$  is the mode frequency, and  $\omega'_r = \partial \omega_r / \partial r$ . Although Ref.41



FIG. 16. Linear calculations of n = 25 modes of TEM in the LOC phase and ITG in the SOC phase with externally imposed positive and negative  $E_r$ . (a) shows the radial profiles of  $E_r$  used in case 1 and case 2. (b) shows the volume averaged quasilinear flux ratio between turbulent heat and momentum transport. The heat and momentum fluxes are respectively normalized by  $q_{GB} = \chi_{GB} n_0 T_0 / a$  and  $\Pi_{GB} = \chi_{GB} n_0 v_0 / a$ , where  $\chi_{GB} = (v_0^3 / \Omega_D^2) / a$ . (c) and (d) show the frequency and the growth rate, respectively. (e) and (f) shows the ballooning angle  $\theta_0$ , which is defined at the minimum radial wavenumber  $k_r$ , and an approximate ballooning angle, which is given at the <sup>16</sup> maximum amplitude of  $\phi$ , respectively.

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20 discussed the profile shear stress without  $E_r$ , the higher order 21 1 ballooning theory can be naturally extended including the  $E_{r 22}$ 2 shear<sup>63,64</sup>. Therefore, the profile shear stress involves the  $E_{r 23}$ 3 shear stress, when the mode asymmetry is affect also by  $E_r$ . In <sub>24</sub> 4 Ref.45, the profile shear stress including  $E_r$  was analyzed for  $_{25}$ 5 ITG with adiabatic electrons using linear global calculations 26 6 with externally imposed  $E_r$ , and the relation between the  $E_{r 27}$ 7 shear and the profile shear stress was clarified. 8 28 In this work, we apply this approach to TEM in the LOC<sup>29</sup>

<sup>11</sup> phase and ITG in the SOC phase to understand the change <sup>30</sup> <sup>11</sup> of  $\theta_0$  between the LOC and SOC phases. Based on the <sup>31</sup> <sup>12</sup>  $E_r$  profiles in Fig.15(a), model  $E_r$  profiles are chosen as <sup>32</sup> <sup>13</sup>  $eE_rR_0/T_0 = sign(E_r)(c_0 + c_1r/a_0)(tanh[(r/a_0 - 0.2)/0.1] + <sup>33</sup>)(c_0 + c_1r/a_0)(tanh[(r/a_0 - 0.2)/0.1] + <sup>33</sup>)(c_0 + c_1r/a_0)(tanh[(r/a_0 - 0.2)/0.1])(c_0 + c_1r/a_0)(tanh[(r/a_0 - 0.2)/0.1])(tanh[(r/a_0 - 0.2)/0.1])(tanh[(r/$ 



FIG. 17. (a) shows the weighted turbulence frequency  $\bar{\omega}$  and the Doppler shift due to  $E \times B$  rotation  $\omega_E$  of n = 24 modes at each radius. (b) shows of the frequency of n = 24 modes corrected by subtracting the Doppler shift,  $\bar{\omega} - \omega_E$ . The frequency spectra are obtained from the time series data for  $tv_0/R = 600 \sim 1000$ .



FIG. 18. Radial profiles of (a) the normalized profile shear residual stress  $R_0 C_{\rho*,D}/v_{tD} \chi_{\phi,D}$  and (b) the normalized curvature pinch  $R_0^2 V_{\phi,D} \Omega_D/v_{tD} \chi_{\phi,D}$ . The plasma profiles are given by the simulation data in Fig.8. In estimating the profile shear stress,  $k_{\theta} \rho_D = 0.35$  is assumed, and  $\theta_0$  is given by  $\theta_{max}$  in Fig.15(c).

which  $E_r$  at the outer radii becomes similar but the  $E_r$  shear differ by two times (see Fig.16(a)). In Fig.16, (b) the volume averaged quasi-linear flux ratio between turbulent heat and momentum transport, (c) the frequency, (d) the growth rate, (e) the ballooning angle  $\theta_0$  defined by the poloidal angle at the minimum radial wavenumber  $k_r$ , and (f) the approximate ballooning angle  $\theta_{max}$  defined by the poloidal angle at the maximum amplitude of  $\phi$  are shown for case 1 and case 2 with negative and positive  $E_r$ . It is noted that linear calculations with  $E_r$  show oscillatory linear growth, which is a typical feature of the so-called Floquet balloning mode<sup>65</sup>, and the above results are time averaged. In Fig.16(c), the frequencies of both TEM and ITG are affected by the Doppler shift, and become the same sign as in the numerical experiment. Here, case 1 and case 2 give almost the same frequencies, because they have similar  $E_r$ . In Fig.16(e), the changes of  $\theta_0$  are similar between case 1 and case 2, while the theory predicts  $\theta_0 \propto \omega_r^{\prime 1/3}$ . This result suggests that  $\theta_0$  is determined not only by the  $E_r$  shear but also by  $E_r$  itself. This feature was observed also in Refs.45 and 64. Accordingly, in Fig.16(d), the

stabilization effect due to  $E_r$  becomes similar between case 1 1 and case 2. Another important finding is that TEM in the LOC 2 phase shows much smaller change of  $\theta_0$  than ITG in the SOC 3 phase. This may be attributed to the higher linear growth rate 4 and the narrower mode width of TEM in the LOC phase. In

- 5 Fig.16(f), TEM in the LOC phase and ITG in the SOC phase 6
- respectively show slightly negative  $\theta_{max}$  and positive  $\theta_{max}$  at 7
- the negative  $E_r$ , which is consistent with the numerical exper-8

iment (see Fig.15(c)). In Fig.16(b), the resulting momentum 9 transport at the negative  $E_r$  becomes outward and inward in 10 the LOC and SOC phases, respectively.

In Fig.17(a), the weighted turbulence frequency  $\bar{\omega}(r,n) =$ 12  $\sum_{\omega} \omega |\hat{\phi}_{n,\omega}|^2 / \sum_{\omega} |\hat{\phi}_{n,\omega}|^2$  of n = 24 mode at each radius is in 13 the electron diamagnetic direction over the whole radii, where 14  $\hat{\phi}_{n,\omega}$  is the Fourier component of  $\phi$  at  $\theta = 0$  with respect 15 to the toroidal mode number n and the frequency  $\omega$ . How-16 ever, if one corrects the frequency by subtracting the Doppler 17 shift of poloidal  $E \times B$  rotation due to the radial electric field 18  $\omega_E = k_{\theta} v_{E\theta}$ , the LOC and SOC phases show qualitatively dif-19 ferent properties, where  $v_{E\theta} = \langle \mathbf{v}_E \cdot \nabla \theta / |\nabla \theta| \rangle_f$ . The SOC 20 phase is characterized by the positive frequency or ITG over 21 the whole radii. On the other hand, the LOC phase shows ITG 22 only in the plasma core, and the outer radii are characterized 23 by the negative frequency or TEM. These results show that 24 the different features of the mode asymmetry between TEM 25 in the LOC phase and ITG in the SOC phase, which depend 26 not only on the profile shear and the  $E_r$  shear but also on  $E_r$ 27 itself, are key physics in understanding the change of intrinsic 28 rotation during the LOC-SOC transition. 29

Finally, we compare the relative magnitude of the profile 30 shear stress against the Coriolis pinch given as<sup>57</sup>, 31

$$\frac{R_0^2 V_{\varphi,s} \Omega_s}{v_{ts} \chi_{\varphi,s}} = \left(-\frac{4T_s}{T_e} - \frac{R_0}{L_n}\right) \frac{R_0 \Omega_s}{v_{ts}}.$$
(17)

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Figure 18 shows (a) the normalized profile shear stress and (b)<sup>52</sup> 32 the normalized Coriolis pinch, where the plasma profiles are 53 33 given by the simulation data in Fig.8. In estimating the profile <sup>54</sup> 34 shear stress,  $k_{\theta} \rho_{tD} = 0.35$  is assumed and the mode asymme-<sup>55</sup> 35 try is given by  $\theta_{max}$  in Fig.15(c). The Coriolis pinch is out-36 ward both in the LOC and SOC phases, and is negligible for<sup>57</sup> 37 the intrinsic rotation with the low Mach number. The negligi-58 38 59 ble impact of the Coriolis pinch was also shown in Ref. 20. 39 60

#### Energy transport С. 40

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In Figs.8(c) and 8(f),  $T_e$  in the plasma core is slightly lower 65 41 than the experiment, while  $T_D$  and  $T_C$  are slightly higher than 66 42 the experiment. As already discussed, the increased collision-43 ality leads to stronger ion-electron coupling, and the differ-44 ence between  $T_e$  and  $T_D$  in the plasma core becomes smaller 69 45 than the experiment. Figure 19 shows the surface integrated 46 energy flux  $Q_s = \hat{Q}_s S$  observed in the quasi-steady phase of <sup>71</sup> the numerical experiments, where  $\hat{Q}_s \equiv \langle \int [m_s v_{\parallel}^2 + \mu B] f_s (\mathbf{v}_D + \frac{1}{72}) ds$ 47 48  $\mathbf{v}_E$ )  $\cdot \nabla r d^3 v f$  is the energy flux density and  $S = 2\pi r R_0$ . In the 73 49 current numerical experiments, the deposition profiles of the 74 50 ohmic heating and the radiation loss overlap with each other 75 51



FIG. 19. Radial profiles of the surface integrated energy flux  $Q_s$ averaged over  $tv_0/R = 900 \sim 1000$ . (a) and (b) respectively show the numerical experiments in the LOC and SOC phases.



FIG. 20. Radial profiles of the heat flux density  $q_s$  averaged over  $tv_0/R = 900 \sim 1000$ . (a) and (b) respectively show the numerical experiments in the LOC and SOC phases.

(see Fig.3), and a source free region does not exist. Therefore, both in the LOC and SOC phases, the total energy flux slightly exceeds the input power of  $P_{in} = P_0/2 = 300$ kW, while the stored energy is in the quasi-steady state (see Fig.7(a)). In the LOC phase, the electron energy flux is dominant, while both the D and C energy fluxes make significant contributions. On the other hand, in the SOC phase, the D energy flux is dominant, and the C energy flux is negligible. These results are qualitatively consistent with the experiment and the local gyrokinetic analyses in Ref.23, while several differences are not captured in the current numerical experiments.

Figure 20 shows the heat flux density  $q_s = \hat{Q}_s - 5/2T_s\Gamma_s$ , where  $\Gamma_s \equiv \langle \int f_s(\mathbf{v}_D + \mathbf{v}_E) \cdot \nabla r d^3 v \rangle_f$  is the particle flux density. In Ref.23, the power balance analysis at r/a =0.37 gave the ion and electron heat fluxes of  $(q_i, q_e) =$  $(4.5 \pm 1.0 \text{kWm}^{-2}, 6.7 \pm 1.0 \text{kWm}^{-2})$  and  $(q_i, q_e) = (14.0 \pm$ 3.0kWm<sup>-2</sup>,  $-1.0 \pm 3.0$ kWm<sup>-2</sup>) in the LOC and SOC phases, respectively. However, in the LOC phase shown in Fig.20(a), the ion heat fluxes  $q_D + q_C$  exceeds  $q_e$  at r/a = 0.37. In the SOC phase shown in Fig.20(b), we do not observe the electron heat pinch over the whole radii. The detailed ratios between the ion and electron energy fluxes may be affected either by the enhanced ion-electron coupling and by the modelling of sources and sinks. On the other hand, the mechanism of the



FIG. 21. Spatio-temporal evolution of the electron energy flux in (a) LOC and (b) SOC phases.

<sup>1</sup> electron heat pinch is still an open issue. It is noted that in  $_{31}$ 

<sup>2</sup> Ref.66, off diagonal terms in the transport matrix was de-<sub>32</sub>

rived for the curvature pinches, where an Onserger symmet-33
 rical contribution to the heat flux gives heat pinch when off-34

<sup>5</sup> diagonal particle pinch occurs.

Figures 21 and 22 show the spatio-temporal evolution of the 36 6 electron energy flux and the corresponding spatio-temporal 37 7 auto-correlation functions. Both the LOC and SOC phases are 38 8 characterized by bursts of avalanche like nonlocal transport, 39 9 while their dynamics is somewhat different. The SOC phase 40 10 is characterized by ballistic inward propagation of global 41 11 avalanches from the edge to the core. This feature is clearly 42 12 seen by the spatio-temporal autocorrelation functions in Figs. 43 13 22(c) and 22(d). In Ref.36, it was shown that the direc-44 14 tion of the avalanche propagation in ITG turbulence is de-45 15 termined by the asymmetry induced by the  $E_r$  shear, which 46 16 results in the inward and outward propagation with the neg-47 17 ative and positive  $E_r$  shear, respectively. The inward propa-48 18 gation of avalanches is consistent with the negative  $E_r$  shear 49 19 in Fig.15(a). On the other hand in the LOC phase, the prop-50 20 agation width is limited, and avalanches show discontinuities 51 21 around r/a = 0.7. In Fig.22 (b), the spatio-temporal au-52 22 to correlation function at  $r/a \sim 0.8$  shows that inward propa-53 23 gation of avalanches is limited and the correlation length be-54 24 comes shorter inside  $r/a \sim 0.8$ , while in Fig.22(a), the spatio- 55 25 temporal autocorrelation function at  $r/a \sim 0.6$  suggest global 56 26 mode structures with small time delay. This may be attributed 57 27 to the change of dominant turbulence drive from ITG to TEM, 58 28 which was shown in Fig.17(b). 50 29



FIG. 22. Spatio-temporal auto-correlation functions of the electron energy flux evaluated for (a) LOC phase, r/a = 0.6, (b) LOC phase, r/a = 0.8, (c) SOC phase, r/a = 0.6, and (d) SOC phase, r/a = 0.8.  $\Delta t$  and  $\Delta r$  respectively show delays in time and radius. The electron energy flux data in Fig.21 is processed for  $tv_0/R = 400 \sim 1000$ .

#### D. Quasi-coherent modes

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Figures 23(a) and 23(c) show the frequency spectra of the electrostatic potential measured at  $\theta = 0, \varphi = 0$ , and r/a =0.7. Since the spectrum is symmetric with respect to the sign of frequency, only the negative frequency part is plotted. Here, r/a = 0.7 is characterized by TEM and ITG in the LOC and SOC phases, respectively (see Fig.17(b)). The spectrum in the LOC phase shows a few quasi-coherent peaks, which were called as the quasi-coherent modes (QCMs). On the other hand, the SOC phase is characterized by a broad-band spectrum without such coherent peaks. These spectra are qualitatively consistent with those in the experiment<sup>28</sup>, while the peak positions of QCMs are different. In the experiment, the QCM peak appeared in the 50kHz range, which correspond to  $\omega R/v_0 \sim 0.5$ . Although the secondary peak with n = 18 is in this range, the primary peak appear in the lower frequency range. This difference may be attributed to the magnetic ripples, which can enhance  $E_r$  and the resulting Doppler shift<sup>69</sup>.

Figures 23(b) and 23(d) show the frequency spectrum of each *n* component measured at  $\theta = 0$  and r/a = 0.7, which is non-symmetric with respect to the sign of frequency because of the resonance condition depending on *n*. It is noted that  $\bar{\omega}$  in Fig.17 is estimated by the weighted average using the n = 24 spectrum. The *n* spectra clearly show the structures of the QCMs and the broad-band fluctuations, which have the following features. Firstly, the width of each *n* spectrum is narrower in the LOC phase. The half height width of the n = 12 spectrum is estimated as  $\sim 0.035v_0/R$  and  $\sim 0.065v_0/R$  in the LOC and SOC phases, respectively. This may be attributed to the difference of nonlinear spectral broad-



FIG. 23. Frequency spectra of (a),(c) the electrostatic potential measured at  $(r, \theta, \varphi) = (0.7a, 0, 0)$ ,  $|\hat{\phi}_{\omega}|$ , and (b),(d) the toroidal mode number component of the electrostatic potential measured at  $(r, \theta) = (0.7a, 0)$ ,  $|\hat{\phi}_{\omega,n}|$ . (a),(b) and (c),(d) respectively show the numerical experiments in the LOC and SOC phases. The frequency spectra are 25 obtained from the time series data for  $tv_0/R = 600 \sim 1000$ .



FIG. 24. Radial profiles of  $E_r$  observed in the numerical experiment (GT5D) and  $E_r$  estimated by the parallel momentum balance <sup>40</sup> based on the Hirshman-Sigmar's moment approach (NC)<sup>67,68</sup>. The D component of the parallel momentum balance is computed using the D parallel flow  $U_D$ , the density  $n_s$ , and the temperature  $T_s$  from <sup>41</sup> GT5D, and terms proportional to  $U_D$  and the gradients of  $n_s$  and  $T_s$  <sup>42</sup> are also shown. Both  $E_r$  and the plasma profiles are averaged over <sup>43</sup>  $tv_0/R = 0 \sim 1000$ . (a) and (b) respectively show the numerical ex-<sup>44</sup> periments in the LOC and SOC phases.

- ening between TEM and ITG. Secondly, the frequency gap of 48
- <sup>2</sup> peaks in the n spectra is larger in the LOC phase. The mode 49
- <sup>3</sup> frequency with the Doppler shift is given by  $\omega_{shift} = \omega + \omega_{sol}$
- 4  $k_{\theta}v_{E\theta} \sim (nq/r)(v_{ph} + v_{E\theta})$ , and the frequency gap between 51
- <sup>5</sup> adjacent *n* modes becomes  $\Delta \omega_{shift} = (q/r)(v_{ph} + v_{E\theta})$ , where <sup>52</sup>
- 6  $v_{ph} = \omega/k_{\theta}$  is the phase velocity. As shown in Fig.17(a), 53

both in the LOC and SOC phases, the Doppler shift is in the electron diamagnetic direction,  $v_{E\theta} < 0$ , and the frequencies of TEM and ITG are shifted in the electron diamagnetic direction. On the other hand, the phase velocity of TEM and ITG are in the electron diamagnetic direction,  $v_{ph} < 0$ , and in the ion diamagnetic direction,  $v_{ph} > 0$ , respectively. In addition, the magnitude of  $v_{E\theta}$  becomes larger in the LOC phase. Therefore, the frequency gap becomes larger in the LOC phase. Because of these two features, the *n* spectra in the LOC phase split to form the QCMs. It is noted that in Ref.23, the former effect was shown, while the latter effect was not taken into account, because the simulation was conducted using a local  $\delta f$  gyrokinetic model without  $E_r$ .

In Fig.24,  $E_r$  observed in the numerical experiment is compared against the neoclassical theory. By substituting the D parallel flow  $U_D$ , the density  $n_s$ , and the temperature  $T_s$  from GT5D for the D component of the parallel momentum balance (B7),  $E_r$  is written as

$$E_{r} = -\frac{1}{A_{21} + A_{22} + A_{23}} \left[ \frac{|\nabla \psi|}{F} \langle BU_{D} \rangle_{f} -A_{21} \frac{T_{e}}{q_{e}} \frac{\partial \ln p_{e}}{\partial r} - A_{22} \frac{T_{D}}{q_{D}} \frac{\partial \ln p_{D}}{\partial r} - A_{23} \frac{T_{C}}{q_{C}} \frac{\partial \ln p_{C}}{\partial r} + A_{24} \frac{T_{e}}{q_{e}} \frac{\partial \ln T_{e}}{\partial r} + A_{25} \frac{T_{D}}{q_{D}} \frac{\partial \ln T_{D}}{\partial r} + A_{26} \frac{T_{C}}{q_{C}} \frac{\partial \ln T_{C}}{\partial r} \right], (18)$$

where *F* is the toroidal flux,  $p_s = n_s T_s$  and  $A_{ij}$  is given by elements of the matrix  $\mathbf{A} = (\mathbf{L} - \mathbf{M})^{-1} \cdot \mathbf{M}$  (see Appendix B for detailed definitions). In Fig.24, the dominant contributions come from the parallel flow, the density gradient of D, and the temperature gradient of D. It is noted that because of the charge dependency, the contribution from C is negligible even in the LOC phase. The contributions from the density and temperature gradients are larger in the LOC phase, reflecting the higher temperature. On the other hand, the largest contribution comes from the counter-current rotation, and its magnitude is comparable between the LOC and SOC phases, because the boundary condition or the baseline rotation is determined by the toroidal ripple. The large negative  $E_r$  induced by the ripple induced counter-current rotation is a unique feature on Tore Supra<sup>69,70</sup>.

#### V. SUMMARY

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In this work, we analyzed Tore Supra ohmic L-mode discharge 48102 focusing on two time slices in the LOC and SOC phases using the global full-*f* gyrokinetic Eulerian code GT5D. Because of limited computational resources, the numerical experiments were conducted using the modified 1/2 scale parameters, in which the plasma size is reduced by a half, while the collisionality is enhanced to keep the experimental value of  $v_e^*$ . The modified 1/2 scale parameters keep the stability of TEM in the original experimental parameters. However, collisional ion-electron coupling becomes stronger, leading to smaller temperature difference between ions and electrons. The heating condition was chosen based on power scans using the modified 1/2 and 1/3 scale parameters. The 1 power scan numerical experiments showed the Bohm like 53

<sup>2</sup> scaling both in the LOC and SOC phases, and the Bohm like

<sup>3</sup> heating condition, in which the input power is scaled as pro-

4 portional to the plasma size, was adopted in the numerical ex-

5 periments. The Bohm like scaling is consistent with the L-

<sup>6</sup> mode scaling observed in the SOC phase, while its relevance

<sup>7</sup> in the LOC phase, which is characterized by the neo-Alcator

scaling, is still an open issue.

The numerical experiments captured qualitative features 9 of transport phenomena in the LOC-SOC transition on Tore 10 Supra. Firstly, the exhaust of C is induced by the ion mix-11 ing, which is driven by the toroidal field stress. Secondly, the  $_{60}$ 12 intrinsic rotation develops in the opposite directions between 13 the LOC and SOC phases, which is characterized by the dif- $_{62}$ 14 ferent features of TEM in the LOC phase and ITG in the SOC 63 15 phase, leading to the change of the profile shear stress. Here, 16 the mode asymmetry or the ballooning angle depends not only 17 on the profile shear and the  $E_r$  shear but also on  $E_r$  itself. 18 It is noted that although the rotation change is reproduced, 67 19 detailed intrinsic rotation profiles are still different from the 20 experiment, and further investigations on momentum source 21 and sink models are needed. Thirdly, the ratio of the stored 22 energy between two time slices and the temperature profiles 71 23 in the experimental data are approximately recovered in the 24 quasi-steady state, where the energy fluxes of electrons and  $D_{73}$ 25 are dominant in the LOC and SOC phases, respectively. Fi-74 26 nally, turbulent spectra in the LOC and SOC phases are char-27 acterized by QCMs and broad-band spectra, which are pro-76 28 duced by the difference of Doppler shift in the LOC and SOC  $_{77}$ 29 phases. The ripple induced counter-current rotation produce 30 the Doppler shift in the electron diamagnetic direction, which 31 enhances the frequency gap of each n spectrum, leading to  $_{80}$ 32 QCMs. 33 81

As already discussed, further studies are required to understand  $\rho^*$  scaling in the LOC phase. Although Tore Supra showed the change of intrinsic rotation in the co-current direction during the LOC-SOC transition, many other devices showed the change of intrinsic rotation in the opposite direction<sup>2</sup>. Another important issue is the change of nonlocal transport between the LOC and SOC phases, which was experition and the change of a solution and the section and

<sup>41</sup> mentally observed by applying edge cold pulses<sup>2</sup>. In future

work, these important issues will be addressed using global
 full-*f* gyrokinetic simulations.

### **44** ACKNOWLEDGMENTS

This work was supported by the MEXT Japan (Program for
Promoting Researches on the Supercomputer Fugaku "Exploration of Burning Plasma Confinement Physics", and Grant
No.22K03584) and the NIFS Collaborative Research Program
(NIFS16KNST103). This research used computational resources of the supercomputer Fugaku provided by the RIKEN 94

<sup>51</sup> Center for Computational Sciences (hp210178,hp220165), 95

si center for computational beforees (hp210170,hp220103)

<sup>52</sup> and the ICEX provided by the JAEA.

#### DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

#### Appendix A: Wedge size convergence

In this appendix, we verify the convergence of the numerical experiments with respect to the wedge size. We repeat the numerical experiments with the 1/3 wedge torus model, and compare plasma profiles and turbulent frequency spectra. In Fig.25, we compare radial profiles of the density, the parallel flow, and the temperature obtained from the numerical experiments with the 1/3 and 1/6 wedge torus models, which show negligible difference between these models. This indicates the corresponding particle, momentum, and energy transport transport is also converged between the 1/3 and 1/6 wedge torus models. Figure 26 shows the frequency spectra of the electrostatic potential measured in the numerical experiments with the 1/3 wedge torus model. Here, the definitions of plots are the same as those in Fig.23. Figures 26(a) and 26(c) respectively show the QCMs in the LOC phase and the broad-band spectrum in the SOC phase as in Fig.23. However, the structures of QCMs are slightly different from the 1/6 wedge torus model. In the 1/6 wedge torus model, the primary and secondary peaks are formed by adjacent toroidal modes, n = 12 and n = 18. In the 1/3 wedge torus model, the toroidal mode numbers of the primary and secondary peaks are unchanged, while there exists a subdominant mode with n = 15in between them. Therefore, in addition to the enhancement of the frequency gap between adjacent toroidal modes due to the Doppler shift, some nonlinear coupling mechanism to selectively excite n = 12 and n = 18 modes exists. This issue will be addressed in future works.

#### Appendix B: Parallel flows of bulk and impurity ions

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In this appendix, we verify the difference of parallel flows of bulk and impurity ions based on the neoclassical parallel momentum balance equations. In the neoclassical benchmark, we consider multi-species plasmas with kinetic electrons, D ions, and C impurity ions in a circular concentric tokamak configuration with  $R_0/a = 5$ ,  $a/\rho_{tD} = 150$ , and q(r) = 0.85 + $2.18(r/a)^2$ ,  $m_D/m_e = 3,672$ , and  $Z_{eff} = 4$ . The initial density and temperature profiles are given as

$$n_s(r) = n_{0s} \exp\left[-\frac{\Delta_{ns}}{L_{ns}} \tanh\left(\frac{r-r_0}{\Delta_{ns}}\right)\right], \qquad (B1)$$

$$T_s(r) = T_{0s} \exp\left[-\frac{\Delta_{ts}}{L_{ts}} \tanh\left(\frac{r-r_0}{\Delta_{ts}}\right)\right], \qquad (B2)$$

where  $R_0/L_{ns} = R_0/L_{ts} = 2.22$ ,  $\Delta_{ns} = \Delta_{ts} = 0.3a$ , and  $r_0 = 0.5a$ . The normalized collisionality  $v_{s,s'}^* \equiv qR/(\varepsilon^{3/2}v_{ts}\tau_{s,s'})$  at the mid-radius is given as  $v_{e,D}^* = 0.08$ ,  $v_{D,D}^* = 0.08$ , and  $v_{C,D}^* = 2.49$ , where  $\tau_{s,s'}$  is the collision time. The benchmark



FIG. 25. Radial profiles of (a),(d) the density, (b),(e) the parallel flow, and (c),(f) the temperature averaged over  $tv_0/R = 900 \sim 1000$ . (a)-(c) and (d)-(f) respectively show the numerical experiments in the LOC and SOC phases. Solid and dashed curves respectively show the results with the 1/6 and 1/3 wedge torus models, and dotted curves show the initial profiles.

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calculation is performed in the axisymmetric limit on 4D grids 14 1

 $(N_R, N_{\zeta}, N_Z, N_{\nu\parallel}, N_{\nu\perp}) = (160, 1, 160, 96, 24).$ 2

Figure 27 shows a comparison of parallel currents between 16 GT5D and the neoclassical theory<sup>67,68</sup>. Here, the neoclassical 17 3

results are computed using the Matrix Inversion code<sup>68</sup>, which 18 5

computes the parallel components of the momentum and heat 19 6

flow balance equations 7

$$[\mathbf{M} - \mathbf{L}]\vec{X} = \mathbf{M}\vec{V}. \tag{B3}_{22}$$

Here, L and M are matrices given by the parallel friction co-8

efficients and the viscosity coefficients, respectively. The par-23 9 allel components of flow and heat flux  $\vec{X}$  and the thermody-24 10

namic force  $\vec{V}$  are respectively given as 11

$$\vec{X} = \begin{bmatrix} \langle \mathbf{B} \cdot \mathbf{U}_e \rangle \\ \langle \mathbf{B} \cdot \mathbf{U}_D \rangle \\ \langle \mathbf{B} \cdot \mathbf{U}_C \rangle \\ 2 \langle \mathbf{B} \cdot \mathbf{q}_e \rangle / (5p_e) \\ 2 \langle \mathbf{B} \cdot \mathbf{q}_D \rangle / (5p_C) \\ 2 \langle \mathbf{B} \cdot \mathbf{q}_C \rangle / (5p_C) \end{bmatrix}, \quad \vec{V} = \begin{bmatrix} V_{1e} \\ V_{1D} \\ V_{1C} \\ V_{2e} \\ V_{2D} \\ V_{2D} \\ V_{2C} \end{bmatrix}, \quad (\mathbf{B4})_{30}^{30}$$

 $\lfloor V_{2C} \rfloor$ where the thermodynamic force is given as

$$V_{1s} = -\frac{F}{B\nabla\psi} \left[ \frac{d\phi}{dr} + \frac{1}{q_s n_s} \frac{dp_s}{dr} \right], \qquad (B5)_{38}^{37}$$

$$V_{2s} = -\frac{F}{B\nabla\psi}\frac{dT_s}{dr}.$$
(B6)<sup>41</sup>
<sub>42</sub>

By inverting Eq.(B3) as

$$\vec{X} = A\vec{V},$$
 (B7) 46

and substituting  $\phi$ ,  $n_s$ , and  $T_s$  from GT5D, one can estimate parallel flows for each species, where  $A = [\mathbf{L} - \mathbf{M}]^{-1} \cdot \mathbf{M}$ . Also, the bootstrap current is estimated as  $\langle \mathbf{B} \cdot \mathbf{J} \rangle = \sum_{s} q_{s} n_{s} \langle \mathbf{B} \cdot \mathbf{J} \rangle$  $U_s$ . The benchmark result shows good quantitative agreements, indicating that GT5D can correctly compute the difference of ion parallel flows in multi-species plasmas. In the current benchmark case involving a high fraction of C impurity ions, the C rotation develops in the counter-current direction with respect to D rotation.

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FIG. 26. Frequency spectra of the electrostatic potential in the numerical experiments with the 1/3 wedge torus model. The definitions of plots are the same as those in Fig.23.

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FIG. 27. Radial profiles of the parallel current of electrons, deuterium ions, and carbon impurity ions. Broken lines show the initial condition given by non-shifted Maxwellian distributions. Solid and dashed lines respectively show the results from GT5D and neoclassical theory (the matrix inversion code) at  $tv_{tD}/R_0 = 250$ .

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