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# Rotational spectral modulation of cloudless atmospheres for L/T brown dwarfs and extrasolar giant planets

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## ABSTRACT

**Aims.** The rotational spectral modulation (spectro-photometric variability) of brown dwarfs is usually interpreted as a sign indicating the presence of inhomogeneous cloud covers in the atmosphere. This paper is aimed at exploring the role of temperature fluctuations in these spectral modulations. These fluctuations could naturally arise in a convective atmosphere impacted by such diabatic processes as complex chemistry, namely, a mechanism recently proposed to explain the L/T transition: CO/CH<sub>4</sub> radiative convection.

**Methods.** After exploring the observed spectral-flux ratios between different objects along the cooling sequence, we used the 1D radiative-convective code ATMO, with ad hoc modifications of the temperature gradient, to model the rotational spectral modulation of 2MASS 1821, 2MASS 0136, and PSO 318.5-22. We also explored the impact of CH<sub>4</sub> abundance fluctuations on the spectral modulation of 2MASS 0136.

**Results.** The spectral-flux ratio of different objects along the cooling sequence and the rotational spectral modulation within individual objects at the L/T transition have similar characteristics. This strongly suggests that the main parameter varying along the cooling sequence, namely, temperature, might play a key role in the rotational spectral modulations at the L/T transition. Modeling the spectral bright-to-faint ratio of the modulation of 2MASS 1821, 2MASS 0136, and PSO 318.5-22 shows that most spectral characteristics can be reproduced by temperature variations alone. Furthermore, the approximately anti-correlated variability between different wavelengths can be easily interpreted as a change in the temperature gradient in the atmosphere, which is a consequence we expect from CO/CH<sub>4</sub> radiative convection as an explanation of the L/T transition. The deviation from an exact anti-correlation could then be interpreted as a phase shift similar to the hot-spot shift at different bandpasses in the atmospheres of hot Jupiters.

**Conclusions.** Our results suggest that the rotational spectral modulation from cloud opacity and temperature variations are degenerate. If the nearly anti-correlated signal between different wavelengths is, indeed, a strong sign of a change in the temperature gradient, the detection of direct cloud spectral signatures, for instance, the silicate absorption feature at 10 μm, would help to confirm the presence of clouds and their contribution to spectral modulations (which does not exclude temperature variations or other mechanisms that may also be at play). Future studies considering the differences in the spectral modulation of objects with and without the silicate absorption feature may give us some insight into how to distinguish cloud-opacity fluctuations from temperature fluctuations.

**Key words.** brown dwarfs – methods: numerical – planets and satellites: atmospheres

## 1. Introduction

One of the key features of brown dwarfs at the L/T transition is the rotational spectral modulation (spectroscopic variability) of the object (see Biller 2017; Artigau 2018, for a review). Spectral modulations are of great interest since they open the possibility of probing spatial variations in the vertical structure of the atmospheric columns, as different wavelengths probe different pressure levels. Up to now, most of the spectroscopic modulations have been observed using the Wide Field Camera 3 aboard the *Hubble* Space Telescope (HST; e.g., Buenzli et al. 2012; Apai et al. 2013), and, furthermore, the *James Webb* Space Telescope (JWST) will bring a wealth of new data to the community. Among the principal characteristics of the rotational spectral modulations observed by HST is the presence of an increased

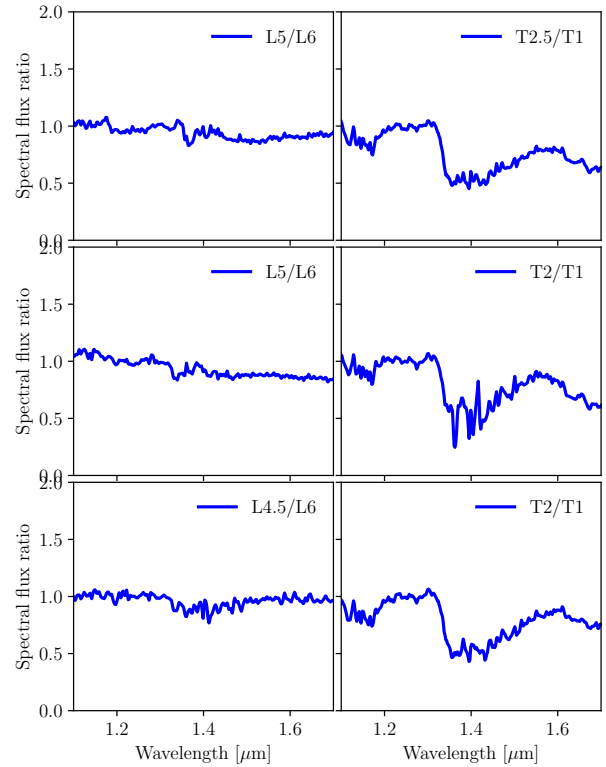
variability amplitude within the 1.45 μm water absorption feature and, for L-type dwarfs, the apparent absence of differential modulations in and out of the water band (Yang et al. 2015). Simultaneous HST and *Spitzer* time-resolved observations found prominent phase shifts between lightcurves observed at different wavelength (i.e., pressure levels). Buenzli et al. (2012) found a pressure-dependent phase shift pattern in the late-T dwarf, 2M2228, which is a pattern that was found again years later (Yang et al. 2016). Similarly, Biller et al. (2018) detected very large phase shifts in the planetary-mass brown dwarf PSO 318.5-22. Yang et al. (2016) reported phase shifts in some brown dwarfs (with values ranging from 30 to 180 degrees). In contrast, Apai et al. (2013) showed that no phase shifts are present in two L/T transition brown dwarfs. These patterns are not yet understood, but the fact that they appear to be stable for a

given object over hundreds of rotational periods suggests that the large-scale horizontal-vertical structures they trace are characteristic to the objects and, thus, may hold important clues to the nature of the spatial-spectral differences observed (although Luhman 16B can show really significant changes from night to night, e.g., Gillon et al. 2013). Medium-resolution spectroscopy (at a spectral resolving power  $R$  4000) has also been used to study spectral variability on Luhman 16AB exploring variability at the resolution of spectral lines (e.g., KI absorption feature Faherty et al. 2014; Kellogg et al. 2017). High-resolution spectroscopy enabled Doppler imaging to create possible maps of the brightness variations of Luhman 16B (Crossfield et al. 2014).

The observed photometric and spectral modulations are often attributed to the presence of an inhomogeneous cloud cover in the atmosphere (e.g., Marley et al. 2010). While the highest amplitude ( $J$ -band) modulations are found at the L/T transition for old field objects (Radigan et al. 2014) and should probe deep clouds in the atmosphere of old, high-surface-gravity objects, a physical understanding of why the spatial structure of clouds should change at the L/T transition is currently lacking. Robinson & Marley (2014) proposed that temperature fluctuations could also be responsible for spectroscopic variability, potentially explaining, in part, the pressure-dependent phase shifts observed in 2M2228 by Buenzli et al. (2012). However, Radigan et al. (2012) and Apai et al. (2013) looked into effective temperature variations only (i.e., by modeling the spectral modulations via linear combinations of two 1D atmosphere models that differed in their effective temperatures, but not in the cloud prescription) and found that it is not capable of explaining the changes in broadband, near-infrared colors.

Tremblin et al. (2015, 2016, 2017) have shown that the spectra and luminosity of L/T transition brown dwarfs, along with the reddest low-gravity objects, can be reproduced by cloudless models with a reduced temperature gradient. So far, spectroscopic variability arising from a change in the temperature gradient of the atmosphere (which is different from a change of effective temperature) has never been explored in the literature before. Tremblin et al. (2019) have shown that such a reduced gradient should indeed be expected when convection is impacted by thermal and compositional diabatic processes: diabatic convection leads to thermohaline and moist convection in Earth’s atmosphere and oceans, reducing their temperature gradient. In brown dwarfs, CO/CH<sub>4</sub> (and N<sub>2</sub>/NH<sub>3</sub>) radiative convection is a direct analog and should also reduce the temperature gradient of the atmosphere. When CO in the atmosphere is converted into CH<sub>4</sub>, the diabatic processes stop and the convective system switches between the diabatic and adiabatic convective behavior (a so-called “cooling crisis” that is analogous to the boiling crisis in two-phase convective flows; Tremblin et al. 2019). This could naturally explain the L/T transition with an increase of the temperature, which could also naturally reproduce the  $J$ -band brightening and FeH resurgence at the transition. Since we expect a change in the temperature gradient of the atmosphere at the L/T transition in this scenario, it is natural to expect rotational spectral modulations to arise from spatial thermal inhomogeneities in the atmosphere.

In this paper, we explore the possibility of reproducing representative examples of observed spectral modulations by introducing temperature variations in the atmosphere. We first study the HST spectral evolution of different objects along the cooling sequence of brown dwarfs and describe the model used for the spectral modeling in Sect. 2. Then we model the HST rotational spectral modulation of the L dwarf 2MASS J18212815+1414010 (2MASS 1821, hereafter) and the



**Fig. 1.** *Left:* spectral-flux ratio of the L5 dwarf 2MASS J01550354+0950003, L5 2MASSW J1507476-162738, L4.5 SDSS J083506.16+195304.4 to a reference L6 dwarf 2MASSI J1010148-040649. *Right:* spectral-flux ratio of the T2.5 dwarf IPMS J013656.57+093347.3, T2 2MASS J11220826-3512363, T2 SDSSp J125453.90-012247.4, to a reference T1 dwarf SDSS J085834.42+325627.7. The data are SpeX prism spectra coming from the SpeX Prism Library (Burgasser 2014) and are similar to spectral standards.

T dwarf 2MASS J01365662+0933473 (2MASS 0136, hereafter) in Sect. 3.1, followed by the HST and *Spitzer* variability of PSO 318.5-22 in Sect. 3.2. Finally, we discuss the impact of the modulations on the JWST spectral coverage in Sect. 3.3 and the impact of CH<sub>4</sub> variations in the T-dwarf regime in Sect. 3.4. We present our conclusions and a discussion in Sect. 4.

## 2. Spectral evolution along the cooling sequence and model description

### 2.1. Spectral evolution along the cooling sequence of brown dwarfs

We first look at the spectral evolution of objects of different spectral types along the cooling sequence. We assume that they do not show internal spectroscopic modulations and we focus on the relative change between different spectral types at different stages in the cooling sequence. Figure 1 shows the observed spectral-flux ratios in the WFC3/IR/G141 bandpass for different objects along the cooling sequence, at the L/T transition, for late L dwarfs (ratio of L4.5/L5 to a L6) and early T dwarfs (ratio of T2/T2.5 to a T1). The spectral ratio for L dwarfs is essentially flat with no spectral signature. On the contrary, a strong signature can be identified in the spectral ratio for T dwarfs with a suppressed absorption amplitude in the 1.4- $\mu$ m water band relative to the adjacent continuum. Therefore, the evolution of the relative water amplitude as a function of spectral type along the cooling sequence is similar to the spectral modulations of this

feature in individual mid-L and T brown dwarfs (e.g., Yang et al. 2015). This similarity between the spectral evolution along the cooling sequence and the rotational spectral modulations within individual objects has also been pointed out by Kellogg et al. (2017).

Given the similarity, we can expect that the main parameter changing along the cooling sequence (i.e., temperature) might also play a significant role in the rotational spectral modulations of individual objects. This similarity suggests that spatial variations may exist in the pressure-temperature profiles within individual brown dwarfs. Although Radigan et al. (2012) and Apai et al. (2013), among others, have shown that variations in the effective temperature alone cannot reproduce the observed rotational color modulations, it is likely that a combination of the parameters evolving along the cooling sequence, including the effective temperature, can reproduce the spectral modulations. The recent introduction of temperature-gradient modifications (Tremblin et al. 2015) motivates the exploration of temperature-gradient fluctuations as a possible source of spectral modulations in the atmospheres of brown dwarfs.

## 2.2. Model description

Although spatial inhomogeneities leading to rotational modulations can be shown to be long-lived stationary patterns, overall, it is likely that such inhomogeneities are associated with a time-dependent process on some finite timescale. Strictly speaking, this implies that we should model these patterns with a multidimensional, time-dependent simulation that is capable of capturing the appropriate physics on the adequate length and time scales (e.g., hydrodynamics including convection, chemical reactions, radiative transfer). Strictly speaking, stationary 1D codes are not appropriate for such studies since spatial inhomogeneities and time variability violate the homogeneous and stationary assumptions. Nonetheless, 3D time-dependent tools are very challenging to build (if not impossible, depending on the necessary length and time scales) and in this paper, we adopt a much simpler approach that is based on 1D models. This simpler and more efficient approach, however, it comes with some caveats.

We used the 1D atmospheric code ATMO, which has previously been used to model the spectra of a broad range of different brown dwarfs (Tremblin et al. 2015, 2016, 2017; Phillips et al. 2020). The radiative transfer scheme is described in Amundsen et al. (2014, 2016) and the chemistry scheme is given in Drummond et al. (2016) and Goyal et al. (2018) for rainout. The chemistry includes 279 species for gas phase chemistry, ions chemistry, and condensable species. The radiative transfer uses 22 opacity sources (H<sub>2</sub>-H<sub>2</sub> CIA, H<sub>2</sub>-He CIA, H<sub>2</sub>O, CO, CO<sub>2</sub>, CH<sub>4</sub>, NH<sub>3</sub>, Na, K, L, Rb, Cs, TiO, VO, FeH, PH<sub>3</sub>, H<sub>2</sub>S, HCN, C<sub>2</sub>H<sub>2</sub>, SO<sub>2</sub>, Fe, H<sup>-</sup> free-free and bound-free), also including Rayleigh scattering.

Depending on global parameters such as effective temperature,  $T_{\text{eff}}$ , and surface gravity,  $\log(g)$ , the code finds a time-independent pressure-temperature (PT) structure that satisfies hydrostatic equilibrium and energy conservation either with radiative energy transport or convective energy transport (often referred as a 1D self-consistent model). Tremblin et al. (2016) found that the low near-infrared fluxes in observations can be reproduced very well if the temperature in the atmosphere is lower than what is predicted by a radiative-convective equilibrium-based model. This effect is possibly a natural consequence of diabatic convection in the form of CO/CH<sub>4</sub> radiative convection in the context of a brown dwarf. This is mimicked in

ATMO by a reduction of the adiabatic index to an effective index,  $\gamma_{\text{eff}}$ , in between two pressure levels,  $P_{\gamma,\text{min}}$  and  $P_{\gamma,\text{max}}$ , which reduces the adiabatic gradient followed by convection in a given region.

For clarity, below we list the different approximations that ought to be kept in mind when using such a code to study rotational modulations.

Since the code is stationary and 1D, a first approximation is to model the bright and faint states of the spectral modulation with a combination of 1D PT profiles. Since the code only solves for the hydrostatic balance in 1D, we can only model local ad-hoc temperature and compositional variations and we cannot self-consistently model 3D dynamical processes, such as convection and winds, and we cannot directly explore the time evolution of the light curve. We therefore focus our study on modeling the spectral characteristics of the bright-to-faint ratio of the spectral modulations.

Since the code finds a solution for some global parameters, we modify the PT profile in an ad-hoc way to mimic a bright or faint modulation (Sect. 3.1) or we directly modify the global parameters to model the hemispherically averaged bright state (Sect. 3.2). The exact value of these parameters is, thus, not very meaningful (and it is also probably degenerate), so it is only the resulting difference in the PT profile in the regions that do impact the observed spectrum that really makes sense.

In ATMO, chemistry is solved at equilibrium or is coupled to a full chemical network (Venot et al. 2012), finding the stationary solution of the chemical kinetic equations on a very long timescale (typically  $10^{12}$  s) with vertical quenching (see for details Tremblin et al. 2015). Since we do not know a priori the impact of horizontal quenching caused by winds and convection, we do not know which chemical species is at chemical equilibrium or horizontally quenched by the dynamics. Therefore, for simplicity, we assume the two limit cases: chemical abundances are in equilibrium in the modulation or are kept constant to the abundances of the background PT profile. Furthermore, we simplify the vertically quenched out-of-equilibrium chemistry by assuming a fixed and constant abundance profile for CH<sub>4</sub> that is needed to reproduce the spectrum. In Sects. 3.1 and 3.2, the CH<sub>4</sub> abundance is, therefore, kept constant and we explore the variability associated to CH<sub>4</sub> abundance fluctuations in Sect. 3.4.

## 3. Results on spectral variability modeling

### 3.1. Variability of 2MASS 1821 and 2MASS 0136

As a first application, we studied the spectral modulations observed by HST in the L5 dwarf 2M 1821 and in the T2 dwarf 2M 0136 (Yang et al. 2015). For these objects, we first computed a background model with a PT profile given by  $T_{\text{bg}}(P)$  and a parametrization of the PT profile of a modulation, given by Eq. (1):

$$T_{\text{mod}}(P) = T_{\text{A}}(P) + \frac{(\log \tau(P) - \log \tau(P_{\text{mod,min}}))}{(\log \tau(P_{\text{mod,max}}) - \log \tau(P_{\text{mod,min}}))} T_{\text{B}}, \quad (1)$$

with  $\tau(P)$  as the optical depth profile at a wavelength of  $1.25 \mu\text{m}$ . We then compute the total flux by

$$F_{\text{tot}} = f_{\text{cover}} F_{\text{mod}} + (1 - f_{\text{cover}}) F_{\text{bg}}, \quad (2)$$

with  $F_{\text{bg}}$  and  $F_{\text{mod}}$  the surface fluxes computed with the background and modulation PT profile, respectively. In Table 1, we summarize the parameters that we used to model the bright and



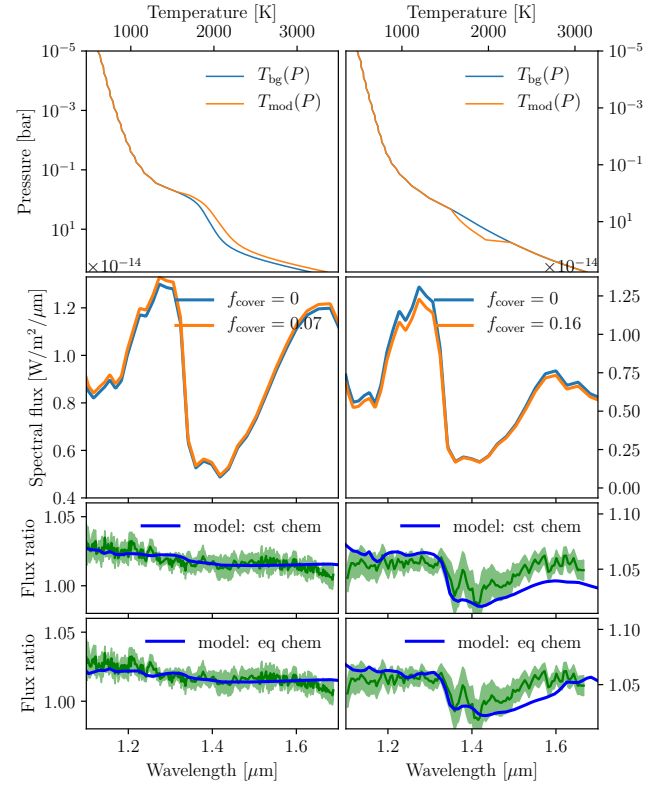
**Table 1.** Parameters used to model the background and modulation PT profiles of 2M 1821 and 2M 0136.

	2M 1821	2M 0136
$T_{\text{eff}}$	1650	1400
$\log g$	4.5	5
$\gamma_{\text{eff}}$	1.05	1.15
$P_{\gamma,\text{min}}$ [bar]	$6.3 \times 10^{-1}$	1.5
$P_{\gamma,\text{max}}$ [bar]	$6.3 \times 10^1$	$5 \times 10^2$
[M/H]	0	0
$X(\text{CH}_4)$	$10^{-10}$	$8 \times 10^{-5}$
$P_{\text{mod,min}}$ [bar]	$7.1 \times 10^{-1}$	3.7
$P_{\text{mod,max}}$ [bar]	$2.8 \times 10^2$	$5.4 \times 10^1$
$T_A$ [K]	$T_{\text{bg}}(P) + 60$	$T_{\text{bg}}(P_{\text{mod,min}})$
$T_B$ [K]	138	440
$f_{\text{cover}}$	0.07	0.16

faint states of these two dwarfs. The abundance of  $\text{CH}_4$  used for 2M 1821 is low because  $\text{CH}_4$  is not sufficiently abundant in the L-dwarf regime to be observable. In the T-dwarf regime, for 2M 0136,  $\text{CH}_4$  begins to be observable. We keep its abundance constant in this section but explore the effect of spatial variations in its abundance in a dedicated section (Sect. 3.4). In Fig. 2, we show the resulting PT profiles, spectra, and modeled spectral ratio compared with the observed spectral ratio from Yang et al. (2015). We find that a covering fraction between 7 and 16% is needed to reproduce the observations, however, the covering fraction is highly degenerate with the magnitude of the modulations in the PT profiles. Both models seem to reproduce the 1.1–1.5  $\mu\text{m}$  part of the spectrum well, but deviate in the 1.5–1.7  $\mu\text{m}$  region. Nevertheless, we find that the main characteristics, namely, the presence or absence of increased variability amplitude within the 1.45  $\mu\text{m}$  water absorption feature, are well reproduced. Overall, assuming constant abundances from the background PT profile or chemical equilibrium in the modulation profile does not seem to significantly change the flux ratio in the HST WFC3 bandpass (see Sects. 3.3 and 3.4 for the impact on the JWST spectral coverage). Equilibrium chemistry seems to produce a slightly better agreement with the data. This level of agreement is relatively good since the parameter is explored manually, however, a better match could potentially be achieved by automatic algorithms and Bayesian analysis. However, we emphasize again that these modifications are probably degenerate and another combination could lead to a similar result. We point out that 2M 0136 is a likely member of the Carina-Near moving group (Gagné et al. 2017) which an estimated age of 200 Myr. Looking at evolutionary models between 120 and 500 Myr (Phillips et al. 2020), we expect a surface gravity of  $\log(g) = 4.5\text{--}5.0$  for the effective temperature of 2M 0136, which is consistent with the surface gravity that we used in the model. Despite it being a moving group member, 2M 0136 is not necessarily a low-surface-gravity object, hence, we do not expect our results to be affected by its young age.

### 3.2. Variability of PSO 318.5-22

We now turn to the spectroscopic variability of PSO 318.5-22 and its observed  $\sim 200^\circ$  phase shift between near- and mid-infrared signals. The simultaneous HST-WFC3 (G141 grism) and *Spitzer*-IRAC (channel 2, central wavelength 4.5  $\mu\text{m}$ ) modulations of the free-floating planetary-mass object, PSO 318.5-22,



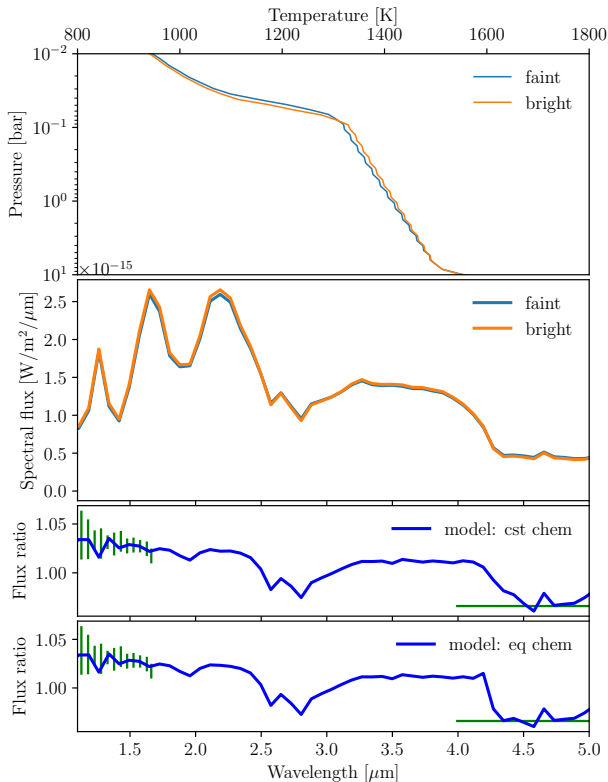
**Fig. 2.** *Left:* 2M 1821 (L dwarf), *right:* 2M 0136 (T dwarf). *Top:* PT profiles, blue is the background profile and red is the modulation PT profile. *Middle:* spectral flux at a resolution  $R \sim 100$ , blue is the spectral flux computed with the background PT profile, red is the spectral flux computed with Eq. (2). *Bottom:* modeled spectral bright-to-faint ratio in blue assuming either equilibrium chemistry in the modulation or constant abundances equal to the background values. Observed spectral ratio is in green (Yang et al. 2015).

was recently observed by Biller et al. (2018). These HST observations were five orbits long and catch a lightcurve maximum in orbit 3 and get close to two minima with different modulations in orbit 1 and 5. The intense reddening and absence of a strong  $\text{CH}_4$  signature in the spectrum of PSO 318.5-22 suggest that this object falls into the L-dwarf regime (Tremblin et al. 2017). This seems to be confirmed by the absence of increased variability in the 1.4- $\mu\text{m}$  water feature for the orbit 3/orbit 5 ratio in Biller et al. (2018); however, uncertainties about this absence remain given the error bars and the orbit 3/orbit 1 ratio. As such, the spectral signatures of  $\text{CH}_4$  are essentially absent and we use, similarly to 2M 1821, a low  $\text{CH}_4$  abundance to reproduce the main spectral characteristics (see Table 2). The other parameters, such as effective temperature, surface gravity, and metallicity, are similar to those in the model used by Tremblin et al. (2019).

Given the uncertainties in the modulations observed in PSO 318.5-22, we obtained a good model match with a simple 1D hemispheric-averaged bright and faint state, hence, we do not use a more complex model here. In Fig. 3, we show the PT structures and the spectra, as well as the modeled and observed spectral ratios. The observational data are taken from Biller et al. (2018), using the orbit 3/orbit 5 ratio of the WFC3 observations and a ratio of  $-3.4 \pm 0.1\%$  in *Spitzer* IRAC channel 2, under the approximation that HST and *Spitzer* data are nearly anti-correlated. The modeled spectral ratio shows that we can reproduce relatively well the spectral modulations in the WFC3 bandpass and, at the same time, the anti-correlated variability

**Table 2.** Parameters used to model the bright and faint state of PSO 318.5-22.

PSO 318.5-22		
	Bright	Faint
$T_{\text{eff}}$	1275	1275
$\gamma_{\text{eff}}$	1.028	1.03
$P_{\gamma,\text{min}}$ [bar]	$7 \times 10^{-2}$	$6 \times 10^{-2}$
$P_{\gamma,\text{max}}$ [bar]	10	
$\log g$	3.7	
[M/H]	0.4	
X(CH <sub>4</sub> )	$10^{-10}$	


**Fig. 3.** Model of PSO 318.5-22. *Top:* PT structures, red is the bright state, blue is the faint state. *Middle:* spectral flux at a resolution  $R \sim 25$ , red is the bright state, blue is the faint state. *Bottom:* modeled spectral ratio in blue either assuming chemical abundances in the bright state equal to the abundances in the faint state or assuming chemical equilibrium. The observed spectral ratio is in green (see Biller et al. 2018, for details).

in IRAC Channel 2. This mechanism can be described easily: since HST and *Spitzer* data are probing different pressure levels, an increase in the HST flux and a decrease in the *Spitzer* flux can be interpreted as an increase in temperature in the layers probed by HST – and a decrease in temperature in the layers probed by *Spitzer*. This can be interpreted as a change in the temperature gradient between the two pressure levels. Indeed, the PT structures in Fig. 3 show that the temperature for pressures lower than  $10^{-1}$  bar is decreasing, going from faint to bright, whereas the temperature is increasing for pressures higher than  $10^{-1}$  bar. Such a change in the temperature gradient has been proposed to explain the origin of the L/T transition in Tremblin

et al. (2016) and has been shown to be a natural consequence of CO/CH<sub>4</sub> radiative convection in Tremblin et al. (2019). We discuss, in Sect. 4, the possible implications of the departure from an exact anti-correlation between HST and *Spitzer* data since we cannot address this point with a simplistic 1D approach.

### 3.3. Extrapolation for the JWST spectral coverage

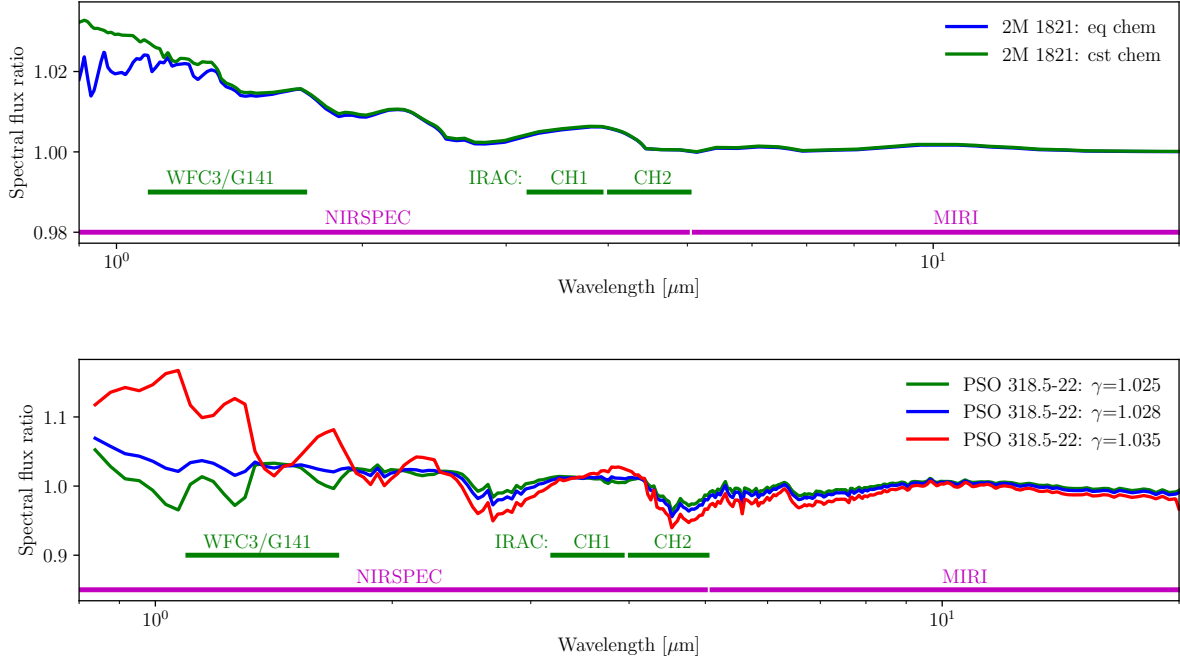
We show, in the top panel of Fig. 4, the models for the spectral ratio of 2M 1821, assuming equilibrium chemistry or constant chemistry in the modulation between 0.9 and 20  $\mu\text{m}$ . While the differences between the two types of models are small in the HST WFC3 bandpass, they are relatively large in the spectral window that can be explored with NIRSPEC at short wavelengths. The bright-to-faint spectral ratio of 2M 1821 is smaller for equilibrium chemistry at wavelengths smaller than 1.1  $\mu\text{m}$  that can be explored with NIRSPEC. The smaller spectral ratio is because of the larger difference in FeH abundance between the bright and faint states in the equilibrium chemistry model. Therefore, NIRSPEC will be able to probe the impact of FeH on spectral modulations of brown dwarfs and give constraints on the timescale and kinetics for which the molecule is able to form in the modulations. The bright-to-faint spectral ratio of 2M 0136 is mainly impacted by CH<sub>4</sub> abundance variations and this point is investigated in a dedicated section (Sect. 3.4) since the carbon chemistry is known to be out-of-equilibrium in brown dwarf atmospheres (Fegley & Lodders 1996).

We show in the bottom panel of Fig. 4, the models for the spectral ratio of PSO 318.5-22 with different  $\gamma$  values (different temperature gradient) in the bright state, between 0.9 and 20  $\mu\text{m}$ . An increase in the temperature gradient in the bright phase ( $\gamma = 1.035$ ) results in a larger spectral ratio in the NIRSPEC spectral coverage relative to the MIRI bandpass, which enhances the difference in anti-correlated spectral ratios between the near-IR and mid-IR. A decrease in the temperature gradient in the bright phase ( $\gamma = 1.025$ ), however, can remove the anti-correlation between the window at 1.05–1.25  $\mu\text{m}$  and mid-IR. The difference in the spectral ratio between near-IR and mid-IR is therefore quite sensitive to modulations in the temperature gradient in the atmosphere.

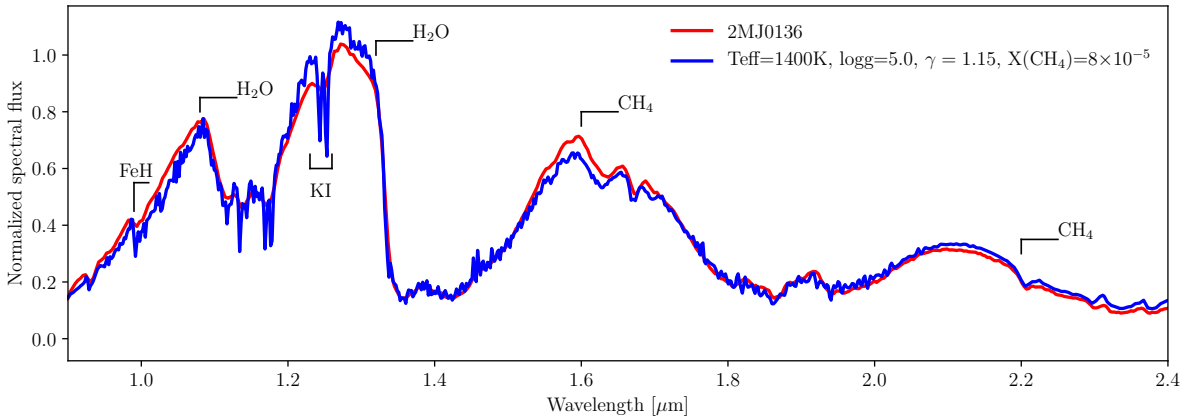
We point out that the models presented in this paper have been constrained to reproduce the bright-to-faint spectral ratios in the HST WFC3 and *Spitzer* channel 2 bandpasses. The spectral ratios at other wavelengths are extrapolations and not really predictions: observed deviations to these models might simply mean that the models need to include temperature-gradient modulations, for instance, going higher up in the atmosphere to reproduce longer wavelengths that generally probe lower pressures. Nonetheless, it is interesting to note that our models have little variability at long wavelengths around 10  $\mu\text{m}$ . This window could therefore be used to probe modulations that could arise from spatial inhomogeneities in the abundance of silicates. It could also help to disentangle between spatial inhomogeneities of the temperature gradient and spatial inhomogeneities of a silicate cloud layer. Additional models that include clouds and reproduce the 10- $\mu\text{m}$  silicate feature will be needed to constrain the amplitude of the homogeneities required to have a sufficiently high signal-to-noise ratio (S/N) to detect, using MIRI, a potential modulation at 10  $\mu\text{m}$  caused by clouds.

### 3.4. Variability associated with CH<sub>4</sub> abundance variations

In Sects. 2 and 3, we present models of out-of-equilibrium chemistry that keep the CH<sub>4</sub> abundance constant. This is justified for



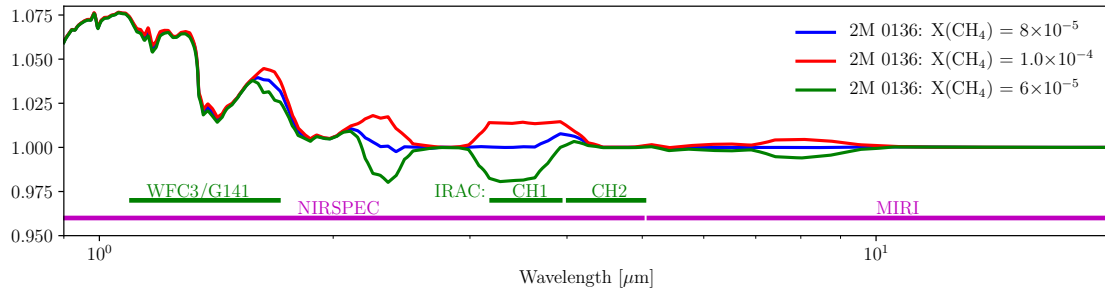
**Fig. 4.** *Top:* models of the bright-to-faint spectral ratio of 2M 1821 assuming constant or equilibrium chemistry in the modulation between 0.9 and 20  $\mu\text{m}$ . *Bottom:* models of the bright-to-faint spectral ratio of PSO 318.5-22 assuming different  $\gamma$  values in the bright phase.



**Fig. 5.** Red: SpeX prism spectrum of 2M 0136 (Burgasser et al. 2008). Blue: ATMO model indicating the main spectral signatures: FeH, H<sub>2</sub>O, KI lines, and CH<sub>4</sub> at 1.6 and 2.2  $\mu\text{m}$ .

2M 1821 and PSO 318.5-22 since these dwarfs are in the L-dwarf regime: CH<sub>4</sub> is not sufficiently abundant to impact the spectrum substantially, hence, there are no modulations associated to CH<sub>4</sub> abundance fluctuations expected. This is not the case for 2M 0136, which is in the T-dwarf regime. Figure 5 shows the observed SpeX prism spectrum of 2M 0136 and the ATMO model that we used for the background state. In addition to FeH, H<sub>2</sub>O, and the KI lines, CH<sub>4</sub> spectral signatures are clearly visible at 1.6 and 2.2  $\mu\text{m}$ . We constrained an abundance of  $8 \times 10^{-5}$  (mole fraction) to reproduce the observed spectrum. The equilibrium abundance profile of CH<sub>4</sub> reaches such an abundance at a pressure level of 1.5 bar. We estimated a difference of  $\leq 1\%$  in the CH<sub>4</sub> mole fraction at this level induced by the modulation. This is mainly because the quenching pressure is higher than the regions in which we change the temperature (top pressure is at 3.7 bar see Table 1). Based on an assumption of the vertical quenching of CO/CH<sub>4</sub> chemistry, we would expect the same level of difference in the quenched part of the CH<sub>4</sub>

abundance profile. By varying the CH<sub>4</sub> abundance at 1% with respect to the background quenched value, we observed negligible differences in the spectral modulation. We have therefore computed models with a much larger fluctuation with a reduced or increased CH<sub>4</sub> abundance of 25% to explore the impact of CH<sub>4</sub> on spectral modulations in the JWST spectral coverage. The resulting spectral ratio are shown in Fig. 6. This large-amplitude (25% level) abundance modulation has a clearly visible impact at 1.6–1.7, 2.0–2.5, 3.0–4.0, and 7.0–9.5  $\mu\text{m}$ . A 25% level modulation in CH<sub>4</sub> abundance can be expected if we extend the modulation of the temperature gradient to higher up in the atmosphere, such a level is obtained for  $P_{\text{mod,min}} = 1.5$  bar for the 2M 0136 model. Such modulations of the temperature gradient in the region around one bar could, therefore, explain the possible CH<sub>4</sub> variability detection in the spectrum of the very red L-dwarf companion VHS J1256-1257b obtained by Zhou et al. (2020) and could be further constrained with future JWST observations.



**Fig. 6.** Bright-to-faint spectral ratio of 2M 0136 between 0.9 and 20  $\mu\text{m}$  with a reduced and increased abundance of  $\text{CH}_4$  ( $\pm 25\%$  of the background abundance).

#### 4. Conclusions and discussion

This paper is aimed at exploring the role of temperature fluctuations in the rotational spectral modulation of brown dwarfs. We show that the evolution of the HST spectral-flux ratio between different objects along the cooling sequence of brown dwarfs from late-L to early-T has similar characteristics to the spectral rotational modulations in individual objects at the L/T transition. This suggests that the main parameter that varies during the cooling sequence, namely, temperature, might play a significant role in the rotational modulations of objects at the L/T transition. By varying the temperature gradient in the structure of T and L dwarfs cloudless models, we are indeed able to reproduce the presence or absence of increased variability amplitude within the 1.45  $\mu\text{m}$  water absorption feature in the HST spectral modulation. By changing the temperature gradient in the atmosphere, we are also able to reproduce the nearly anti-correlated modulation between HST and *Spitzer* data for PSO 318.5-22. This signature fits in with our interpretation that a modification of the temperature gradient is responsible for the L/T transition and could be a natural consequence of  $\text{CO}/\text{CH}_4$  radiative convection. The impact of  $\text{CH}_4$  abundance variations is negligible for the spectral modulations of the objects studied in this paper (around 1% abundance variations), however, temperature variations higher up in the atmosphere could lead to stronger variability associated with such  $\text{CH}_4$  abundance variations.

Future spectral-modulation studies with the large spectral coverage of, for instance, JWST, on early and late T dwarfs might help in identifying spectral modulations associated with  $\text{CH}_4$ . For early T dwarfs,  $\text{CH}_4$  abundance fluctuations can impact a large part of the atmosphere because of out-of-equilibrium chemistry and these signatures could be interesting for probing vertical and horizontal quenching induced by winds and convection.

Here, we show that the representative pressure-temperature modulations that we introduced in the modeled atmospheres can lead to near-anti-correlated phase shifts between 1.1–1.7 and 3–5  $\mu\text{m}$  rotational lightcurves, which are similar to the phase shifts observed for the L7 dwarf PS 318.5-22 (Biller et al. 2018) and for the T6.5 dwarf 2M2228 (Buenzli et al. 2012). An anti-correlation could be the sign of a change of the temperature gradient in the atmosphere, possibly also at the origin of the L/T transition and induced by  $\text{CO}/\text{CH}_4$  radiative convection. Such modifications of the PT profile could also be at the origin of the unexpected behavior of the KI-lines modulation in Luhman 16B, as suggested by Kellogg et al. (2017). In general, modulations at the resolution of spectral lines might be much easier to produce with temperature changes than cloud (continuum) opacity changes.

The  $\sim 200$  degree phase shift between the 1.1–1.7 and 3–5  $\mu\text{m}$  light curves observed for PSO 318.5-22 (Biller et al. 2018) may,

however, be atypical. Although Buenzli et al. (2012) identified a similar magnitude phase shift in the light curves of the T6.5-type 2M2228, the five simultaneous light curves in that source showed pressure-dependent offsets (also confirmed in Yang et al. 2016). In addition, Yang et al. (2016) found smaller phase shifts (10–25 degrees) in late L dwarfs and L/T transition dwarfs, demonstrating that different brown dwarfs are likely to have different vertical-longitudinal atmospheric structures. If we explain an exact anti-correlation with a change in the temperature gradient in a region of the surface of the brown dwarf, the  $\sim 20^\circ$  lag from the exact anti-correlation for PSO 318.5-22 could be a sign of wind advection in this region, probed at different depths by HST and *Spitzer* data (hence, at different radiative timescales). This shift could be similar to the shift of the hot-spot at different bandpasses caused by the atmospheric circulation of hot Jupiters and is on the order of what is observed in the Hot Jupiter regime. The shape of the “spot” may be, however, more complex since high-temperature spots qualitatively similar to the Great Red Spot in Jupiter atmosphere have been excluded by the lightcurve evolution data (see Apai et al. 2017). The wind speed for brown dwarfs (e.g.,  $650 \pm 310 \text{ m s}^{-1}$  Allers et al. 2020) may be relatively smaller than the zonal jet in Hot Jupiters (e.g., 3–4  $\text{km s}^{-1}$  Showman et al. 2008). Hence, this link is relatively speculative at this stage and further studies are needed to see if the atmospheric circulation in brown dwarfs could lead to such phase shifts at different bandpasses.

In general, we have mainly focused our study on reproducing the spectral characteristic of the bright-to-faint spectral ratios of the modulation. A future line of work would, indeed, be to look further into multidimensional, time-dependent simulations of diabatic convection and also to study whether they can reproduce the typical lifetime of the features responsible of the rotational modulations and the light curve time evolution (typically 5–40 h, Apai et al. 2017). However, we point out that if a switch at the global scale between diabatic and adiabatic convection is responsible for the L/T transition when  $\text{CO}$  is converted into  $\text{CH}_4$ , it is likely to go on to produce large-scale inhomogeneities in the form of waves and large-scale circulation patterns. Assuming large-scale features with sizes on the order of half the radius of Jupiter (assuming a  $\sim 10\%$  cover fraction of a brown dwarf visible disk) and typical convective velocities between  $10^4$  and  $10^5 \text{ cm s}^{-1}$  (Atkinson et al. 1997), the typical order of magnitude of the lifetime of these large-scale features would be between ten hours and four days, which is fairly consistent with the observed lifetime. As a consequence, a switch between diabatic and adiabatic convection could, indeed, explain the time evolution of the spectra of brown dwarfs at the L/T transition relatively well. The magnitude of the temperature fluctuations expected for standard convection is relatively



modest (typically a few percent, e.g., [Aurnou et al. 2008](#)) and is mainly a function of the level of super-adiabaticity. This could be much larger for diabatic convection that can get a larger temperature fluctuation associated to a compositional fluctuation for the same level of “super-diabaticity” (defined as the difference of the unstable diabatic potential temperature gradient to the stable potential temperature gradient). Numerical simulations are required for a more detailed study of the magnitude, length scale, and timescale of the temperature fluctuations associated with diabatic convection.

This paper demonstrates that some key observed spectral characteristics of rotational modulations based on low-resolution time-resolved NIR spectrophotometry can be interpreted in terms of temperature variations. This result suggests that modulations from temperature variations and cloud-opacity variations are degenerate. We are not arguing that there are no modulations coming from clouds: if clouds are present and not homogeneous, they are likely to cause rotational modulations (see e.g., [Tan & Showman 2019](#), for 1D time-dependent models). Furthermore, clouds can induce temperature fluctuations because of radiative heating and cooling. However these modulations, on their own, are not a particularly strong sign of the presence of clouds, especially in a convective atmosphere with complex chemistry (e.g., CO/CH<sub>4</sub> radiative convection). The detection of direct cloud spectral signatures, for instance, the silicate absorption feature at 10  $\mu\text{m}$ , would help to confirm that the observed variability can be driven by clouds, although it will not necessarily exclude temperature variations or other mechanisms that may be at play. Future studies (e.g., with JWST) looking at the differences in the rotational spectral modulation of objects with and without the silicate absorption feature may give us some insight into how to distinguish cloud-opacity fluctuations from temperature fluctuations.

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