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## Pharmacokinetic neuroimaging to study the dose-related brain kinetics and target engagement of buprenorphine *in vivo*

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### ► To cite this version:

Sylvain Auvity, Sébastien Goutal, Fabien Caillé, Dominique Vodovar, Alain Pruvost, et al.. Pharmacokinetic neuroimaging to study the dose-related brain kinetics and target engagement of buprenorphine *in vivo*. *Neuropsychopharmacology*, 2021, 46 (6), pp.1220-1228. 10.1038/s41386-021-00976-w . cea-03215261

**HAL Id: cea-03215261**

**<https://cea.hal.science/cea-03215261v1>**

Submitted on 3 May 2021

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1

2 **Abstract (250 words)**

3 A wide range of buprenorphine doses are used for either pain management or maintenance  
4 therapy in opioid addiction. The complex *in vitro* profile of buprenorphine, with affinity for  $\mu$ -,  
5  $\delta$ - and  $\kappa$ -opioid receptors (OR), makes it difficult to predict its dose-related  
6 neuropharmacology *in vivo*. In rats, microPET imaging and pretreatment by OR antagonists  
7 were performed to assess the binding of radiolabeled buprenorphine (microdose  $^{11}\text{C}$ -  
8 buprenorphine) to OR subtypes *in vivo* (n=4 per condition). The  $\mu$ -selective antagonist  
9 naloxonazine (10 mg/kg) and the non-selective OR-antagonist naloxone (1 mg/kg) blocked  
10 the binding of  $^{11}\text{C}$ -buprenorphine while pretreatment by the  $\delta$ -selective (naltrindole, 3 mg/kg)  
11 or the  $\kappa$ -selective antagonist (norbinaltorphimine, 10 mg/kg) did not. In four macaques, PET  
12 imaging and kinetic modeling enabled description of the regional brain kinetics of  $^{11}\text{C}$ -  
13 buprenorphine, co-injected with increasing doses of unlabeled buprenorphine. No saturation  
14 of the brain penetration of buprenorphine was observed for doses up to 0.11 mg/kg. Regional  
15 differences in buprenorphine-associated receptor occupancy were observed. Analgesic  
16 doses of buprenorphine (0.003 and 0.006 mg/kg) respectively occupied 20% and 49% of  
17 receptors in the thalamus while saturating the low but significant binding observed in  
18 cerebellum and occipital cortex. Occupancy >90% was achieved in most brain regions with  
19 plasma concentrations >7  $\mu\text{g/L}$ . PET data obtained after co-injection of an analgesic dose of  
20 buprenorphine (0.003 mg/kg) predicted the binding potential of microdose  $^{11}\text{C}$ -  
21 buprenorphine. This strategy could be further combined with pharmacodynamic exploration  
22 or pharmacological MRI to investigate the neuropharmacokinetics and neuroreceptor  
23 correlate, at least at  $\mu$ -OR, of the acute effects of buprenorphine in humans.

24

## 1 Introduction

2 The thebaine derivative buprenorphine is a semi-synthetic opioid of the phenanthrene family  
3 [1]. Low-dose buprenorphine offers potent analgesia for the treatment of moderate to severe  
4 pain in patients. Compared with other opioids, buprenorphine benefits from a unique safety  
5 profile, with limited risk for respiratory depression and overdose. High-dose buprenorphine is  
6 therefore approved for addiction maintenance therapy in the management of opioid use  
7 disorders with a growing interest in the context of the current opioid crisis [1–3].

8 *In vitro*, buprenorphine is one of the most affine ligand of the human  $\mu$ -opioid receptor ( $\mu$ -OR,  
9  $K_i=0.9$  nM) and was compared with other opioid such as naloxone ( $K_i=14$  nM), morphine  
10 ( $K_i=74$  nM) or oxycodone ( $K_i=780$  nM) in the same conditions [4,5]. *In vitro*, buprenorphine is  
11 also far more potent than morphine at stimulating  $\mu$ -OR, with half-maximal effective  
12 concentration ( $EC_{50}$ ) $<0.1$  nM and 130 nM for buprenorphine and morphine, respectively,  
13 although buprenorphine shows lower maximum efficacy than morphine in mediating  $\mu$ -OR  
14 coupling [4]. Buprenorphine was therefore classified as a highly potent but partial agonist of  
15  $\mu$ -OR [1,6]. Buprenorphine shows a slow dissociation rate from  $\mu$ -OR, assumed to account  
16 for prolonged occupancy and duration of action *in vivo* [7,8]. Buprenorphine is also described  
17 as antagonist of  $\kappa$ -OR and  $\delta$ -OR, and agonist of nociceptin/ORL-1 receptors [9,10]. It is  
18 therefore difficult to predict the *in vivo* dynamics of the interaction of buprenorphine with its  
19 CNS targets from this complex *in vitro* profile.

20 There are still discrepancies in the description of the neuropharmacology of buprenorphine  
21 [9,11]. *In vivo*, buprenorphine benefits from limited respiratory effects at high doses [12]. A  
22 “ceiling” or “inverted U-shape” analgesic dose-response has been described in animals [13].  
23 However, in patients, buprenorphine shows a dose-dependent analgesic effect similar than  
24 that of full agonists [11]. Peripheral pharmacokinetics of buprenorphine is well established in  
25 humans [14]. Norbuprenorphine (*N*-dealkyl-buprenorphine) is the predominant metabolite  
26 and shows negligible blood-brain barrier (BBB) penetration compared with buprenorphine  
27 [15]. Its relatively short elimination half-life of  $\sim 3$ h contrasts with its prolonged duration of  
28 action [1,11,14], suggesting particular brain kinetics.

29 Pharmacological Positron Emission Tomography (PET) imaging uses target-specific  
30 radioligands to capture the target engagement associated with one controlled plasma level of  
31 the investigated drug [16]. The  $\mu$ -OR-selective radioligand  $^{11}\text{C}$ -carfentanil [17] was used in  
32 healthy volunteers and heroin-dependent patients to estimate the extent and duration of  $\mu$ -  
33 OR occupancy associated with high-doses of buprenorphine (2-16 mg, sublingual route) [18–  
34 20]. Data regarding receptor occupancy associated with acute administration of analgesic  
35 doses of buprenorphine (0.3-0.6 mg) are still lacking. Interestingly, isotopic radiolabeling of

1 buprenorphine is feasible [21]. This provides a unique opportunity for direct determination of  
2 the brain kinetics of buprenorphine, at its site of action, a strategy named pharmacokinetic  
3 imaging [22]. Moreover, pharmacological doses of buprenorphine, instead of microdose  
4 usually encountered in PET studies, can be safely used to mimic the clinical situation in  
5 terms of pharmacokinetics and pharmacodynamics.

6 In the present study, pharmacokinetic imaging using  $^{11}\text{C}$ -buprenorphine was performed to  
7 explore the neuropharmacology of buprenorphine *in vivo*. Blocking experiments were  
8 performed to address the binding of  $^{11}\text{C}$ -buprenorphine to  $\mu$ -,  $\delta$ - and  $\kappa$ -OR in rats.  $^{11}\text{C}$ -  
9 buprenorphine PET imaging was then performed in macaques to assess the regional  
10 neuropharmacokinetics and receptor occupancy of buprenorphine associated with a wide  
11 range of buprenorphine doses, that covers its clinical use in both analgesia and addiction  
12 maintenance.

13

## 1 **Material and Methods**

### 2 **1. Chemicals**

3 Buprenorphine hydrochloride for i.v. injection (0.3 mg/mL) was obtained from Axience  
4 (Pantin, France). Naloxone hydrochloride for i.v. injection (0.4 mg/mL) was obtained from  
5 Aguetant (Lyon, France). Naloxonazine and norbinaltorphimine were obtained from Sigma-  
6 Aldrich (Saint-Quentin Fallavier, France) and naltrindole from Tocris (Noyal-Chatillon sur  
7 Sèche, France). Ketamine was obtained from Virbac (Caros, France). Propofol was  
8 purchased from Fresenius laboratory (Sèvres, France). Isoflurane was obtained from Abbvie  
9 (Rungis, France). <sup>11</sup>C-Buprenorphine was synthesized in-house according to the method  
10 described by Lever et al. [21] with slight modifications (see supplemental material).

### 11 **2. Animals**

12 All animal use procedures were in accordance with the recommendations of the European  
13 Community for the care and use of laboratory animals (2010/63/UE) and the French National  
14 Committees (French Decret 2013-118). Experimental protocols were validated by a local  
15 ethics committee for animal use (CETEA/A15-002 and A18-065) and approved by the french  
16 government. Rodent experiments were conducted in male Sprague-Dawley rats (224±43g).  
17 Each rat underwent a single PET experiment. Four adult male rhesus macaques (*Macaca*  
18 *Mulatta*; 8.4±3.4 kg in weight during the study) were obtained from Silabe (Simian Laboratory  
19 Europe, France). A minimum interval of 2 weeks was respected between two scans in the  
20 same individual.

### 21 **3. Binding of <sup>11</sup>C-buprenorphine to OR subtypes in rats**

#### 22 *MicroPET imaging*

23 <sup>11</sup>C-buprenorphine brain PET acquisitions were performed using an Inveon microPET  
24 scanner (Siemens Medical Solutions, France). Anesthesia was induced and thereafter  
25 maintained using 3% and 1.5–2.5% isoflurane in O<sub>2</sub>, respectively. A catheter was inserted in  
26 a lateral caudal vein for intravenous (i.v.) injection of tested OR-antagonists when necessary.  
27 Microdose <sup>11</sup>C-buprenorphine (34±7 MBq, 3±2 µg, mean molar activity at time of injection  
28 MA<sub>inj</sub>=8.2±4.4 GBq.µmol<sup>-1</sup>) was then injected in the same catheter.

#### 29 *Pharmacological challenges*

30 Blocking experiments were performed to investigate the binding of <sup>11</sup>C-buprenorphine to  
31 different OR subtypes in the living brain (n=4 per condition). PET acquisitions were  
32 performed without or after previously reported blocking conditions using the non-selective  
33 OR antagonist naloxone (1 mg/kg i.v., 5 min before <sup>11</sup>C-buprenorphine injection) [23], the

1 selective  $\mu$ -OR antagonist naloxonazine (10 mg/kg i.v., 5 min before  $^{11}\text{C}$ -buprenorphine  
2 injection) [24], the selective  $\kappa$ -OR antagonist norbinaltorphimine (10 mg/kg intraperitoneal  
3 injection, 30 min before  $^{11}\text{C}$ -buprenorphine injection) [25] and the selective  $\delta$ -OR antagonist  
4 natriindole (3 mg/kg i.v., 5 min before  $^{11}\text{C}$ -buprenorphine injection) [26].

#### 5 *Data analysis*

6 MicroPET images were reconstructed as previously described [27]. Late brain PET images  
7 acquired 40-60min after  $^{11}\text{C}$ -buprenorphine injection were coregistered to the Schiffer rat  
8 brain template using PMOD software V3.9 (PMOD Technologies, Zürich, Switzerland).  
9 Cerebellum was shown devoid of  $\mu$ - and  $\delta$ -OR with limited expression of  $\kappa$ -OR in rats [28].  
10 Regional uptake ratios (region/cerebellum) were calculated in baseline and blocking  
11 conditions to take any change in peripheral pharmacokinetics of  $^{11}\text{C}$ -buprenorphine into  
12 account.

### 13 **4. Target engagement of buprenorphine in macaques**

#### 14 *Co-injection study*

15 Further PET experiments were performed in macaques to allow for accurate arterial blood  
16 sampling during PET acquisition. First, microdose  $^{11}\text{C}$ -buprenorphine was i.v. injected,  
17 followed by a 90 min brain PET acquisition. Then, the dose-dependent receptor occupancy  
18 associated with therapeutic doses was addressed using a co-injection strategy. Increasing  
19 doses of unlabeled buprenorphine (0; 0.003; 0.006; 0.03; 0.06 and 0.011 mg/kg, equivalent  
20 to human doses ranging from 0 to 8 mg/70 kg, n=4 per dose) were mixed in the syringe  
21 containing microdose  $^{11}\text{C}$ -buprenorphine (8.34 $\pm$ 3.85  $\mu\text{g}$ ). The preparation was i.v. injected at  
22 the start of dynamic PET acquisition (90 min).

#### 23 *Acquisition procedure*

24 First, each monkey underwent an anatomical T1-weighted brain MR scan using an Achieva  
25 1.5T scanner (Philips Healthcare, Suresnes, France) under ketamine anesthesia  
26 (intramuscular injection, i.m.). PET acquisitions were performed on a HR+ Tomograph  
27 (Siemens Healthcare, Knoxville, TN, USA) in anesthetized macaques as previously  
28 described [29]. Briefly, the macaque received ketamine (10 mg/kg, i.m.) to induce  
29 anesthesia. After intubation in supine position, venous catheters were inserted for radiotracer  
30 injection (sural vein), propofol infusion (sural vein) and drug injection for the displacement  
31 experiments (brachial vein). Another catheter was inserted into the femoral artery for arterial  
32 blood sampling. Macaques were positioned under the camera before administration of a 2  
33 mL i.v. bolus of propofol followed by a 1 mL/kg/h i.v. infusion under oxygen ventilation.  
34 Macaques were i.v. injected with microdose  $^{11}\text{C}$ -buprenorphine (241 $\pm$ 42 MBq,  $\text{MA}_{\text{inj}}=13.5\pm 5.1$

1 GBq/ $\mu\text{mol}$ ). Increasing doses of unlabeled buprenorphine were added to  $^{11}\text{C}$ -buprenorphine  
2 microdose for the co-injection study. Physiological monitoring, including heart rate, oxygen  
3 saturation ( $\text{SpO}_2$ ), respiratory rate, and end-tidal  $\text{CO}_2$ , was performed throughout the duration  
4 of the PET scan.

#### 5 *Imaging data reconstruction and segmentation*

6 A post-reconstruction method was performed on dynamic PET image for noise reduction and  
7 improved spatial resolution (see supplemental material) [30,31]. PET data were then  
8 analyzed using PMOD software. PET images were coregistered to corresponding T1-weighted  
9 MR images for each macaque. A macaque T1-weighted MR template [32] was normalized  
10 onto individual MR images. Transformation matrices were then applied to the segmentation  
11 obtained from the template to generate time-activity curves in 12 selected brain structures.

### 12 **5. Arterial Input Function and Metabolism**

13 During PET acquisition, arterial blood samples (500  $\mu\text{L}$ ) were withdrawn at selected times  
14 after radiotracer injection. Samples were centrifuged (5 min; 2,054g; 4°C) and the  
15 supernatant (200  $\mu\text{L}$ ) was gamma-counted for total plasma radioactivity. Additional plasma  
16 samples were withdrawn at 0; 5; 10; 15; 30; 60 and 90 min to measure both *i*) the percentage  
17 of parent (unmetabolized)  $^{11}\text{C}$ -buprenorphine using radio-HPLC and a state-of-the-art  
18 methodology [33] and *ii*) the total concentration of buprenorphine in plasma using mass  
19 spectrometry, after radioactive decay. The fraction of parent  $^{11}\text{C}$ -buprenorphine in each  
20 sample was used to generate the metabolite-corrected arterial input function for  
21 pharmacokinetic modeling of each PET experiment (see supplemental material, Fig. S1).

### 22 **6. Pharmacokinetic modeling**

23 Kinetics of radioactivity in the brain and in plasma samples were decay-corrected and  
24 expressed as the percentage of injected dose of radioactivity per volume ( $\%ID.\text{cm}^{-3}$ ). Kinetic  
25 modeling was performed considering the metabolite-corrected arterial input function. The  
26 initial transfer rate of  $^{11}\text{C}$ -buprenorphine from plasma into the brain ( $K_1$ ) was estimated using  
27 the graphical plot analysis, as previously described [27] (see supplemental material, Fig. S2).  
28 The brain distribution of  $^{11}\text{C}$ -buprenorphine ( $V_T$ ;  $\text{mL}.\text{cm}^{-3}$ ) was estimated using the Logan plot  
29 graphical method [34]. Parametric images ( $V_T$  unit) were generated using PMOD to display  
30 the regional brain distribution of  $^{11}\text{C}$ -buprenorphine in tested conditions (Fig. 2).

31 Brain data obtained with  $^{11}\text{C}$ -buprenorphine co-injected with the maximal dose of unlabeled  
32 buprenorphine (0.11 mg/kg) were used to estimate the non-specific binding of  $^{11}\text{C}$ -  
33 buprenorphine (saturation scan) and define the non-displaceable volume of distribution  
34 ( $V_{\text{ND,saturation}}$ ) in each region for each animal. Regional  $V_{\text{ND,saturation}}$  were compared with



1 graphically estimated  $V_{ND,graphical}$  (Table 1 and supplemental material, Fig. S3). For each scan,  
2 the specific binding of  $^{11}C$ -buprenorphine in each brain region was estimated as the binding  
3 potential relative to plasma ( $BP_p$ ) [35] with:

$$BP_p = V_T - V_{ND,saturation}$$

4  
5  $BP_p$  estimated in microdose scans ( $BP_{p,microdose}$ ) was used to estimate the receptor occupancy  
6 associated with each pharmacological dose of unlabeled buprenorphine as follow [35]:

$$\text{Receptor Occupancy (\%)} = (BP_{p,microdose} - BP_{p,dose}) / BP_{p,microdose} \times 100$$

7  
8 The occipital cortex showed the lowest PET signal and was used as pseudo-reference tissue  
9 to estimate the regional binding of  $^{11}C$ -buprenorphine without arterial blood sampling (DVR,  
10 Logan reference method) [36]. Occipital cortex commonly serves as a reference region for  
11 quantification of PET radioligands targeting  $\mu$ -OR in humans and monkeys [18,19,37,38].  $BP_p$   
12 and DVR are unitless values.

13 For each region and for each scan, the receptor occupancy of  $^{11}C$ -buprenorphine was fitted  
14 to the corresponding plasma concentration of buprenorphine, measured from 60 to 90 min  
15 post-injection. Occupancy associated with plasma concentrations of buprenorphine obtained  
16 with the 0.11 mg/kg dose were set to 100%. A non-linear fit model of saturation with one  
17 binding site was used to estimate *i*) the plasma concentration of buprenorphine associated  
18 with regional half-maximum receptor occupancy ( $EC_{50}$ ) and *ii*) the receptor occupancy  
19 associated with selected plasma levels of buprenorphine (GraphPad Prism software V7.0,  
20 San Diego, CA, USA) (see supplemental material, Fig S4, Table 1).

## 21 **7. Displacement experiments in nonhuman primates**

22 Additional experiments were performed to address the reversibility of  $^{11}C$ -buprenorphine  
23 binding to CNS targets. Displacement experiments were performed in 3 macaques and  
24 consisted in the injection of unlabeled buprenorphine (0.03 mg/kg) or naloxone (0.22 mg/kg),  
25 30 min after  $^{11}C$ -buprenorphine injection. The selected dose of naloxone is the maximum  
26 recommended dose as an antidote against opioid overdose in humans [39]. Methods and  
27 results of displacement experiments are reported as supplemental material (Fig. S5).

## 28 **8. Statistical analysis**

29 Statistical comparison between conditions was performed using GraphPad Prism. Outcome  
30 parameters were compared using a 2-way ANOVA and the Tukey's post-hoc test. A result  
31 was deemed significant when a 2-tailed p value was less than 0.05.

32

## 1 Results

### 2 1. Binding of $^{11}\text{C}$ -buprenorphine to OR subtypes in rats

3 Baseline brain distribution of  $^{11}\text{C}$ -buprenorphine showed high PET signal in the thalamus,  
4 striatum and hypothalamus with the lowest PET signal in the cerebellum. Significant  
5 differences in uptake ratios were observed across brain regions ( $p < 0.001$ , Fig. 1). Blocking  
6 experiments using the non-selective OR antagonist naloxone, used as positive control,  
7 significantly decreased  $^{11}\text{C}$ -buprenorphine binding in most brain regions, reaching similar  
8 levels than in the cerebellum ( $p > 0.05$ ). Blocking by the selective  $\mu$ -OR antagonist  
9 naloxonazine produced similar effects than naloxone. The binding of  $^{11}\text{C}$ -buprenorphine was  
10 not significantly decreased by selective blocking of  $\kappa$ -OR (norbinaltorphimine) and  $\delta$ -OR  
11 (naltrindole) (Fig. 1).

### 12 2. Co-injection study in macaques

13 PET images obtained in monkeys injected with microdose  $^{11}\text{C}$ -buprenorphine are shown in  
14 Fig. S6. The PET signal slowly accumulated in OR-rich regions such as the putamen,  
15 caudate and thalamus. The maximum brain concentration was  $0.0255 \pm 0.0052 \text{ \%ID.cm}^{-3}$  at  
16  $t_{\text{max}} = 22.5 \text{ min}$ . Regions with minimal OR expression (cerebellum and occipital cortex)  
17 reached their maximum concentration earlier ( $t_{\text{max}} = 6.6 \text{ min}$ ) with faster decrease of the  
18 radioactivity (Fig. S5).

19 Then,  $^{11}\text{C}$ -buprenorphine was co-injected with increasing doses of unlabeled buprenorphine  
20 up to  $0.11 \text{ mg/kg}$  (Fig. 2). Buprenorphine doses were well tolerated and no change in  
21 physiological parameters was observed. Selected doses of unlabeled buprenorphine did not  
22 impact the metabolism and plasma kinetics of  $^{11}\text{C}$ -buprenorphine with no difference in  
23 plasma exposure ( $p > 0.05$ , Fig S1). This suggests a linear pharmacokinetics for  
24 buprenorphine in plasma within the tested dose range. The plasma concentrations of  
25 unlabeled buprenorphine estimated from 60 to 90 min ranged from  $0.10 \pm 0.08 \text{ }\mu\text{g/L}$   
26 (microdose condition) to  $11.56 \pm 2.94 \text{ }\mu\text{g/L}$  ( $0.11 \text{ mg/kg}$  condition) and were significantly  
27 correlated with injected dose (Fig. S7).

28 Kinetic modeling was performed to estimate  $^{11}\text{C}$ -buprenorphine distribution to brain regions in  
29 the presence of increasing doses of unlabeled buprenorphine. Co-injection of unlabeled  
30 buprenorphine up to  $0.11 \text{ mg/kg}$  did not impact the  $K_1$  of  $^{11}\text{C}$ -buprenorphine from plasma into  
31 the brain ( $p > 0.05$ , Fig. S3). There was no difference in  $K_1$  between brain regions ( $p > 0.05$ ).  
32 Parametric mapping of  $V_T$  obtained using microdose  $^{11}\text{C}$ -buprenorphine showed significant  
33 differences in regional  $V_T$  between OR-rich brain regions such as the thalamus, striatal and

1 cortical regions and OR-poor regions such as the cerebellum and occipital cortex ( $p < 0.01$ ,  
2 Fig. 2).

3 The lowest dose of unlabeled buprenorphine (0.003 mg/kg) was sufficient to saturate  $^{11}\text{C}$ -  
4 buprenorphine binding in the cerebellum and occipital cortex. OR-rich regions showed a  
5 dose-dependent decrease in  $V_T$ , with a maximal 2.5-fold decrease observed in the putamen  
6 obtained using the 0.06 mg/kg dose (Fig. 2). Higher dose (0.11 mg/kg) did not further  
7 decrease  $V_T$ , suggesting complete saturation of buprenorphine brain targets at the 0.06  
8 mg/kg dose.  $^{11}\text{C}$ -buprenorphine-associated radioactivity at doses higher than 0.06 mg/kg  
9 predominantly reflected the non-specific binding of  $^{11}\text{C}$ -buprenorphine and there was no  
10 difference in regional  $V_T$  across brain regions at either 0.06 mg/kg or 0.11 mg/kg ( $p > 0.05$ ).  
11 We found a strong correlation between  $V_{\text{ND,saturation}}$  and  $V_{\text{ND,graphical}}$  ( $p < 0.001$ ,  $R^2 = 0.997$ , Table  
12 1, Fig. S3).  $V_T$  estimated at 0.11 mg/kg therefore provides a good estimate of the regional  
13 non-displaceable volume of distribution ( $V_{\text{ND}}$ ) of  $^{11}\text{C}$ -buprenorphine for each individual (Fig.  
14 2).

15 In Figure 3, regional  $V_T$  estimated for each dose of unlabeled buprenorphine was plotted to  
16 microdose  $V_T$  according to the  $V_{T,\text{dose}} = f(V_{T,\text{microdose}})$  equation. The lowest dose of unlabeled  
17 buprenorphine (0.003 mg/kg) did not impact the slope of the equation which remained  $\sim 1.0$ ,  
18 suggesting negligible occupancy in most brain regions. Higher doses of unlabeled  
19 buprenorphine did not further decrease  $V_T$  in the occipital cortex and cerebellum but induced  
20 a dose-dependent decrease in the slope of the equation. Deviation of the slope from zero  
21 was not significant ( $p > 0.05$ ) for doses of buprenorphine  $\geq 0.06$  mg/kg, suggesting total  
22 occupancy (Fig. 3) [40].

23 There was a strong correlation between regional  $V_T$  and  $\text{BP}_p$  obtained with  $^{11}\text{C}$ -  
24 buprenorphine ( $R^2 = 0.98$ ,  $p < 0.001$ , Fig. 4). Thus, microdose  $V_T$  accurately predicted the total  
25 specific binding of  $^{11}\text{C}$ -buprenorphine.  $V_T$  and  $\text{BP}_p$  values estimated with kinetic modeling  
26 were used as a gold-standard to test the reliability of the Logan reference method using the  
27 occipital cortex as a pseudo-reference region [36] (Fig. 4).  $\text{DVR}_{\text{microdose}}$  and  $\text{DVR}_{0.003\text{mg/kg}}$  were  
28 not significantly different ( $p > 0.05$ , paired  $t$ -test, Table 1, Fig. 4), suggesting similar relative  
29 binding across brain regions.  $\text{DVR}_{\text{microdose}}$  or  $\text{DVR}_{0.003\text{mg/kg}}$  correlated with  $V_{T,\text{microdose}}$  ( $p < 0.001$ ;  
30  $R^2 = 0.43$  and  $0.54$ , respectively, data not shown). Better correlation was found between  
31  $\text{DVR}_{\text{microdose}}$  or  $\text{DVR}_{0.003\text{mg/kg}}$  and  $\text{BP}_{p,\text{microdose}}$  ( $p < 0.001$ ;  $R^2 = 0.64$  and  $0.66$ , respectively). This  
32 suggests that DVR estimated using  $^{11}\text{C}$ -buprenorphine/buprenorphine at either microdose or  
33 0.003 mg/kg predicted the regional  $\text{BP}_p$  of microdose  $^{11}\text{C}$ -buprenorphine (Fig. 4).

34 Plasma concentrations of buprenorphine associated with analgesic doses of buprenorphine  
35 (0.003 mg/kg and 0.006 mg/kg) were  $0.29 \pm 0.04$   $\mu\text{g/L}$  and  $0.66 \pm 0.22$   $\mu\text{g/L}$ , respectively (Fig.

1 S7). In the thalamus, corresponding receptor occupancy was 20% and 49%, respectively.  
2 Regions with the lowest specific binding (cerebellum and occipital cortex) were fully occupied  
3 at the lowest analgesic dose. Thus, poor fit and estimation of  $EC_{50}$  were obtained in these  
4 regions (Table 1, Fig. S4). In most OR-rich regions, receptor occupancy >90% was achieved  
5 with plasma concentrations of buprenorphine >7  $\mu\text{g/L}$  (Table 1, Fig. S4). Regional receptor  
6 occupancies associated with a range of plasma concentrations of buprenorphine were  
7 estimated (Table 1). Regional differences in receptor occupancy and  $EC_{50}$  could be noticed.

8

9

## 1 Discussion

2 PET imaging studies using  $\mu$ -OR-targeting radioligands are classically used for estimation of  
3 the interaction of opioids with  $\mu$ -OR, with limited information on brain kinetics of investigated  
4 compounds [41]. Pharmacokinetic PET studies using radiolabeled analogues of drugs are  
5 increasingly used for direct determination of their BBB penetration or brain delivery [22]. This  
6 microdose strategy does not however provide information regarding pharmacodynamics, as  
7 compared with behavioral investigation or pharmacological MRI (phMRI) [42,43]. We used  
8 complementary pharmacokinetic neuroimaging approaches using  $^{11}\text{C}$ -buprenorphine to  
9 directly assess its binding to OR subtypes *in vivo*, as well as the dose-related brain kinetics  
10 and target engagement associated with clinically relevant doses of unlabeled buprenorphine.

11  $^{11}\text{C}$ -buprenorphine PET signal in brain regions depends on its non-specific binding, its affinity  
12 for OR subtypes, their regional availability and corresponding association/dissociation  
13 kinetics. Binding of buprenorphine to  $\mu$ -OR,  $\kappa$ -OR and  $\delta$ -OR has been compared in the same  
14 *in vitro* conditions. Respective  $K_i$  of buprenorphine for  $\mu$ -,  $\kappa$ - and  $\delta$ -OR was 0.08, 0.44 and  
15 0.82 nM (monkey), 0.08, 0.11 and 0.42 nM (rat) and 12.4, 108 and 154 nM (human).  
16 Buprenorphine showed much lower affinity for ORL-1 ( $K_i = 285$  nM in rats) [9,44]. Our  
17 blocking experiments in rats suggest that the specific binding of  $^{11}\text{C}$ -buprenorphine  
18 predominantly reflects its interaction with  $\mu$ -OR rather than  $\kappa$ - or  $\delta$ -OR. This is consistent with  
19 previous *ex vivo* data showing a single predominant high affinity binding site for  $^3\text{H}$ -  
20 buprenorphine in rat brain lysate, leading to linear Scatchard plot in saturation experiments  
21 [45]. Frost et al. compared the regional binding specificity of the non-selective OR antagonist  
22  $^{11}\text{C}$ -diprenorphine and  $\mu$ -OR-selective agonist  $^{11}\text{C}$ -carfentanil in humans using the thalamus,  
23 a region with known predominance of  $\mu$ -OR, as a normalization region [46]. Using the same  
24 method with our macaque data, the regional binding of  $^{11}\text{C}$ -buprenorphine obtained using  
25 either microdose or co-injection of 0.003 mg/kg of unlabeled buprenorphine fits the regional  
26 distribution of  $^{11}\text{C}$ -carfentanil rather than that of  $^{11}\text{C}$ -diprenorphine (Fig. S8, Table S1). In  
27 pharmacotherapy, the affinity for  $\kappa$ - and  $\delta$ -OR was shown to account for the  
28 pharmacodynamics of high-dose buprenorphine [9,44]. However, from a molecular imaging  
29 perspective, only the  $\mu$ -OR component of the neuropharmacology of buprenorphine can be  
30 estimated using  $^{11}\text{C}$ -buprenorphine PET imaging.

31 Modest but significant specific binding of  $^{11}\text{C}$ -buprenorphine was observed in the cerebellum  
32 and occipital cortex in macaques, in both our co-injection and displacement experiments  
33 (Fig. S5). Data regarding the expression of OR in these brain regions in monkeys are scarce  
34 [47]. Although species differences in OR expression may exist, it was reported a low but  
35 significant local expression of  $\mu$ -,  $\kappa$ - but not  $\delta$ -OR in the human cerebellum [48,49]. In the

1 human occipital cortex, expression of  $\kappa$ -,  $\delta$ - but not  $\mu$ -OR has been detected [50,51]. In other  
2 regions with known  $\mu$ -OR expression, unlabeled buprenorphine dose-dependently decreased  
3  $^{11}\text{C}$ -buprenorphine  $V_T$  (Fig. 2 and 3). No saturation of the BBB penetration of  $^{11}\text{C}$ -  
4 buprenorphine was observed for doses up to 0.11 mg/kg (Fig. S2). Full saturation of  
5 neuroreceptors, achieved with the highest doses of buprenorphine, revealed the  
6 homogenous mapping of the non-specific binding of  $^{11}\text{C}$ -buprenorphine (Fig. 2). Thus,  
7 quantitative data regarding total specific binding potential of  $^{11}\text{C}$ -buprenorphine to CNS  
8 targets ( $\text{BP}_p$ ) could be derived (Table 1).

9 Estimation of the dose-related receptor occupancy by buprenorphine using a target-specific  
10 radioligand such as  $^{11}\text{C}$ -carfentanil or  $^{11}\text{C}$ -diprenorphine may depend on the affinity of the  
11 selected probe for investigated OR [52]. Direct saturation experiments with  $^{11}\text{C}$ -  
12 buprenorphine/buprenorphine therefore provide a unique *in vivo* translation of *in vitro* binding  
13 experiments [7]. Plasma levels associated with analgesic doses of buprenorphine (0.003 and  
14 0.006 mg/kg) ranged from  $0.29 \pm 0.04$  to  $0.66 \pm 0.22$   $\mu\text{g/L}$ , consistent with clinical  
15 pharmacokinetic data in patients [14]. Corresponding plasma levels of buprenorphine  
16 occupied <50% of the total binding in  $\mu$ -OR-rich regions such as the thalamus. This suggests  
17 that partial occupancy of  $\mu$ -OR is sufficient to achieve effective analgesia, which may also  
18 involve action on  $\kappa$ -OR and nociceptin/ORL-1 at the spinal level [53].

19 Buprenorphine for addiction maintenance is administered *via* sublingual route (bioavailability  
20 ~70%) [54]. Buprenorphine plasma levels associated with the lowest dose used for addiction  
21 maintenance (0.03 mg/kg), administered i.v., still partially occupied  $\mu$ -OR. Full receptor  
22 occupancy was achieved with doses  $\geq 0.06$  mg/kg. It was suggested that >50% of  $\mu$ -OR  
23 occupancy is required to ensure suppression of withdrawal syndrome. Moreover,  $\mu$ -OR  
24 occupancy >80% is assumed to protect against opioid overdose syndrome induced by  
25 massive and unintended intake [20]. Our macaque data suggest that plasma concentrations  
26 >7  $\mu\text{g/L}$  have to be maintained to ensure >90% occupancy of OR by buprenorphine in the  
27 striatum. In patients, higher doses of buprenorphine may thus essentially maintain plasma  
28 concentration over the targeted threshold to ensure sustained and effective maintenance  
29 therapy [55]. This observation is consistent with previous  $^{11}\text{C}$ -carfentanil PET data obtained  
30 in heroin-dependent subjects showing that total  $\mu$ -OR occupancy is prolonged by increasing  
31 the doses of buprenorphine [19].

32 Compared with other opioids, buprenorphine overdoses are rare but their clinical  
33 management is difficult, with poor efficacy of naloxone as antidote [56]. This is consistent  
34 with the slow reversibility of  $^{11}\text{C}$ -buprenorphine binding by high-dose naloxone (0.22 mg/kg)  
35 observed in our study. Previous blocking experiments performed in macaques and using the

1  $\mu$ -OR-selective radioligand  $^{11}\text{C}$ -carfentanil showed that ~85% occupancy of  $\mu$ -OR was  
2 achieved by a lower dose of naloxone (0.03 mg/kg, i.v., 10 min before PET) [38].

3 The occipital cortex and cerebellum are not proper reference tissue for  $^{11}\text{C}$ -buprenorphine  
4 because of low but significant specific binding was found in these regions. We nonetheless  
5 evaluated the occipital cortex as a pseudo-reference tissue region to non-invasively estimate  
6  $^{11}\text{C}$ -buprenorphine binding. Both  $\text{DVR}_{\text{microdose}}$  and  $\text{DVR}_{0.003 \text{ mg/kg}}$  similarly predicted microdose  
7  $\text{BP}_p$  (Fig. 4). In the absence of arterial input function, the binding potential of  $^{11}\text{C}$ -  
8 buprenorphine in brain regions, which mainly reflects baseline availability of  $\mu$ -OR, can  
9 therefore be estimated using either microdose or low-dose  $^{11}\text{C}$ -buprenorphine  
10 pharmacokinetic imaging using this simplified method.

11 For safety reasons, PET imaging is usually performed using microdose receptor antagonists  
12 and low injected mass to avoid any adverse effects. In radiotracer development, co-injection  
13 of radiotracers with pharmacological doses of corresponding unlabeled compounds is only  
14 used to investigate the specific binding to brain regions [57]. We assume this strategy will  
15 gain interest for multimodal pharmacological imaging protocols on simultaneous hybrid PET-  
16 MR systems [58]. Using CNS-active dose, the time-course of PET-derived target  
17 engagement can therefore be directly compared with the hemodynamic response assessed  
18 using pharmacological MRI (phMRI) or other pharmacodynamic parameters in the same  
19 individual [59]. Interestingly, the CNS effects of investigated doses of buprenorphine have  
20 been studied using phMRI in both monkeys (0.03 mg/kg) [60] and humans (0.003 mg/kg)  
21 [42]. In rhesus monkeys, buprenorphine increased the cerebral blood volume in brain regions  
22 consistent with the binding of corresponding doses of buprenorphine to brain regions found  
23 in our study [60].

## 24 **Conclusion**

25 Pharmacokinetic imaging provides a pragmatic method to explore the neuropharmacokinetic  
26 and the  $\mu$ -OR correlates of the CNS effects of buprenorphine.  $^{11}\text{C}$ -buprenorphine co-injected  
27 with low dose buprenorphine could be safely performed as a dual-modality imaging  
28 biomarker for PET/phMRI studies. This strategy may be useful to explore variability in  
29 neurovascular coupling associated with the acute response to buprenorphine in future  
30 multimodal pharmacological studies.

31

1 **Funding and Disclosure**

2 This work was performed on a platform member of France Life Imaging network (grant ANR-  
3 11-INBS-0006) and was funded by the "Lidex-PIM" project funded by the IDEX Paris-Saclay,  
4 ANR-11-IDEX-0003-02. There is no conflict of interest to disclose.

5

6 **Acknowledgements**

7 We gratefully thank Jérôme Cayla, Vincent Brulon and Maud Goislard for technical  
8 assistance.

9

10 **Author contribution**

11 SA, MB, DV and NT contributed to conception of the work, data analysis and manuscript  
12 writing. SA, SG and AP contributed to data acquisition. CW, MT and CL helped for PET and  
13 MR imaging analysis. FC performed radiochemistry.

14

15 **Supplementary information**

16 Supplemental material accompanies this paper at (<https://doi.org/>).

17



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8  
9

1 **Figures legends**

2

3 **Fig. 1. Impact of selected opioid antagonists on the regional binding of <sup>11</sup>C-**  
4 **buprenorphine *in vivo* in rats.** PET acquisitions were performed without (baseline) or after  
5 pharmacological blocking conditions using the non-selective OR antagonist naloxone (1  
6 mg/kg i.v., 5 min before PET), the selective  $\mu$ -OR antagonist naloxonazine (10 mg/kg i.v., 5  
7 min before PET), the selective  $\kappa$ -OR antagonist norbinaltorphimine (10 mg/kg i.v., 30 min  
8 before PET) and the selective  $\delta$ -OR antagonist natriindole (3 mg/kg i.v., 5 min before PET).  
9 Representative summed PET images (40-60min) obtained in each condition and  
10 coregistered to a rat brain template are shown in A. Uptake ratios (region/cerebellum,  
11 mean $\pm$ S.D, n=4) are shown in B. \*\*\*p<0.001 compared with baseline, *ns* = non-significant.

12

13 **Fig. 2. Parametric PET data of <sup>11</sup>C-buprenorphine obtained from the co-injection study**  
14 **in macaques.** Representative parametric images expressed in  $V_T$  (A). Regional  $V_T$  measured  
15 using the Logan plot analysis for each investigated brain region and each co-injected dose of  
16 unlabeled buprenorphine (B). Data are shown as mean $\pm$ SD, n=4). \*\*\*p<0.001 compared with  
17 microdose, *ns* = non-significant.

18

19 **Fig. 3. Correlation between <sup>11</sup>C-buprenorphine  $V_T$  measured during the co-injection**  
20 **study and the corresponding microdose <sup>11</sup>C-buprenorphine  $V_T$  in macaques.** Data are  
21 represented as mean $\pm$ SD. The slope of each correlation is indicated in the right panel.

22

23 **Fig. 4. Correlation of outcome parameters derived from the kinetic modeling of <sup>11</sup>C-**  
24 **buprenorphine PET data obtained in macaques using microdose or therapeutic dose**  
25 **of buprenorphine.** Correlation between the binding potential ( $BP_{p,microdose}$ ) and the total  
26 volume of distribution ( $V_{T,microdose}$ ) of microdose <sup>11</sup>C-buprenorphine is shown in A. Correlation  
27 of <sup>11</sup>C-buprenorphine distribution volume ratio (DVR, Logan reference method) estimated in  
28 microdose experiments ( $DVR_{microdose}$ ) and DVR obtained after co-injection with unlabeled  
29 buprenorphine ( $DVR_{0.003mg/kg}$ ) is reported in B. Difference between  $DVR_{microdose}$  and  
30  $DVR_{0.003mg/kg}$  was not significant (paired *t*-test). Correlation of either  $DVR_{microdose}$  or  
31  $DVR_{0.003mg/kg}$  with  $BP_{p,microdose}$  are shown in C and D, respectively. The coefficient of  
32 determination ( $R^2$ ) is reported for the correlation of outcome parameters estimated in brain  
33 regions of each individual.

## Tables

**Table 1. Outcome parameters obtained with PET pharmacokinetic modeling and *in vivo* binding experiments in macaques.**

Brain region	$V_{ND,saturation}$ (= $V_{T, 0.11}$ mg/kg)	$V_{ND,graphical}$	$BP_p, microdose$	$DVR_{microdose}$	$DVR_{0.003 \text{ mg/kg}}$	Estimated receptor occupancy (%) associated with plasma levels of buprenorphine						$EC_{50}$ ( $\mu\text{g/L}$ )
						0.3 $\mu\text{g/L}$	0.6 $\mu\text{g/L}$	1 $\mu\text{g/L}$	3 $\mu\text{g/L}$	6 $\mu\text{g/L}$	9 $\mu\text{g/L}$	
Frontal cortex	3.62 ± 0.68	3.44 ± 1.70	4.80 ± 0.75	1.40 ± 0.19	1.47 ± 0.11	33.2	49.7	62.1	82.7	90.2	93.1	0.60 (0.07 to 1.12)
Orbital cortex	3.56 ± 0.78	3.42 ± 1.33	4.01 ± 0.66	1.22 ± 0.15	1.39 ± 0.09	27.4	43.1	55.8	79.1	88.3	91.9	0.79 (0.30 to 1.30)
Cingulate cortex	3.77 ± 0.70	3.60 ± 1.78	5.48 ± 1.03	1.50 ± 0.28	1.67 ± 0.19	29.4	45.5	58.1	80.6	89.3	92.6	0.72 (0.33 to 1.11)
Temporal cortex	3.67 ± 0.75	3.46 ± 2.13	3.88 ± 0.83	1.26 ± 0.15	1.41 ± 0.05	31.0	47.4	60.0	81.8	90	93.1	0.67 (0.18 to 1.15)
Parietal cortex	3.57 ± 0.63	3.41 ± 1.80	4.48 ± 1.05	1.37 ± 0.13	1.40 ± 0.10	34.3	51.1	63.5	83.9	91.3	94	0.58 (0.20 to 0.95)
Occipital cortex	2.93 ± 0.62	2.84 ± 2.29	2.42 ± 1.05	NA	NA	†	†	†	†	†	†	†
Caudate	4.11 ± 0.56	3.91 ± 1.80	5.82 ± 1.39	1.57 ± 0.31	1.8 ± 0.25	23.6	38.3	50.8	75.6	86.1	90.3	0.99 (0.43 to 1.50)
Putamen	4.42 ± 0.79	4.21 ± 1.83	6.41 ± 1.44	1.71 ± 0.31	1.9 ± 0.21	28.0	43.8	56.5	79.6	88.6	92.1	0.77 (0.34 to 1.20)
Amygdala	3.92 ± 0.59	†	4.90 ± 1.05	1.39 ± 0.24	1.62 ± 0.19	14.5	25.3	36.1	62.9	77.2	83.6	1.77 (0.60 to 2.94)
Thalamus	4.61 ± 0.76	4.33 ± 1.99	5.17 ± 0.93	1.54 ± 0.27	1.77 ± 0.19	23.4	37.9	50.4	75.3	85.9	90.1	0.98 (0.33 to 1.64)
Hypothalamus	3.85 ± 0.70	†	4.38 ± 0.79	1.27 ± 0.17	1.55 ± 0.08	23.4	37.9	50.4	75.3	85.9	90.1	0.98 (0.22 to 1.74)
Cerebellum	3.55 ± 0.70	3.43 ± 2.13	2.21 ± 0.93	1.06 ± 0.12	1.11 ± 0.05	†	†	†	†	†	†	†

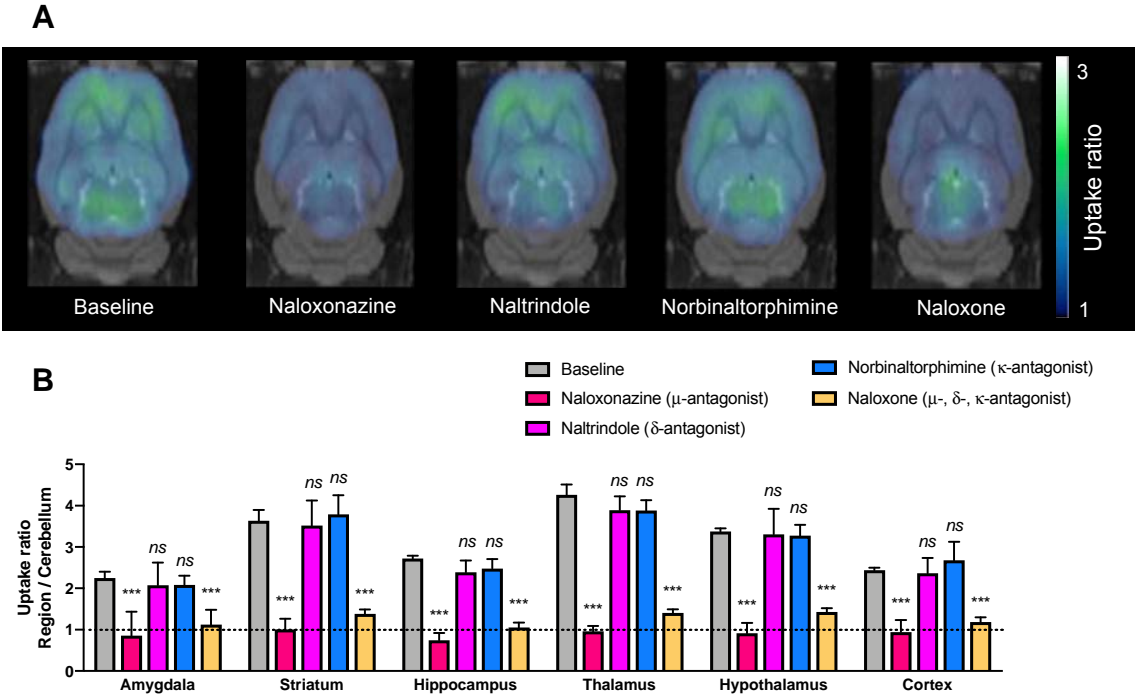
$V_{ND}$  is the non-displaceable volume of distribution.  $V_{ND,saturation}$  has been estimated using the Logan plot method and the maximum co-injected dose of unlabeled buprenorphine ( $V_{T, 0.11}$  mg/kg).  $V_{ND,graphical}$  has been graphically estimated (see supplemental material, Fig. S3).  $BP_p$  is the binding potential relative to the plasma kinetic of  $^{11}\text{C}$ -buprenorphine.  $DVR$  is the distribution volume ratio estimated with the Logan reference method using the occipital cortex as the pseudo-reference region.  $EC_{50}$  is the estimated plasma concentration of buprenorphine associated to

50% of buprenorphine brain receptor occupancy. *NA* = non-applicable, † = poorly estimated. Data are expressed as mean (receptor occupancy) or mean ± SD. Estimated EC<sub>50</sub> are reported as mean (confidence interval 95%).

1 **Figures**

2

3 **Figure. 1**



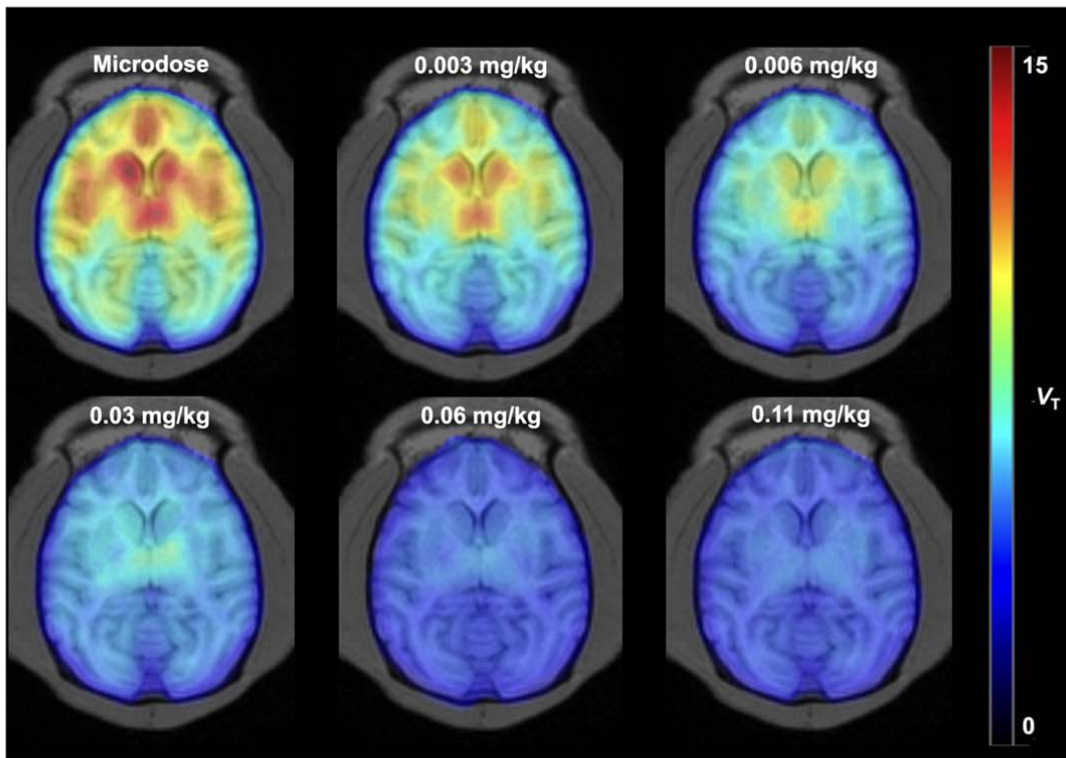
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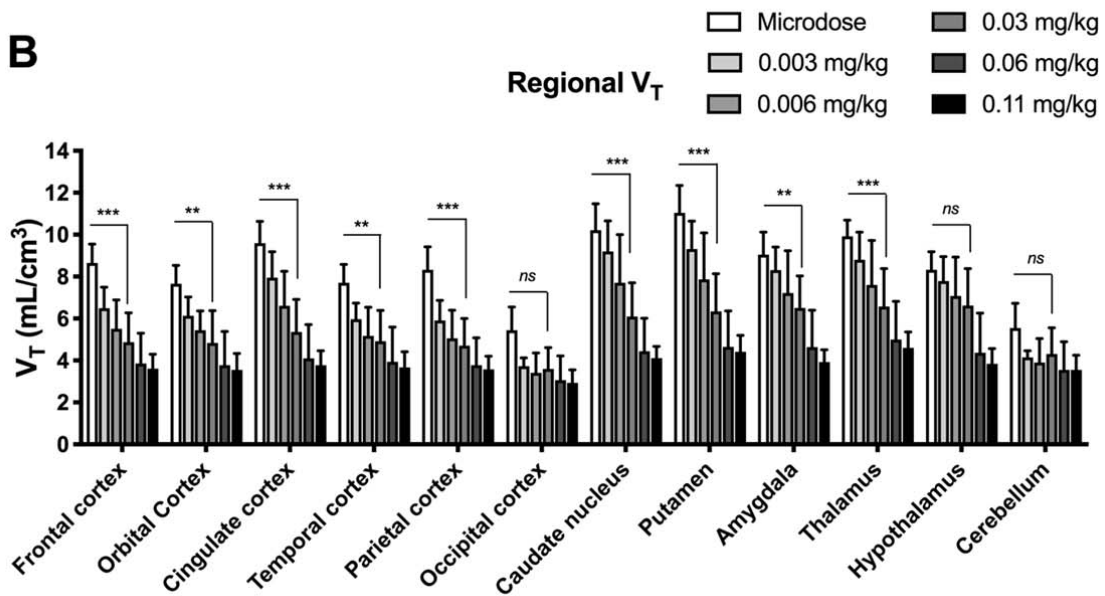


1 **Figure 2**

**A**



**B**



2

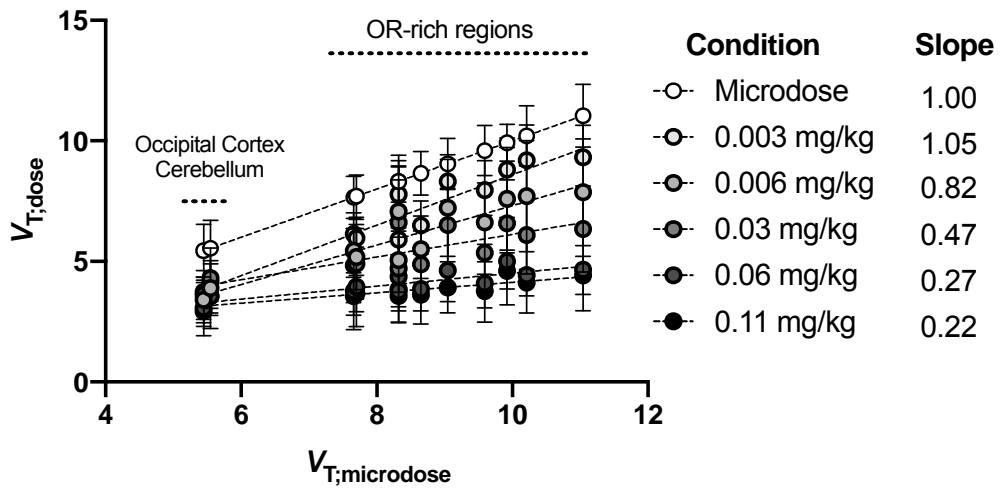
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1 **Figure 3**

2

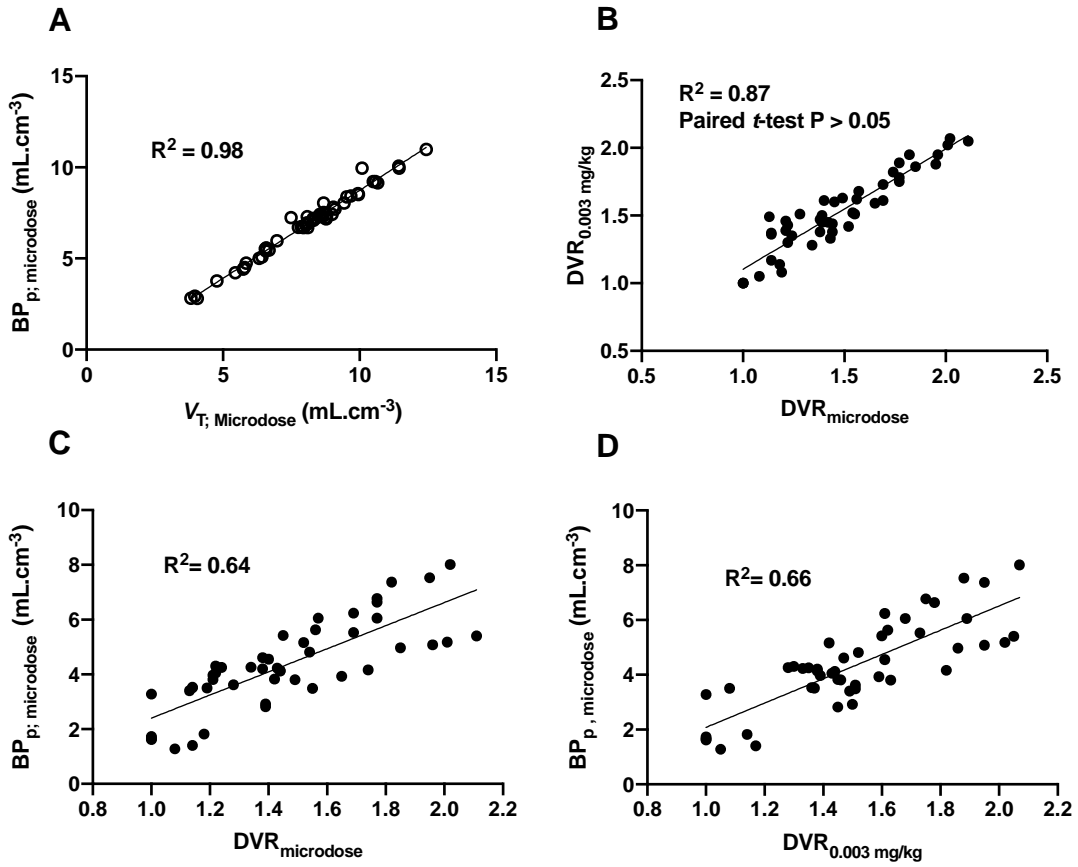


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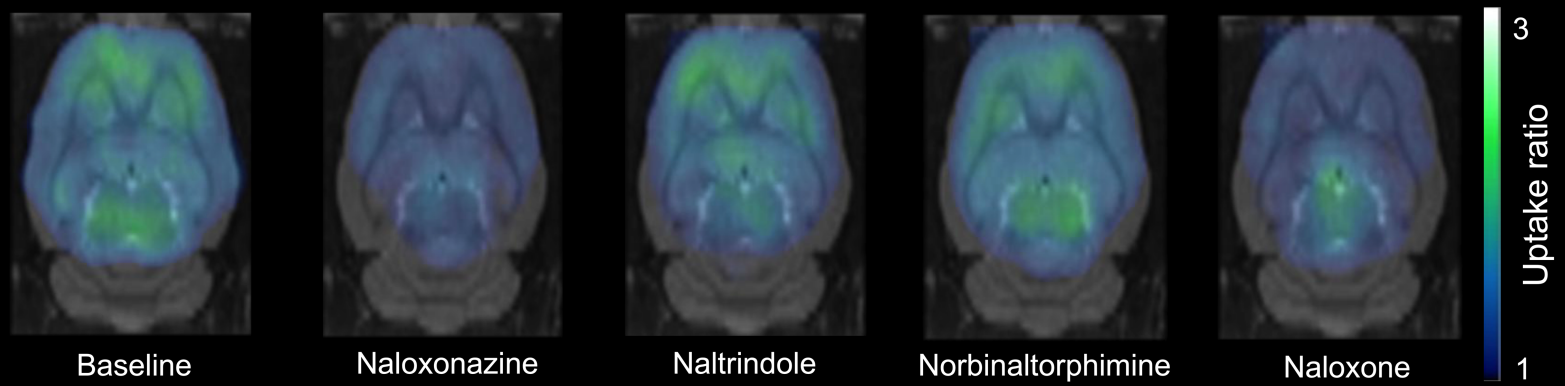
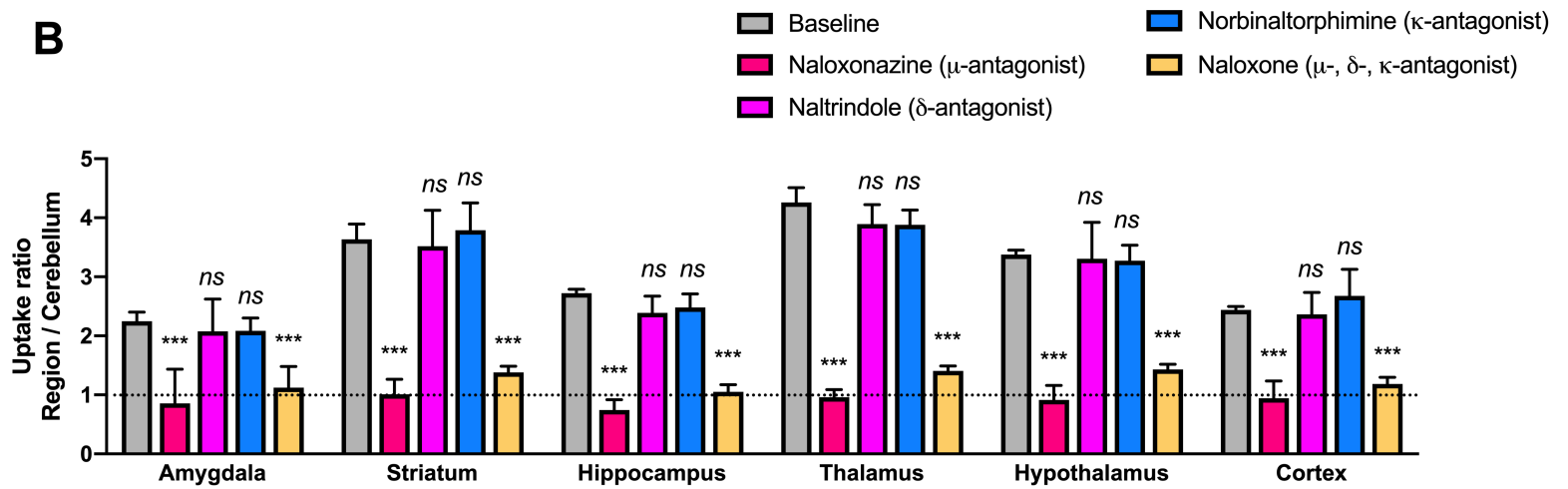
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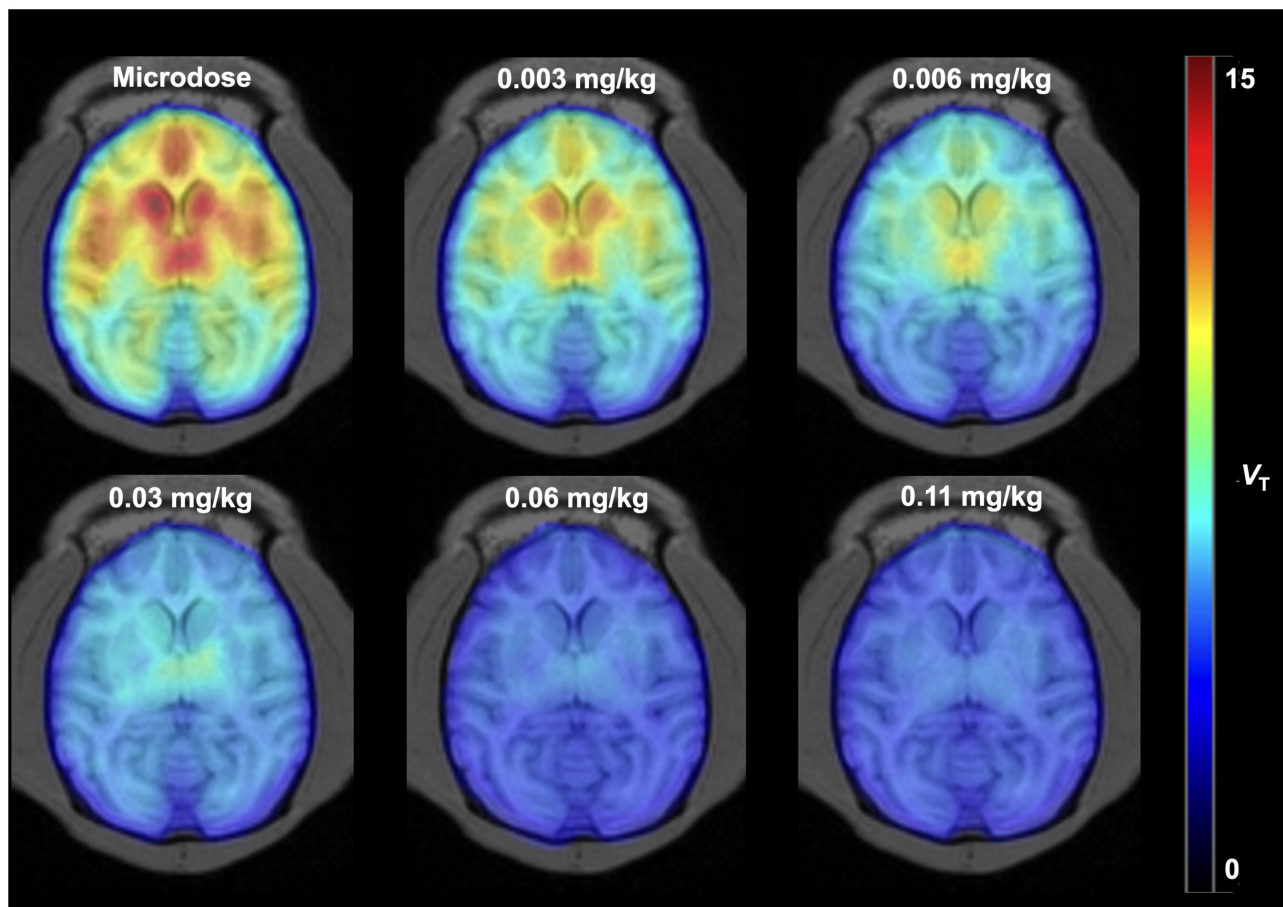
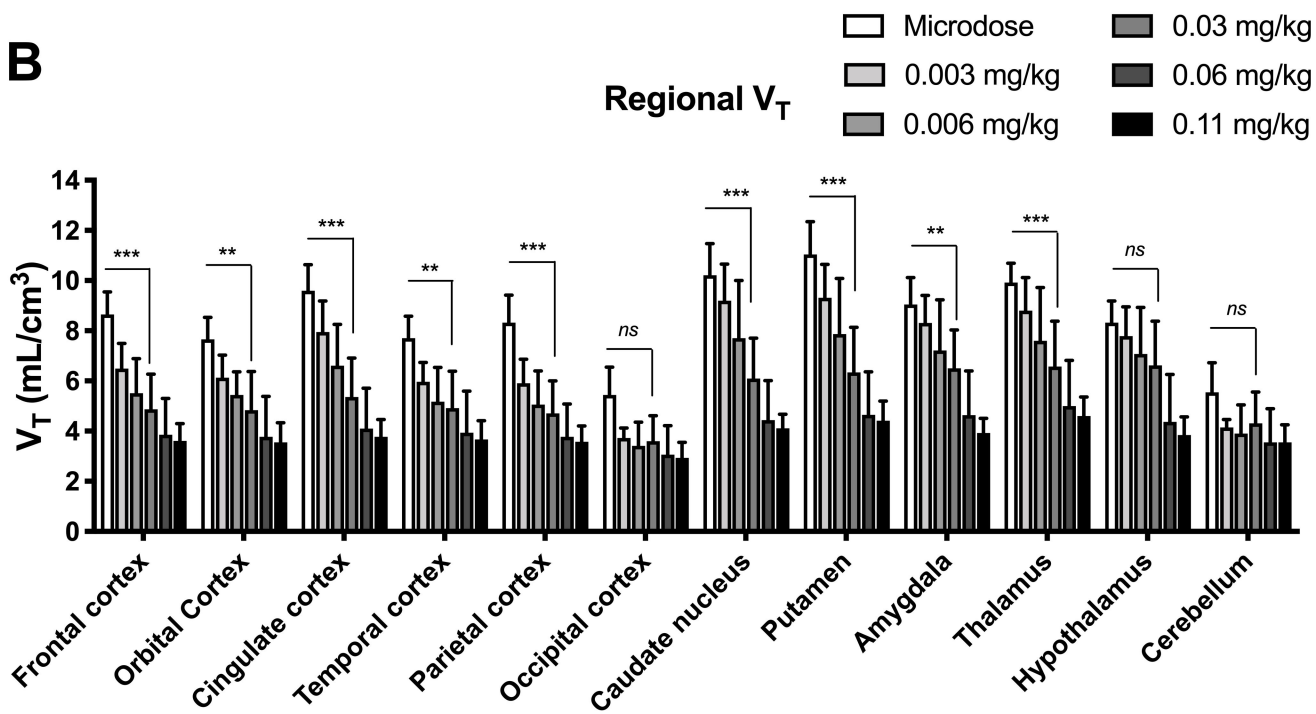
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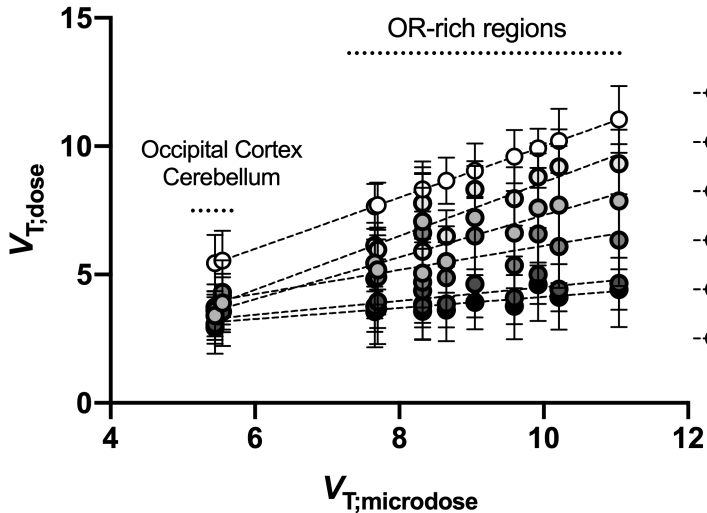
1 **Figure 4**



2

**A****B**

**A****B**



Condition	Slope
○ Microdose	1.00
○ 0.003 mg/kg	1.05
● 0.006 mg/kg	0.82
● 0.03 mg/kg	0.47
● 0.06 mg/kg	0.27
● 0.11 mg/kg	0.22

