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Pedro Fernandes Paes Pinto Rocha, Laura Vauche, Blend Mohamad, William Vandendaele, Eugénie Martinez, et al.. Impact of Post-Deposition Anneal on ALD Al2O3/etched GaN Interface in Gate-First MOSc-HEMT Process Flow. GaN MARATHON, Jun 2022, Venise, Italy. cea-03846232

HAL Id: cea-03846232 https://cea.hal.science/cea-03846232v1

Submitted on 10 Nov 2022

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Impact of Post-Deposition Anneal on ALD Al₂O₃/etched GaN Interface in Gate-First MOSc-HEMT Process Flow

P. Fernandes Paes Pinto Rocha, L. Vauche, B. Mohamad, W. Vandendaele, E. Martinez, T. Spelta, N. Rochat, R. Gwoziecki, B. Salem, V. Sousa

Abstract- In this work, we investigate the impact of Post-Deposition Anneal (PDA) of Atomic-Layer Deposited (ALD) Al₂O₃ on etched GaN for MOS-channel High Electron Mobility Transistors (MOSc-HEMTs) Gate-First process flow using C-V measurements carried out on MOS capacitors (MOSCAPs). For PDA up to 500°C, a reduction of the flat-band voltage hysteresis (ΔV_{FB}) is reported and explained by the reduction of Ga-O bonds and O-H groups observed by Hard X-Ray Photoelectron Spectroscopy (HAXPES) analysis, the latter being confirmed by Fourier Transform Infrared Spectroscopy (FTIR). For a 600°C PDA, Grazing Incidence XRD (GIXRD) analysis shows a small crystallized K-Al₂O₃ signal on etched GaN, which is absent for asgrown GaN. This beginning of crystallization could explain the degradation in ΔV_{FB} and breakdown field for PDA above 500°C observed on MOSCAPs after the Gate-First process flow overall thermal budget.

I. INTRODUCTION

THE MOS-channel HEMT (MOSc-HEMT) with its fully recessed MOS architecture is an attractive solution among normally-off HEMTs for power conversion systems. However, the threshold voltage (V_{TH}) strongly depends on the gate dielectric Al₂O₃/etched GaN interface properties [1]. Post-Deposition Anneal (PDA) of Al₂O₃/GaN has been reported to increase the threshold voltage (V_{TH}) and reduce its hysteresis (Δ V_{TH}) [2], [3]. However, most of these reports are for Al₂O₃ deposited on as-grown GaN for Gate-Last process flow. Since our process flow is Gate-First [4], we study the impact of Al₂O₃ PDA deposited on etched GaN for the Gate-First process flow.

II. EXPERIMENTAL

30nm Al₂O₃ was deposited by Atomic Layer Deposition (ALD) at 300°C using TMA and H₂O on dry etched nGaN/Si MOCVD wafers (N_D= 5.10^{17} cm⁻³) to simulate the MOSc-HEMT gate processing. PDA was performed under N₂ 1) for 4 min at 400, 500, and 600°C on samples or 2) for 5 min at 400, 500, and 550°C on 200mm wafers. MOSCAPs test structures were fabricated: 1) on 1.5 cm × 1.5 cm GaN samples with Ni/Au

metal contact (MOS-1) and 2) on 200 mm GaN wafers with TiN metal contact (MOS-2). The MOS-2 devices were annealed after metal deposition to simulate the Gate-First thermal budget. C-V measurements were carried out at 1 kHz with a voltage sweep from -4V to 6V and back to -4V. I-V measurements were performed from 0V to 60V. 15nm Al₂O₃/etched GaN samples were analyzed by Hard X-Ray Photoelectron Spectroscopy (HAXPES) (Cr K α =5414.7eV) and Grazing Incidence XRD (GIXRD). For Fourier Transform Infrared Spectroscopy (FTIR), 30nm Al₂O₃/p-Si samples were analyzed.

III. RESULTS

For MOS-1, we observe a reduction of Flat Band voltage hysteresis (ΔV_{FB}) (Fig. 1, 2). This trend is correlated to O-H groups reduction observed both by FTIR (Fig. 4) and HAXPES analyses (Fig. 5), and to Ga-O bonds reduction observed by HAXPES (Fig. 5), in agreement with literature [5], [6]. For MOS-2, the impact of PDA is minimized with low ΔV_{FB} (Fig. 1, 2) and similar Flat Band voltage (V_{FB}) (Fig. 1, 3), which we attribute to a beneficial impact of the final thermal budget. Hence, PDA until 500°C seems beneficial. However PDA above 500°C seems detrimental, with a decrease of V_{FB} for MOS-1 (Fig. 1, 3), an increase of ΔV_{FB} for both test structures (Fig. 2), and a degradation of breakdown field for MOS-2 with two populations (Fig. 6). The latter effect could be explained by the beginning of Al₂O₃ crystallization at 600°C observed by GIXRD (Fig. 7), and not seen for Al₂O₃ on as-grown GaN, further consolidating the detrimental impact of PDA above 500°C on etched GaN. Therefore, we demonstrate that the impact of PDA is influenced by the overall thermal budget and the GaN prior processing; these parameters should be taken into account when optimizing the PDA for the Gate-First approach.

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This abstract was submitted the 08 march 2022. This work was funded by the PSPC French national program "G-Mobility" and partly supported by the French RENATECH network through the PTA technological platforms.

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Fig. 1: Normalized C-V characteristics at 1 kHz for **a**) **MOS-1** devices (median of five measurements) and **b**) **MOS-2** devices (median of nineteen measurements) at different PDA temperatures.



Fig. 4: FTIR O-H absorbance spectrum for $30nm Al_2O_3$ on p-Si with different PDA temperatures and without final thermal budget. A manual correction of base line was done.



Fig. 6: Breakdown field obtained from I-V measurements of MOS-2 devices after the final thermal budget. 550°C has a degradation of $E_{\rm BV}$ with two populations.



Fig. 2: Clockwise ΔV_{FB} for MOS-1 and MOS-2 extracted from Fig. 1. For PDA until 500°C, a reduction of ΔV_{FB} is noticed for MOS-1, but absent for MOS-2. After 500°C, ΔV_{FB} increases for both test structures.



w/o PDA 400°C N₂ 500°C N₂ 600°C N₂ w/o PDA 400°C N₂ 500°C N₂ 550°C N₂ Fig. 3: V_{FB} for MOS-1 and MOS-2 extracted from Fig. 1. A minimized impact of PDA is noticed for MOS-2. After 500°C, V_{FB} decreases for MOS-1.



w/o PDA 400°C N $_2$ 500°C N $_2$ 600°C N $_2$ w/o PDA 400°C N $_2$ 500°C N $_2$ 600°C N $_2$

Fig. 5: HAXPES extracted chemical environment on dedicated samples with 15nm Al_2O_3 on etched GaN for different PDA temperatures and without final thermal budget. The take-off angle was 45° for Ga $2p_{3/2}$ and 90° for O 1s analysis.



Fig. 7: GIXRD analysis with grazing angle of 2° for 15nm Al₂O₃ on etched GaN with and without 600°C PDA, and for 15nm Al₂O₃ on as-grown GaN with 600°C PDA. A small signal of orthorhombic κ -Al₂O₃(122) is observed.