

# High-energy photoelectron spectroscopy of 6H-SiC wafer with Cr K $\alpha$ excitation

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Pierre-Marie Deleuze, Kateryna Artyushkova, Eugénie Martinez, Olivier Renault. High-energy photoelectron spectroscopy of 6H-SiC wafer with Cr K $\alpha$  excitation. Surface Science Spectra, 2022, 29 (1), pp.014006. 10.1116/6.0001512 . cea-03564237

# HAL Id: cea-03564237 https://cea.hal.science/cea-03564237

Submitted on 10 Feb 2022

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## HAXPES of 6H-SiC wafer with Cr K $\alpha$ excitation

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(Received day Month year; accepted day Month year; published day Month year)

A C-terminated SiC wafer was analyzed by high-energy photoelectron spectroscopy (HAXPES) using monochromatic Cr Ka (5414.8 eV) radiation. The data include a survey scan and highresolution spectra of Si 1s, Si 2s, Si 2p, C 1s and O 1s core-levels.

Keywords: SiC, HAXPES, Cr Ka

Accession#: 01713 Technique: XPS Host Material: SiC

Instrument: ULVAC-PHI

Quantes

**Major Elements in** Spectra: Si, C

Minor Elements in

Spectra: O

**Published Spectra:** 6 Spectra in Electronic

Record: 6

Spectral Category:

comparison

### INTRODUCTION

Beyond conventional uses in abrasive tool and hightemperature mechanics, SiC has emerged as one of the most suitable material for wide bandgap semiconductor technologies thanks to the availability of low-defects 6H-SiC wafers. For example, thanks to a low lattice mismatch, such wafers allow the growth of GaN which is a prime candidate for power transistor applications. 6H-SiC has already been studied using Mg Kα and Zr Lα photons (Ref. 1) as well as Al Kα radiation (Ref. 2) but never using higher energy lab sources.

In this work, we report HAXPES spectra recorded on a 6H-SiC wafer using monochromatic Cr Kα excitation at 5414.8 eV. The data contain a survey scan as well as high-resolution spectra of Si 1s, Si 2s, Si 2p, C 1s and O 1s core-levels.

#### SPECIMEN DESCRIPTION (ACCESSION # 01713)

Host Material: SiC

**CAS Registry #:** 409-21-2

Host Material Characteristics: Homogeneous; solid; single

crystal; semiconductor; semiconductor; Ceramic

**Chemical Name:** Silicon carbide

Source: Cree, Inc.

**Host Composition:** SiC

Form: SiC wafer

Structure: 6H hexagonal, C-terminated face History & Significance: Air exposed SiC wafer

As Received Condition: SiC wafer Analyzed Region: Same as host material

Ex Situ Preparation/Mounting: The sample was mounted on

the sample holder using double sided conductive tape.

In Situ Preparation: The sample was sputter cleaned by Ar+ ions (100 eV) for one hour prior to measurements to remove carbon and oxygen contamination.

Charge Control: Low-energy electrons (1 eV, filament 1.1 A)

and low-energy Ar<sup>+</sup> ions (10 eV) Temp. During Analysis: 300 K

Pressure During Analysis: < 2.10<sup>-7</sup> Pa Pre-analysis Beam Exposure: 0 s INSTRUMENT DESCRIPTION

Manufacturer and Model: ULVAC-PHI Quantes

Analyzer Type: spherical sector **Detector:** multichannel resistive plate **Number of Detector Elements: 32** 

#### INSTRUMENT PARAMETERS COMMON TO ALL **SPECTRA**

■Spectrometer

described above.

Analyzer Mode: constant pass energy

**Throughput (T=E^N):** The energy dependence can be modeled using the following equation:  $\frac{a^2}{E_n} = (\frac{a^2}{a^2 + R^2})^b$ , where a and b are constants, Ep is the pass energy, A is the peak area and R is the retard ratio equal to E/E<sub>p</sub>, where E is the kinetic energy. Three spectral regions are recorded on a sputter cleaned sample at different pass energies. The values of a and b are then determined by a linear least square fit of the data applying the equation

**Excitation Source Window: Al** 

**Excitation Source:** Cr  $K_{\alpha}$  monochromatic

Source Energy: 5414.8 eV Source Strength: 50 W

Source Beam Size: 100  $\mu$ m x 100  $\mu$ m

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Signal Mode: multichannel direct

**■**Geometry

Incident Angle: 22°

Source-to-Analyzer Angle: 46 °

**Emission Angle:** 45 °

Specimen Azimuthal Angle: 0 °

Acceptance Angle from Analyzer Axis:  $0^{\circ}$ Analyzer Angular Acceptance Width:  $20^{\circ}$  x  $20^{\circ}$ 

■Ion Gun

Manufacturer and Model: ULVAC-PHI Quantes

Energy: 10 and 100 eV Current:  $1.4 \times 10^{-5}$  mA

Current Measurement Method: Faraday cup

Sputtering Species: Ar

Spot Size (unrastered):  $100 \mu m$ 

Raster Size: N/A Incident Angle: 45 ° Polar Angle: 45 ° Azimuthal Angle: 45 °

**Comment:** Differentially pumped ion gun used for presputtering of the sample and to prevent reoxidation during the XPS analysis.

#### DATA ANALYSIS METHOD

**Energy Scale Correction:** The decrease of photoelectron cross sections in HAXPES (Refs. 3 and 4) leads to a very low adventitious C 1s intensity. Therefore, the binding energy was referenced to the Si 2p binding energy position measured with Al K $\alpha$  radiation after shifting the adventitious C 1s peak to 284.8 eV. Doing so, the Si 2p binding energy was 101.3 eV. The spectra recorded with the Cr K $\alpha$  source were then rescaled by shifting the Si 2p to 101.3 eV. Note that, in general, the accuracy of the procedure may be limited in case of large band bending of highly-doped SiC surfaces, which is not the case here. It is estimated that the maximum error on peak position is around 0.3 eV.

**Recommended Energy Scale Shift:** 1.7 eV for binding energy

**Peak Shape and Background Method:** Shirley background was employed to treat the data. No curve fitting was conducted on the spectra.

**Quantitation Method:** PHI Multipak software Version 9.9.0.8 was used to perform quantification. Empirically determined sensitivity factors (RSFs) were provided by the software. The RSFs were derived from the pure-element relative sensitivity factor as defined in ISO 18118:2015 (Ref 5) which were measured on pure element samples using a Cr  $K\alpha$  source. They therefore account for the decrease of cross-section and different escape depth of photoelectrons using higher energy photons. RSFs are reported proportional to the RSF of F 1s equal to 1. The reported concentrations were calculated using these RSFs corrected to include the transmission function and the asymmetry parameter.

#### **ACKNOWLEDGMENTS**

This work was performed at the Platform For NanoCharacterization (PFNC) of CEA-Leti with support from the Recherche Technologique de Base (RTB) program of the french ministery of research. The authors acknowledge the support of the PHI-Leti TANDEMS collaboration program.

#### DATA AVAILABILITY STATEMENT

The data that supports the findings of this study are available within the article and its supplementary material.

#### **REFERENCES**

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- 2. M. J. Bozack, Surf. Sci. Spectra 3, 82 (1994).
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- 4. M.B. Trzhaskovskaya and V.G. Yarzhemsky, At. Data Nucl. Data Tables 129-130, 101280 (2019).
- 5. International Organization for Standardization 2015, Surface chemical analysis Auger electron spectroscopy and X-ray photoelectron spectroscopy Guide to the use of experimentally determined relative sensitivity factors for the quantitative analysis of homogeneous materials, ISO 18118:2015.

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Scien	
Surface	

SPECTRAL FEATURES TABLE							
Spectrum ID #	Element/ Transition	Peak Energy (eV)	Peak Width FWHM (eV)	Peak Area (eV x cts/s)	Sensitivity Factor	Concentration (at. %)	Peak Assignment
01713-02	Si 1s	1841.2	1.60	15149	4.653	48.3	SiC
01713-03	Si 2s	152.6	1.90	1236	0.436		SiC
01713-04	Si 2p	101.3	1.58	413	0.110		SiC
01713-05	C 1s	283.5	1.40	565	0.199	49.8	SiC
01713-06	O 1s	532.5	0.58	68	0.589	1.9	Contamination

ANALYZER CALIBRATION TABLE							
Spectrum ID #	Element/ Transition	Peak Energy (eV)	Peak Width FWHM (eV)	Peak Area (eV x cts/s)	Sensitivity Factor	Concentration (at. %)	Peak Assignment
	Ag3d <sub>5/2</sub>	368.12	0.63	114999			
	Cu2p <sub>3/2</sub>	932.61	0.96	40205			
	Au4f <sub>7/2</sub>	83.89	0.78	100500	•••		

The spectra in the analyzer calibration table were recorded using Al  $K\alpha$  photons.

GUIDE TO FIGURES						
Spectrum (Accession) #	Spectral Region	Voltage Shift*	Multiplier	Baseline	Comment #	
01713-01	Survey	0	1	0		
01713-02	Si 1s	-1.7	1	0		
01713-03	Si 2s	-1.7	1	0		
01713-04	Si 2p	-1.7	1	0		
01713-05	C 1s	-1.7	1	0		
01713-06	O 1s	-1.7	1	0		

\*Voltage shift of the archived (as-measured) spectrum relative to the printed figure. The figure reflects the recommended energy scale correction due to a calibration correction, sample charging, flood gun, or other phenomenon.

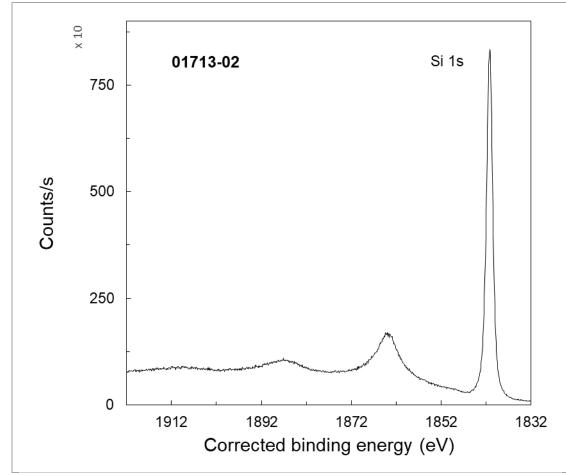




#### 160 x 100 This is the author's peer reviewed, accepted manuscript. However, the online version of record will be different from this version once it has been copyedited and typeset. PLEASE CITE THIS ARTICLE AS DOI: 10.1116/6.0001512 01713-01 Si 1s 140 120 100 Counts/s 2р 80 S Si KLL **2s** 60 S C 1s 40 20 0 3000 1000 2000 5000 4000 0 Binding energy (eV) Accession # 01713-01 **Host Material** SiC XPS **Technique Spectral Region** survey Instrument **ULVAC-PHI Quantes Excitation Source** Cr Ka monochromatic **Source Energy** 5414.8 eV **Source Strength** 50 W **Source Size** 0.1 mm x 0.1 mm **Analyzer Type** spherical sector analyzer 22° **Incident Angle Emission Angle** 45° **Analyzer Pass Energy** 280 eV 2.33 eV **Analyzer Resolution Total Signal Accumulation Time** 5240 s **Total Elapsed Time** 5760 s 10 **Number of Scans Effective Detector Width** 31 eV







■ Accession #: 01713-02

■ Host Material: SiC

■ Technique: XPS

■ Spectral Region: Si 1s Instrument: ULVAC-PHI

Quantes

Excitation Source:  $Cr K_{\alpha}$  monochromatic Source Energy: 5414.8 eV Source Strength: 50 W

Source Size: 0.1 mm x 0.1 mm Analyzer Type: spherical sector

Incident Angle: 22 ° Emission Angle: 45 °

Analyzer Pass Energy 112 eV Analyzer Resolution: 1.17 eV Total Signal Accumulation

Time: 3684 s

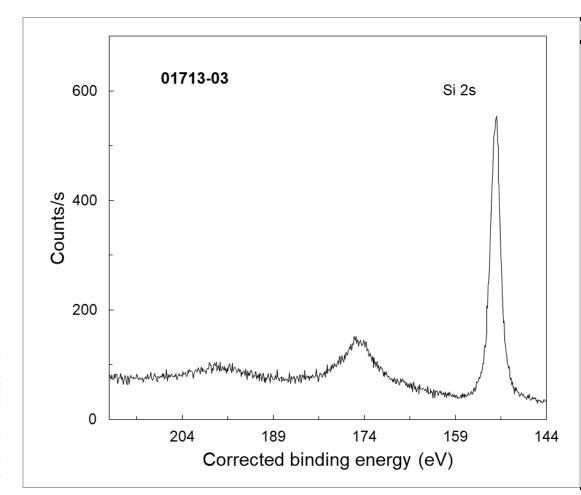
Total Elapsed Time: 4056 s

Number of Scans: 9

Effective Detector Width: 12.4



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■ Accession #: 01713-03

■ Host Material: SiC■ Technique: XPS

■ Spectral Region: Si 2s Instrument: ULVAC-PHI

Quantes

Excitation Source:
Cr K<sub>α</sub> monochromatic
Source Energy: 5414.8 eV
Source Strength: 50 W

Source Size: 0.1 mm x 0.1 mm

Analyzer Type: spherical sector

Incident Angle: 22 ° Emission Angle: 45 °

Analyzer Pass Energy 112 eV Analyzer Resolution: 1.17 eV

Total Signal Accumulation

Time: 4050 s

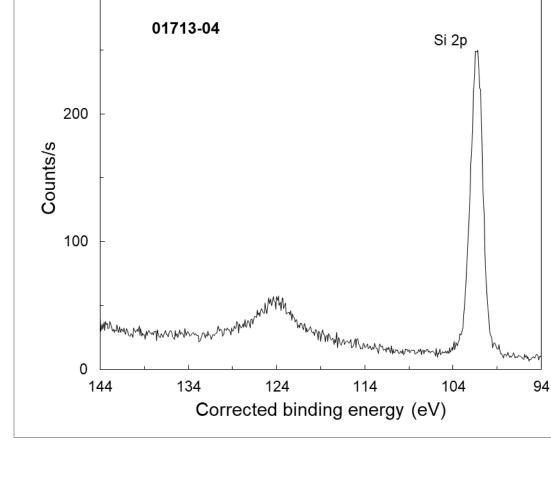
Total Elapsed Time: 4458 s

Number of Scans: 12

Effective Detector Width: 12.4

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300



■ Accession #: 01713-04

■ Host Material: SiC

■ Technique: XPS ■ Spectral Region: Si 2p

Instrument: ULVAC-PHI

Quantes

Excitation Source:  $Cr K_{\alpha}$  monochromatic Source Energy: 5414.8 eV Source Strength: 50 W

Source Size: 0.1 mm x 0.1 mm

Analyzer Type: spherical sector

Incident Angle: 22 ° Emission Angle: 45 °

Analyzer Pass Energy 112 eV Analyzer Resolution: 1.17 eV Total Signal Accumulation

Time: 3744 s

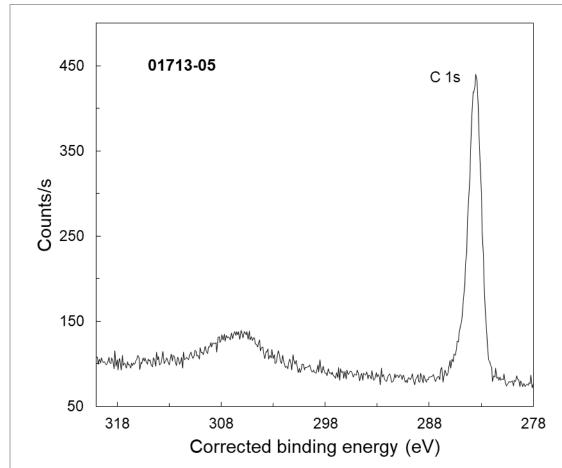
Total Elapsed Time: 4122 s

Number of Scans: 17

Effective Detector Width: 12.4



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■ Accession #: 01713-05
■ Host Material: SiC
■ Technique: XPS
■ Spectral Region: C 1s

Instrument: ULVAC-PHI Quantes

Excitation Source:  $Cr K_{\alpha}$  monochromatic Source Energy: 5414.8 eV Source Strength: 50 W

Source Size: 0.1 mm x 0.1 mm

Analyzer Type: spherical sector

Incident Angle: 22 ° Emission Angle: 45 °

Analyzer Pass Energy 112 eV
Analyzer Resolution: 1.17 eV
Total Signal Accumulation

Time: 3918 s

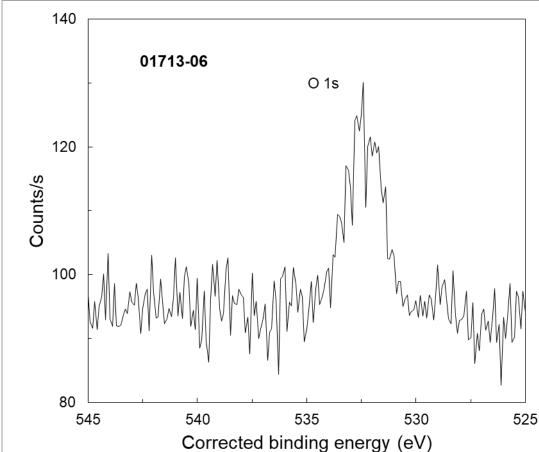
Total Elapsed Time: 4314 s

Number of Scans: 20

Effective Detector Width: 12.4



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■ Accession #: 01713-06 ■ Host Material: SiC

■ Technique: XPS

■ Spectral Region: O 1s Instrument: ULVAC-PHI

Quantes

Excitation Source:
Cr K<sub>α</sub> monochromatic
Source Energy: 5414.8 eV
Source Strength: 50 W

Source Size: 0.1 mm x 0.1 mm

Analyzer Type: spherical sector

Incident Angle: 22 ° Emission Angle: 45 °

Analyzer Pass Energy 112 eV Analyzer Resolution: 1.17 eV Total Signal Accumulation

Time: 3108 s

Total Elapsed Time: 3426 s

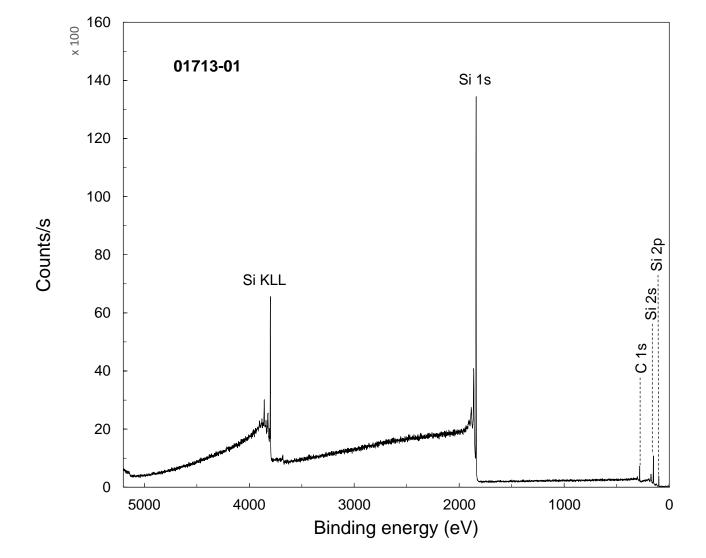
Number of Scans: 15

Effective Detector Width: 12.4



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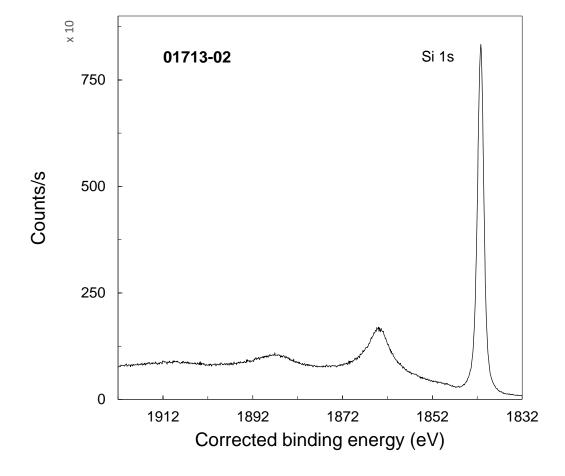
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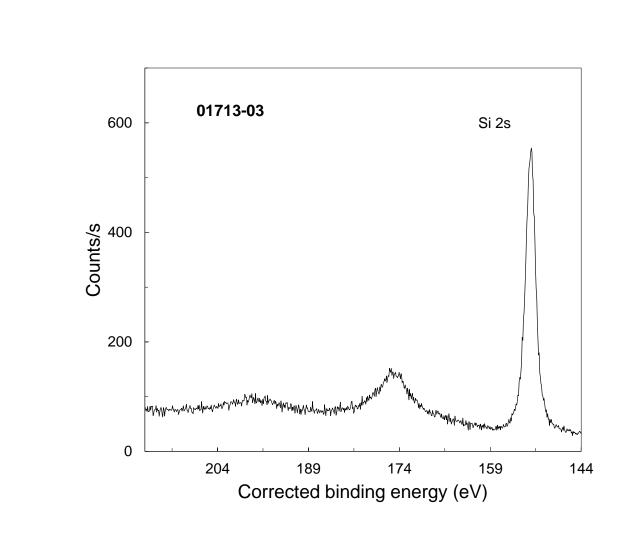
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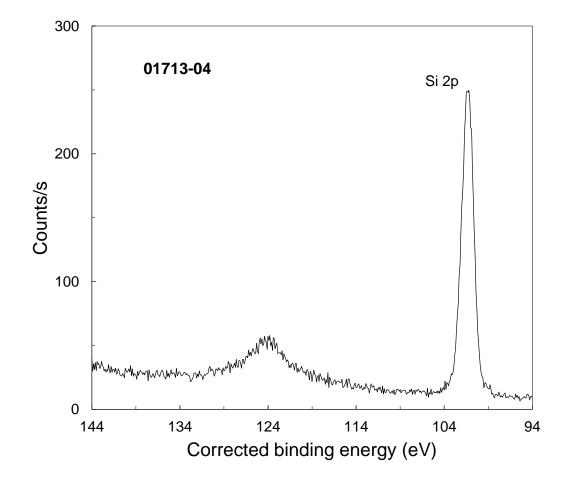
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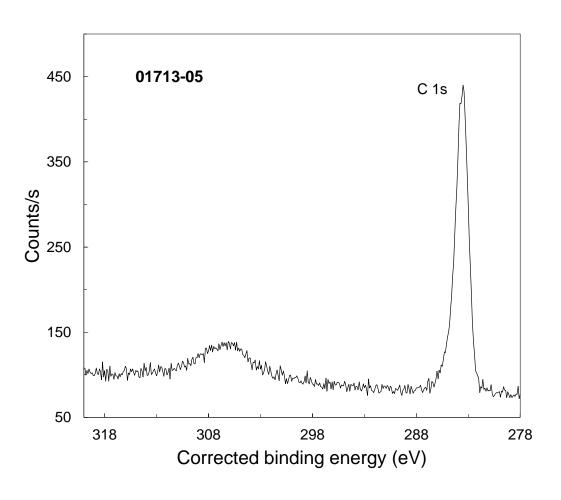






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