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# Corticostriatopallidal Neuroprotection by Adenovirus-Mediated Ciliary Neurotrophic Factor Gene Transfer in a Rat Model of Progressive Striatal Degeneration

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Ciliary neurotrophic factor (CNTF) is a potent protective factor for striatal neurons in animal models of Huntington's disease (HD). Clinical application of this potential therapeutic still requires the design and optimization of delivery systems. In the case of HD, spatial spread in the vast volume occupied by the striatum and long-term delivery of the factor are particular challenges for these systems. We explored the potential of adenovirus-mediated gene transfer to fulfill these requirements by studying the functional and anatomical effects of single-site striatal delivery of CNTF recombinant vectors in a rat model of HD. In an initial series of experiments, unilateral injections of CNTF adenovirus were performed in rats 10, 30, or 90 d before a 5 d neurotoxic treatment with systemic 3-nitropropionic acid (3NP). Preservation of striatal neurons was observed at all time points, demonstrating temporally extended neuroprotective effects of the CNTF adenovirus. In a second series of experi-

ments, bilateral injections of CNTF adenovirus were performed in the medial aspect of the striatum 10 d before starting 3NP intoxication. Despite placement of the CNTF-producing vector outside the lateral striatal area susceptible to lesion, massive protection of corticostriatopallidal circuits was observed, associated with significant behavioral benefits. This spatial spread of neuroprotection is discussed with reference to the retrograde transport of the adenovirus vector and the anterograde transport of the transgenic CNTF. Overall, adenovirus-mediated CNTF gene transfer appears to be a potentially useful delivery system for widespread, long-term circuit neuroprotection in HD patients.

*Key words:* adenovirus vector; ciliary neurotrophic factor; 3-nitropropionic acid; corticostriatopallidal degeneration; neuroprotection; rat; stereology; Huntington's disease

Protection of GABAergic striatal neurons by ciliary neurotrophic factor (CNTF) is a promising prospect for slowing down the pathologic process of Huntington's disease (HD). A number of studies have indeed demonstrated that intracerebral administration of CNTF using either minipumps (Anderson et al., 1996) or encapsulated genetically engineered cells (Emerich et al., 1996; Mittoux et al., 2000) significantly decreases the extent of striatal

cell loss produced by a variety of neurotoxins in rats or nonhuman primates. *In vitro* experiments have also confirmed a protective effect of CNTF on cells genetically engineered to express the mutated form of the huntingtin protein (Saudou et al., 1998).

Finding an efficient way to deliver CNTF to striatal neurons in patients remains unresolved. Systemic administration of CNTF is not appropriate, because it practically does not cross the blood-brain barrier and induces major side effects and likely, an immune reaction. Therefore direct intracerebral delivery using *in vivo* gene therapy constitutes an interesting alternative approach. Adenoviruses are among the most efficient vectors to transfer genes into the brain (Akli et al., 1993; Bajocchi et al., 1993; Davidson et al., 1993; Le Gal La Salle et al., 1993), but their clinical relevance has often been questioned, primarily because of three potential drawbacks: toxicity (Akli et al., 1993; Caillaud et al., 1993; Byrnes et al., 1995), lack of spatial dispersion after intraparenchymal administration (Lisovoski et al., 1997; Peltekian et al., 1997), and suspected decrease in gene expression over time.

Regarding cytotoxicity, a concurrent decrease in toxicity and increase in transgene expression has been obtained recently using a low ( $\sim 10^8$  pfu) titer of vector (Bohn et al., 1999). With regard to the second point, the lack of dispersion of the adenoviral particles at the injection site may be partly compensated for by intrinsic properties of adenoviral vectors such as retrograde trans-

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port of the transgene to the cell bodies and anterograde transport of the transgene product to nerve terminals including axonal collaterals (Akli et al., 1993; Kuo et al., 1995; Lisovoski et al., 1997; Terashima et al., 1997). Interestingly, such spreading of the transgene has been shown previously to trigger astrocyte differentiation in regions located in the projection zones of retrogradely transduced neurons, after intrastriatal injection of CNTF recombinant adenovirus vectors (Lisovoski et al., 1997).

In this context, we aimed to further explore the neuroprotective potential of a CNTF recombinant adenovirus vector in a rat model of striatal neurodegeneration (Ouary et al., 2000). In this model, as in HD, the cell loss is primarily observed in the striatum, but at later times it also extends to other cerebral areas anatomically connected to the caudate–putamen complex, such as various upstream cortical areas and the downstream globus pallidus. The present work investigated whether the use of a single-site striatal administration of low-titer CNTF adenoviral vector would confer widespread and long-lasting corticostriatopallidal neuroprotection in a chronic lesion rat model of HD.

## MATERIALS AND METHODS

**Experimental design.** Two separate experiments were performed to examine, respectively, the temporal and the spatial extent of the neuroprotective effects of a single intrastriatal administration of  $5 \times 10^8$  pfu of a CNTF recombinant adenovirus vector.

In the first set of experiments, we studied the time course of the neuroprotective effect of CNTF. Adult Lewis rats were unilaterally infected in one striatum with either a CNTF (AdRSV.CNTF) or a control LacZ (AdRSV.LacZ) recombinant adenovirus vector. The survival of striatal neurons was challenged either 10, 30, or 90 d later by systemically administering 3-nitropropionic acid (3NP), as described previously (Ouary et al., 2000). Animals were killed at the end of 5 d of neurotoxic treatment for histological assessment and quantification of striatal lesion volumes. In the second set of experiments, we quantified the spatial extent of neuroprotection and the behavioral benefits produced by a single-site, bilateral administration of the CNTF vector into the medial striatum of adult Lewis rats, challenged 10 d later by systemic 3NP. At the end of the 3NP treatment, the animals were allowed to recover for 16 d. They were then tested for locomotion activity before killing and stereological neuronal quantification.

**3NP intoxication.** A stock solution of 3NP (Fluka, Saint Quentin Fallavier, France) was made fresh at a concentration of 307–316 mg/ml in deionized water and adjusted to a pH of 7.4 with 1 M NaOH solution, keeping the solution below +25°C. The concentration of the stock solution was calculated according to the weight of the heaviest rat per group. Osmotic minipumps (2ML4 model; Alzet Corporation, Palo Alto, CA) were loaded to deliver 60  $\mu$ l per 24 hr for 5 d. The 3NP concentration in the pump was adjusted to the exact weight of each animal at the day of implantation, so that each rat received a dose of 38 mg  $\cdot$  kg<sup>-1</sup>  $\cdot$  d<sup>-1</sup>. The pumps were implanted subcutaneously in the back under ketamine–xylazine anesthesia. This intoxication regimen produces consistent, bilateral striatal degeneration in Lewis rats (Ouary et al., 2000).

**Adenovirus vectors.** The adenovirus vectors recombinant for genes encoding either the rat CNTF gene and an attached sequence encoding mouse NGF signal peptide to ensure secretion (AdRSV.CNTF) or the reporter gene  $\beta$ -galactosidase (AdRSV.LacZ), under the control of the long-terminal repeat promoter of the Rous sarcoma virus, have been described previously (Lisovoski et al., 1997). Both adenovirus vectors were obtained at titers up to  $10^{12}$  pfu/ml.

The AdRSV.CNTF vector has previously demonstrated its biological activity *in vitro* and *in vivo* (Lisovoski et al., 1997; Haase et al., 1999). To assess the stability and long-term transgene expression of this vector, mRNA from the striatum of two AdRSV.CNTF-injected rats was isolated at 3 months after infection by the Trizol method (Invitrogen, Cergy, France) and a PCR was performed with cDNA derived from 2  $\mu$ g of RNA, 2.5 U of AmpliTaq Polymerase, and a reaction kit (Superscript preamplification system; Invitrogen), in a final volume of 50  $\mu$ l. Each cycle of PCR included 30 sec of denaturation at 95°C, 30 sec of primer annealing at 55°C, and 1 min of extension/synthesis at 72°C. One cycle of 72°C for 10 min was performed at the end of the 35 cycles. Primers

corresponded to pre-NGF (5'-AGCTCACCTCAGTGTCTGGG) and CNTF exon 2 (5'-ACCATCCACTGAGTCAAGGC), therefore excluding endogenous CNTF mRNA from the analysis (Haase et al., 1999). Each primer was added at 0.2  $\mu$ M per reaction.

As an additional control for a potential neuroprotective effect by the inflammatory response triggered by adenovirus vectors, we evaluated the long-term effects (50 d after infection) of the noncytotoxic titer of LacZ recombinant vector. We also examined the effects of a 10-fold higher titer of the same vector, in the same volume. Such a nonspecific neuroprotective effect was definitively ruled out because there were no statistical differences in the size of striatal lesions between the infected and noninfected striatum of rats receiving an intrastriatal injection of either PBS ( $n = 9$ ),  $5 \times 10^8$  pfu AdRSV.LacZ ( $n = 8$ ), or  $5 \times 10^9$  pfu AdRSV.LacZ ( $n = 6$ ), or between striatal lesions in the each of the three experimental groups.

**Surgery.** Male adult Lewis rats (IFFA Credo, Saint-Germain sur L'Arbresle, France), 12 weeks of age and weighing 300–350 gm (at time of infection), were used in these studies. The animals were housed in groups of three to four in a temperature- and humidity-controlled room that was maintained on a 12 hr light/dark cycle. Food and water were available *ad libitum* throughout the experiment. Experimentation was performed in strict accordance with the recommendations of the European Ethical Committee (EEC) (86/609 EEC), and French National Ethical Committee (87/848) for care and use of laboratory animals.

Immediately before surgery, rats were anesthetized with a mixture of ketamine–xylazine (mixture of 15 mg/kg and 3 mg/kg, respectively) and positioned in a Kopf stereotaxic instrument (David Kopf Instruments, Les Ulis, France). A midline incision was made in the scalp, and holes were drilled for the placement of a 5  $\mu$ l Hamilton syringe equipped with a 28 gauge needle. Rats received a unilateral ( $n = 5$ –10 in each group; experiment 1) or bilateral ( $n = 8$ –9 in each group; experiment 2) striatal injection of  $5 \times 10^8$  pfu (adjusted to a final volume of 1  $\mu$ l of PBS) of either of the two vectors. The stereotaxic coordinates for striatal infection were: 0.5 mm anterior to Bregma, 3 mm lateral from the sagittal suture, and 4.5 mm below the dura. These coordinates were selected to reach the medial third of the striatum (Paxinos and Watson, 1986), so that the infected/transgene-expressing cells would be located outside the dorsolateral striatal area that exhibits the maximal neuronal loss after 3NP treatment (Ouary et al., 2000). After infection, the skin was sutured closed and the animals were allowed to recover in their home cage. At 10, 30, or 90 d after unilateral infection (experiment 1) or 10 d after bilateral infection (experiment 2), all animals were reanesthetized for implantation of the 3NP-releasing minipumps.

Control animals (experiment 1) received one unilateral intrastriatal injection of 1  $\mu$ l of PBS ( $n = 6$ ) and AdRSV.LacZ at a concentration of  $5 \times 10^8$  ( $n = 8$ ) 10 d before implantation of the 3NP-releasing minipumps. For experiment 2, 10 animals were implanted with empty osmotic minipumps and used as sham-operated controls for the behavioral and stereological cell count analyses.

**Motor index (experiment 2).** Starting on day 1 of the 3NP intoxication until day 13, animals were evaluated daily for motor impairment. Animals were rated for the presence or absence of a variety of motor abnormalities using a rating scale previously designed in our laboratory (Ouary et al., 2000). As shown in Table 1, this rating scale considers five different criteria associated with motor function. A score of 0 is defined as normal, whereas a maximal score of 8 corresponds to a severely affected animal displaying near-death recumbency.

At 16 d after removal of the minipumps, motor performances were also assessed quantitatively in the elevated board test, using a video-based motion tracking and analysis system, as described previously (Guyot et al., 1997). Briefly, animals were trained for 5 d to run across an elevated board. On the fifth day of training, all sham-operated animals were able to cross the board without rearing or stopping, and a test run was recorded for all groups of rats using a video recorder (VM-2900ES; Hitachi, Tokyo, Japan), located laterally to the board. Images were analyzed off-line. The instantaneous position of each animal's geometric center was determined in two dimensions (X–Y position) with a 3.9 mm/pixel spatial resolution and a 40 msec time resolution. Maximum speed [peak tangential velocity (PTV)] and average tangential velocity (ATV) were then calculated. Mean step size was also determined.

**Histology.** Animals were killed either at the end of the fifth day of the neurotoxic treatment (experiment 1) or at 21 d, after behavioral testing (experiment 2). All animals received an overdose of pentobarbital (120 mg/kg, i.p.; Sanofi, Libourne, France) and were perfused transcardially with 100 ml of 0.1 M PBS, pH 7.4, followed by 250 ml of 4% parafor-

**Table 1. Clinical rating scale used to quantify 3NP-induced motor symptoms in rats**

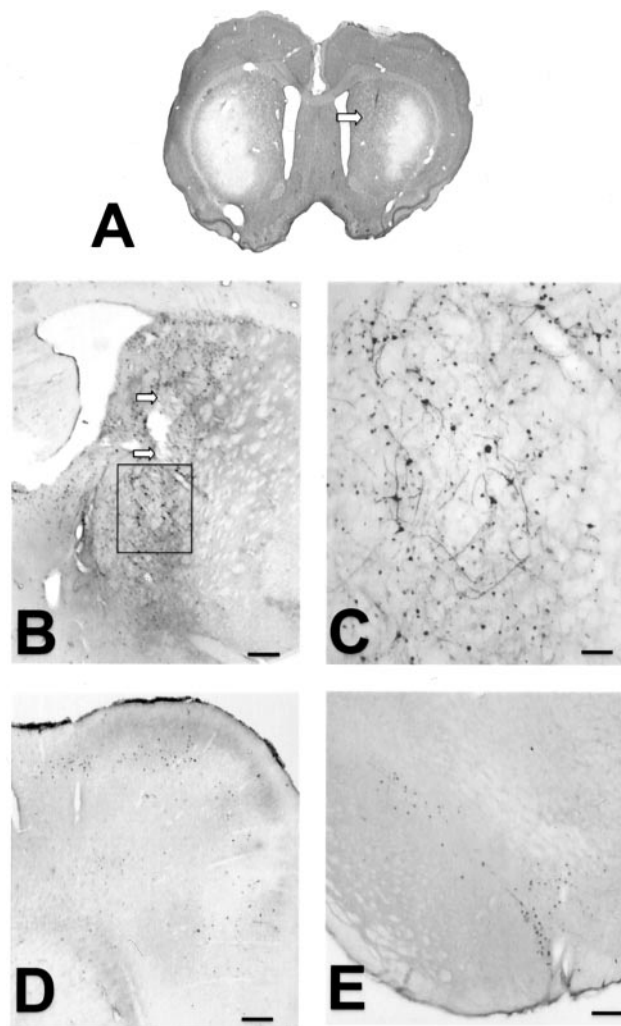
	Score	Maximum score (near-death rat)
<b>Recumbency</b>		
All four legs active and four paws facing the floor	0	—
Two hind legs unable to sustain walk and body weight but forepaw able to keep upright position balance	1	—
Animal lying on its side, falling when put on its feet, showing rapid breathing, uncoordinated movements and chewing, almost complete paralysis	2	2
<b>Hind legs</b>		
Normal, active gait	0	—
Presence of intermittent dystonia in one leg	1	—
Intermittent dystonia in two hind legs or permanent dystonia in one hind leg	2	—
Permanent dystonia in two hind legs	3	3
<b>Gait</b>		
Normal gait	0	—
Presence of wobbling, uncoordinated, jumpy gait	1	1
<b>Balance</b>		
Ability to keep balance for 10 sec on a 5 × 10 cm platform (15 cm height)	0	—
Inability to keep balance	1	1
<b>Grasping</b>		
Ability to grasp a cage grid with at least one forepaw for 10 sec	0	—
Inability to grasp	1	1
<b>Total</b>		<b>8</b>

maldehyde in 0.1 M phosphate buffer. Brains were removed, postfixed overnight at 4°C in the same fixative, and cryoprotected in a 30% sucrose solution. Coronal brain sections (40 μm thick) were cut on a freezing microtome and collected in an anatomical series; every 12th section was stained with 0.15% galloyanine (Gurr, Poole, UK). Remaining sections were cryoprotected and stored at -20°C.

Immunocytochemical experiments were performed using a mouse antivertebrate neuron-specific nuclear protein (NeuN; dilution 1:10,000; Chemicon, Temecula, CA) or rabbit anti-β-galactosidase (dilution 1:2000; Chemicon). Brain sections were preincubated in PBS containing 5% normal goat serum (NGS) and 0.3% Triton X-100 for 30 min at room temperature. Sections were incubated for 48 hr at room temperature in PBS containing 3.5% NGS, 0.3% Triton X-100, 0.5% bovine serum albumin, 0.05% sodium azide, and the primary antibody. Sections were then processed by the avidin-biotin peroxidase method using Vectastain avidin-biotin complex and VIP kits (Vector Laboratories, Burlingame, CA).

Fluoro-Jade (Histo-Chem, Jefferson, AR), a fluorochrome that stains degenerating neurons (Schmued et al., 1997), was used to document the striatal lesion. Briefly, 40-μm-thick sections were mounted, dried, and immersed in 100% ethanol, followed by 70% ethanol and distilled water. Sections were then treated with 0.06% potassium permanganate for 15 min. After rinsing, sections were immersed in Fluoro-Jade (0.001% Fluoro-Jade in 0.1% acetic acid) for 30 min, rinsed, and rapidly air-dried on a slide warmer.

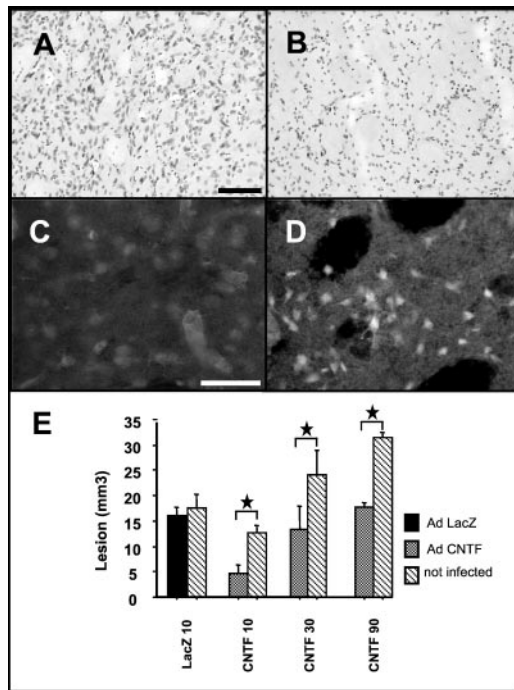
**Quantitative assessment of striatal lesions.** The extent of the striatal lesion produced by 3NP was determined in all animals of experiment 1



**Figure 1.** Microphotographs of one intrastriatal injection of *AdRSV.LacZ* adenovirus in a 3NP-intoxicated rat (experiment 1, group *LacZ 10*). *A*, Galloyanine-stained section showing the bilateral cell loss induced by the 3NP intoxication and its location in the lateral part of the striatum. The injection needle track (arrow) is located outside the area of cell loss. *B*, *C*, Section immunostained for β-galactosidase showing the distribution of immunoreactive cells along the injection needle track (arrows, *B*). *C* (corresponding to the inset in *B*), Note that not only the cell nuclei but also some cell processes express β-galactosidase. *D*, *E*, Distribution of neurons expressing β-galactosidase in the medial agranular cortex (*D*) and in the substantia nigra (*E*). The distribution of immunoreactive cell bodies corresponds to the injection of *AdRSV.LacZ* adenovirus shown in *B*. Scale bars: *B*, *D*, *E*, 250 μm; *C*, 60 μm.

using a semiautomated image-analysis system. Six to seven equidistant (200 μm) galloyanine-stained sections, selected to obtain a complete rostrocaudal sampling of the striatum, were digitized using a computer-assisted morphometry system consisting of an Olympus (Rungis, France) AX70 photo-microscope and a Sony (Tokyo, Japan) HAD Power 3 CCD color video camera connected to an Olympus Pentium II computer equipped with the morphometry software Analysis Pro 3.0. The border of the lesion was traced bilaterally at 1.5× magnification on each brain section, and the respective lesion volumes were calculated according to the method of Cavalieri.

In experiment 2, stereological neuronal counts were performed in NeuN-immunostained sections from sham-operated animals ( $n = 9$ ), *AdRSV.CNTF*-injected animals ( $n = 8$ ) and *AdRSV.LacZ*-injected animals ( $n = 8$ ), killed 16 d after removal of the osmotic minipumps. Under these conditions, the striatal area of degeneration observed on day 5 of the 3NP intoxication had disappeared, leaving a small scar detectable in



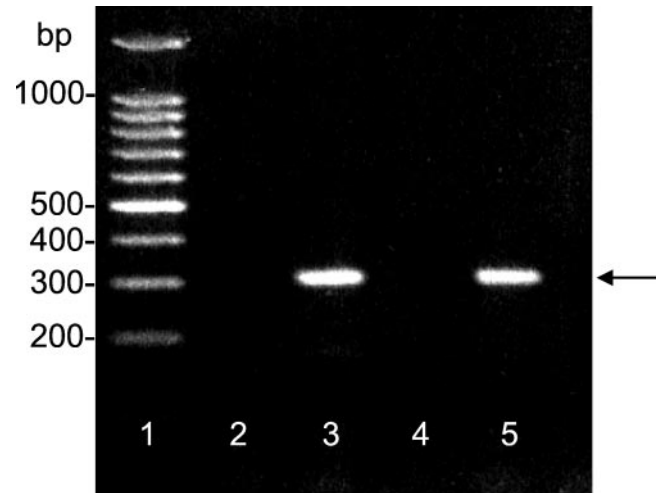
**Figure 2.** Effects of unilateral striatal injections of adenovirus on the volume of the striatal lesion induced by 3NP intoxication (experiment 1). *A–D*, Photomicrographs of neurons identified by immunostaining for NeuN (*A, B*) or by Fluoro-Jade staining (*C, D*) in the lateral striatum of a normal (*A–C*) and a 3NP-intoxicated (*B–D*) animal. In *B*, note the shrinkage and the loss observed in the neuronal population. This neuronal loss is supported by the Fluoro-Jade staining of degenerative neurons (*D*). *E*, Quantification of the volume of the 3NP-induced striatal lesion confirms that the unilateral striatal injection of AdRSV.CNTF adenovirus is associated with a reduction of the lesion in the injected striatum, when the adenovirus has been injected 30 and 90 d as well as 10 d before the beginning of the 3NP intoxication. Moreover, there is no statistical difference between the lesion volumes obtained in the striatum of the AdRSV.LacZ-injected group compared with the noninjected contralateral striatum of the AdRSV.LacZ- and the AdRSV.CNTF-injected groups when the adenovirus was injected 10 d days before the beginning of the 3NP intoxication.  $\star p < 0.01$ ; unpaired *t* test. Scale bar: *A, B*, 100  $\mu\text{m}$ ; *C, D*, 50  $\mu\text{m}$ .

the lateral part of the striatum, suggesting a spatial reorganization of the surviving neurons and subsequent atrophy of the structure. For each animal, the sections used for neuron counting covered the entire striatum, pallidum, or rostral part of the medial agranular cortex in their entire rostrocaudal length. On average, this corresponded to 11, 11, and 5 sections in the striatum, the pallidum, and the cortex, respectively, with an interspace between adjacent sections of 440, 240, and 240  $\mu\text{m}$ , respectively. Stereological countings were performed with C.A.S.T.-grid software (Olympus, Albertslund, Denmark). The optical disector (West and Gundersen, 1990) was used to obtain unbiased estimates of total numbers of NeuN-immunoreactive neurons for each structure.

**Data analyses.** Data were analyzed using Statview (SAS Institute, Inc., Cary, NC; 1991). Statistical analysis was performed using paired or unpaired *t* tests for lesion volume comparisons, a Mann–Whitney *U* nonparametric test in the case of the motor impairment index, and a factorial ANOVA followed by a *post hoc* Scheffe's *F* test in all other cases. Data are presented as mean  $\pm$  SEM.

## RESULTS

All animals recovered uneventfully from administration of adenovirus vectors and showed no behavioral side effects at any time. The injection sites for the adenovirus vectors were located in the medial third of the striatum, outside of the dorsolateral striatal area of 3NP-induced neuronal loss (Fig.



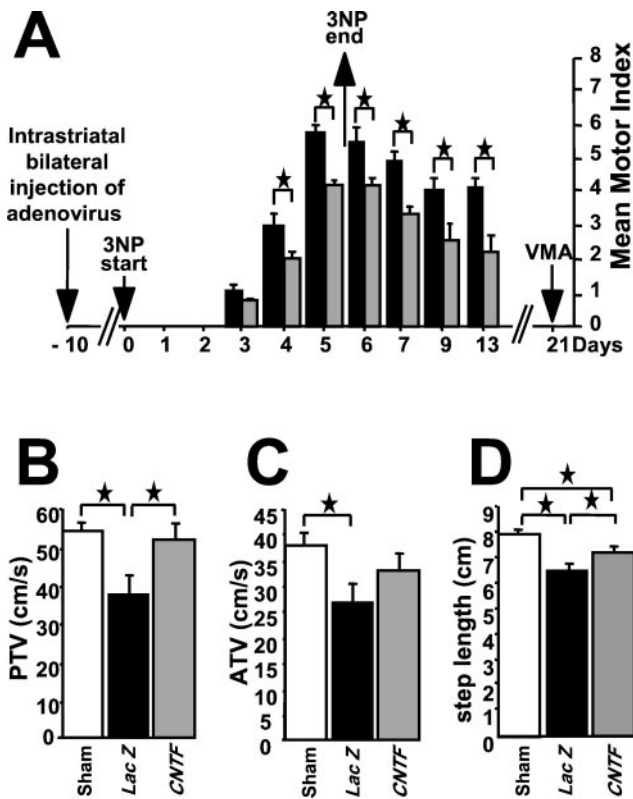
**Figure 3.** Expression of transgenic mRNA for CNTF in the striatum 90 d after one injection of  $5 \times 10^8$  AdRSV.LacZ adenovirus into the right striatum of two rats. mRNA from the ipsilateral (*lanes 3 and 5*) and contralateral (*lanes 2 and 4*) striatum was reverse transcribed and amplified by PCR. Transgenic CNTF was only found in the injected striatum, at the predicted base pair (bp) size (arrow, *lanes 2 and 4*). Lane 1, DNA/RNA molecular weight base pair standards.

1*A*). In the injected striatum, both cells and fibers were immunoreactive for  $\beta$ -galactosidase in a cylinder of a few hundred micrometers in diameter around the needle track (Fig. 1*B*). Immunostaining with  $\beta$ -galactosidase also confirmed the ability of the AdRSV.LacZ to transfer the LacZ gene not only to striatal cells (Figs. 1*B,C*) but also to neurons located in various striatal afferent regions such as the medial agranular cortex (Fig. 1*D*) and the substantia nigra (Fig. 1*E*).

### Temporal features of striatal neuroprotective effects provided by AdRSV.CNTF (experiment 1)

Intoxication of rats by 3NP induced a striatal-selective lesion, located in the lateral part of the structure (Fig. 1*A*). In agreement with previous findings (Beal et al., 1993; Guyot et al., 1997; Ouay et al., 2000), the lesion was characterized by a severe loss of NeuN-immunopositive neurons (Fig. 2*A,B*) and intense Fluoro-Jade staining within the lesion area (Fig. 2*D*), as opposed to the complete absence of staining in the normal brain (Fig. 2*C*).

In rats injected in the left striatum with AdRSV.LacZ 10 d before initiation of the 3NP intoxication (LacZ 10,  $n = 6$ ) (Fig. 2*E*), the mean volume of the striatal lesion was  $16.1 \pm 1.6$  and  $17.6 \pm 2.6 \text{ mm}^3$  in the left and right striatum, respectively, with no statistical difference between the injected and noninjected sides. In rats injected in the left striatum with AdRSV.CNTF 10 d before initiation of the 3NP intoxication (Fig. 2*E*) ( $n = 9$ , CNTF 10), a 64% reduction in lesion volume was observed compared with the noninfected contralateral side ( $4.57 \pm 1.86$  vs  $12.71 \pm 1.46 \text{ mm}^3$ ;  $p < 0.001$ ). Waiting 30 or 90 d after infection before 3NP intoxication (CNTF 30,  $n = 6$ ; CNTF 90,  $n = 5$ ) (Fig. 2*E*) did not alter the neuroprotective potential of the CNTF treatment, despite a significant time-dependent increase in lesion volume observed in the noninfected striatum (factorial ANOVA;  $p < 0.01$ ), a phenomenon related to the age dependency of the toxicity of 3NP (Brouillet et al., 1993). Three months after administration of AdRSV.CNTF, PCR analysis demonstrated the presence of NGFp-CNTF transgene mRNA in two different AdRSV.CNTF-injected animals, in the injected (ipsilateral) striatum but not in the noninfected (contralateral) striatum (Fig. 3).

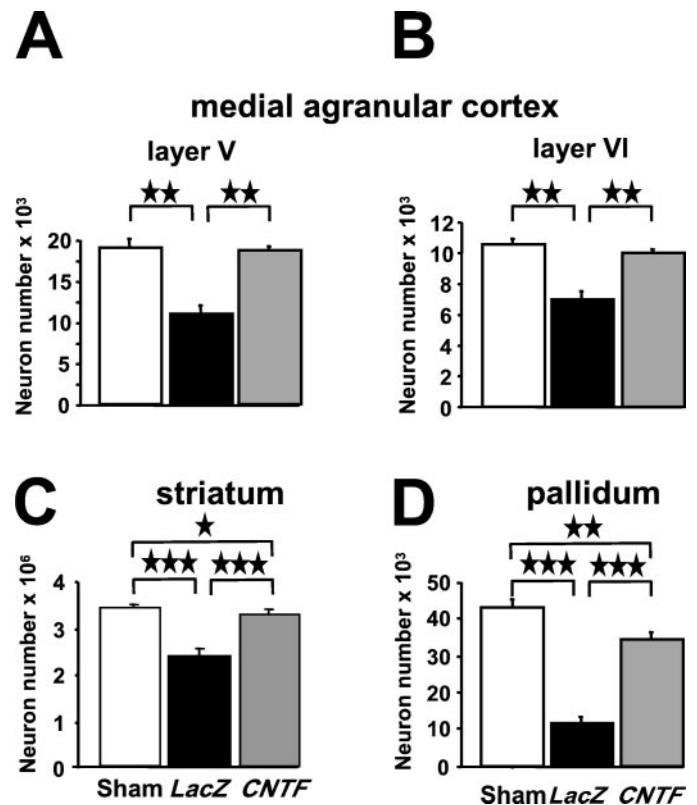


**Figure 4.** Experimental protocol (*A*) in experiment 2 and long-term behavioral effects of the *AdRSV.CNTF* bilateral striatal injection on the parameters quantifying motor abnormalities (*A*, motor index) and motor performances (*B*, PTV; *C*, ATV; *D*, step length) in the three different experimental groups. In *A*, note that from day 4 of the 3NP intoxication, animals injected with the *AdRSV.CNTF* adenovirus (gray bars) had a better motor index score than the animals injected with the *AdRSV.LacZ* adenovirus (black bars) ( $\star p < 0.05$ ; Mann–Whitney *U* test). The beneficial effects of CNTF were different according to the parameters of motor performances. The mean value obtained for PTV (index of bradykinesia, *B*), was significantly higher in the *CNTF* group than in the *LacZ* group and was not different from that obtained with the sham group. For the mean values obtained for ATV (index of general activity, *C*), there was no significant difference between either the *CNTF* and the sham groups or the *LacZ* and the *CNTF* groups, but there was a significant difference between the sham and the *LacZ* group. Finally, for the mean values obtained for step length (*D*), there was no significant difference between the *LacZ* and the *CNTF* groups, but both groups were significantly different from the sham group ( $\star p < 0.05$ ;  $\star\star p < 0.0001$ ; ANOVA).

### Spatial features of the neuroprotective effects provided by *AdRSV.CNTF* (experiment 2)

In animals challenged with a 5 d 3NP intoxication starting 10 d after bilateral administration of adenovirus vectors, the neuroprotective potential of *AdRSV.CNTF* was studied using two different but complementary approaches: an *in vivo* qualitative (Fig. 4*A*) and quantitative (Fig. 4*B–D*) assessment of 3NP-induced motor deficits, followed by postmortem stereological neuron counts in the striatum, the pallidum, and the medial agranular cortex of the same animals (Fig. 5).

As shown in Figure 4*A*, the first motor symptoms (lower limb intermittent dystonia) were observed in both experimental groups (animals treated with either *AdRSV.LacZ* or *AdRSV.CNTF*) on the third day of treatment. The motor symptoms increased in severity with time in both groups until the pumps were removed at day 5. At this time point, as well as on day 4, *CNTF*-treated animals ( $n = 8$ ) were significantly less affected by the toxic



**Figure 5.** Protection of the neurons of the corticostriatopallidal pathway induced by a bilateral injection of *AdRSV.CNTF* adenovirus into the striatum (experiment 2). Stereological estimation of the number of neurons immunoreactive for NeuN in layers V and VI of the right medial agranular cortex (*A*, *B*), in the striatum (*C*), and in the pallidum (*D*) shows that the protection of the striatal neurons is associated with a statistically significant protection of the cortical and pallidal neurons ( $\star\star\star p < 0.0001$ ;  $\star\star p < 0.002$ ;  $\star p < 0.02$ ; ANOVA).

treatment than the *LacZ* controls ( $n = 8$ ) ( $p < 0.05$ ; Mann–Whitney *U* test). All animals progressively recovered after pump removal, but the *CNTF*-treated animals exhibited a lower motor score than the *LacZ* controls at all time points studied ( $p < 0.05$ ; Mann–Whitney *U* test). No significant difference in weight loss, recorded daily from day 1 to day 13, was observed between the two groups.

After a 2 week resting period, the motor performances of the three groups of animals (i.e., sham-operated animals, *AdRSV.CNTF*-injected animals, or *AdRSV.LacZ*-injected and 3NP-intoxicated animals) were quantified in the elevated board test (Fig. 4*B–D*). *LacZ*-injected animals ( $n = 8$ ) displayed a significant decrease in PTV (an index of bradykinesia), a significant decrease in ATV (an index correlated with general locomotor activity), and a significant decrease in step length (resulting from severe bilateral leg dystonia) compared with the sham-operated animals ( $n = 9$ ) ( $p < 0.01$  vs sham animals). However, animals belonging to the *AdRSV.CNTF* group exhibited PTV values (Fig. 4*B*) and ATV values (Fig. 4*C*) that were not significantly different from sham performances. The average step length (Fig. 4*D*) was partially but significantly improved by *CNTF* treatment (ANOVA;  $p < 0.05$ ; *CNTF*-treated vs either *LacZ*-treated or sham-operated animals).

Stereological neuronal cell counts (Table 2; Fig. 5) performed in the striatum and in regions containing afferent neurons to the striatum [layers V and VI of the rostral portion of the medial

**Table 2. Stereological NeuN-immunoreactive neuronal counts in the striatum, pallidum, and layers V and VI of the medial agranular cortex of sham-operated ( $n = 9$ ), AdRSV.CNTF-treated ( $n = 8$ ), and AdRSV.LacZ-treated ( $n = 8$ ) rats**

Groups	Striatum	Pallidum	Cortical layer V	Cortical layer VI
CNTF	3,203,827 ± 123,765***	33,949 ± 2803***	17,622 ± 782*	10,019 ± 443*
Sham	3,474,649 ± 76,749	45,824 ± 3844	18,869 ± 967	11,077 ± 559
LacZ	2,366,442 ± 134,448**	12,668 ± 1689**	11,587 ± 829**	7,109 ± 442**

\* $p < 0.0001$  versus LacZ; \*\* $p < 0.002$  versus sham (factorial ANOVA; Scheffé's  $F$  test).

agranular cortex, or efferent striatal target cells (globus pallidus)] (Reep et al., 1987; Berendse et al., 1992) were quite consistent with the motor scores. In all considered areas of the LacZ-infected animals, the number of NeuN-immunoreactive neurons was significantly smaller than in sham animals, with decreases of 32, 75, and 39–36% observed in the striatum ( $p < 0.0001$ ; factorial ANOVA), the pallidum ( $p < 0.0001$ ), and layers V and VI of the cortex ( $p < 0.002$ ), respectively. In the AdRSV.CNTF-injected animals, the numbers of NeuN-immunoreactive neurons in cortical layers V and VI were not significantly different from the numbers observed in sham animals, but they were barely reduced in the striatum ( $p < 0.02$ ; factorial ANOVA) and significantly lower in the pallidum ( $p < 0.002$ ). The neuroprotection induced by CNTF was therefore complete in both cortical layers (99–96% of sham values), whereas only partial neuroprotection was observed in the striatum (82%;  $p < 0.02$  vs sham) and the pallidum (70%;  $p < 0.01$  vs sham; factorial ANOVA; Scheffé's  $F$  test).

As shown in Figure 6, total numbers of neurons in the striatum correlated linearly with total neuron numbers in the pallidum (Fig. 6A) ( $r^2 = 0.51$ ;  $p < 0.0001$ ) and in the rostral portion of medial agranular cortex layer V (Fig. 6B) ( $r^2 = 0.57$ ;  $p < 0.0001$ ) and layer VI (Fig. 6C) ( $r^2 = 0.67$ ;  $p < 0.0001$ ). Despite some intragroup heterogeneity, the LacZ-treated animals ( $n = 8$ ) as a group were completely segregated from the two other experimental groups and the CNTF-treated animals ( $n = 8$ ) were in the lower range of sham-operated animals ( $n = 9$ ).

To examine further the correlation between behavioral and histological results, individual peak tangential velocities were plotted against total striatal neuron numbers. As shown in Figure 7, there was a significant positive linear correlation between these two parameters ( $r^2 = 0.547$ ;  $p < 0.003$ ). Again, the group of rats infected with the AdRSV.LacZ vector ( $n = 8$ ) was clearly separated from the sham-operated control animals ( $n = 9$ ), whereas AdRSV.CNTF-treated rats ( $n = 8$ ) were distributed in the lower normal range. The same positive correlation was found between striatal neuron numbers and ATV performance or step size (data not shown).

## DISCUSSION

The present study shows that one single-site striatal injection of an adenovirus recombinant for CNTF protects not only striatal neurons from degeneration but also cortical and pallidal neurons in a rat model of progressive striatal degeneration. These neuroprotective effects were associated with the persistence of transgene expression in the injected striatum up to 3 months after infection and correlated with a reduction of 3NP-induced behavioral deficits. Together with previous data indicating the lack of adverse effects using the same adenoviral vector at similar low titers (Lisovoski et al., 1997), the current results show the therapeutic effect of adenovirus-mediated CNTF expression in the brain.

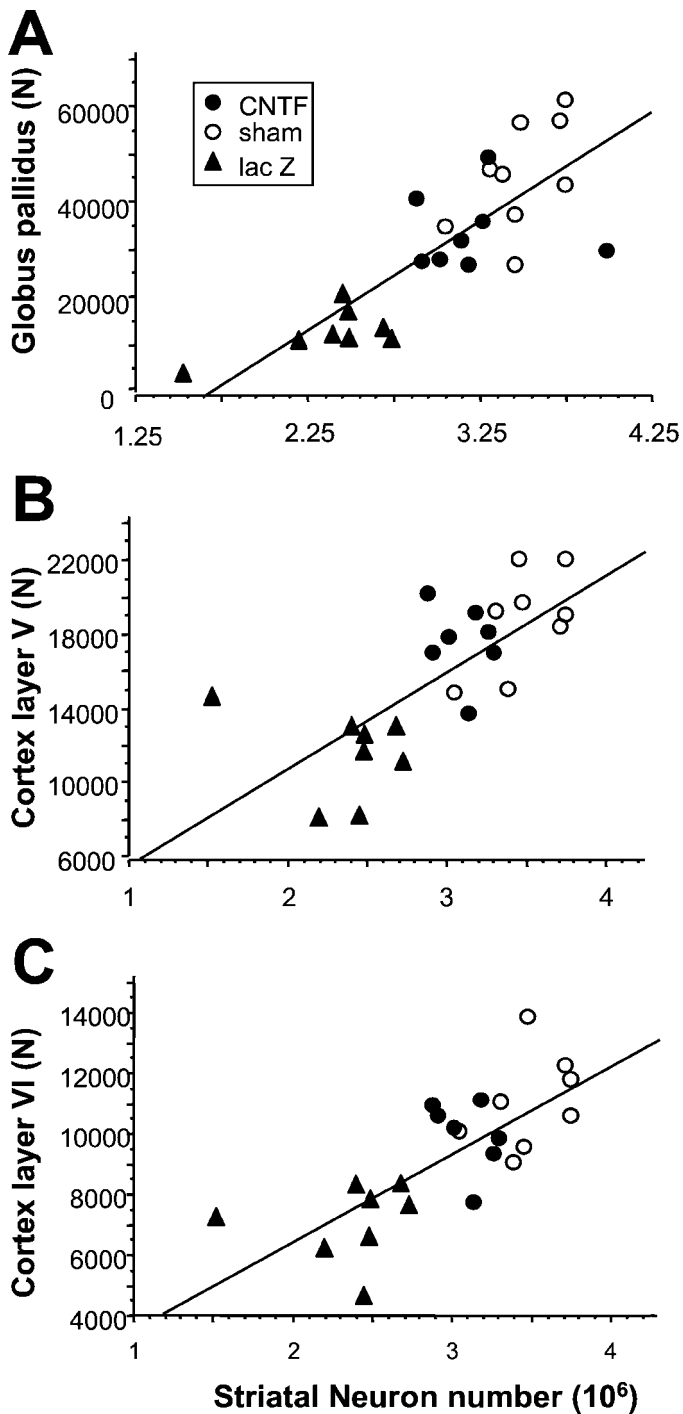
## Long-lasting transgene expression

One of the issues addressed in the present study was the demonstration of a long-lasting efficacy of cell infection with adenovirus-mediated gene transfer. Because adenovirus vectors do not integrate their genome into that of the transduced cells but rather express it as an episome, it is generally believed that such gene expression is only transient (Lee et al., 1993; Kozarsky et al., 1994). Although this has been demonstrated clearly for cells that divide after infection (Boviatsis et al., 1994), it is less well established for nondividing cells such as neurons. To address the issue of the duration of transgene expression in neurons, most authors have relied on histochemical and/or immunocytochemical detection of the transgene product (Akli et al., 1993; Davidson et al., 1993; Le Gal La Salle et al., 1993; Bennett et al., 1994; Ghodsi et al., 1998; Bohn et al., 1999). Although the main objective was to examine the neuroprotective effects of adenoviruses delivering CNTF on maintaining the anatomical integrity of the structures compromised in this animal model, we also measured behavioral effects. The results clearly demonstrate neuroprotective effects in terms of stereological counts and in some behavioral parameters. The neuroprotection correlates with complete behavioral sparing in one of the parameters tested (peak tangential velocity) and with a partial sparing in step length, two parameters particularly sensitive to 3NP intoxication (Ouay et al., 2000). The other kinetic parameter, ATV, while being significantly altered by the 3NP lesion, does not appear to be as restored by CNTF. The reason for this difference is unclear and merits further investigation.

The use of stereological cell counts and behavioral studies demonstrates the neuroprotective efficacy of the transgene product up to 3 months after intrastriatal delivery of the viral vector. Although this interval is still too short for a therapeutic use in patients, it does indicate a protective effect of the transgene over 3 months. It also compares favorably with the available data concerning other gene therapy delivery systems for a trophic factor to the brain, such as adenoassociated virus vectors (Bosch et al., 2000; Dhillon et al., 2000; Kirik et al., 2000), lentivirus vectors (Kordower et al., 2000; Consiglio et al., 2001), and encapsulated engineered cells (Mittoux et al., 2000) that, up to now, have shown relatively short survival times, requiring frequent exchange of the device (Bachoud-Lévi et al., 2000).

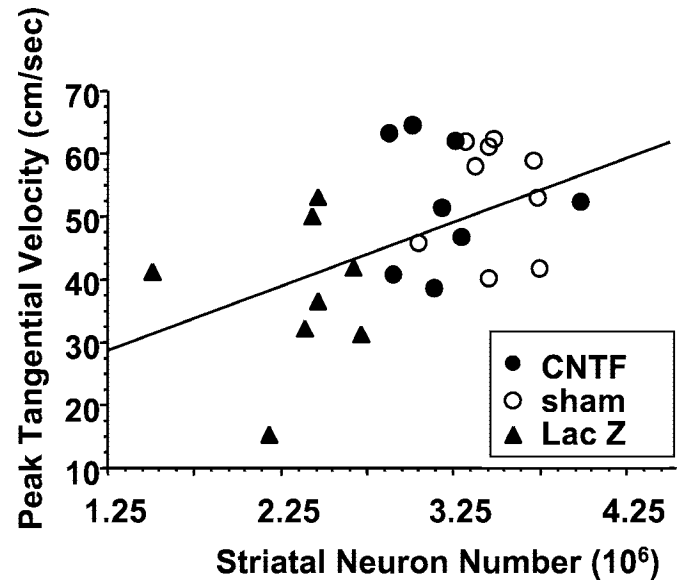
## Retrograde infection of projecting neurons and anterograde transport of the transgene product: two properties of adenovirus vectors

In the present study, we demonstrate an effect of CNTF well beyond the transfected striatal area. These results can be explained by a combination of intrinsic properties of adenoviral vectors and CNTF on the one hand and the anatomical organization of the basal ganglia on the other. At the injection site (Fig. 8), mostly neurons but also glial cells are transfected (Peltekian et al., 1997). Moreover, it is known that adenoviral vectors are taken



**Figure 6.** Linear correlations between the number of striatal NeuN-immunoreactive neurons and the number of neurons in the pallidum (*A*) and in layers V (*B*) or VI (*C*) of the medial agranular frontal cortex. (*A*,  $r^2 = 0.51$ ,  $p < 0.0001$ ; *B*,  $r^2 = 0.57$ ,  $p < 0.0001$ ; *C*,  $r^2 = 0.67$ ,  $p < 0.0001$ ).

up by axon terminals located in the infected area (in the present case, the striatum) and are retrogradely transported to neuronal cell bodies (Fig. 8) in the cerebral cortex, the substantia nigra pars compacta, and other striatal afferent structures (Akli et al., 1993; Bajocchi et al., 1993; Ridoux et al., 1994; Kuo et al., 1995). The adenoviral vector then accumulates in the infected cell bodies and it expresses the transgene, which is in turn anterogradely transported to axonal terminals and released (Lisovoski et al., 1997;



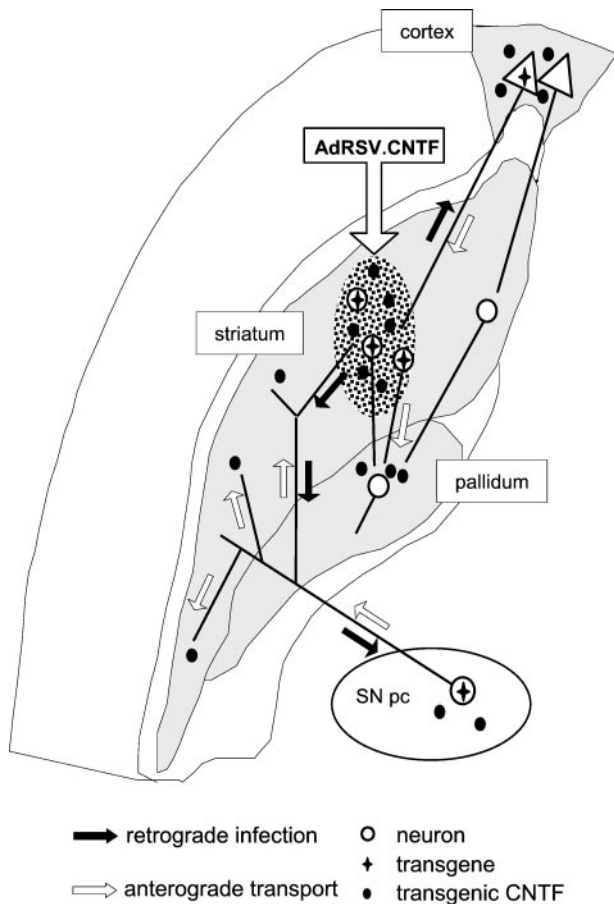
**Figure 7.** Linear correlation between the number of striatal NeuN-immunoreactive neurons and the PTV of animals belonging to the *AdRSV.CNTF*, *AdRSV.LacZ*, and sham groups (experiment 2).  $r^2 = 0.547$ ;  $p < 0.003$ .

Terashima et al., 1997). In our case, the main area concerned by the release of anterogradely transported transgene product is the globus pallidus, which receives the bulk of its afferents from the striatum. In addition, an intrastriatal release of transgenic CNTF originating from the retrogradely transfected cortical areas and substantia nigra may contribute to the neuroprotective effects observed here.

Thus, local and distal expression of the transgene serves to furnish CNTF to the striatum as well as to its afferent cortical areas and to the efferent globus pallidus. The transgenic CNTF released by the infected cells acts not only on these cells themselves (Hudgins and Levison, 1998) but also on the neuronal and glial cells located within its diffusion zone (Baumgartner and Shine, 1997), a process that further increases the sphere of the neuroprotective effects of CNTF. CNTF induces astrocyte differentiation and the upregulation of astrocyte CNTF synthesis, thereby providing additional paracrine support to neurons (Clatterbuck et al., 1996; Lisovoski et al., 1997). Because astrocytes are functionally as well as anatomically connected (Kim et al., 1994), infection of local astrocytes by the *AdRSV.CNTF* vector may in turn stimulate the subsequent synthesis and release of endogenous CNTF by noninfected astrocytes located at a distance from the vector injection.

Finally, the anatomical organization of the corticostriatopallidal circuitry itself can influence the dispersion of viral particles, because the transfection follows the connectivity. For example, because corticostriatal input fibers are organized in a patchy way within the striatum (Graybiel et al., 1991), the striatal volume covered by the adenovirus injection can contain parts of one or several of these patches, which will determine the number and the volume of the cortical areas retrogradely transfected. However, because of the convergence of corticostriatal inputs (Kincaid et al., 1998), a large number of cortical neurons are potentially able to be retrogradely transfected from a very restricted adenovirus injection. These retrogradely transfected neurons will serve as new sources of transgenic CNTF. In contrast, the high degree of convergence and the spatial organization of the striatopallidal





**Figure 8.** Hypothetical mechanisms underlying CNTF-induced neuroprotection in the corticostriatopallidal neuronal circuitry. In the infected striatal area (dotted region) primarily neurons but also glial cells and axon terminals are transfected. Adenoviral particles taken up by axon terminals located in the infected area are retrogradely transported to the corresponding cell bodies in the cerebral cortex and the substantia nigra pars compacta (SN pc). Transgenes accumulated in the infected cell bodies express the transgenic CNTF, which in turn can be released locally or anterogradely transported down to axonal terminals and released. Through a combination of direct infection and both retrograde and anterograde intra-axonal transport of transgene and transgene product, respectively, the neuroprotective agent CNTF can be released and act within the striatum as well as in its main afferent (cortex, SN pc) or efferent (pallidum) cerebral structures (gray shaded areas).

connections (Yelnik et al., 1996) would confine the release of transgenic CNTF only to a restricted part of the globus pallidus. These anatomical organizations may account for the differences in the neuroprotection observed in cortical layers and in the pallidum.

Together, our data strongly support the notion that the initial spatial restriction of the adenoviral infection around the site of administration may not be a major problem in neuroprotective therapeutic trials for HD.

### Toward a clinical use of adenovirus-mediated CNTF neuroprotection

The present study demonstrates that a single administration of noncytotoxic amounts of adenoviral particles can be sufficient to ensure widespread and long-lasting delivery of a therapeutic transgene product. These results underscore the potential clinical use of adenoviral vectors as a means to transfer genes into patients with HD. Previous studies have indicated the potential

neuroprotective set of CNTF in the striatum using either implanted minipumps (Anderson et al., 1996) or encapsulated engineered cells for delivery (Emerich et al., 1996; Mittoux et al., 2000). The present study extends these observations by showing that CNTF-expressing adenovirus vectors can neuroprotect neurons located upstream and downstream from the striatum, and in particular, the corticostriatopallidal pathway, a neuronal circuit severely affected in HD. In addition to the potential interest of an extra-striatal neuroprotection in HD, it is worth noting that this may also benefit other pathologies that affect both striatal and nigral neurons, such as multiple system atrophy or Steele–Richardson syndrome.

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