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LETTER TO THE EDITOR

# Deceptively cold dust in the massive starburst galaxy GN20 at $z \sim 4$

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## ABSTRACT

We present new observations, carried out with IRAM NOEMA, of the atomic neutral carbon transitions  $[\text{C I}](^3\text{P}_1-^3\text{P}_0)$  at 492 GHz and  $[\text{C I}](^3\text{P}_2-^3\text{P}_1)$  at 809 GHz of GN20, a well-studied star-bursting galaxy at  $z = 4.05$ . The high luminosity line ratio  $[\text{C I}](^3\text{P}_2-^3\text{P}_1)/[\text{C I}](^3\text{P}_1-^3\text{P}_0)$  implies an excitation temperature of  $48^{+14}_{-9}$  K, which is significantly higher than the apparent dust temperature of  $T_d = 33 \pm 2$  K ( $\beta = 1.9$ ) derived under the common assumption of an optically thin far-infrared dust emission, but fully consistent with  $T_d = 52 \pm 5$  K of a general opacity model where the optical depth ( $\tau$ ) reaches unity at a wavelength of  $\lambda_0 = 170 \pm 23 \mu\text{m}$ . Moreover, the general opacity solution returns a factor of  $\sim 2\times$  lower dust mass and, hence, a lower molecular gas mass for a fixed gas-to-dust ratio, than with the optically thin dust model. The derived properties of GN20 thus provide an appealing solution to the puzzling discovery of starbursts appearing colder than main-sequence galaxies above  $z > 2.5$ , in addition to a lower dust-to-stellar mass ratio that approaches the physical value predicted for starburst galaxies.

**Key words.** galaxies: evolution – galaxies: high-redshift – galaxies: ISM – galaxies: starburst

## 1. Introduction

Over the last decade, it has been established that the majority of star-forming galaxies (SFGs) fall into a tight correlation between the star formation rate (SFR) and the stellar mass ( $M_*$ ), forming a “main-sequence” (MS) with a normalization that increases with redshift (e.g., Brinchmann et al. 2004; Daddi et al. 2007; Noeske et al. 2007; Elbaz et al. 2007; Magdis et al. 2010). Outliers of this relation are defined as starburst galaxies (SBs), existing at all redshifts. While the star formation in MS galaxies is governed by secular processes, merger-induced events or galaxy interactions are thought to trigger it in SBs (e.g., Cibinel et al. 2019).

In the interstellar medium (ISM), the thermal emission from dust grains heated by UV photons originating from newly formed stars dominates the spectral energy distribution (SED) of galaxies (at  $\sim 8\text{--}1000 \mu\text{m}$ , Sanders & Mirabel 1996). Modeling of the rest-frame far-infrared (FIR) and the Rayleigh-Jeans (RJ) tail of the SED can be used to derive properties including the dust mass ( $M_d$ ), the infrared luminosity ( $L_{\text{IR}}$ ), the intensity

of the radiation field ( $\langle U \rangle \propto L_{\text{IR}}/M_d$ ; Draine & Li 2007), and the mass-weighted dust temperature ( $T_d$ ) where  $\langle U \rangle = (T_d/18.9)^{6.04}$  (Magdis et al. 2012a, 2017).

With the ever-increasing number of galaxy populations with well-studied infrared properties, several puzzling findings have started to emerge, especially for high-redshift SBs. First, their dust-to-stellar mass ratios ( $M_d/M_*$ ) are found to be extremely large (reaching 0.1: Tan et al. 2014), with a stellar mass budget that is unable to account for the inferred dust production (Béthermin et al. 2015). Second, while the intensity of the radiation field in MS galaxies rises with increasing redshift up to  $z \sim 4$  (Magdis et al. 2017; Jin et al. 2019), mirroring the increase in the specific SFR (sSFR = SFR/ $M_*$ ) in the same time interval (Béthermin et al. 2015, for  $T_d$ : Schreiber et al. 2018), the evolution is less clear for SBs. While Schreiber et al. (2018) report a trend of increasing  $T_d$  with both redshift and offset from the MS, the latter, independently of redshift, Béthermin et al. (2015) observe no evolution of the mean radiation field (hence, dust temperature) with redshift for strong SBs with

$s\text{SFR} > 10 \times s\text{SFR}_{\text{MS}}$ , which become apparently colder than MS galaxies at  $z > 2.5$ , which is at odds with the expectations. A possible solution to the latter could be offered by a more general treatment of the modeling of the FIR emission that in the vast majority of the literature. Also, due to the limited sampling of the SEDs in the FIR to RJ regime, such modeling is performed under the assumption of optically thin FIR emission for both MS and SB galaxies. Indeed, observational studies of local ultra-luminous infrared galaxies (ULIRGs) and high-redshift massive SBs indicate that the dust could remain optically thick out to rest-frame  $\lambda_0 = 100\text{--}200\ \mu\text{m}$  (e.g., Blain et al. 2003; Huang et al. 2014; Lutz et al. 2016; Spilker et al. 2016; Riechers et al. 2013; Hodge et al. 2016; Simpson et al. 2017) and, in the most extreme case, out to millimeter wavelengths as reported for the star-bursting nucleus of Arp 220 (Scoville et al. 2017a). If the FIR dust emission is optically thick, the suppressed continuum emission in the Wien's part of the IR emission shifts the peak of the SED to longer wavelengths, mimicking apparently cold  $T_d$ , while, in fact, the actual luminosity-weighted  $T_d$  of the sources would be considerably warmer. The main difficulty is that the optically thin or thick solutions are heavily degenerate; the same SED could arise from either cold and optically thin or a warm and optically thick FIR dust emission with no robust way to discriminate between the two by simply using continuum observations. An independent proxy for  $T_d$  is, thus, required to break this degeneracy.

In this work, we present new Northern Extended Millimeter Array (NOEMA) observations of GN20, a well-known massive (stellar mass of  $M_* \sim 10^{11} M_\odot$ ; Tan et al. 2014) starburst galaxy at  $z = 4.0553$  (Pope et al. 2006; Daddi et al. 2009), targeting both atomic neutral carbon lines,  $[\text{C I}]({}^3\text{P}_1\text{--}{}^3\text{P}_0)$  and  $[\text{C I}]({}^3\text{P}_2\text{--}{}^3\text{P}_1)$ . The simple three-level structure of the atom allows us to use the  $[\text{C I}]$  line luminosity ratio to derive the excitation temperature ( $T_{\text{ex}}$ ), which was recently reported to correlate with  $T_d$  derived assuming optically thin FIR dust emission on sub-galactic scales for nearby (U)LIRGs (Jiao et al. 2019a,b), suggesting that the gas probed by  $[\text{C I}]$  and the dust are correlated on kpc scales. The  $[\text{C I}]$  line ratio might thus be used as an independent empirical indicator of the dust temperature, potentially breaking the degeneracy between an optically thick and thin case for the FIR dust emission.

Throughout the Letter, we adopt  $H_0 = 70\ \text{km s}^{-1}\ \text{Mpc}^{-1}$ ,  $\Omega_M = 0.30$ ,  $\Omega_\Lambda = 0.70$ , and a Chabrier (2003) initial mass function (IMF).

## 2. Observations and data reduction

We used IRAM NOEMA to observe the  $[\text{C I}]({}^3\text{P}_1\text{--}{}^3\text{P}_0)$ ,  $[\text{C I}]({}^3\text{P}_2\text{--}{}^3\text{P}_1)$ , and CO(7–6) line transitions in the GN20 protocluster (Daddi et al. 2009). The observations took place in March 2017 using the D configuration for a total on-source time of 7.6 h (program W16DZ, PI: G. Magdis). The  $[\text{C I}]({}^3\text{P}_1\text{--}{}^3\text{P}_0)$  line (rest frequency:  $\nu_{\text{rest}} = 492.161\ \text{GHz}$ ) is redshifted to  $\nu = 97.355\ \text{GHz}$  at  $z = 4.0553$  with a primary beam of  $51.8''$ . We set our pointing center to the coordinates of GN20 (RA:  $12^{\text{h}}37^{\text{m}}11^{\text{s}}89$ , Dec:  $+62^{\text{d}}22^{\text{m}}12^{\text{s}}1$ ) to detect the  $[\text{C I}]$  and CO lines in this galaxy. Although the D-configuration leads to a relatively low spatial resolution ( $\sim 3\text{--}6''$ ), it is the most suitable configuration for a detection experiment as ours. As the observations of the two other GN20 protocluster members, GN20.2a and GN20.2b, are affected by a primary beam attenuation of about 0.2–0.7, no lines were detected and we could not derive any constraining measurements for these galaxies.

The data were reduced using the GILDAS software packages CLIC and MAPPING. The pipeline-derived flux for our flux cal-

ibrator LKHA101 is 0.24 Jy at 97.4 GHz, and 0851+202 7.24 Jy at 160.1 GHz, with about 20% absolute calibration uncertainty. We produced  $uv$  tables with channel widths of  $26\ \text{km s}^{-1}$ , achieving an rms of 0.77 and  $1.35\ \text{mJy beam}^{-1}$  at 3 mm and 1.86 mm, respectively. We then estimated the continuum emission by averaging the line-free channels. Finally, we subtracted the continuum to produce the line  $uv$  tables. The spectra were then extracted using the GILDAS *UV\_FIT* task by assuming an intrinsic source size of  $0.72''$  (circular Gaussian FWHM), the size of the CO(4–3) line derived from the higher-resolution and signal-to-noise (S/N) data from Tan et al. (2014). The beam sizes at 3 mm and 1.86 mm are  $6.72'' \times 3.42''$  and  $2.51'' \times 1.72''$ , respectively. The CO and  $[\text{C I}]$  line intensity maps were produced by collapsing the  $uv$  space cube according to the line widths followed by an imaging process (dirty image). We extracted all information directly in the  $uv$  plane to avoid introducing any artifacts during the imaging process. We note that assuming an unresolved point-like source in the fitting leads to  $\sim 20\%$  lower line fluxes and 50% worse residuals<sup>1</sup>.

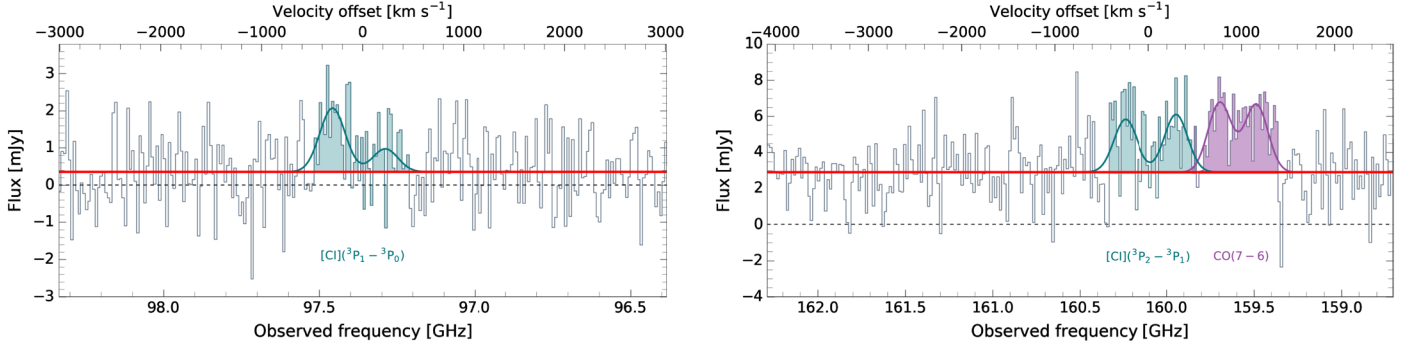
We searched for emission lines by scanning the S/N spectra as detailed in Daddi et al. (2015). The estimated continuum at 1.86 mm of GN20 is  $2.80 \pm 0.13\ \text{mJy}$  and  $0.36 \pm 0.04\ \text{mJy}$  at 3.05 mm. The 1.86 mm continuum flux is larger than the existing measurements reported in Casey et al. (2009) ( $S_{1.86\ \text{mm}} = 1.9 \pm 0.2\ \text{mJy}$ ), for which the actual noise may have been underestimated. On the other hand, the 3.05 mm continuum flux (central frequency 98.16 GHz) is fully consistent with the flux reported in Tan et al. (2014) ( $S_{3.3\ \text{mm}} = 0.23 \pm 0.04\ \text{mJy}$ , central frequency 91.34 GHz) when taking into account the difference in frequency and assuming the dust continuum decreases as  $\sim \lambda^{-3.8}$ .

Figure 1 (left) shows the  $[\text{C I}]({}^3\text{P}_1\text{--}{}^3\text{P}_0)$  spectrum with an indication of a double-peaked structure which is more prominent in the  $[\text{C I}]({}^3\text{P}_2\text{--}{}^3\text{P}_1)$  and CO(7–6) lines (Fig. 1, right). We fixed the line width as derived from the brighter  $[\text{C I}]({}^3\text{P}_2\text{--}{}^3\text{P}_1)$  line (see Table 1) to estimate the  $[\text{C I}]({}^3\text{P}_1\text{--}{}^3\text{P}_0)$  line flux. This is done for the purpose of including the fainter component of the  $[\text{C I}]({}^3\text{P}_1\text{--}{}^3\text{P}_0)$  line feature which, due to the low S/N, would otherwise be overlooked. We detected the line with a  $6.40\sigma$  significance, retrieving a total velocity-integrated flux of  $0.70 \pm 0.11\ \text{Jy km s}^{-1}$ .

Existing  $[\text{C I}]({}^3\text{P}_2\text{--}{}^3\text{P}_1)$  and CO(7–6) line observations of this target were previously reported as upper limits with line intensities of  $< 1.2\ \text{Jy km s}^{-1}$  (Casey et al. 2009). However, our observations reveal  $8.5\sigma$  and  $11.0\sigma$  detections for the  $[\text{C I}]({}^3\text{P}_2\text{--}{}^3\text{P}_1)$  and CO(7–6) emission, respectively. This could indicate that the previous  $[\text{C I}]({}^3\text{P}_2\text{--}{}^3\text{P}_1)$  and CO(7–6) upper limits may have been underestimated, similarly to the continuum measurement at 1.86 mm. Figure 1 (right) shows the spectrum of  $[\text{C I}]({}^3\text{P}_2\text{--}{}^3\text{P}_1)$  and CO(7–6), where the velocity offset is relative to the expected frequency at  $z = 4.0553$ . Both lines are detected and reveal a double-peaked structure. The total velocity-integrated flux density of the  $[\text{C I}]({}^3\text{P}_2\text{--}{}^3\text{P}_1)$  line is  $1.80 \pm 0.22\ \text{Jy km s}^{-1}$  with a line width of  $949\ \text{km s}^{-1}$ . The observed lines indicate a redshift of  $4.0536 \pm 0.0080$ , which is consistent with previous redshift determinations from CO line measurements (Daddi et al. 2009; Carilli et al. 2010, 2011; Hodge et al. 2012; Tan et al. 2014). The CO(7–6) flux measurements, along with a detailed study of the CO spectral line energy distribution (SLED), will be presented in a dedicated, forthcoming paper.

The total integrated flux density of each line was estimated by taking the product of the averaged flux density in

<sup>1</sup> This is estimated by examining the total flux within one beam size aperture at the position of GN20 in the dirty image of the line-channel-collapsed residual data. The residual  $uv$  data are produced by the GILDAS *UV\_FIT* task.



**Fig. 1.** Extracted spectra of the [C I] $(^3P_1-^3P_0)$  line (*left*) and the [C I] $(^3P_2-^3P_1)$  and CO(7–6) lines (*right*). Both spectra are binned in steps of  $26 \text{ km s}^{-1}$ . The colored areas indicate that the velocity ranges corresponding to detected line emission as labeled, which were used to obtain the velocity-integrated fluxes. Blue and purple solid lines show the best-fit double Gaussians, whereas the red line in each panel shows the continuum level. The velocity offset in both panels is relative to the expected frequency of the [C I] lines at  $z = 4.0553$ .

the channels, maximizing the S/N and the velocity width of these channels (see [Daddi et al. 2015](#); [Whitaker et al. 2014](#)). We checked these non-parametric estimates against Gaussian modeling, retrieving fully consistent results. We proceeded with the scanning method based on the first approach to derive the line luminosities throughout the Letter. The line fluxes were converted to luminosities (listed in [Table 1](#)) following the conversions in [Solomon & Vanden Bout \(2005\)](#).

### 3. Analysis

#### 3.1. The excitation temperature of neutral atomic carbon

Our new NOEMA observations allow us to derive the excitation temperature ( $T_{\text{ex}}$ ), under the assumption of local thermodynamical equilibrium (LTE) and given that both carbon lines are optically thin. To test the validity of the latter assumption, we derived the optical depth of each [C I] line following [Schneider et al. \(2003\)](#) (Eqs. (A.6) and (A.7)) by using the intrinsic brightness temperature of the [C I] lines. We used the optically thick FIR dust results ( $\tau = 1$ ,  $\lambda_0 = 170 \mu\text{m}$ , and  $\log(M_{\text{d}}/M_{\odot}) = 9.31$ ) to derive the source solid angle assuming  $\kappa_{850} = 0.43 \text{ cm}^2 \text{ g}^{-1}$  at  $\lambda = 850 \mu\text{m}$  yielding  $\Omega_{\text{source}} = 2.36 \times 10^{-12} \text{ sr}$  or an effective radius of  $R_{\text{e}} = 1.2 \text{ kpc}$ , consistent with the reported size of the rest-frame  $170 \mu\text{m}$  observations ([Hodge et al. 2015](#)). For the [C I] $(^3P_1-^3P_0)$  and [C I] $(^3P_2-^3P_1)$  lines, we measured brightness temperatures of  $T_{\text{b}} = 1.07$  and  $1.02 \text{ K}$ , respectively. As the equations include the excitation temperature, we assumed for the first iteration that  $T_{\text{ex}}$  is equal to  $T_{\text{d}} = 33\text{--}52 \text{ K}$ , the derived dust temperature assuming optically thin and thick dust MBB prescriptions, respectively (see [Sect. 3.2](#)). This yields optical depths of  $\tau_{[\text{C I}]} = 0.03\text{--}0.05$  for both [C I] lines, comparable with other high-redshift galaxies ([Walter et al. 2011](#); [Alaghband-Zadeh et al. 2013](#); [Nesvadba et al. 2019](#)). The excitation temperature can be derived via the formula under the assumption that [C I] is thermalized, meaning that it shares the same  $T_{\text{ex}}$  for both levels of [C I] ([Stutzki et al. 1997](#)):

$$T_{\text{ex}} = 38.8 \times \ln \left( \frac{2.11}{R} \right)^{-1}, \quad (1)$$

where  $R = L'_{[\text{C I}](^3P_2-^3P_1)} / L'_{[\text{C I}](^3P_1-^3P_0)}$ . We find  $R = 0.9 \pm 0.2$  and  $T_{\text{ex}} = 48.2 \pm 11.6 \text{ K}$ . We bootstrapped the [C I] $(^3P_1-^3P_0)$  and [C I] $(^3P_2-^3P_1)$  luminosities, assuming normally distributed values with the observed error as the standard deviation. This Monte Carlo (MC) test yields a median of  $T_{\text{ex}} = 48.2_{-9.2}^{+15.1} \text{ K}$  (the

**Table 1.** Derived properties of GN20.

NOEMA observations	
$I_{[\text{C I}](^3P_1-^3P_0)}$ [ $\text{Jy km s}^{-1}$ ]	$0.70 \pm 0.11$ <sup>(a)</sup>
$L'_{[\text{C I}](^3P_1-^3P_0)}$ [ $10^{10} \text{ K km s}^{-1} \text{ pc}^{-2}$ ]	$2.48 \pm 0.38$
$I_{[\text{C I}](^3P_2-^3P_1)}$ [ $\text{Jy km s}^{-1}$ ]	$1.80 \pm 0.21$
$L'_{[\text{C I}](^3P_2-^3P_1)}$ [ $10^{10} \text{ K km s}^{-1} \text{ pc}^{-2}$ ]	$2.33 \pm 0.27$
$S_{3.05 \text{ mm}}$ [mJy]	$0.36 \pm 0.04$
$S_{1.86 \text{ mm}}$ [mJy]	$2.80 \pm 0.13$
MBB best-fit solutions	
$T_{\text{d,thick}}$ [K]	$52 \pm 5$
$\beta_{\text{thick}}$	$2.00 \pm 0.15$
$\log(M_{\text{d,thick}}/M_{\odot})$	$9.31 \pm 0.16$
$\log(L_{\text{IR,thick}}/L_{\odot})$	$13.20 \pm 0.03$
$\lambda_0$ [ $\mu\text{m}$ ]	$170 \pm 23$
$T_{\text{d,thin}}$ [K]	$33 \pm 2$
$\beta_{\text{thin}}$	$1.95 \pm 0.11$
$\log(M_{\text{d,thin}}/M_{\odot})$	$9.59 \pm 0.10$
$\log(L_{\text{IR,thin}}/L_{\odot})$	$13.15 \pm 0.04$

**Notes.** <sup>(a)</sup>The [C I] $(^3P_1-^3P_0)$  line width was fixed to the best-fit of the [C I] $(^3P_2-^3P_1)$  line emission,  $FWHM_{[\text{C I}](2-1)} = 949 \text{ km s}^{-1}$ .

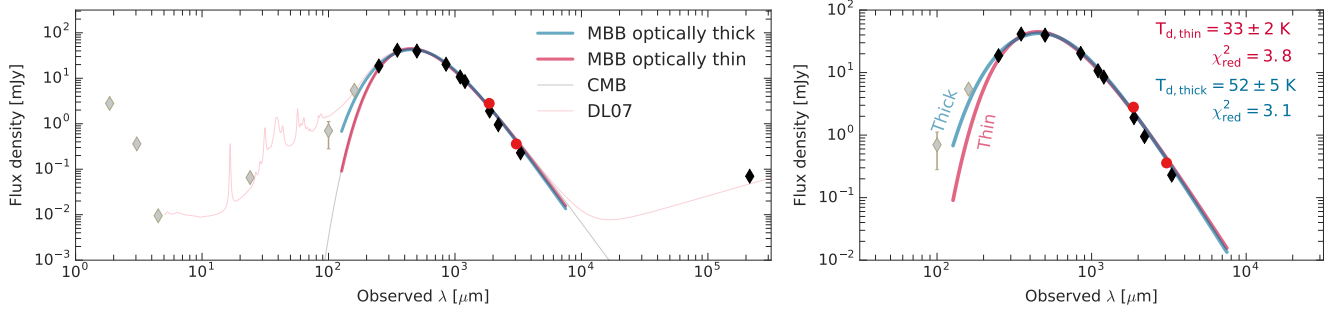
upper and lower values are the 16th and 84th percentiles). Lastly, re-deriving the optical depths using the final excitation temperature yields  $\tau_{[\text{C I}]} = 0.03$  for both lines, confirming that both [C I] lines are optically thin<sup>2</sup>.

#### 3.2. Modeling of the FIR and millimeter emission

To further constrain the FIR and millimeter properties of GN20, we complement the literature observations with our new continuum flux measurements at 1.86 and 3.05 mm. Existing photometry and millimeter measurements have already been presented in detail (see [Magdis et al. 2012a](#); [Tan et al. 2014](#)) including photometry observations from *Herschel* (PACS: 100, 160  $\mu\text{m}$ ; SPIRE: 250, 350, 500  $\mu\text{m}$ ) and the AzTEC 1.1 mm map ([Perera et al. 2008](#)). We also include continuum measurements at 2.2, 3.3, and 6.6 mm ([Carilli et al. 2011](#)), and 870  $\mu\text{m}$  observations ([Hodge et al. 2015](#)).

<sup>2</sup> Adopting a larger size similar to that measured of the CO(2–1) emission ( $R_{\text{e}} \sim 4 \text{ kpc}$ ; [Carilli et al. 2010](#); [Hodge et al. 2015](#)) yields a  $T_{\text{b}}$  and  $\tau_{[\text{C I}]}$  that is  $\sim 9.5\%$  of values derived for the  $R_{\text{e}} = 1.2 \text{ kpc}$  case.





**Fig. 2.** Mid-IR to millimeter SED of GN20 in observed wavelength. *Left:* complement our new continuum measurements at 1.86 and 3.05  $\mu\text{m}$  (red points) with existing photometry observations at observed and  $\lambda > 160 \mu\text{m}$  and  $\lambda \leq 160 \mu\text{m}$  (black and grey points, respectively), where the latter is omitted from the MBB modeling. Blue and red lines show the best-fit single-temperature MBB prescription assuming an optically thick ( $\lambda_0 = 170 \pm 23 \mu\text{m}$ ) and thin dust emission, respectively. We also present the best-fit MBB model when accounting for the effect of the CMB (grey curve). The solid pink line shows the best-fit using the DL07 dust models, containing a diffuse ISM component and dust in PDR regions. The best-fit MBB parameters are listed in Table 1. *Right:* zoom-in of the rest-frame FIR part of the SED of GN20 when including the optically thick and thin MBB prescriptions. We note that the optically thick MBB model is a better match to the photometry observations at  $\lambda \leq 160 \mu\text{m}$ .

We adopted three different methods to infer the FIR properties of GN20. First, we used the silicate-graphite-PAH models from [Draine & Li \(2007, hereafter DL07\)](#), including diffuse ISM and photodissociation region (PDR) components to estimate the  $L_{\text{IR}}$  (at 8–1000  $\mu\text{m}$ ), the  $M_{\text{d}}$ , and the  $\langle U \rangle$  by fitting the available mid-IR to millimeter photometry (Fig. 2, left). Since the DL07 dust models inherently assume that the dust emission is optically thin and do not determine a luminosity-weighted  $T_{\text{d}}$  that is commonly used in the literature, we also considered optically thin and general opacity single-temperature modified blackbody (MBB) prescriptions ([Berta et al. 2016](#)).

For the general opacity MBB model, we fit the observed FIR and millimeter photometry at  $\lambda_{\text{rest}} > 50 \mu\text{m}$  of GN20 (to avoid contamination from warm dust):

$$S_{\nu} \propto (1 - e^{-\tau_{\nu}}) \times B(\nu, T), \quad (2)$$

where  $B(\nu, T)$  is the Planck function,  $\tau_{\nu} = (\frac{\nu}{\nu_0})^{\beta}$  is the frequency-dependent optical depth of the dust,  $\nu_0$  is the frequency at which the optical depth reaches unity, and  $\beta$  is the dust emissivity. To estimate  $M_{\text{d}}$ , we assume a dust opacity at 850  $\mu\text{m}$  of  $\kappa_{850} = 0.43 \text{ cm}^2 \text{ g}^{-1}$  ([Li & Draine 2001](#)). In the optically thin case ( $\nu_0 \ll \nu$ ), the MBB prescription is reduced to:

$$S_{\nu} \propto \nu^{\beta} \times B(\nu, T). \quad (3)$$

The SED of GN20 and the best-fit prescriptions are presented in Fig. 2 and the results are listed in Table 1. For the optically thin case, the SED fitting yields  $T_{\text{d}} = 33 \pm 2 \text{ K}$  and  $\beta = 1.9 \pm 0.1$ , which is consistent with the result reported in [Magdis et al. \(2011a\)](#) but considerably smaller than the  $T_{\text{ex}}$  derived from the [C I] luminosity ratio (Sect. 3.1). Accounting for the effect of the cosmic microwave background (CMB) on the (sub-)millimeter dust continuum emission, as detailed in [da Cunha et al. \(2013\)](#), results in consistent best-fit parameters within the uncertainties (Fig. 2, left). On the other hand, when fitting the FIR SED using a general opacity dust model (Eq. (2)), the optical depth reaches unity at a wavelength of  $\lambda_0 = c/\nu_0 = 170 \pm 23 \mu\text{m}$  with a dust temperature of  $T_{\text{d}} = 52 \pm 5 \text{ K}$ , which is fully consistent with  $T_{\text{ex}}$ , while recovering the same  $\beta$  value as for the optically thin case.

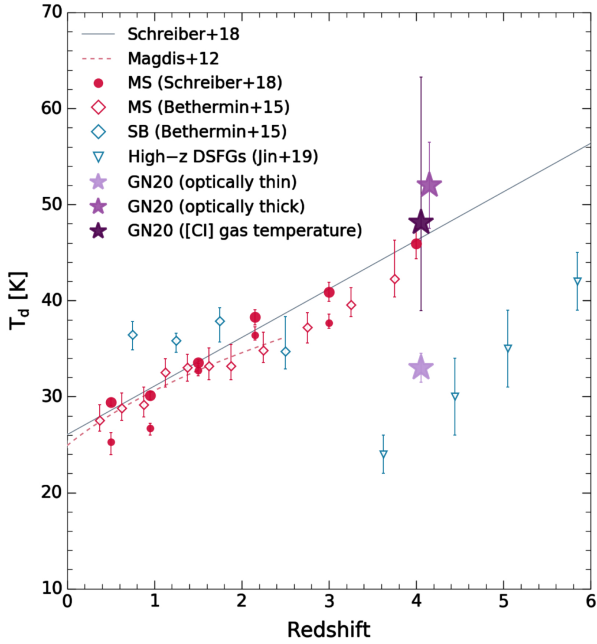
## 4. Results and discussion

Recent works have reported a correlation between the  $T_{\text{ex}}$  derived from [C I] line ratio and the apparent luminosity-weighted  $T_{\text{d}}$  derived assuming optically thin MBB prescription with

$\beta = 2$  from resolved observations of nearby star-forming galaxies and (U)LIRGs ([Jiao et al. 2019a,b](#)). For galaxies at high-redshift, when  $T_{\text{d}}$  is derived using the same MBB prescription, the existence of a  $T_{\text{ex}} - T_{\text{d}}$  correlation is less clear. Although this is possibly due to the small sample size and lower S/N temperature estimates, which both cause significant scatter, the high-redshift galaxies give, on average,  $T_{\text{d}} \geq T_{\text{ex}}$ , which is consistent with the local systems ([Jiao et al. 2019a,b](#); [Valentino et al. 2020](#)).

Following the same prescriptions to derive  $T_{\text{ex}}$  and  $T_{\text{d}}$  as proposed in these studies leads to the observation of several curious properties for GN20. The large [C I] line ratio yields  $T_{\text{ex}} = 48.2^{+15.1}_{-9.2} \text{ K}$ , which is significantly warmer than the apparent dust temperature of  $T_{\text{d}} = 33 \pm 2 \text{ K}$ , opposing to the general trend in the empirical  $T_{\text{ex}} - T_{\text{d}}$  relation when assuming optically thin FIR dust emission. In fact, the [C I] MC test predicts a 97.5% probability of obtaining a  $T_{\text{ex}}$  above 33 K. In Fig. 3, we show the cosmic evolution of the luminosity-weighted dust temperature when including MS, SBs, and dusty SFGs at  $z = 0-6$  ([Béthermin et al. 2015](#); [Schreiber et al. 2018](#); [Jin et al. 2019](#)). The included  $T_{\text{d}}$  values from the literature are all consistent with those derived using an optically thin MBB prescription. We convert the mass- to luminosity-weighted  $T_{\text{d}}$  measurements using Eq. (6) in [Schreiber et al. \(2018\)](#). The apparent luminosity-weighted dust temperature of GN20 is similar to the average of main-sequence galaxies at  $z \sim 1.4$  ([Schreiber et al. 2018](#)), despite GN20 being a strong starburst galaxy ( $\text{SFR} = 1860 \pm 90 M_{\odot} \text{ yr}^{-1}$ ) and exhibiting a factor of  $\sim 6\times$  larger sSFR ( $\text{sSFR} = 16.9 \text{ Gyr}^{-1}$ ) than  $z = 4$  MS galaxies ([Tan et al. 2014](#); [Sargent et al. 2014](#); [Jin et al. 2019](#)).

Likewise, the optically thin DL07 models (assuming multi-component dust distribution) provide similar results, yielding  $\langle U \rangle = 27.2^{+2.6}_{-2.2}$  for GN20 ([Magdis et al. 2011b, 2012b](#); [Tan et al. 2014](#)), placing it at a factor of  $\sim 2.5$  times below the  $\langle U \rangle - z$  relation for MS galaxies ([Béthermin et al. 2015](#); [Magdis et al. 2017](#)). As a sanity check, we also converted  $\langle U \rangle$  to  $T_{\text{d}}$  following  $\langle U \rangle = (T_{\text{d}}/18.9 \text{ K})^{6.04}$  ([Magdis et al. 2017](#); [Schreiber et al. 2018](#); [Jin et al. 2019](#)) and used the aforementioned conversion to obtain the luminosity-weighted dust temperature ([Schreiber et al. 2018](#)). The inferred  $T_{\text{d,DL07}} = 33 \pm 1 \text{ K}$  for GN20 is fully consistent with the dust temperature derived from the optically thin MBB prescription. Lastly, the dust masses derived from the optically thin MBB and the DL07 prescriptions both lead to unphysically large  $M_{\text{d}}/M_{*} = 0.04 \pm 0.02$  and  $M_{\text{d}}/M_{*} = 0.05 \pm 0.02$ , respectively, which is a factor of  $\sim 5\times$  higher than the predicted ratios for SBs

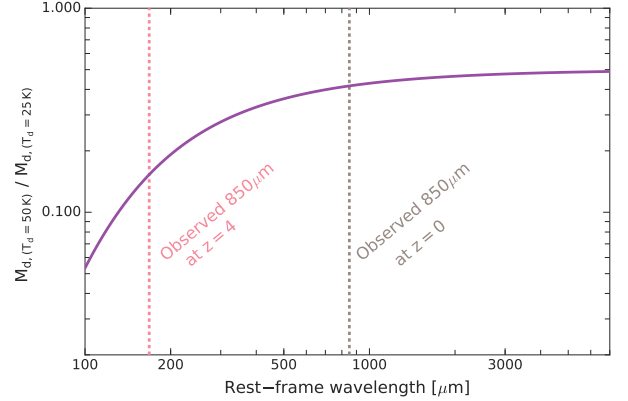


**Fig. 3.** Evolution of  $T_d$  as a function of redshift. We include stacked MS galaxies from Schreiber et al. (2018) (the small red circles present the stacked galaxies in the largest mass bin with  $11.0 < \log(M_*/M_\odot) < 11.5$  whereas large red filled circles are the weighted mean of all galaxies), stacked MS and SB galaxies from Béthermin et al. (2015) (open red and blue symbols, respectively). For the latter, we convert  $\langle U \rangle$  to  $T_d$  following Schreiber et al. (2018). We also include four dusty SFGs from Jin et al. (2019) (open blue triangles). Purple symbols depict the derived  $T_{\text{ex}}$  of GN20 from the [C I] luminosity ratio and from the MBB modeling assuming optically thin or thick FIR dust emission.

based on semi-analytical models (Lagos et al. 2012; Béthermin et al. 2015). Although the spatial offset between the optical/UV and the CO+FIR emission could indicate that the stellar mass is underestimated due to dust extinction, the reported dynamical mass analysis of GN20 (Hodge et al. 2012) suggests that only a modest (if any) increase of the stellar mass can be allowed while still being consistent with the dynamical constraints.

Accounting for the effects of the optical depth in the SED modeling (Sect. 3.2) alleviates or even removes all these tensions at once. A free opacity MBB prescription for GN20 indicates that the FIR dust emission is optically thick up to  $\lambda_0 = 170 \pm 23 \mu\text{m}$  with an actual luminosity-weighted  $T_d = 52 \pm 5 \text{ K}$  that is similar to the  $T_{\text{ex}}$  from [C I] (Fig. 3), which is consistent with the expected dust temperature of a starburst galaxy at  $z = 4.05$  with an offset from the MS similar to GN20 (Eq. (18) in Schreiber et al. 2018). The optically thick FIR dust temperature is also in agreement with the observed  $T_{\text{ex}} - T_d$  relation (Jiao et al. 2019b) of  $T_{\text{ex}} \leq T_{\text{kin}}$  (Valentino et al. 2020). For a comparison with other high- $z$  starbursts, Spilker et al. (2016) report a  $\lambda_0 - T_d$  correlation based on lensed starburst galaxies at  $z = 1.9 - 5.7$  with  $\langle \lambda_0 \rangle = 140 \pm 40 \mu\text{m}$ , derived using free opacity MBB prescription, yielding consistent results with our derived FIR properties of GN20. Moreover, for a subsample of these galaxies, Bothwell et al. (2017) report larger  $T_d$  than that of the kinetic temperature ( $T_{\text{kin}}$ ) of the molecular gas based on [C I] and CO molecular lines. Under the assumption of LTE,  $T_{\text{kin}} = T_{\text{ex}}$ , which results in  $T_d > T_{\text{ex}}$ , which is in agreement with previous findings.

As a simple check, we calculated the optical depth of the FIR dust emission similar to the approach described in Jin et al. (2019), using:  $\tau = \kappa \times \Sigma_{\text{dust}}$  where  $\kappa$  is the dust mass absorption coefficient from Li & Draine (2001) and  $\Sigma_{\text{dust}}$  is the dust



**Fig. 4.** Comparison of the derived  $M_d$  ratio based on the MBB prescription assuming different dust temperatures (50 K compared to 25 K) as a function of rest-frame wavelength. The dust continuum emission at an observed  $850 \mu\text{m}$  is commonly used to infer  $M_d$ .

mass surface density. We derive  $\Sigma_{\text{dust}} \sim 500 M_\odot \text{pc}^{-2}$  assuming  $R_e \sim 1.2 \text{ kpc}$  (Sect. 3.1) where  $\tau \sim 1$  at  $\sim 170 \mu\text{m}$ , suggesting that the dust emission is optically thick up to FIR wavelengths<sup>3</sup>. If, indeed, the dust emission in SBs is affected by opacity effects with  $\lambda_0 > 100 \mu\text{m}$ , as it appears for local and high-redshift SB galaxies (e.g., Blain et al. 2003; Conley et al. 2011; Cox et al. 2011; Riechers et al. 2013; Simpson et al. 2017), the inferred  $T_d$  would systematically increase. This would place the SB systems above the  $T_d - z$  relation of MS galaxies at all redshifts, solving the puzzling observation of strong SBs being colder (or having lower  $\langle U \rangle$ ) than MS galaxies beyond  $z > 2.5$  (Béthermin et al. 2015), as inferred by the optically thin dust models.

An optically thick FIR dust emission will also naturally lead to lower dust masses. For GN20, the free opacity SED modeling results in a  $M_d/M_*$  ratio of  $0.02 \pm 0.01$ , approaching the predicted ratios of  $M_d/M_* < 0.01$  for SBs at  $z \sim 4$  (Lagos et al. 2012). The effect of the  $T_d$  in the determination of the  $M_d$  (and thus of the  $M_{\text{gas}}$  for a fixed  $\delta_{\text{GDR}}$ ) as a function of the rest-frame wavelength used to anchor the  $M_d$  estimate is shown in Fig. 4. In the RJ tail ( $\lambda_{\text{rest}} \geq 500 \mu\text{m}$ ), a factor of  $2 \times$  difference in  $T_d$  results in a factor of  $\sim 2 \times$  difference in  $M_d$ , reflecting the well-known dependence of  $M_d \propto T_d^{-1}$  in the optically thin limit. However, at shorter rest-frame wavelengths, the discrepancy between the  $M_d$  estimates becomes considerably larger, reaching a factor of  $\sim 5 \times$  at  $\lambda_{\text{rest}} \sim 200 \mu\text{m}$ .

This is a matter of caution with regard to the common approach for inferring the ISM mass (proportional to the  $M_d$  and hence the  $M_{\text{gas}}$ ) of high- $z$  galaxies from single-band ALMA continuum observations at observed wavelengths  $850 - 1200 \mu\text{m}$  (e.g., Scoville et al. 2017b; Liu et al. 2019), under the assumption of a fixed  $\delta_{\text{GDR}}$  and mass-weighted  $T_d$  of  $\sim 25 \text{ K}$ . At  $z > 3$ , such observations probe  $\lambda_{\text{rest}} < 300 \mu\text{m}$ , where moderate deviations from  $T_d = 25 \text{ K}$  result in significant changes in  $M_d$  (and thus in  $M_{\text{gas}}$ ). Moreover, they trace a regime where the FIR

<sup>3</sup> Large dust optical depths at FIR and submillimeter wavelengths can suppress the [C I] lines yielding fainter line emission as reported for CO line transitions of local ULIRGs (Papadopoulos et al. 2010, 2012). To account for this effect, we calculate the intrinsic [C I] line luminosity ratio assuming an isothermal mixture of line-emitting gas and dust. We compute the dust optical depth at the observed frequencies of the [C I] line transitions based on the best-fit model with the opacity free to vary (Sect. 3.2) yielding  $\tau_{\text{d},[\text{C I}](1-0)} = 0.1$  and  $\tau_{\text{d},[\text{C I}](2-1)} = 0.2$ . Adopting Eq. (4) in Papadopoulos et al. (2010), the [C I] luminosity line ratio and excitation temperature would increase to  $R = 1.0 \pm 0.2$  and  $T_{\text{ex}} = 54.1 \pm 13.4 \text{ K}$ , respectively. This does not affect the substance of our results.

dust emission could be optically thick. In particular, for high- $z$  SBs similar to GN20, an observed  $850\ \mu\text{m}$  measurement probes  $\lambda_{\text{rest}} \sim 160\ \mu\text{m}$ , where the dust is likely affected by opacity effects. For reference, a  $T_{\text{d}} = 25\ \text{K}$  versus  $50\ \text{K}$  overestimates  $M_{\text{d}}$  (and thus  $M_{\text{gas}}$ ) by a factor of  $\sim 7\times$ . We stress that the  $T_{\text{d}} = 50\ \text{K}$  measured here is luminosity-weighted and is, thus, likely to be higher than the mass-weighted  $T_{\text{d}}$ .

Using the  $T_{\text{ex}}-T_{\text{d}}$  correlation to identify possible critical effects of the optical depth on the dust emission in extreme starbursts is potentially useful for settling a few issues concerning GN20. However, this relies on several assumptions and caveats that should be borne in mind; and alternative scenarios explaining  $T_{\text{ex}} > T_{\text{d}}$  in the optically thin case might be considered. If the [C I] line emission is subthermally excited, the excitation temperatures of the two [C I] line transitions might not be equal as assumed under LTE. In this case, using Eq. (1) would lead to a systematically overestimated  $T_{\text{ex}}$  (Glover et al. 2015, but see Israel et al. 2015 about the phases traced by [C I] in extreme conditions of local starbursts).

Cosmic rays and turbulence could, in principle, lead to different gas and dust temperatures (Papadopoulos et al. 2004; Bisbas et al. 2017), assuming that the cosmic ray energy density scales with the SFR density (Glover et al. 2015). An enhancement of cosmic rays is expected, thus, in starburst environments, increasing the average temperature of the molecular gas, while at the same time, leaving the dust unaffected. An increased rate of cosmic rays in SBs would also lead to enhanced [C I] emission throughout the cloud via CO destruction. However, in this case, models predict larger [C I] to CO luminosity ratios in SBs than MS galaxies, which is in disagreement with current observations which report that the [C I]/CO luminosity ratio remains roughly constant as a function of  $L_{\text{IR}}$  and sSFR, at least on global scales (Valentino et al. 2018). This might be due to the fact that the global SFR is not a good predictor of the gas conditions in clouds (Narayanan & Krumholz 2014). Alternatively, turbulence can distribute [C I] throughout the cloud, smoothing the [C I]/CO luminosity ratio (Papadopoulos et al. 2004; Bisbas et al. 2017). As turbulence is expected to be dominant in regions with high cosmic ray ionization rates (i.e., in starburst or merger systems), it is plausible that both mechanisms are responsible for heating the molecular gas.

We stress that a scenario with  $T_{\text{ex}} > T_{\text{d}}$  does not change the fact that the apparent dust temperature and the mean radiation field in a typical starburst galaxy at  $z = 4$  is significantly lower than that of MS galaxies at similar redshifts and that it provides an apparent  $T_{\text{d}}$  that is in disagreement with the empirical  $T_{\text{ex}}-T_{\text{d}}$  relation. As our study is based on a single galaxy, the method of using the [C I] line ratio to distinguish between an optically thick or thin FIR dust solution has to be tested for the general population of high-redshift starbursts. However, accounting for optical depth effects at FIR wavelengths in starbursts similar to GN20 can mitigate several observed tensions by providing larger dust temperatures, in addition to lower dust masses, easing the improbable large dust to stellar mass ratios.

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