

Combining Sediment Source Tracing Techniques with Traditional Monitoring: The "Arvorezinha Catchment" Experience

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1	Combining Sediment Source Tracing Techniques with Traditional
2	Monitoring: The "Arvorezinha Catchment" Experience
3	
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19	
20	Abstract
21	To truly understand the hydrologic and erosive processes that occur at the catchment
22	scale regarding land use and soil management changes, intensive monitoring is required
23	over a long period. Variables such as precipitation, flow rate, and suspended sediment
24	concentration are the fundamentals needed to estimate sediment yield. However, in
25	order to shed more light on the effects of soil management changes on sediment yield,
26	traditional hydrologic monitoring techniques can be paired with sediment tracing to
27	identify sediment sources. In addition, mathematical models that predict erosion and
28	sediment yield can also help understand the dynamics of erosion and deposition
29	between the hillslope and stream channel. Given the above, this paper provides an
30	overview of almost 20 years of monitoring (2002-2021) in the Arvorezinha
31	experimental catchment (1.23 km ²) located on the edge of the Brazilian meridional
32	plateau in southern Brazil, which is subject to extreme erosion due to a combination of

factors related to intensive agriculture and steep slopes. The catchment was selected as a

34	study site to evaluate the effects of land-use changes and soil conservation management
35	on hydrology and sediment yield. The text reviews the history of the study, synthesizes
36	the main results, and describes the evolution of the monitoring techniques used while
37	giving special attention to the fingerprinting approach. In addition, the article discusses
38	the importance of catchment-scale studies for teaching, generating technical-scientific
39	knowledge, and fostering collaboration between national and international research
40	groups.
41	
42	Keywords: fingerprinting approach, soil conservation, sediment yield, soil erosion,
43	mathematical modeling, environmental monitoring.
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47 1. INTRODUCTION

Understanding soil erosion processes at the catchment scale requires combining a broad set of environmental analysis techniques. Measuring the spatial and temporal variability of erosion controlling factors and monitoring response variables is a challenge that requires long-term commitment and multidisciplinary efforts. Nonetheless, despite the difficulties inherent in monitoring, experimental catchments are natural laboratories with enormous potential for professional training, knowledge construction, and an incomparable experience for enhancing learning and scientific interactions.

56 The continuous and gradual accumulation of information provided by long-term

57 monitoring leads to more robust data over time as it facilitates the coupling of

58 complementary approaches. This is especially important for improving our

59 understanding of hydrological and erosional processes at the catchment scale. Sediment

60 yield, for instance, is the result of the interaction of numerous processes that provide the

61 mobilization and transfer of sediment from the catchment's hillslopes to river channels.

62

The data acquired from technical-scientific interactions and studies of the soil profile on the effects of erosion on the drainage network at the hillslope scale allow a better understanding of the phenomena. Therefore, the results meet the different social, environmental, and economic demands of scientific knowledge naturally associated with the different components of the landscape. Experimental catchments also integrate people contributing to the same objective, just as sediment yield from the catchment outlet integrates all the catchment's hydrological processes.

70

71 In catchment research, hydrological monitoring is pivotal, as all other approaches rely 72 on it. Precipitation, runoff, and suspended sediment concentration monitoring describe 73 the erosion and sedimentation processes based on their behavior during rainfall events. 74 However, traditional monitoring alone may be insufficient to understand the mechanisms of sediment mobilization and its transfer from catchment hillslopes to the 75 76 river channel (Beven et al., 2005; Aulenbach et al., 2021; Fenicia & McDonnell, 2022). 77 The relationships between processes observed on the catchment hillslopes and in the 78 river channel are influenced by complex water and sediment transfer concepts, such as 79 connectivity and cumulative effects (Keesstra et al., 2020; Ziemer et al., 1991; Puttock 80 et al., 2013).

Understanding the erosion processes in the catchment based on the behavior of 82 sediment yield requires understanding the mechanisms that connect the river channel 83 and catchment hillslopes (Walling, 1983, 1999; Foster et al., 2022). In this sense, 84 creating and developing a method for identifying sediment sources, which is known as 85 the multi-parameter fingerprinting approach and the sediment fingerprinting technique, 86 has provided enormous advances in our knowledge of the existing relationships between 87 erosion processes on hillslopes and the sediment yield monitored in rivers (Walling et 88 89 al., 2001; Walling, 2005, 2013; Collins et al., 2020). The sediment fingerprinting 90 technique has established a new quality in research on sediment yield, providing further 91 information on the origins of the sediments mobilized on the catchment hillslopes and the material exported from the catchment. This information can furnish numerous 92 93 technical and scientific advancement opportunities in soil and water management and 94 mathematical modeling.

95

96 In order to demonstrate the importance of coupling conventional monitoring in 97 identifying sediment sources through the fingerprinting technique, this article reports the experience of hydrological and erosion studies conducted in the Arvorezinha 98 experimental catchment in southern Brazil. The text provides novel data on the 99 100 evolution of monitoring-supported sediment transport modeling, emphasizing sediment tracing methods' contribution to better understanding erosion processes at the 101 102 catchment scale. In addition, this study revisits two decades of scientific production, 103 demonstrating the importance of experimental collections at the catchment scale in training young and senior researchers and their potential for regional scientific 104 105 advancement.

106

2. MOTIVATIONS TO SET UP THE EXPERIMENTAL CATCHMENT

108 MONITORING

In the early 2000s, the Government of Rio Grande do Sul (RS; the southernmost state of
Brazil) created a project to improve land management as a key component of its
program against rural poverty. The Rural Poverty Program, known as RS-Rural, aimed
to implement public policies to improve the conditions of natural resources (soil, water,
and vegetation) under degradation, local infrastructure (water supply, rural sanitation,
and unpaved road improvement), and productive infrastructure (family agribusiness

support) in poverty-stricken rural areas. The project aimed to enhance the rural
communities' quality of life by increasing economic activities and improving natural
resource management.

118

119 In RS, rural poverty areas are mainly located in regions with soils of low agricultural aptitude characterized by high slopes and stoniness, shallow depths, limited soil 120 121 fertility, and low water retention capacity. Under these conditions, intensive cultivation leads to soil and water quality degradation in response to water erosion. Hence, the soil 122 123 has reduced productive capacity, limited income generation, and intensified rural poverty. In the early of 2000s in RS, there were roughly 234,000 small farmers below 124 the poverty threshold (income below 1.5 minimum wage; \$USD 300.00/month per 125 126 family) (World Bank, 2005). To alleviate rural poverty, public investments must be 127 initially directed to decreasing natural resource degradation by implementing soil and water conservation practices, thereby increasing land productivity. Based on these 128 129 conditions, the RS government, in partnership with the World Bank, founded the Natural Resources Management and Rural Poverty Alleviation Project-CPL 41480 130 131 (World Bank, 2005).

132

In order to evaluate the impacts of this promising project, the World Bank 133 commissioned a study from the local government to quantify the socioeconomic 134 impacts on natural resources. The method chosen to assess the effects on natural 135 resources was based on installing four hydrological and soil quality monitoring units in 136 different areas; these four monitoring units were chosen by EMATER-ASCAR, the 137 UFSM (Federal University of Santa Maria) Department of Soil Science, and the 138 UFRGS (Federal University of Rio Grande do Sul) Hydraulic Research Institute to 139 140 represent areas with different geologies and soil characteristics. As a geographic unit, the researchers and extensionists selected ~1-km² catchments with first- to second-order 141 142 drainage networks. Soil and water quality monitoring was then established to provide 143 indicators of the impacts of the project's actions, and suspended sediment concentration and sediment yield were selected among the water quality indicators as they deliver 144 sensitive indicators of the effectiveness of the project's soil and water conservation 145 146 measures.

The method used to assess sediment yield consisted of evaluating the rainfall, 148 149 streamflow, and suspended sediment concentration during rainfall events using a high frequency data monitoring and long-term analysis. The soil conservation actions 150 151 conducted by the farmers were evaluated at different moments of the project as the 152 initial phase (without project intervention from 2001 to 2003), the intermediate phase (when actions were ongoing from 2003 to 2004), and their final phase (when all 153 154 scheduled actions were undertaken after 2004). At the time of the installation of the monitoring catchments selected for the project, the farmers had not yet implemented 155 156 soil and water conservation practices. On the contrary, the international scenario of 157 rising tobacco prices, which was also a result of the collapse of the African production 158 system, has led to an increase in the cultivated area, including in the Arvorezinha 159 catchment. Results on soil quality for the four studied catchments are presented in 160 Reichert et al. (2022). One of the strategic pillars of this project was to reduce erosion 161 and sediment inputs to watercourses. The goal was to promote the sustainable use of 162 soils and water and improve local infrastructure in order to evaluate the efficiency of 163 this program and promote a broader and longer-term application (Merten & Minella, 164 2006; Minella, 2007).

165

The long-term monitoring in the Arvorezinha experimental catchment was an outdoor 166 167 laboratory integrating different fields of knowledge, mainly hydrology and soil science. In addition, studies have also contributed to the training of professionals at different 168 169 academic levels (technical, undergraduate, and graduate). More notably, the monitoring 170 work carried out in the Arvorezinha catchment has created numerous opportunities for cooperation among international researchers, leading to significant scientific 171 172 contributions to test and improve monitoring procedures and methods. Without this 173 international cooperation, it would not have been possible to advance knowledge in all 174 these research fields, especially those related to the dynamics of sediments between the 175 catchment hillslopes and the river channel. Understanding these dynamics was only 176 possible using techniques such as the sediment fingerprinting method and caesium-137 177 inventories combined with traditional hydrological monitoring techniques (Minella et 178 al., 2008a; 2014; Tiecher et al., 2017b).

179

180 The use of monitoring and modeling techniques in the Arvorezinha catchment was181 initiated by Professor Desmond E. Walling of the Department of Geography of the

University of Exeter (United Kingdom). Professor Desmond E. Walling visited Brazil 182 on two occasions to promote scientific meetings within the scope of the International 183 184 Commission of Continental Erosion (ICCE) of the International Association of Hydrological Science (IAHS). On his first visit, together with Professor Pierre M. 185 186 Bordas of the Hydraulic Research Institute of the University of Rio Grande do Sul, they organized a meeting called "The Symposium on Sediment Budgets" in 1988 in Porto 187 Alegre (Bordas & Walling, 1988). Professor Walling's second visit to Brazil took place 188 in 2005 in Foz do Iguaçu, where he organized, in collaboration with USGS scientist 189 190 Arthur J. Horowitz, the IAHS-ICCE symposium called "Sediment Budget" (Walling & Horowitz, 2005). The studies carried out by Professor Desmond E. Walling generated 191 192 considerable interest among the Brazilian scientific community. This influence 193 culminated with the involvement of Professor Desmond E. Walling in the monitoring 194 work carried out in the Arvorezinha catchment. Following this experience, the concepts and methods applied in Arvorezinha have inspired other research groups working with 195 196 erosion studies at the catchment scale in Brazil.

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3. THE STUDY CATCHMENT

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200 The Arvorezinha catchment is located on the edge of the Brazilian meridional plateau 201 $(28^{\circ}52'S \text{ and } 52^{\circ}05'W)$ in southern Brazil (Figure 1). The catchment has an area of 1.23 202 km² and drains towards the Taquari River, one of the main tributaries of the Guaíba 203 lake, which supplies water to over four million inhabitants of the Porto Alegre 204 metropolitan area (roughly 37.7% of the inhabitants in the state) (IBGE, 2018). The 205 Arvorezinha catchment altitude varies between 560 and 720 m. The topography is gently rolling in the upper third of the catchment with average slope gradients of ca. 206 207 7%. However, in its lower parts, the topography is characterized by shorter and steeper slopes (ca. 84%). The area is predominantly underlain by volcanic rocks, which weather 208 209 to produce fertile Entisols and Inceptisols. The climate is mesothermal super-humid 210 subtropical (i.e., Cfb), and the average annual rainfall is 1938 mm evenly distributed throughout the year. The catchment's physiographic characteristics were important for 211 describing the processes of interest. The proximity of the crop fields to the drainage 212 network and the narrow width of the riparian forest, for instance, determines the high 213 connectivity between hillslope processes and the river channel. These characteristics 214

215 made it possible to shed more light on the cause-and-effect relationships between the 216 erosion processes at hillslopes and sediment yield at the river channel.

217

218 The experimental Arvorezinha catchment involves approximately 16 rural properties 219 with surface areas ranging from 5 to 20 ha. This catchment scale was chosen for 220 monitoring based on EMATER-ASCAR's ability to implement soil conservation 221 practices within a few years. Land use is agricultural, and most fields are cultivated with tobacco and soybean, the latter of which sharply increased after 2012. Soil erosion 222 223 (inter-rill and rill erosion) is the primary soil degradation process generating large amounts of sediment transferred to watercourses, and small gullies are found in the road 224 225 ditches and fields where road runoff is discharged.

226

227 The monitoring of the Arvorezinha experimental catchment documented changes in sediment yield in response to improved land management involving minimum-till 228 229 cultivation, a denser soil cover maintenance using cover crops, and crop rotation. Hydrological monitoring started in 2001 with the systematization of the measurement of 230 231 precipitation (P), streamflow (Q), and suspended sediment concentration (SSC) in a 232 section composed of a Parshall flume (6 feet) and a walkway for sediment sampling. Monitoring has been the main activity since then, from which all other studies were 233 derived with the goal of better understanding the hydrological and erosion behavior. 234 235 The monitoring structure comprises water depth sensors, turbidimeters, rain gauges, 236 meteorological stations, and sediment samplers. The measurement strategy is based on on-site monitoring of significant rainfall-runoff events to collect samples for SSC 237 analysis and check the validity of level sensors. In addition, local farmers were trained 238 and participated in the monitoring by recording the daily rainfall values at rain gauges 239 240 distributed across the catchment as well as by taking care of the monitoring infrastructure. 241 242 243 [Insert Figure 1] 244 245 4. THE PROCESSES OF INTEREST

246 **4.1 Hydrological monitoring**

The catchment was considered to provide the fundamental unit under investigation andrelied on "sediment yield" as the main variable of interest. The studies have sought to

improve monitoring techniques and hydrological modeling to enhance the design of soil 249 250 and water conservation projects at the catchment scale. Monitoring required special 251 attention to rainfall-runoff events. During rainfall events, the streamflow responses 252 occur within minutes or a few hours, triggering soil detachment and sediment transport 253 to the catchment outlet. Thus, the strategy of monitoring P, Q, and SSC needed to be efficient in the follow-up of significant events. The 10-minute interval was defined as 254 the optimum data acquisition interval to describe the variables P, Q, and SSC and 255 optimize data collection and organization, which proved to be enough to represent the 256 257 variations and correctly describe the processes.

258

259 Whenever possible, significant events were monitored on-site, with sampling being 260 conducted using US DH-48 suspended sediment samplers associated with turbidity (T) 261 measurements to improve SSC temporal discretization. Numerous studies have been carried out to evaluate the efficiency of the turbidimeter in estimating SSC and to define 262 263 protocols for its installation, calibration, and use as a complementary measurement to direct SSC monitoring (Minella et al., 2008b; Merten et al., 2014ab; Dalbianco et al., 264 265 2017). Prioritizing SSC data collection during events was pivotal to accurately 266 estimating sediment yield (SY). In addition to suspended sediment monitoring, bedload 267 discharge (2004–2007) was also monitored (BL-84 sampler) to quantify the importance of this component to the total SY in the catchment. The results demonstrated that the 268 bedload flux ranged from 0.24 to 44 g m⁻¹ s⁻¹. The contribution of bedload to the total 269 sediment yield varied between <1% and 60%, depending on peak flow (Merten & 270 271 Minella, 2014).

272

273 Collecting data of the events allowed researchers to analyze the effects of variations in 274 land use and soil management as well as impacts related to climate on annual sediment 275 yields, allowing the hydrological and erosive behavior of the catchment to be more 276 accurately described. Interpreting medium and large magnitude hydrographs, which are 277 responsible for the greatest soil losses, was fundamental to understanding runoff 278 formation and propagation and sediment mobilization and transfer (sedigraphs). Four events are presented in Figure 2 to exemplify the response pattern of Q and SSC to 279 rainfall events. To estimate SY throughout the monitoring period, a multiple linear 280 regression analysis was used to quantify the relative contributions of different variables 281 282 (climate, land use, and soil management). The long-term monitoring allowed us to

283	interpret the combined effects of climate and anthropogenic activities on SY over the
284	years (Figure 3) (Minella et al., 2009a; 2018).
285	
286	[Insert Figure 2]
287	
288	[Insert Figure 3]
289	
290	
291	Data collection has been used to describe surface runoff formation and propagation and
292	the associated processes. Among them, we can highlight: a) the existing hysteresis
293	between Q and SSC during events that help describe sediment transport behavior in
294	catchments (Minella et al., 2011; Barros et al., 2014a); b) determining the bedload
295	discharge rating curves (Merten & Minella, 2014); c) quantifying chemical substance
296	transfers to the drainage network (Merten & Minella, 2002; Bender et al., 2018; Barros
297	et al., 2020; Bastos et al., 2021); d) analyzing the effects of climate and conservation
298	practices on the behavior of hydrological variables with a view to conservation planning
299	(Ramon et al., 2017; Menezes et al., 2020) and evaluating the mathematical models'
300	efficiency (Uzeika et al., 2012; Barros et al., 2021a; Silva et al., 2021).
301	
302	4.2 Modeling runoff and sediment yield
303	Mathematical models for predicting sediment yield are valuables tools for solving
304	numerous issues, such as understanding and controlling erosion on catchment hillslopes
305	(de Vente et al., 2013; Rodriguez-Lloveras et al., 2015; Nadal-Romero et al., 2018),
306	controlling erosion to reduce river channel and reservoir siltation (Walling & Fang,
307	2003; Stolte et al., 2003; Mutua et al., 2006), and preventing the pollution of water
308	resources.
309	
310	In the Arvorezinha catchment, the mathematical modeling of SY became feasible
311	because a large hydrological database was constructed and the compilation of
312	information obtained in the field regarding changes in land use and soil management. In
313	this way, the first five years (2002-2007) of monitoring were oriented toward obtaining
314	consistent hydrological data. In the following phase (2008–2013), the mathematical
315	models were used to better define the spatial representation of the factors related to the
316	rainfall-runoff transformation and erosive and depositional processes associated with

these events. Hence, great efforts were made to represent the physical environment,
enabling physically-based models to be applied; the hydrological data gathering was
also incremented, as previously described.

320

321 The basic information of the physical environment was derived from the relief, soil 322 type, and surface conditions (land use and soil management); the mapping of this 323 information was gradual and guided by field observations. Walking around the catchment and exchanging information with the farmers and rural extension personnel, 324 325 especially after precipitation-runoff events, helped shed more light on the hydrological 326 response as a function of geomorphological features and surface conditions. Interacting 327 with the catchment inhabitants was essential for the researchers to understand the 328 farmers' perception of soil degradation and their acceptance and capacity to implement 329 soil and water conservation practices.

330

331 The characterization of the physical environment started with mapping the relief, 332 drainage and road network, land use, soil type, and physical and hydrological soil 333 properties. The greatest effort was dedicated to describing the spatial variability of the 334 soil's hydrological properties (e.g., saturated hydraulic conductivity) and the representation of the topography. The primary surveys and information obtained are 335 described in theses and dissertations developed in the catchment (Minella, 2003, 2007; 336 Moro, 2011; Dalbianco, 2009, 2013; Barros, 2012, 2016; Schlesner, 2017; Bernardi, 337 338 2018).

339

Mathematical modeling was used to represent the effects of land use and soil 340 341 management on reducing SY at the catchment scale and to represent spatial-temporal 342 erosional and sedimentation processes on catchment hillslopes. The Limburg Soil 343 Erosion Model (LISEM) has been the most widely used model in the Arvorezinha 344 catchment scale (Hessel & Jetten, 2007; Jetten, 2018). The equations explicitly express 345 the effects of soil conservation practices on important processes such as infiltration, rainfall-runoff transformation, and erosion. The model is spatially distributed, which 346 347 allows the parameter values of the equations to be measured in detail in the spatial complexity of the catchment. Lastly, the model simulates specific rainfall-runoff events 348 at sub-hourly intervals, enabling a detailed representation of the hydrograph and 349 350 sedigraph.

352	The strategies used to improve the modeling ability were based on different methods,
353	including a) exploring the set of monitored events to represent hydrological processes
354	under different conditions of climate and land use and soil management (Barros et al.,
355	2014b; Menezes et al., 2020); b) detailed spatial characterization of the soil parameters
356	used by the model (Barros et al., 2014a, 2021b); c) representation of the effects of
357	unpaved roads on runoff propagation and erosion occurrence (Silva et al., 2021); d)
358	improving the separation procedures of the different runoff types (superficial and
359	subsurface) (Bernardi, 2018; Barros et al., 2021a); e) testing different equations that
360	represent the soil detachment by runoff (Schlesner, 2017); f) identifying the main
361	sediments sources in the catchment in order to support the model results in spatial terms
362	(Minella et al., 2014). The locations where soil characterization was carried out to
363	parameterize the LISEM equations are illustrated in Figure 4, and a sample of the
364	results in the outlet and catchment hillslope achieved using the model is provided in
365	Figure 5.
366	
367	[Insert Figure 4]
368	
369	[Insert Figure 5]
370	
371	
372	Another important contribution to understanding hydrological processes in the
373	catchment was the participation of the Arvorezinha experimental catchment in the Soils
374	Under Global Change (SOGLO), a project developed by a consortium of European
375	universities (BELSPO, 2016). Among the numerous research activities developed in
376	this project, those that described the composition and spatial pattern of the streamflows
377	(surface and subsurface) were crucial for better understanding the rainfall-runoff
378	processes in the Arvorezinha catchment. For example, Robinet et al. (2018) evaluated
379	the degree of intervention of human action through changes in water pathways (surface
380	and subsurface).
381	
382	4.3 Linking catchment hillslope erosion processes into the drainage network
383	Monitoring Q and SSC makes it possible to calibrate the LISEM. In this case, the model

351

384 performance was evaluated considering the data observed at the catchment outlet.

385 However, model efficiency must also consider information about the spatial variability 386 of SY and the outlet results. This is important because error compensation within the catchment can generate an acceptable result at the outlet. This characteristic of open 387 systems is known in modeling as equifinality (Beven, 2006; Her & Chaubey, 2015), in 388 389 which different model configurations (e.g., different parameter values) can generate 390 similar or equally acceptable simulations. Hence, it is fundamental for monitoring strategies to be capable of verifying the behavior of sediment mobilization and transfer 391 392 within the catchment. In this sense, identifying sediment sources has been crucial to complement the results of traditional monitoring with those generated by physical and 393 394 distributed modeling.

395

396 Identifying sediment sources is a technique applied to eroded sediments that provides a 397 useful complement to the information generated by traditional monitoring techniques (Du & Walling, 2017; Walling, 2013). The results of sediment source identification can 398 399 contribute to verifying if the spatial and temporal patterns of sediment yield modeling in 400 the catchment are correct. This is especially important when different erosional 401 mechanisms (inter-rill, rill, and channel erosion) are observed in the catchment and 402 when connectivity affects the transfer of eroded sediments from catchment hillslopes to 403 the drainage network and catchment outlet (de Vente et al., 2007; Walling, 1983). 404

405 The information about the sediment source further enhances the importance of 406 monitoring because it expands the result of SY, indicating the proportions of each 407 source to the sediment reaching the catchment outlet. In addition, it enhances the improvement of the models because it allows the efficiency of the models to be 408 409 evaluated by spatially representing the processes and/or the types of processes operating 410 within the catchment. Mathematical models can represent erosive processes (soil detachment, sediment transport, and deposition) with an increasing level of spatial and 411 412 temporal discretization (Jetten et al., 1999; Baartman et al., 2012). Nevertheless, the 413 validation of the simulation results regarding erosive processes and SY from catchments 414 necessarily depends on spatially distributed data about where and when erosion and sediment transfer occurs in the catchment. 415

416

Hydrological monitoring combined with sediment tracing techniques demonstrates theindividual variations of the source contributions, even if the absolute value of SY does

not change significantly. Minella et al. (2008a) evaluated the change in SY in periods 419 "without" and "with" soil conservation practices by combining sediment source 420 421 identification with traditional monitoring. After the introduction of conservation practices (minimum till associated with cover crops), SY sharply decreased in croplands 422 423 and unpaved roads and the contribution of channel erosion increased. The study showed 424 that introducing conservation practices changed the emission pattern of the sources 425 between field crops, unpaved roads, and stream channels due to the change in surface 426 runoff behavior and transport capacity.

427

Cesium-137 measurements have been combined with SY measurements and 428 429 fingerprinting of the source of the fine sediment output to establish a provisional 430 sediment budget for the entire catchment. Cesium-137 measurements were used to 431 estimate medium-term erosion and deposition rates along transects across the cultivated slopes and to quantify sedimentation rates within valley floor sediment sinks. These 432 433 data have been used to estimate sediment redistribution rates within the cultivated areas 434 and sediment accumulation in the valley floor sinks. The information provided by the 435 three primary data sources has been integrated to establish the sediment budget for the 436 catchment over the past 57 years.

437

As illustrated in Figure 6, traditional monitoring integrated with the sediment tracing
technique improved the spatial and temporal interpretation of the catchment's erosive
processes (rill and interrill erosion). It contributed to verifying the consistency between
the changes in soil management and those in the respective source contributions.
Notably, the analyses of each source's SY are based on the absolute contribution (t.km⁻
derived by multiplying the relative contribution of each source and the monitored SY
in each event.

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447

448

In addition to the influence of land use and soil management, SY is strongly controlled

[Insert Figure 6]

450 by the magnitude of rainfall-runoff events. Obtaining information during different

451 events throughout the year (inter-event pattern) captures the seasonal variability of land

452 use and soil management. The inter-event pattern is also an alternative for verifying the

- 453 pattern of source contributions. During rainfall-runoff events of different magnitudes,
- some sediment sources are activated while others are not. An example frequently
- 455 reported in the literature is the increased relative contribution of sediment from unpaved
- 456 roads during lower magnitude events and from catchment hillslopes during higher
- 457 magnitude events (Minella et al., 2009b; Collins et al., 2010; Tiecher et al., 2017a).
- 458

An important challenge in catchment research is defining the number of samples 459 necessary to correctly represent the processes of interest. In the case of the sediment 460 "fingerprinting" approach, a mathematical-statistical model was developed to determine 461 462 the number of samples required for better characterization and reducing uncertainties 463 related to the source (Clarke, 2015; Clarke & Minella, 2016). Defining the number of 464 samples that minimizes sampling and analysis costs while maximizing their 465 discrimination and classification ability is key to improving monitoring and modeling efficiency. In this case, statistical analysis allows one to quantify the increased 466 467 uncertainty by reducing the number of samples (of both sources and suspended 468 sediments) according to the number of sources involved and their variability.

469

470 **5. HISTORY OF SEDIMENT FINGERPRINTING STUDIES IN THE**

471 ARVOREZINHA CATCHMENT: ADVANCES AND INFLUENCES ON OTHER 472 CATCHMENTS

473 Sediment tracing in Brazil began at the Arvorezinha catchment, where the first master
474 thesis (Minella, 2003) and the first doctoral dissertation (Minella, 2007) were

475 performed, adapting the sediment fingerprinting method to the Brazilian conditions.

476 Subsequently, the contribution of sediment sources in the Arvorezinha catchment was

also the main topic of two additional doctoral dissertations (Maier, 2013; Tiecher,

478 2015). From these studies, 12 scientific articles have been published so far (Minella et

479 al., 2004, 2007, 2008a, 2009b, 2014; Clarke, 2015; Clarke and Minella, 2016; Tiecher et

480 al., 2015, 2016, 2017, 2019, 2021), which will be briefly discussed below whilst

- 481 focusing on the respective advances provided by these articles regarding studies
- 482 conducted in other catchments in Brazil.
- 483

484 "Start small"— this makes a lot of sense when evaluating the evolution of sediment
485 tracing studies not only in Brazil but in the Arvorezinha catchment itself. The evolution
486 of sediment fingerprinting research that started in the Arvorezinha catchment occurred

following three main topics of investigation: (i) methodological advances, (ii) providing
multiple lines of evidence regarding sediment dynamics, and (iii) expanding research
across Brazil and increasing catchment scale and complexity.

490

491 **5.1 Methodological advances**

492 The Arvorezinha catchment has a relatively long history of monitoring. It is associated 493 with a range of highly detailed information on soil type, land use, topography, and climate, among others, which was unprecedented in Brazil. In addition, the catchment 494 495 has a long history of sediment fingerprinting studies using conventional tracers (geochemistry) based on analyzing chemical element concentrations analyzed in a 496 highly detailed sampling grid of potential sources of sediment (in the order of >30 497 samples km²) (Minella et al., 2008a, 2014). The spatial representation of unpaved roads 498 499 and drainage channels as sediment sources were also important challenges in applying 500 the technique in the Arvorezinha catchment. The sampling scheme for unpaved roads 501 and stream channels as sediment sources followed a protocol developed for the catchment characteristics. The first strategy was to map the different soils that made up 502 503 the roads and streams network. Subsequently, the sites with active erosion were 504 identified in each soil unit of each source. The samples were composed of 20 sub-505 samples collected in homogenous stretches of approximately 20 m (Minella et al. 506 2008a).

507

508 This made it possible to use the Arvorezinha catchment as a model to test and validate 509 new tracing techniques, something that would be practically impossible to implement in 510 a large catchment and/or with a shorter monitoring period. Various tracing strategies were tested in the catchment, including geochemistry and mineralogy (Minella et al. 511 512 2008a), radionuclides (Minella et al., 2014), and alternative methods such as those relying on near-infrared spectroscopy (Tiecher et al., 2016), mid-infrared spectroscopy 513 514 (Tiecher et al., 2017b), visible ultra-violet spectroscopy (Tiecher et al., 2015), color 515 properties (Tiecher et al., 2015), phosphorous (P) fractions obtained from sequential chemical fractionation (Tiecher et al., 2019), and different spectral pre-processing 516 517 techniques and chemometric models based on reflectance spectroscopy (Tiecher et al., 2021). 518

520 Subsequently, some of these techniques, which were initially tested and validated in the Arvorezinha catchment, were also applied in other river catchments in southern Brazil, 521 522 for example, Ramon et al. (2020), Valente et al. (2020), and Dambroz et al. (2022). The first two studies (Ramon et al., 2020; Valente et al., 2020) provided original approaches; 523 524 they compared the results of spectroscopic tracers with those obtained with conventional methods (e.g., elemental concentrations, radionuclides). Valente et al. 525 526 (2020) used color properties and visible ultraviolet spectroscopy in two small-paired catchments (one with commercial eucalyptus plantations [0.83 km²] and the other with 527 grassland used for livestock farming [1.10 km²]) in the Brazilian Pampa biome. Ramon 528 et al. (2020) used spectroscopy and color parameters in a much larger catchment 529 (Conceição River [804 km²]), with predominantly deep and strongly weathered Oxisols 530 under no-till. In addition, the authors also successfully introduced magnetic properties 531 532 to improve sediment source discrimination. More recently, Dambroz et al. (2022) in 533 southern Brazil and Amorim et al. (2021) in a semi-arid region of northeastern Brazil 534 used spectroscopy alone to investigate the contribution of sediment sources in the Guarda Mor (18.5 km²) and Goiana (2857 km²) catchments, respectively. Therefore, the 535 536 lessons and tests carried out with alternative tracers in the Arvorezinha catchment were 537 fundamental to validating the use of these reflectance spectroscopy techniques as a reliable, fast, and low-cost method without generating chemical residues to be used for 538 quantifying the contribution of sediment sources in catchments across Brazil. 539 540

Together with the research of alternative spectroscopy-based tracers, other studies were 541 542 developed in Brazil testing the use of mineralogical attributes and tracers based on pedological knowledge instead of purely applying statistical methods (Miguel et al., 543 2014; Batista et al., 2019). Both studies demonstrated that the composition of the 544 545 mineral fraction of the soil holds valuable information to be used in fingerprinting 546 studies, further supporting the use of diffuse reflectance as these techniques were highly 547 sensitive to the mineralogical and chemical composition of soils and sediments (Tiecher 548 et al., 2015, 2016, 2021).

549

550 **5.2** Providing multiple lines of evidence regarding sediment dynamics

551 The vast dissemination of sediment fingerprinting studies in recent years around the

world is outstanding (Collins et al., 2020). Nevertheless, most of these studies are

553 generally based on the sediment fingerprinting method, providing a unique line of

evidence to better understand the dynamics of sediment supply in each catchment. Few 554 researchers have focused on complementing sediment fingerprinting with catchment 555 556 monitoring techniques and how multiple lines of evidence can help shed more light on 557 sediment transport dynamics. Lessons from pioneering work in this combined approach 558 are valuable even though it has not yet been widely adopted in sediment fingerprinting studies conducted across Brazil. Minella et al. (2008a) demonstrated the potential value 559 560 of combining sediment source tracing techniques with traditional monitoring approaches when documenting the impact of improved land management on catchment 561 562 SY. Minella et al. (2014) used 137Cs inventory measurements combined with SY 563 measurements and sediment fingerprinting data to establish a provisional sediment 564 budget for the Arvorezinha Catchment.

565

566 In the same line of research, Dambroz et al. (2022) used topographic attributes acquired from a digital elevation map and a spatially distributed erosion model (WaterSed) to 567 568 simulate runoff, erosion, sediment yield, and sediment source contributions estimated 569 using sediment fingerprinting based on near-infrared spectroscopy. The authors reported 570 that combining different environmental diagnosis techniques effectively outlined the 571 fragility of those areas where overland flow may accumulate and lead to accelerated soil 572 degradation processes. Moreover, Tiecher et al. (2022) have also demonstrated that using sediment source tracing techniques, combined with sediment flux monitoring, can 573 574 improve our understanding of the sediment source contributions to one of the largest lakes in South America: the Guaíba Lake (482 km²). They used publicly available data 575 576 from long-term monitoring of river discharge and SSC (1978-2019) combined with the shorter-term monitoring of chemical element concentrations in bed sediment (2000-577 2011) as geochemical tracers. The estimates of the sediment contributions from the four 578 main tributaries (Jacuí, Caí, Sinos, and Gravataí rivers) were found to be similar for 579 580 both approaches. These findings demonstrated that the sediment fingerprinting approach 581 combined with sediment flux monitoring could provide a valuable means of estimating 582 the respective sediment contributions from individual tributaries in large and complex 583 delta systems and may provide a powerful tool to guide water resource management. 584

585 5.3 Expanding research across Brazil and increasing catchment scale and
 586 complexity

587 The first sediment fingerprinting study in South America was carried out in the Arvorezinha catchment, more precisely, in a sub-catchment with an area of only 0.57 588 km² (Minella et al., 2003). Since then, most studies on the contribution of sediment 589 sources have focused on small catchments with surface areas <10 km², all located in Rio 590 591 Grande do Sul State (Table 1). Gradually, the catchments' sizes have increased, and 592 studies have spread to other Brazilian states (Minas Gerais, Pernambuco, and Distrito 593 Federal). After 2014, some studies were also developed at an intermediate scale (catchment areas ranging from 10^1 to 10^2 km²) (Miguel et al., 2014; Batista et al., 2019; 594 Dambroz et al., 2022). In addition, between 2014 and 2020, sediment fingerprinting 595 studies were carried out in catchments with a total area of between 800 and 1200 km² 596 (Franz et al., 2014; Tiecher et al., 2018; Batista et al., 2019; Ramon et al., 2020). 597 Nevertheless, research has only been carried out in catchments larger than 2000 km² 598 599 since 2017 (le Gall et al., 2017; Tiecher et al., 2017c; Amorim et al., 2021). More recently, the target catchment area jumped to 5942 km² in a catchment experiencing a 600 601 widespread land-use change in the last years (Ramon et al., 2020), where the conversion of natural grasslands into cropland accelerated soil erosion and sediment delivery to the 602 603 river systems. In addition, two more tentative approaches have used the sediment 604 collected in the tributary outlet as potential sources to estimate the contribution of 605 sediment from these tributary catchments to that supplied to a larger drainage system, such as the Uruguay River (266,132 km²) (Ramon, 2021) and the Guaíba Lake (85,139 606 607 km²) (Tiecher et al., 2022).

608

609 The sediment source research in the Arvorezinha catchment allowed studies to be applied to larger catchment sizes at different locations across Brazil and zones 610 characterized by source types of increasing complexity. Studies that initially focused on 611 612 two to three potential sources and mainly focused on land uses have turned into studies with four to five potential sources with different sediment sampling strategies (Tiecher 613 614 et al., 2018), contrasted particle sizes (Rodrigues et al., 2018; Amorim et al., 2021), 615 different mathematical models (Batista et al., 2019), stable isotope and radionuclide tracers (le Gall et al., 2017; Valente et al., 2020; Ramon, 2021), potential sources of 616 sediment based on the soil type (le Gall et al., 2017), catchment sub-region (Batista et 617 al., 2019), and tributaries (Tiecher et al., 2022). Despite the advances, the lack of 618 research in urban (Franz et al., 2014; Poleto et al., 2009), forest (Rodrigues et al., 2018; 619 620 Valente et al., 2020), and natural grassland catchments is still notable (Ramon, 2021).

621	Moreover, regions characterized by the widespread expansion of agricultural areas, such
622	as the Cerrado and Amazon Rainforest biomes, remain unexplored by sediment
623	fingerprinting studies. Nonetheless, research has consistently shown that despite the
624	increase in the area cultivated under no-till, croplands remain the primary source of
625	sediment in rural catchments in Brazil, demonstrating that the soil conservation
626	measures and practices implemented so far remain insufficient to reduce the transfer of
627	sediments to water bodies, together with the pollutants adsorbed therein (Tiecher et al.,
628	2017a; Bender et al., 2018; Barros et al., 2020). Furthermore, studies demonstrate that
629	unpaved roads supply a potentially significant source of sediment, despite occupying a
630	minor fraction of catchment surface areas, and that their construction must be better
631	planned in the landscape (Minella et al., 2008a; Poleto et al., 2009; Tiecher et al.,
632	2017b; Rodrigues et al., 2018; Valente et al., 2020; Amorim et al., 2021; Silva et al.,
633	2021; Ebling, 2022).
634	
635	[Insert Table 1]
636	
637	6. CATCHMENT STUDIES AND THEIR RELEVANCE FOR SCIENTIFIC
638	PRODUCTION AND PROFESSIONAL TRAINING
639	The long-term monitoring activities developed in the Arvorezinha catchment resulted in
640	significant academic and scientific production, demonstrating the socioeconomic
641	feasibility of these projects. The number of articles, dissertations, and theses published
642	in triennial periods using data obtained in the Arvorezinha experimental catchment is
643	shown in Figure 7. The Arvorezinha experimental catchment has proven to be an
644	effective tool for academic training and promoting scientific interactions and
645	collaborations. Besides the academic and scientific production, it is important to
646	emphasize that the project's actions also improved the quality of life of the families
647	residing in the catchment, considering the impacts of best soil management practices,
648	rural sanitation, and water quality improvements.
649	
650	[Insert Figure 7]
651	
652	In the project's early years (2001-2006), there were intense field activities. Besides the
653	monitoring, field surveys were needed to characterize the soils, relief, land use, and soil
654	management, which were essential for mathematical modeling. Despite the great efforts

made to consolidate the monitoring structure, scientific production was low during thisperiod.

657

The next three-year period (2007–2009) resulted in a cumulative curve of the research 658 659 products due to the accumulation of information necessary to describe the hydrological processes occurring at the catchment scale. During this period and the following one 660 661 (2010–2012), the main works involved the sediment fingerprinting method and its contribution to improving our understanding of erosion processes in the catchment. The 662 663 descriptive and exploratory analyses of the first studies (2001–2009) made it possible to integrate multiple factors of the erosion process. In these terms, the "sediment 664 665 fingerprinting approach" was essential to provide observational robustness to use 666 mathematical modeling and shed more light on the multiple integrated hydrological 667 processes. 668 669 From 2010 onwards, it is possible to observe a change in scientific and academic 670 production (Figure 8). In this period, the sediment fingerprinting studies targeted wider 671 objectives, seeking to improve tracing methods, highlighting the use of variables 672 derived from spectroscopy, and analyzing uncertainties associated with the number of 673 samples collected in the field. The scientific results obtained and the on-site 674 demonstrations of the techniques developed by other research groups stimulated the 675 development of similar projects in other experimental rural catchments across Brazil. 676 677 [Insert Figure 8] 678 679 After ten years of monitoring, combining information derived from different studies, 680 which involved the quantification of Q, SSC, and SY and sediment fingerprinting 681 contributed to using physically-based modeling and constructing a broad and, at the 682 same time, detailed panorama of soil erosion processes in the catchment. The studies 683 demonstrate the plurality of approaches by integrating multiple research groups with different backgrounds. 684 685 686 7. CONCLUDING REMARKS A vast array of people conquered the interdisciplinarity of research conducted in the 687

688 Arvorezinha catchment. Scientists, farmers, and rural extension staff, motivated by the

- 689 interest in implementing the results obtained during the monitoring period, contributed
- 690 to this project's success. By observing the advances that the Arvorezinha experimental
- 691 catchment has provided to the community, we can emphasize the following points:
- 692 1 Public investments in education and research generate incalculable returns in
- 693 professional training and useful results for socioeconomic and environmental
- 694 development.
- 695 2 The importance of installing and maintaining experimental catchments for basic
- training in hydrology, development of modern environmental monitoring techniques,
- 697 and improvement of mathematical modeling.
- 698 3 The importance of using complementary techniques, such as the "sediment
- 699 fingerprinting approach," along with traditional hydrological monitoring and
- 700 mathematical modeling methods to better understand the link between hydrological and
- rosion processes in response to catchment land use and soil management changes.
- 4 The importance of a long-term hydrologic monitoring period (frequently more than
- 10 years) to better evaluate the impacts of soil and water conservation projects
- considering that the adoption of conservationist practices by farmers is a gradual
- 705 process and subject to setbacks, as in the Arvorezinha catchment.
- 5- The relevance of national and international scientific collaboration between nationaland international reference groups.
- 708

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- 1084
- 1085
- 1086
- 1087

1088 **TABLE**

State Reference Catchment/River Area (km²) **Sediment sources** Cropland, Stream channel, Unpaved roads Minella et al. (2003: 2008: 2009: 2014): Arvorezinha RS 1.2 Minella and Merten (2011); Clarke and Minella (2016); Tiecher et al. (2015; 2016; 2017b; 2019; 2021) RS 4.80 Cropland, Stream channel, Unpaved roads Agudo Minella et al. (2007) Dilúvio RS 0.83 Paved road, Unpaved road, Stream channel Poleto et al., (2009) RS Cropland, Stream channel, Unpaved roads, Grasslands Júlio de Castilhos 0.80-1.43 Tiecher et al. (2014; 2017a) RS 0.98 Eldorado do Sul Eucalyptus, Stream channel, Unpaved roads Rodrigues et al. (2014, 2018) RS Eucalyptus, Stream channel, Unpaved roads, Pasture Valente et al. (2020) São Gabriel 0.83-1.10 RS 0.67-1.14 Crop fields, Unpaved roads, Stream channel XV de Novembro Ebling (2022) Posses MG 12 Cropland, Grassland, Unpaved roads, Subsoil Batista et al. (2019) RS Guarda-Mor 18.5 Forest, Stream channel, Topsoil, Unpaved roads Dambroz et al. (2022) RS 20 Topsoil, Stream channel, Unpaved roads Vacacaí-Mirim Miguel et al. (2014) Conceição RS 804 Cropland, Stream channel, Unpaved roads, Grassland, Gully Tiecher et al. (2018); Ramon et al. (2020) Paranoá lake DF 1,046 Cropland, Urban area, Semi-natural Franz et al. (2014) MG Upper catchment, Middle catchment, Lower catchment 1,200 Batista et al. (2019) Ingaí 2.032 Cropland, Stream channel, Unpaved roads Guaporé RS Tiecher et al. (2017c) Ferralsols, Nitisols, Acrisols, Leptosols, Luvisols le Gall et al. (2017) 2,857 Goiania PE Sugarcane, Unpaved roads, Cropland, Channel banks Amorim et al. (2021) RS Cropland, Native grasslands, Unpaved roads, Subsoil Ibirapuitã 5,942 Ramon (2021) RS Conceição River, Ibirapuitã River Ramon (2021) Uruguay Guaíba lake RS 85.139 Jacuí River, Caí Falls, Sinos River, Gravataí River Tiecher et al. (2022)

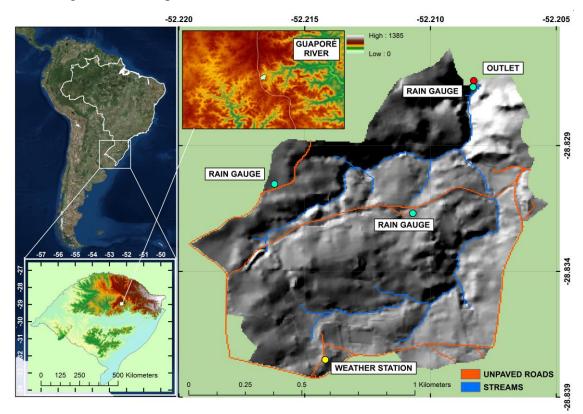
1089 Table 1. Sediment sources investigated and catchment name, size, and location where sediment fingerprinting studies were conducted in Brazil.

1090 RS, Rio Grande do Sul state; MG, Minas Gerais state; DF, Distrito Federal; PE, Pernambuco state.

1092

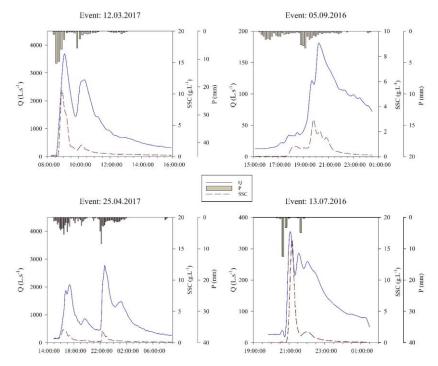
1093 **FIGURES**

- 1094 Figure 1. Location of the experimental Arvorezinha catchment in southern Brazil and
- 1095 monitoring network (adapted from Minella et al., 2018a).

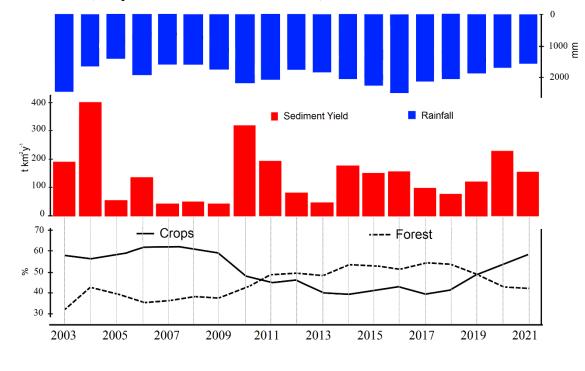


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- 1097 Figure 2. Pluviographs, hydrographs, sedigraphs described in the experimental
- 1098 catchment of Arvorezinha during significant rainfall events (Menezes et al. 2020).

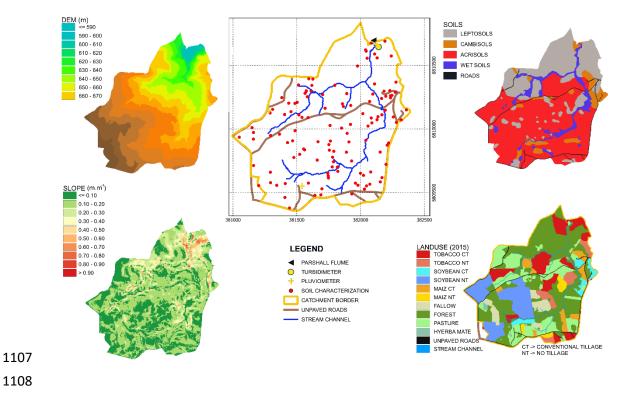


- 1101 Figure 3. Estimated annual sediment yield from 2002 to 2021 in the Arvorezinha
- 1102 catchment (Adapted from Minella et al., 2018a).



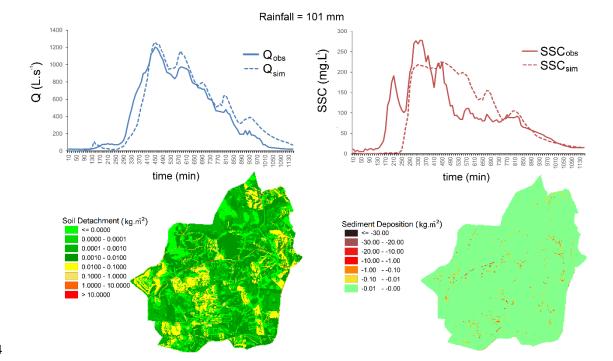
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Figure 4. Digital elevation model, slope, land use, and soil database used for modelingapplications in the Arvorezinha catchment.



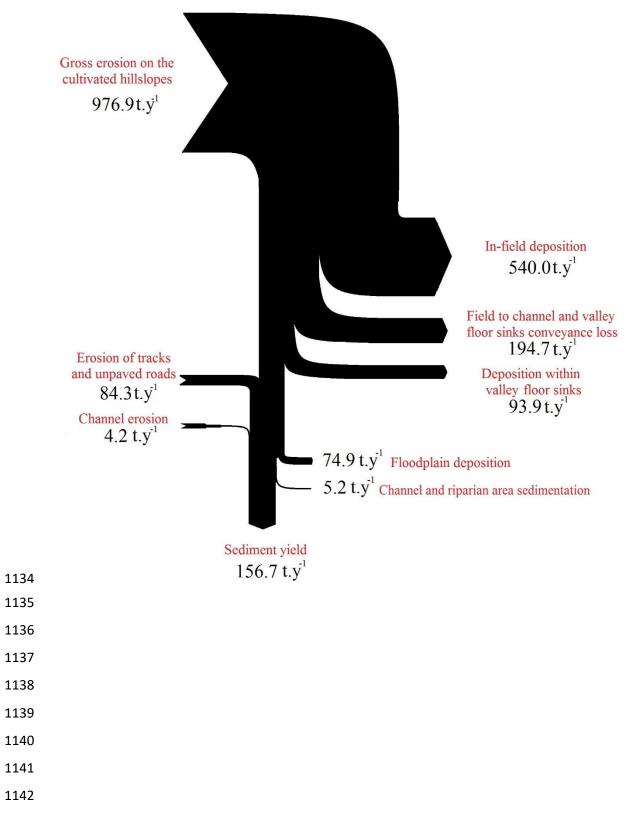
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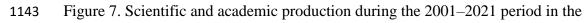
- 1109 Figure 5. Typical results (hydrograph and sedigraph at the catchment outlet; soil
- 1110 detachment and sediment deposition across the area) obtained from the LISEM model
- 1111 in the Arvorezinha Catchment. Q_{obs} = streamflow observed, Q_{sim} = streamflow
- simulated, SSC_{obs} = suspended sediment concentration observed, SSC_{sim} = suspended
- 1113 sediment concentration simulated.

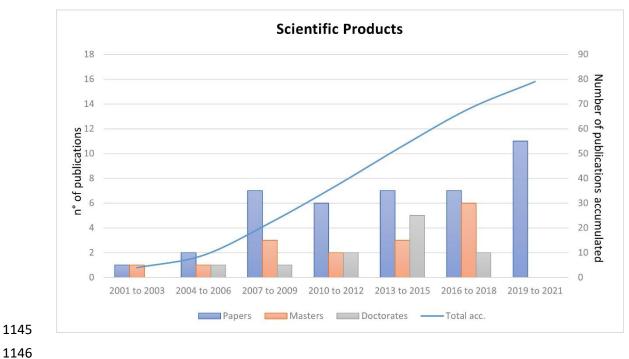


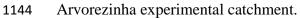
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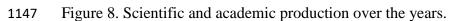
- 1130 Figure 6. Sediment budget determination in the Arvorezinha catchment from coupling
- the results of sediment yield monitoring, sediment source identification, and estimation
- 1132 of erosion and deposition rates based on 137Cs inventories (adapted from Minella et al.,
- 1133 2008a).

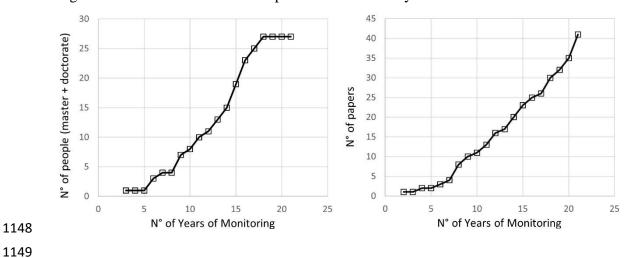












1150	SUPPLEMENTARY MATERIAL
1151	
1152	Challenges and recommendations for the installation and maintenance of
1153	experimental catchment based on the Arvorezinha experience
1154	1 – Objectives:
1155	• Clearly define the objectives of the hydrologic monitoring project. Watershed
1156	monitoring should help solve practical local problems and explore technical
1157	challenges of scientific interest.
1158	
1159	2 – Choosing a watershed to monitor and selection of appropriate scale:
1160	• The choice of monitoring section should be consistent with the project's
1161	objectives, the geomorphology of the streambed, the comfort and safety of the
1162	monitoring team, and ease of access during rainfall events.
1163	• Define the watershed's scale such that the flow rate and sediment concentration
1164	are sensitive to changes in soil use and management. The right scale is crucial to
1165	be able to observe the influence of the physical environment on the hydrologic
1166	processes of interest. The degree of spatial resolution of the controlling factors,
1167	for example, soil characteristics, relief, land use, and management, should be
1168	compatible with the modeling expectations and description of the key
1169	phenomena.
1170	• Plan to carry out the project for at least 10 years of monitoring. Results from the
1171	Arvorezinha experimental watershed demonstrated that 10 years were needed to
1172	show the effects of changes in soil management due to climactic variability
1173	(within and between years) and the occurrence of extreme weather events.
1174	Considering that most projects are funded for short periods (<5 years), it is vital
1175	to seek new sources of public or private funding.
1176	
1177	3 – Monitoring strategy:
1178	• Define the basic set of variables necessary to express the selected phenomena
1179	and the best strategy to collect this information. Define acceptable margins of
1180	error incurred during data collection and processing to ensure that the
1181	information generated leads to reliable results.

- Prioritize in-person hydrologic monitoring. One of the most important aspects of
 the Arvorezinha monitoring project involved stationing a technician at the
 monitoring site. This professional, trained as a hydrology technician, had the
 noble task of carrying out high quality data collection, as well as serving as a
 liaison between the researchers and farmers.
- 1187

1188 4 – Participation by the local community:

- Define the role of the different stakeholders of the monitoring project (farmers, rural extension agents, monitoring team). Plan activities that allow for continuous integration and communication between the monitoring team and the local community. In the case of Arvorezinha, students at the local school became the most important link between the rural extension agents, the monitoring team and the farming families.
- 1195

1196 5 – Interdisciplinary collaboration:

- Encourage interdisciplinary research activities. As part of the Arvorezinha monitoring project, professionals from different areas worked together to define the best strategies to characterize the environment, as well as the hydrologic and environmental monitoring. The main participants were water resources engineers, soil scientists, geographers, biologists and agronomists, permitting a rich convergence of ideas that worked together to improve the monitoring activities.
- Encourage international cooperation. The Arvorezinha monitoring project
 created different opportunities to host well-known researchers who contributed
 substantially to the quality of the research conducted over the years, with many
 graduate students having the opportunity to complete internships overseas. In
 turn, many students from abroad were able to engage in research at the
 Arvorezinha catchment, thus advancing the monitoring methodology and
 understanding of the hydrogeomorphological processes under study.