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# Perfectly Matched Layers for time-harmonic transverse electric wave propagation in cylindrical and toroidal gyrotropic media

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## **Abstract:**

In this paper we implement the stretched-coordinate Perfectly Matched Layer (PML) technique in [Teixeira1998b] to emulate full power absorption outside the simulation domain for time-harmonic electromagnetic wave propagation in presence of gyrotropic dielectric tensor and curved geometry relevant for magnetized plasma devices. We recall the PML formulation as an artificial inhomogeneous lossy medium, following the stretching into the complex plane of a general system of three orthogonal curvilinear coordinates. We apply the general method in cylindrical and toroidal geometries. We then assess this technique in a simple case combining gyrotropy and coordinate curvature. Our test problem analytically quantifies the reflection of Transverse Electric (TE) cylindrical eigenmodes in a gyrotropic medium by a radial PML in cylindrical geometry. The obtained reflection coefficient involves wave, PML and geometric parameters at the PML location. The new coefficient generalizes the one obtained earlier with Cartesian coordinates, and becomes equivalent when the effects of the local cylindrical curvature at the PML (stretched) location can be neglected. These curvature effects are outlined and the limitations they impose on the properties of the PML are quantified as a function of the relevant parameters. Peculiarities related to the gyrotropy are also highlighted. Finite element calculations of the test problem in two-dimensional cylindrical geometry are exploited to verify these properties numerically. Indications are finally given on how to choose the PML parameters in order to obtain a minimal wave reflection at given numerical cost, taking into account errors associated with the numerical scheme.

## I. Introduction

This paper deals with the numerical simulation of time-harmonic electromagnetic (EM) wave propagation. In such problems the time-harmonic Maxwell's equations in the medium are complemented with suitable boundary conditions. In finite difference or finite element calculations of EM wave propagation, Perfectly Matched Layers (PMLs) aim at emulating radiation at infinity inside a bounded simulation domain. For some applications, the EM waves are fully absorbed at finite distance from the wave launchers. But this distance is still too large to include the damping region in the simulation domain with reasonable computing resources, or the damping mechanism cannot be simulated easily. In these cases PMLs also apply, but they can be introduced at unusual locations, *e.g.* the inner part of the simulation domain instead of its outer boundary. This unusual setting will be met in the paper, but the results obtained also apply to more standard PMLs after minor adaptation.

In complex media such as cold magnetized plasmas, featuring a gyrotropic dielectric tensor, gyrotropy introduces two different wave propagation eigenmodes, referred to as Fast and Slow waves in the context of plasma physics [Swanson2003]. In the literature PMLs were already devised for the propagation of one eigenmode of gyrotropic media, generally in two dimensions (2D) transverse to the direction of anisotropy, and described by a scalar Helmholtz equation [Velasco2009]. This result was recently extended in 3D for the two eigenmodes, described by a vector time-harmonic wave equation [Gondarenko2004] [Jacquot2013]. Reference [Bécache2017] explored the transient EM pulse propagation in uniaxial media using the Finite Difference Time Domain (FDTD) method and PMLs adapted for each eigenmode. Reference [Jacquot2013] implemented PMLs adapted for cold magnetized plasmas at the edge of (flattened) toroidal magnetic fusion devices in the Radio-Frequency (RF) module of the COMSOL finite element solver [COMSOL]. As first proposed by [Teixeira1998a] PMLs were defined as artificial inhomogeneous lossy dielectric and magnetic media, where the standard equations of electrodynamics could be applied. This was achieved by stretching the conventional Cartesian coordinates of a flattened tokamak along prescribed trajectories in the complex plane.

For many realistic applications however, using Cartesian coordinates appears to be a limitation. Flattening a toroidal tokamak is an approximation, historically intended to enable using spectral methods of EM wave simulation. The limits of this approximation have been explored both by modelling [Louche2011] [Jacquot2015] [Milanesio2017] and experiments in several frequency ranges [Bilato2004], [Ekedahl2015]. Cartesian PMLs can sometimes be kept in a curved geometry if the plasma-PML boundary remains flat. This is however not always possible, and in practice it might be inefficient: in uniaxial media for example, reference [Bécache2017] showed it necessary to stretch space along directions either parallel or perpendicular to the anisotropy. Otherwise propagative forward and backward waves might coexist, one of which cannot be damped by the PML. In view of simulating cylindrical RF plasma discharges (*e.g.* Capacitively coupled discharges [Faudot2015], helicon discharges [Crombé2015], [Furno2017], ion cyclotron-heated ones [Crombé2015], [Gekelman2016]), toroidal devices (tokamaks [Jacquot2015]) or even more complex geometries (stellarators) in a more realistic way, it is therefore tempting to stretch the spatial coordinates along the principal directions defined by the device geometry and/or the anisotropy of the medium.

Stretching curved coordinates reveals also useful in wave scattering problems. To reduce the computational cost, it is convenient to limit the simulation domain to the vicinity of the scattering object. The outer boundary of this domain then adopts a potentially complicated shape similar to that of the object. To cloak such boundary, so called “conformal PMLs” [Teixeira2001], [Donderici2008] stretch space in a direction locally normal to the scattering surface. The “locally conformal PML” [Ozgun2007], [Smull2017] extends the former technique to challenging geometries with interfaces having curvature discontinuities.

When moving from Cartesian to curved coordinates, the differential operators  $\mathbf{rot}(\cdot)$  and  $\mathbf{div}(\cdot)$  appearing in Maxwell's equations modify their forms, due to the local curvature of the new coordinate systems [Angot1972]. To deal with these modifications, several PML reformulations have been proposed in [Teixeira1998b] for a general system of three orthogonal curvilinear coordinates: (a) one with both complex stretching with original dielectric and magnetic tensors and (b) the second with real coordinates and modified (anisotropic) tensors. The latter "stretched-coordinate PML" amounts to replacing the wave propagation medium with an artificial anisotropic inhomogeneous one that can be easily implemented in standard full-wave solvers for Maxwell's equations in the frequency-domain. In the adapted dielectric tensors and in the PML properties, not only the stretching functions but also the stretched coordinates appear, accounting for the local curvature of the coordinate system. Several Finite Difference and Finite Element implementations and analyses of the PML for isotropic media in orthogonal curvilinear coordinates can be found in references [Teixeira2001], [Ozgun2007], [Donderici2008], [Smull2017].

One can anticipate that curvature effects might modify the wave-reflection properties of the PML, sometimes in an undesirable way. For example, reference [Teixeira2001] showed that a conformal PML defined over a convex termination surface (as viewed from inside the computational domain) leads to dynamically unstable solutions when using the FDTD scheme. In Cartesian geometry a standard assessment of these PML properties is to quantify the reflection of propagative or evanescent plane waves in homogeneous media as a function of the relevant simulation parameters. This was done extensively in [Jacquot2013] for plane waves in gyrotropic media. Criteria of low reflection could be established for tuning the PML parameters. Limitations were also outlined when propagative forward and backward waves coexist in the PMLs, a peculiarity of anisotropic media. While plane waves are well suited for PML benchmark in Cartesian geometry, they are generally not adapted in curved coordinates, and alternative test-problems should be looked for.

The present paper aims at implementing the PML technique for time-harmonic EM wave propagation in gyrotropic media and in curved geometries relevant for magnetized plasma devices. A second goal is to assess this technique in a simple case exhibiting both non-diagonal dielectric tensor and coordinate curvature. Firstly we recall the stretched coordinate PML formulation proposed in [Teixeira1998b], and apply it to cylindrical and various toroidal coordinates. Secondly, in the particular case of cylindrical geometry, we define analytical criteria for low reflection of waves by radial PMLs. We use for this purpose cylindrical waves that play in cylindrical geometry an equivalent role as plane waves in Cartesian coordinates. Cylindrical eigenmodes of gyrotropic media are recalled when the direction of anisotropy is along the axis of the cylinder. The PML reflection criteria for the Transverse Electric eigenmode involve wave, PML and geometric parameters at the PML location. The new results generalize those obtained earlier, and become equivalent when the effects of the local cylindrical curvature at the PML (stretched) location can be neglected. Curvature effects are outlined and the limitations they impose on the properties of the PML are quantified as a function of the relevant parameters. Peculiarities related to the gyrotropy are also highlighted. Finite Element calculations of the test problem in 2D cylindrical geometry are exploited to quantify these properties numerically. Indications are finally given on how to choose the PML parameters in order to obtain a minimal wave reflection at given computational cost, taking into account errors associated with the numerical scheme.

## II. PML formulation in curved coordinates as an artificial lossy dielectric medium

Throughout this paper we consider time-harmonic EM fields oscillating in time as  $\exp(+i\omega_0 t)$  at pulsation  $\omega_0$ . In the 3-dimensional (3D) Euclidian space, the EM fields  $\mathbf{E}$  and  $\mathbf{H}$  evolve according to Maxwell's equations in the frequency domain

$$\begin{cases} \mathbf{rot}\mathbf{E} = -i\omega_0\mathbf{B} \\ \mathbf{rot}\mathbf{H} = +i\omega_0\mathbf{D} + \mathbf{j}_{ant} \\ \mathbf{div}\mathbf{D} = \rho_{ant} \\ \mathbf{div}\mathbf{B} = 0 \end{cases} \quad (\text{II.1})$$

In equations (II.1) the oscillating current  $\mathbf{j}_{ant}$  imposed on the antenna structures, as well as the oscillating antenna space charge  $\rho_{ant}$ , were isolated from the self-consistent response of the medium to  $(\mathbf{E}, \mathbf{H})$ , incorporated in the linear local constitutive relations

$$\mathbf{D} = \boldsymbol{\epsilon}(\omega_0)\mathbf{E} ; \mathbf{B} = \boldsymbol{\mu}(\omega_0)\mathbf{H}. \quad (\text{II.2})$$

Tensors  $\boldsymbol{\epsilon}(\omega_0)$  and  $\boldsymbol{\mu}(\omega_0)$  can take very general forms. In references [Sachs1995], [Gedney1996], [Teixeira1998], stretching the usual Cartesian coordinates into the complex plane was found beneficial to emulate radiating boundary conditions in a PML for problem (II.1). This section recalls the PML extension obtained in [Teixeira1998b], by stretching the three principal directions defined by an orthogonal system of three curved coordinates. We focus on this method because it can be easily implemented in standard frequency-domain Maxwell's equations solvers. Besides, for isotropic media and Cartesian coordinates, reference [Shin2012] showed that the stretched-coordinate PML results in significantly faster convergence than the alternative uniaxial PML for iterative Finite Difference Frequency Domain solvers. The formulation is subsequently applied to cylindrical and toroidal geometries.

### A. Recall of PML formulation in orthogonal curved coordinates

In the 3D Euclidian space, we consider an orthogonal set of three curvilinear coordinates  $(u, v, w)$  such that  $\nabla u \cdot \nabla v = \nabla v \cdot \nabla w = \nabla w \cdot \nabla u = 0$  everywhere. The system is characterized locally by the elementary distance  $ds$  defined as:

$$ds^2 = h_u^2(u, v, w)du^2 + h_v^2(u, v, w)dv^2 + h_w^2(u, v, w)dw^2 \quad (\text{II.3})$$

In the PML the spatial coordinates  $(u, v, w)$  are artificially stretched according to the rules

$$u \rightarrow t_u(u) = u_0 + \int_{u_0}^u S_u(t) dt \quad (\text{II.4a})$$

$$v \rightarrow t_v(v) = v_0 + \int_{v_0}^v S_v(t) dt \quad (\text{II.4b})$$

$$w \rightarrow t_w(w) = w_0 + \int_{w_0}^w S_w(t) dt \quad (\text{II.4c})$$

The triplet  $(u_0, v_0, w_0)$  as well as the stretching functions  $(S_u(u), S_v(v), S_w(w))$  are arbitrary and can be chosen conveniently for the required application. In particular, the stretching can be extended to the complex plane. As for Cartesian frames it is essential that  $S_u(u)$  depends only on  $u$ ,  $S_v(v)$  on  $v$  and  $S_w(w)$  on  $w$ . Each coordinate is stretched

“perpendicular to the other ones”: the stretched coordinate system remains orthogonal and a relation similar to (II.3) applies, with metric elements evaluated at stretched location, such as

$$h_u(t_u(u), t_v(v), t_w(w)) = h_{tu}(u, v, w) \quad (\text{II.5})$$

If the stretching extends to the complex plane,  $h_{tu}$ ,  $h_{tv}$  and  $h_{tw}$  might become complex, whereas they should be real positive before the stretching. Stretching functions are equal to 1 in the main simulation domain, where the properties of the original medium are preserved. In the PML, on the contrary, we request that the new local EM fields ( $\mathbf{E}_{\text{PML}}$ ,  $\mathbf{H}_{\text{PML}}$ ) at location  $(u, v, w)$  be the solutions ( $\mathbf{E}$ ,  $\mathbf{H}$ ) of the original wave problem (II.1) evaluated at stretched location  $(t_u(u), t_v(v), t_w(w))$ . To this end, problem (II.1) is replaced with a modified one

$$\left\{ \begin{array}{l} \mathbf{rot}_s(\mathbf{E}_{\text{PML}}(u, v, w)) = -i\omega_0 \boldsymbol{\mu} \mathbf{H}_{\text{PML}}(u, v, w) \\ \mathbf{rot}_s(\mathbf{H}_{\text{PML}}) = i\omega_0 \boldsymbol{\varepsilon} \mathbf{E}_{\text{PML}} + \mathbf{j}_{\text{ant}} \\ \text{div}_s[\boldsymbol{\varepsilon} \mathbf{E}_{\text{PML}}] = \rho_{\text{ant}} \\ \text{div}_s[\boldsymbol{\mu} \mathbf{H}_{\text{PML}}] = 0 \end{array} \right. \quad (\text{II.6})$$

where  $\mathbf{rot}_s(\cdot)$  and  $\text{div}_s(\cdot)$  denote the differential operators with respect to the stretched curved coordinates.

Let us introduce matrices  $\boldsymbol{\Sigma}(u, v, w)$  and  $\boldsymbol{\Lambda}(u, v, w)$  as

$$\boldsymbol{\Sigma}(u, v, w) \equiv \begin{bmatrix} S_u h_{tu} / h_u & 0 & 0 \\ 0 & S_v h_{tv} / h_v & 0 \\ 0 & 0 & S_w h_{tw} / h_w \end{bmatrix} \begin{matrix} u \\ v \\ w \end{matrix} = \begin{bmatrix} \Sigma_u & 0 & 0 \\ 0 & \Sigma_v & 0 \\ 0 & 0 & \Sigma_w \end{bmatrix} \begin{matrix} u \\ v \\ w \end{matrix} \quad (\text{II.7})$$

$$\boldsymbol{\Lambda}(u, v, w) \equiv \begin{bmatrix} \Sigma_v \Sigma_w & 0 & 0 \\ 0 & \Sigma_w \Sigma_u & 0 \\ 0 & 0 & \Sigma_u \Sigma_v \end{bmatrix} \begin{matrix} u \\ v \\ w \end{matrix} \quad (\text{II.8})$$

Reference [Teixeira1998b] showed that the modified EM problem (II.6) is equivalent to

$$\left\{ \begin{array}{l} \mathbf{rot}(\boldsymbol{\Sigma} \mathbf{E}_{\text{PML}}) = -i\omega_0 (\boldsymbol{\Lambda} \boldsymbol{\mu} \boldsymbol{\Sigma}^{-1}) (\boldsymbol{\Sigma} \mathbf{H}_{\text{PML}}(u, v, w)) \\ \mathbf{rot}(\boldsymbol{\Sigma} \mathbf{H}_{\text{PML}}) = +i\omega_0 (\boldsymbol{\Lambda} \boldsymbol{\varepsilon} \boldsymbol{\Sigma}^{-1}) (\boldsymbol{\Sigma} \mathbf{E}_{\text{PML}}) + \boldsymbol{\Lambda} \mathbf{j}_{\text{ant}} \\ \text{div}[(\boldsymbol{\Lambda} \boldsymbol{\varepsilon} \boldsymbol{\Sigma}^{-1}) (\boldsymbol{\Sigma} \mathbf{E}_{\text{PML}})] = \det(\boldsymbol{\Sigma}) \rho_{\text{ant}} \\ \text{div}[(\boldsymbol{\Lambda} \boldsymbol{\mu} \boldsymbol{\Sigma}^{-1}) (\boldsymbol{\Sigma} \mathbf{H}_{\text{PML}})] = 0 \end{array} \right. \quad (\text{II.9})$$

Relations (II.9) appear as the original electromagnetic problem (II.1), with the original differential operators  $\mathbf{rot}(\cdot)$  and  $\text{div}(\cdot)$ . However the original EM fields  $\mathbf{E}(u, v, w)$  and  $\mathbf{H}(u, v, w)$  were replaced respectively with the artificial EM fields  $(\boldsymbol{\Sigma} \mathbf{E}_{\text{PML}})(u, v, w)$  and  $(\boldsymbol{\Sigma} \mathbf{H}_{\text{PML}})(u, v, w)$ . The original and artificial EM fields coincide inside the main simulation domain, where  $\boldsymbol{\Sigma} = \mathbf{1}$  (the identity tensor) and  $(\mathbf{E}_{\text{PML}}, \mathbf{H}_{\text{PML}}) = (\mathbf{E}, \mathbf{H})$ . Similarly the source terms  $\rho_{\text{ant}}$  and  $\mathbf{j}_{\text{ant}}$  were replaced respectively with  $\det(\boldsymbol{\Sigma}) \rho_{\text{ant}}$  and  $\boldsymbol{\Lambda} \mathbf{j}_{\text{ant}}$ . The original tensors  $\boldsymbol{\varepsilon}$  and  $\boldsymbol{\mu}$  were replaced respectively with the tensors  $\boldsymbol{\varepsilon}_{\text{PML}} \equiv (\boldsymbol{\Lambda} \boldsymbol{\varepsilon} \boldsymbol{\Sigma}^{-1})$  and  $\boldsymbol{\mu}_{\text{PML}} \equiv (\boldsymbol{\Lambda} \boldsymbol{\mu} \boldsymbol{\Sigma}^{-1})$  adapted to the stretched coordinates. Original and adapted tensors coincide in the main simulation domain, where  $\boldsymbol{\Sigma} = \mathbf{1}$  and  $\boldsymbol{\Lambda} = \mathbf{1}$ . Also if tensor  $\boldsymbol{\mu}$  is diagonal then the three matrices  $\boldsymbol{\Lambda}$ ,  $\boldsymbol{\mu}$  and  $\boldsymbol{\Sigma}^{-1}$  commute. For the general dielectric tensor  $\boldsymbol{\varepsilon}$  one obtains.

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$$\boldsymbol{\epsilon}_{\text{PML}} \equiv \Lambda \boldsymbol{\epsilon} \Sigma^{-1} = \begin{bmatrix} \epsilon_{uu} \Sigma_v \Sigma_w / \Sigma_u & \epsilon_{uw} \Sigma_w & \epsilon_{uw} \Sigma_v \\ \epsilon_{vu} \Sigma_w & \epsilon_{vv} \Sigma_w \Sigma_u / \Sigma_v & \epsilon_{vw} \Sigma_u \\ \epsilon_{wu} \Sigma_v & \epsilon_{wv} \Sigma_u & \epsilon_{ww} \Sigma_u \Sigma_v / \Sigma_w \end{bmatrix} \begin{matrix} u \\ v \\ w \end{matrix} \quad (\text{II.10})$$

and similarly for  $\boldsymbol{\mu}_{\text{PML}}$ . Equation (II-9) shows that the problem can be implemented in any full-wave solver for Maxwell's equations in the frequency-domain allowing full dielectric tensors of the type (II-10).

### B. Implementation in cylindrical and toroidal geometries.

Implementation of the PML is formally similar in Cartesian and curved geometries. However the number of sub-cases is more important. For example in the case of isotropic media, one type of PML needs to be defined in Cartesian geometry, independent of the direction where waves need to be attenuated. In general 3 types of PMLs need to be defined in each direction. For anisotropic media the properties of the PML depend on both the type of coordinates and on the orientation of the direction of anisotropy. Some of these cases are investigated below. Equation (II.10) also shows that, in curved geometry, the implementation of a PML depends on its spatial location  $(u, v, w)$  via the stretched coordinates  $t_u(u)$ ,  $t_v(v)$  and  $t_w(w)$  appearing explicitly in  $\boldsymbol{\epsilon}_{\text{PML}}$ . This reflects curvature effects in the new geometry.

We now treat more explicitly four concrete examples of coordinate systems of interest for magnetized plasma devices. For reference we recall the standard Cartesian set  $(x, y, z)$ . One of the simplest systems exhibiting curvature is the cylindrical geometry. It is therefore useful for numerical tests, but also for simulating cylindrical plasma devices. The cylindrical coordinates  $(R, \varphi, Z)$  are defined as

$$\begin{cases} x = R \cos \varphi \\ y = R \sin \varphi \\ z = Z \end{cases} \quad (\text{II.11})$$

For more realistic applications in tokamaks, we introduce a system of coordinates  $(r, \varphi, \theta)$  associated to nested toroidal magnetic flux surfaces with concentric circular cross-sections.

$$\begin{cases} x = [R_0 + r \cos \theta] \cos \varphi \\ y = [R_0 + r \cos \theta] \sin \varphi \\ z = r \sin \theta \end{cases} \quad (\text{II.12})$$

As a final example in axisymmetric toroidal geometry, suppose that we know a tokamak magnetic equilibrium under the form of nested shaped closed magnetic surfaces. In a poloidal cross section (plane  $R, Z$  at constant  $\varphi$ ) these surfaces are labeled as  $\psi(R, Z) = \text{constant}$ , where  $\psi$  is a known smooth function, supposed to be monotonic from the innermost surface to the outermost one. Monotony ensures that  $\nabla \psi$  is nowhere null in the definition domain.

In tokamak equilibria the innermost magnetic surface is reduced to a point. At this magnetic axis  $\nabla \psi$  is ill-defined. Yet for PML implementation this magnetic axis is excluded. In this poloidal cross section we would like to define a second coordinate  $\theta$ , such that:

- (P1)  $\theta$  varies monotonically from 0 to  $2\pi$  along each closed flux surface.
- (P2)  $\nabla \theta$  exists everywhere (except perhaps at the magnetic center, excluded from the discussion)
- (P3) iso- $\theta$  lines are everywhere orthogonal to iso- $\psi$  curves

$\theta$  can be seen as the generalization of the usual poloidal angle defined for concentric circular magnetic surfaces. The orthogonality condition (P3) writes

$$\nabla\psi \cdot \nabla\theta = 0 \quad (\text{II-13})$$

stating that  $\theta$  is constant along the streamlines of  $\nabla\psi$ . These streamlines, well-defined everywhere, intersect all the flux surfaces and do not cross one-another, except at the magnetic axis where they all converge. In equation (II-13) only the direction of  $\nabla\psi$  matters. When  $\psi$  is replaced with any monotonic function of  $\psi$ , this direction is preserved. The streamlines therefore do not depend on the particular way to label the magnetic surfaces. Equation (II.13) therefore allows calculating  $\theta$  on a given flux surface from its value on a neighboring flux surface. The problem is therefore completely determined once  $\theta$  is defined on one flux surface. Besides, if property (P1) is fulfilled by the “boundary condition” then it is also verified on each flux surface. Several choices exist for defining this “boundary condition”, each of which determines a valid “generalized poloidal angle”. Some choices are however more convenient for practical use, because they lead to a more regular grid at some locations of interest. The coordinates  $(\psi, \varphi, \theta)$  form a convenient system to locate the points in the shaped tokamak, using the squared elementary distance

$$ds^2 = \left[ \left( \frac{\partial R}{\partial \psi} \right)^2 + \left( \frac{\partial Z}{\partial \psi} \right)^2 \right] d\psi^2 + \left[ \left( \frac{\partial R}{\partial \theta} \right)^2 + \left( \frac{\partial Z}{\partial \theta} \right)^2 \right] d\theta^2 + R^2 d\varphi^2 \quad (\text{II.14})$$

Table 1 summarizes the metric elements of the four coordinate systems. In the non-trivial cases, some of these elements can go to zero, leading to well-known singularities in the coordinate systems. Even when they lie outside the physical simulation domain, these singular points can be reached over the stretching process and therefore deserve special attention.

Name	$u$	$v$	$w$	$h_u(u,v,w)$	$h_v(u,v,w)$	$h_w(u,v,w)$
Cartesian	$x$	$y$	$z$	1	1	1
Cylindrical	$R$	$\varphi$	$Z$	1	$R$	1
Toroidal	$r$	$\varphi$	$\theta$	1	$R_0+r\cos\theta$	$r$
Shaped toroidal surfaces	$\psi$	$\varphi$	$\theta$	$\sqrt{\left[ \left( \frac{\partial R}{\partial \psi} \right)^2 + \left( \frac{\partial Z}{\partial \psi} \right)^2 \right]}$	$R(\psi, \theta)$	$\sqrt{\left[ \left( \frac{\partial R}{\partial \theta} \right)^2 + \left( \frac{\partial Z}{\partial \theta} \right)^2 \right]}$

Table 1: metric elements for four coordinate systems.

### III. Test problem to assess PML behaviour in cylindrical geometry.

Artificially stretching the Cartesian coordinates into the complex plane transforms propagative plane waves into evanescent ones in the PML [Sachs1995], [Gedney1996], [Texeira1998]. It therefore introduces artificial damping in this region, thus emulating radiation at infinity inside a finite simulation domain. In part II we stretched other sets of coordinates, assuming that this property might be preserved in curved geometries. However this remains to be assessed. Cylindrical geometry is a well suited test case.

A standard assessment of the PML formulation in Cartesian geometry is to quantify the reflection of propagative or evanescent plane waves in homogeneous media (see e.g. [Jacquot2013]). In cylindrical coordinates some equivalents of propagating or evanescent plane waves exist in terms of Bessel functions. In the context of plasma-filled waveguides, cylindrical eigenmodes of gyrotropic media were derived in details in [Bers1963]. These results are briefly summarized in section III.A in the case of longitudinal anisotropy. Using these tools we then propose a test problem to analytically quantify the reflection of cylindrical Transverse Electric (TE) waves by radial PMLs in cylindrical geometry, in presence of a



homogeneous gyrotropic medium. We investigate in particular how the radial curvature of the cylinder affects the PML properties compared to the Cartesian case.

### A. Cylindrical eigenmodes of gyrotropic medium with longitudinal anisotropy.

From now on we seek particular solutions of the wave equations (II.1), without source term in volume, featuring a separable form in the cylindrical coordinates  $(R, \varphi, Z)$ . The EM quantities are requested to oscillate as  $F(R)\exp(+i\omega_0 t - ik_z Z - im\varphi)$ , with  $k_z$  a longitudinal wavevector,  $m$  (integer) an azimuthal mode number, and  $F(R)$  a radial structure function to be determined. For gyrotropic media these cylindrical waves can only be well defined when the direction of anisotropy is along  $Z$  or  $\varphi$  [Bers1963]. For convenience we summarize here Bers' treatment in the homogeneous medium with longitudinal anisotropy (see also [Swanson2003]). This geometry is well suited for magnetized cylindrical plasma devices, in conditions when longitudinal invariance can be assumed. In this configuration  $\boldsymbol{\mu}(\omega_0) = \mu_0 \mathbf{1}$  in formula (II.2) while the dielectric tensor  $\boldsymbol{\varepsilon}(\omega_0)$  takes the form [Swanson2003]

$$\frac{\boldsymbol{\varepsilon}(\omega_0)}{\varepsilon_0} = \begin{bmatrix} \varepsilon_{\perp}(\omega_0) & +i\varepsilon_{\times}(\omega_0) & 0 \\ -i\varepsilon_{\times}(\omega_0) & \varepsilon_{\perp}(\omega_0) & 0 \\ 0 & 0 & \varepsilon_{//}(\omega_0) \end{bmatrix} \begin{matrix} R \\ \varphi \\ Z \end{matrix} \quad (\text{III.1})$$

In this configuration all the EM field components  $\mathbf{E}_T(R)$  and  $\mathbf{H}_T(R)$  transverse to  $Z$  can be expressed as a function of the longitudinal EM field components  $E_Z(R)$  and  $H_Z(R)$  using Maxwell-Ampère and Maxwell-Faraday equations ([Bers1963], eq. 9.21)

$$\begin{bmatrix} \mathbf{E}_T(R) \\ \mathbf{H}_T(R) \end{bmatrix} = \frac{k_0^{-1}}{(\varepsilon_{\perp} - n_z^2)^2 - \varepsilon_{\times}^2} \times \dots \begin{bmatrix} -in_z(\varepsilon_{\perp} - n_z^2) & Z_0\varepsilon_{\times} & n_z\varepsilon_{\times} & +iZ_0(\varepsilon_{\perp} - n_z^2) \\ -Z_0^{-1}n_z^2\varepsilon_{\times} & -in_z(\varepsilon_{\perp} - n_z^2) & -iZ_0^{-1}(\varepsilon_{\perp}^2 - \varepsilon_{\times}^2 - n_z^2\varepsilon_{\times}) & n_z\varepsilon_{\times} \end{bmatrix} \begin{bmatrix} \nabla_T E_Z \\ \nabla_T H_Z \\ \mathbf{e}_Z \times \nabla_T E_Z \\ \mathbf{e}_Z \times \nabla_T H_Z \end{bmatrix} \quad (\text{III.2})$$

In the above expression we have introduced  $c = [\mu_0 \varepsilon_0]^{-1/2}$  the speed of light in vacuum,  $k_0 \equiv \omega_0/c$  the wave-vector in vacuum,  $n_z \equiv k_z/k_0$  the longitudinal refractive index and  $Z_0 = (\mu_0/\varepsilon_0)^{1/2}$  the impedance of vacuum. In our cylindrical geometry the relevant 2D transverse operator is  $\nabla_T = \begin{bmatrix} \partial_{R^*} \\ -im/R \end{bmatrix}_{\varphi}^R$  so that  $\mathbf{e}_z \times \nabla_T = \begin{bmatrix} im/R \\ \partial_{R^*} \end{bmatrix}_{\varphi}^R$ . Substituting (III.2) into Maxwell's equations, the two scalar fields  $E_Z(R)$  and  $H_Z(R)$  are then related to each other by two coupled second-order partial differential equations ([Bers1963], eq. 9.157 and 9.158)

$$\Delta_T \begin{bmatrix} E_Z \\ H_Z \end{bmatrix} + k_0^2 \mathbf{K} \begin{bmatrix} E_Z \\ H_Z \end{bmatrix} = 0 \quad (\text{III.3})$$

In this expression  $\Delta_T$  is the Laplace operator transverse to anisotropy while matrix  $\mathbf{K}$  takes the form

$$\mathbf{K} \equiv \begin{bmatrix} \varepsilon_{//}(1 - n_z^2/\varepsilon_{\perp}) & -iZ_0 n_z \varepsilon_{\times}/\varepsilon_{\perp} \\ in_z \varepsilon_{\times} \varepsilon_{//}/Z_0 \varepsilon_{\perp} & \varepsilon_{\perp} - \varepsilon_{\times}^2/\varepsilon_{\perp} - n_z^2 \end{bmatrix} \quad (\text{III.4})$$

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Eigenmodes of the gyrotropic medium are the eigenvectors of matrix  $\mathbf{K}$ , associated with eigenvalues  $n_{\perp}^2$ , a squared refractive index transverse to  $Z$ . The dispersion relation for cylindrical waves writes

$$\det(\mathbf{K} - n_{\perp}^2 \mathbf{1}) = n_{\perp}^4 - \text{tr}(\mathbf{K})n_{\perp}^2 + \det \mathbf{K} = 0 \quad (\text{III.5})$$

Two separate roots  $n_{\perp}^2$  generally fulfil equation (III.5). Below we will investigate only media without losses in volume, for which the three dielectric constants in (III.1) are real, but without restriction of sign. In these conditions the eigenvalues  $n_{\perp}^2$  are also real. When  $n_z \varepsilon_{\times} / \varepsilon_{\perp} = 0$  matrix  $\mathbf{K}$  is diagonal and the EM fields can be explicitly split into transverse-electric (TE) and transverse-magnetic (TM) eigenmodes with respect to direction  $Z$

$$\begin{cases} n_{\perp, TM}^2 = K_{11} = \varepsilon_{//} (1 - n_z^2 / \varepsilon_{\perp}) \\ n_{\perp, TE}^2 = K_{22} = \varepsilon_{\perp} - \varepsilon_{\times}^2 / \varepsilon_{\perp} - n_z^2 \end{cases} \quad (\text{III.6})$$

In our numerical tests we will also investigate EM waves for magneto-plasmas in the Ion Cyclotron Range of Frequencies (ICRF) [Swanson2003]. Such waves satisfy the ordering  $|\varepsilon_{//}| \gg |\varepsilon_{\perp}|, |\varepsilon_{\times}|, n_z^2$ . A scale separation generally applies, allowing a perturbative resolution of (III.5). To leading order in the ordering the refractive indices are

$$\begin{cases} n_{\perp, FW}^2 \approx \det(\mathbf{K}) / \text{tr}(\mathbf{K}) = \left[ (\varepsilon_{\perp} - n_z^2)^2 - \varepsilon_{\times}^2 \right] / (\varepsilon_{\perp} - n_z^2) \\ n_{\perp, SW}^2 \approx \text{tr}(\mathbf{K}) = \varepsilon_{//} (1 - n_z^2 / \varepsilon_{\perp}) \end{cases} \quad (\text{III.7})$$

Scale separation fails close to  $n_z^2 = \varepsilon_{\perp}$ . Within the above ordering, the polarization of the first mode (Fast Wave or FW in ICRF) is quasi-TE.

$$\frac{E_{Z, FW}}{H_{Z, FW}} = - \frac{K_{12}}{K_{11} - n_{\perp, FW}^2} \approx i Z_0 \frac{n_z \varepsilon_{\times}}{\varepsilon_{//} (\varepsilon_{\perp} - n_z^2)} \quad (\text{III.8})$$

The polarization of the alternative eigenmode (Slow Wave or SW) is to leading order

$$\frac{H_{Z, FW}}{E_{Z, FW}} = - \frac{K_{21}}{K_{22} - n_{\perp, SW}^2} \approx i \frac{n_z \varepsilon_{\times}}{Z_0 (\varepsilon_{\perp} - n_z^2)} \quad (\text{III.9})$$

For eigenmodes the two equations (III.3) simplify into two scalar Helmholtz equations

$$\Delta_{\perp} H_z(R) + k_{\perp}^2 H_z(R) = 0 \quad ; \quad k_{\perp}^2 = k_0^2 n_{\perp}^2 \quad (\text{III.10})$$

and similarly for  $E_z(R)$ . In our cylindrical coordinates,  $\Delta_{\perp} = R^{-1} \partial_R R \partial_R - m^2 / R^2$  and (III.10) is a Bessel equation. When  $n_{\perp}^2$  is real positive, solutions of (III.10) with radiation conditions at infinity are found as Hankel functions  $H_m^{(1)}(k_{\perp} R)$  and  $H_m^{(2)}(k_{\perp} R)$  [Abramowitz]. For  $|k_{\perp} R| \gg 1$ ,  $H_m^{(1)}(k_{\perp} R) \sim [2 / (\pi k_{\perp} R)]^{1/2} \exp(+ik_{\perp} R - i\pi/4 - im\pi/2)$ , *i.e.* taking  $k_{\perp}$  real positive this wave behaves asymptotically as a plane wave propagating radially inwards. Similarly  $H_m^{(2)}(k_{\perp} R) \sim [2 / (\pi k_{\perp} R)]^{1/2} \exp(-ik_{\perp} R - i\pi/4 - im\pi/2)$  propagates in the outward direction. Evanescent waves with real negative  $n_{\perp}^2$  can be treated similarly by replacing  $H_m^{(1)}$  and  $H_m^{(2)}$  with respectively the modified Bessel functions  $I_m$  and  $K_m$  of argument  $|k_{\perp} R|$  [Abramowitz].

Once  $E_z(R)$  and  $H_z(R)$  are determined for each eigenmode, the transverse parts of their EM field polarizations are deduced from (III.2). Finally, the full solution of the initial EM problem (II.1) is a linear combination of the two eigenmodes determined by the source terms and boundary conditions. If a cylindrical Perfect Electric Conductor (PEC) is present at  $R=R_1$ , the two EM field components  $E_z(R_1)$  and  $E_{\phi}(R_1)$  tangent to this boundary should vanish simultaneously. In the general case treated in [Bers1963], a mix of the two eigenmodes is needed to fulfil the PEC boundary conditions, leading to mode conversion upon wave reflection. However in the case of pure TE or TM modes, solutions exist involving only one

of the two eigenmodes. This is also approximately the case for the FW at leading order in the above ordering. For our test problem we will stick to these simple cases.

### B. Reflection of propagative cylindrical TE Waves in a Radial PML.

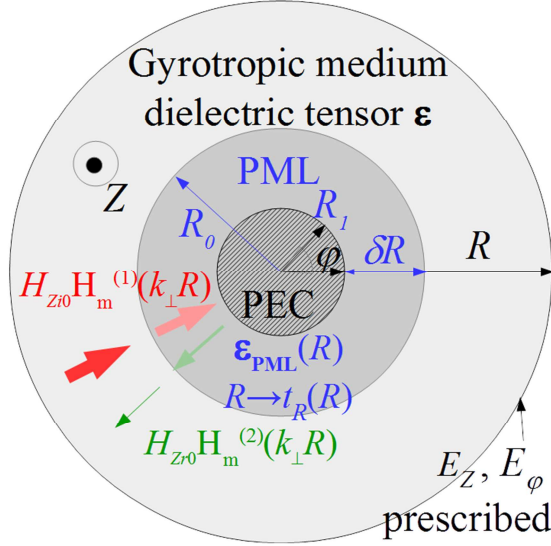


Figure 1: sketch of TE wave reflection problem to assess the radial PML.

To assess the behaviour of radial PMLs in cylindrical geometry, we study the artificial damping of an incoming propagative cylindrical TE wave in the central part of a homogeneous gyrotropic medium with longitudinal anisotropy. This situation mimics the complete absorption of a TE wave launched from the periphery of a cylindrical magnetized plasma device. The geometry of our test problem is summarized on figure 1. An incident cylindrical TE wave is launched from  $R \rightarrow +\infty$  towards  $R=0$ . To attenuate artificially this incoming wave near the centre of the cylinder, a radial PML is placed in a cylindrical shell between  $R=R_1$  and  $R=R_0=R_1+\delta R$ . Inside the PML the radial coordinate  $R$  is stretched into  $t_R(R)$ . according to the rule

$$t_R(R) = R_0 + \int_{R_0}^R S_R(R) dR, R_1 < R < R_0 \quad (\text{III.11})$$

Let us assume that  $\text{Im}(S_R(R))$  adopts a given sign throughout the PML region  $R_1 < R < R_0$ . Due to its unusual location at the inner part of the cylinder for cloaking purposes,  $\text{Im}(t_R(R))$  will have the opposite sign in the PML region. For a PML located in the outer part of the simulation domain,  $R > R_0$  in the PML and the two quantities would have the same signs. The other two cylindrical coordinates ( $\varphi, Z$ ) are not stretched. From the above calculations, and assuming here  $k_{\perp \text{TE}}^2 > 0$ , the radial structure of the incoming longitudinal EM magnetic field in the PML takes the form

$$H_{z \text{PML}}(R) = H_{z0} H_m^{(1)}[k_{\perp \text{TE}} t_R(R)] \quad (\text{III.12})$$

where the (complex) stretched radial coordinate  $t_R(R)$  was substituted to the (real) radius  $R$ . The coordinate stretch preserves the TE polarization for the artificial EM electric field  $\mathbf{E}_{\text{PML}}$ . A PEC is placed in  $R=R_1 < R_0$ . Alternative boundary conditions are possible there and are briefly discussed below. For example Perfect Magnetic Conductor could be convenient for TM modes. At radius  $R=R_1$  the total tangential EM electric field should vanish. In the case of the TE modes  $E_{z \text{PML}}=0$  and one should cancel only the azimuthal component  $E_{\varphi \text{PML}}(R_1)$ . This can be fulfilled with only incident and reflected TE waves sharing the same  $(k_z, m)$ , so that the alternative eigenmode is absent from the problem. The reflected TE wave adopts a radial structure function of the form

$$H_{z \text{PML}}(R) = H_{z0} H_m^{(2)}[k_{\perp \text{TE}} t_R(R)] \quad (\text{III.13})$$

$E_{\varphi \text{PML}}$  is obtained from  $H_{z \text{PML}}$  using equation (III.2) with the modified operator  $\nabla_{TS} = \begin{bmatrix} \partial_{t_R(R)} \cdot \\ -im/t_R(R) \end{bmatrix}$ .  $R \cdot E_{\varphi \text{PML}}(R_1) = 0$  therefore means

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$$\frac{H_{Zr0}}{H_{Zi0}} = \eta_{theo} = -\frac{-m\varepsilon_{\times}H_m^{(1)}[k_{\perp}t_R(R_1)] + (\varepsilon_{\perp} - n_z^2)k_{\perp}t_R(R_1)H_m^{(1)'}[k_{\perp}t_R(R_1)]}{-m\varepsilon_{\times}H_m^{(2)}[k_{\perp}t_R(R_1)] + (\varepsilon_{\perp} - n_z^2)k_{\perp}t_R(R_1)H_m^{(2)'}[k_{\perp}t_R(R_1)]} \quad (\text{III.14})$$

In this expression the primes denote the derivative of the Hankel functions with respect to their arguments, and subscript TE was dropped. Equation (III.14) defines an amplitude reflection coefficient  $\eta_{theo}$  for the TE modes, whose magnitude can be used as a figure of merit for assessing the inner PML. For a PML located in the outer part of the cylinder, the two kinds of Hankel functions would swap their roles and  $\eta_{theo}$  should be replaced with its inverse. In the absence of coordinate stretching ( $t_R(R)=R$ ) the PML is replaced with an equivalent layer of gyrotropic material and  $|\eta_{theo}|=1$ . The coordinate stretching in the PML aims at reducing  $|\eta_{theo}|$  as much as possible.

$\eta_{theo}$  depends on the wave characteristics ( $k_0, n_z, m$ ), the dielectric tensor elements, the PML characteristics  $S_R(R)$  as well as the PEC radial location  $R_1$ . The situation is therefore more complex than in Cartesian geometry. However only three independent non-dimensional parameters appear in formula (III.14): the complex argument  $k_{\perp}t_R(R_1)$  in the Hankel functions, the azimuthal mode number  $m$  and the ratio  $\varepsilon_{\times}/(\varepsilon_{\perp}-n_z^2)$ . This latter parameter is specific of gyrotropic media. Formula (III.14) shows that this parameter introduces asymmetries in the reflection of waves with opposite  $m$ . Coordinate stretching only influences the first parameter. To shed light into the PML properties, we therefore investigate below the quantities  $|\eta_1|=|H_m^{(1)}[k_{\perp}t_R(R_1)]/H_m^{(2)}[k_{\perp}t_R(R_1)]|$  and  $|\eta_2|=|H_m^{(1)'}[k_{\perp}t_R(R_1)]/H_m^{(2)'}[k_{\perp}t_R(R_1)]|$ . They correspond to  $|\eta_{theo}|$  for respectively very large or very small values of  $m\varepsilon_{\times}/(\varepsilon_{\perp}-n_z^2)$ . Reflection coefficient  $\eta_1$  should also replace  $\eta_{theo}$  if  $[E_{ZPML}(R_1)=0]$  and  $[H_{ZPML}(R_1)=0]$  were substituted to the PEC boundary conditions in  $R=R_1$ . Reflection coefficient  $\eta_2$  would be obtained with the boundary conditions  $[E_{ZPML}(R_1)=0]$  and  $[\partial_R H_{ZPML}(R_1)=0]$ . For increasing  $m$ , figures 2 plot  $|\eta_1|$  and  $|\eta_2|$  versus the two non-dimensional real parameters ( $X_{PML}, Y_{PML}$ ) appearing in the Hankel functions:

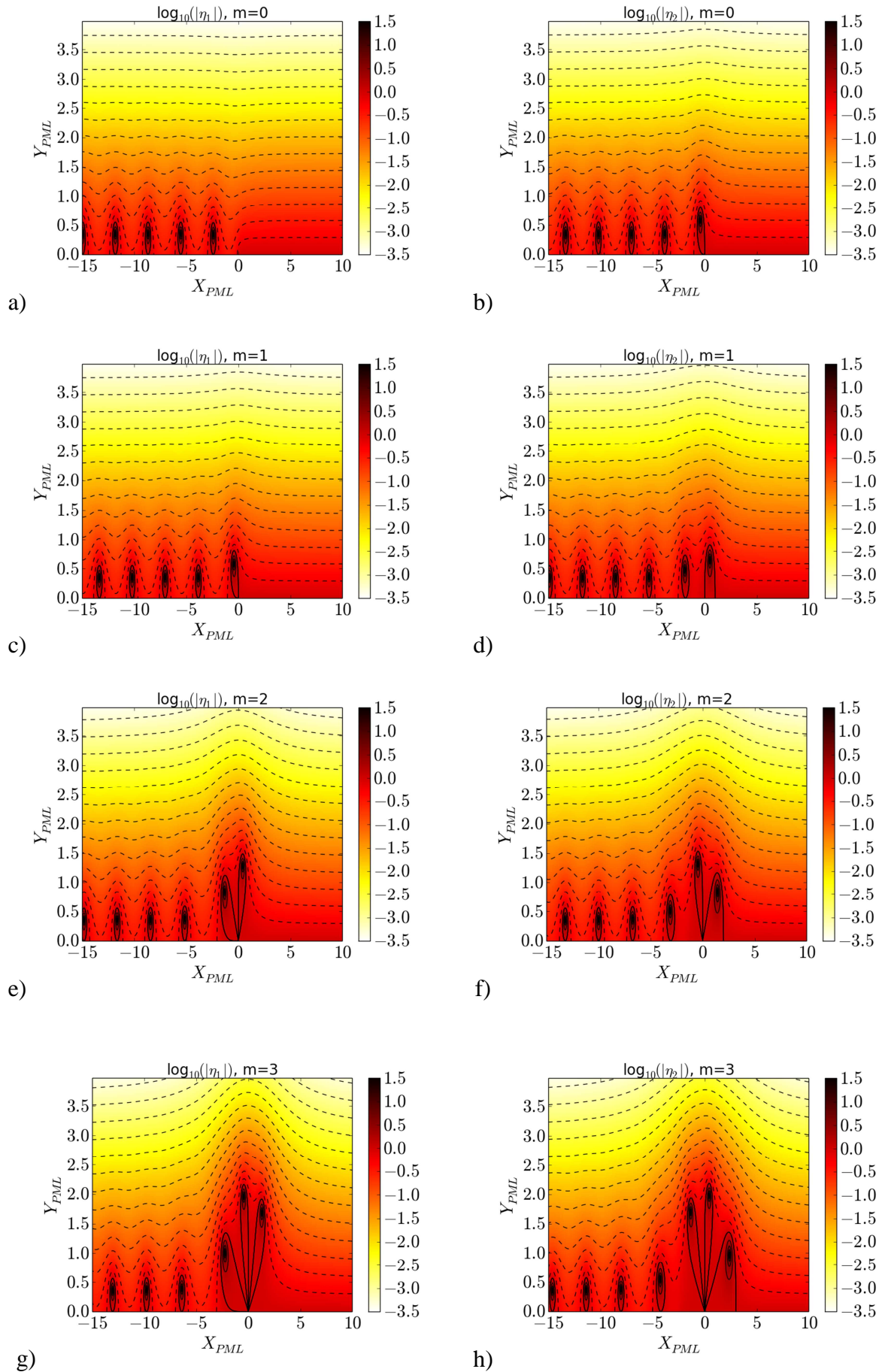
$$\begin{cases} X_{PML} \equiv \text{Re}(k_{\perp}t_R(R)) \\ Y_{PML} \equiv \text{Im}(k_{\perp}t_R(R)) \end{cases} \quad (\text{III.15})$$

Parameter  $Y_{PML}$  is similar to the one characterizing the efficiency of the Cartesian PML for propagating plane waves [Jacquot2013], where in this context subscript  $\perp$  means normal to the plasma/PML interface.

$|\eta_{theo}|=1$  for  $Y_{PML}=0$  and  $X_{PML}>0$ . Since  $H_m^{(1)}[X_{PML}-iY_{PML}]=H_m^{(2)}[X_{PML}+iY_{PML}]^*$  (where  $*$  denotes complex conjugate),  $\eta_{theo}$  is transformed into  $1/\eta_{theo}^*$  when  $Y_{PML} \rightarrow -Y_{PML}$ . Concretely this means that the PML cannot be tuned to attenuate simultaneously EM waves with real positive and real negative  $k_{\perp}$ . As discussed in [Jacquot2013] [Bécache2017] this might be problematic in some anisotropic media where propagative forward and backward waves can coexist. Figures 2 plot only the half-plane  $Y_{PML}>0$ .

Taking  $Y_{PML}>0$  generally reduces  $|\eta_{theo}|$ , but not always: contrary to the equivalent Cartesian PML  $|\eta_{theo}|$  can exceed 1 and reach very high values for positive  $Y_{PML}$ . This arises when  $E_{\varphi PML}(R_1)=0$  for the reflected wave.  $|\eta_1|$  reaches very high values near the complex zeros of  $H_m^{(2)}$ , and similarly for  $|\eta_2|$  near the complex zeros of  $H_m^{(2)'}$ . For  $m=0$  these zeros all lie in the half-plane  $X_{PML}<0$ . As  $m$  increases some zeros are progressively displaced towards  $X_{PML}>0$ . It is therefore important to tune  $\text{Re}(S_R(R))$  so that this zone of the complex space is avoided. Comparing the maps for  $|\eta_1|$  and  $|\eta_2|$  shows that the zeros can also be displaced in the plane ( $X_{PML}, Y_{PML}$ ) by changing the boundary conditions in  $R=R_1$ .

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*Figure 2: 2D Contour plots of  $|\eta_1|$  (left panels) and  $|\eta_2|$  (right panels) in logarithmic scale versus  $X_{PML}$  and  $Y_{PML}$ , from formula (III.16), for increasing azimuthal mode number  $m$ . One contour line every 2.5dB. First solid contour line corresponds to  $|\eta|=1$ .*

Unlike the Cartesian case, the PML properties for propagative cylindrical waves depend on  $X_{PML}$ . This parameter can be seen as a normalized radial position of the PEC boundary in the stretched coordinates.  $X_{PML}$  can change either by moving physically the PEC radius  $R_1$  or by acting on the real part of the stretching function. The second method amounts to artificially displacing the PEC radial position towards a region of different radius (even possibly negative!). The dependence of  $\eta_{theo}$  on  $X_{PML}$  can be interpreted in terms of local curvature effects at the stretched PML location.

In the limit of large  $|X_{PML}+iY_{PML}|$  with positive  $X_{PML}$  one finds [Abramowitz]

$$\frac{H_m^{(1)}[X_{PML}+iY_{PML}]/H_m^{(2)}[X_{PML}+iY_{PML}]}{H_m^{(1)}[X_{PML}+iY_{PML}]/H_m^{(2)}[X_{PML}+iY_{PML}]} \sim \exp(-2Y_{PML}) \equiv |\eta_{Cart}| \quad (III.16)$$

*i.e.*  $|\eta_1|$ , and  $|\eta_2|$ , and therefore  $|\eta_{theo}|$  as well, converge to the same value  $|\eta_{Cart}|$ , independent of  $(X_{PML}, m)$  and characteristic of Cartesian PMLs [Jacquot2013]. However the minimal  $Y_{PML}$  to reach this asymptotic regime depends on  $(X_{PML}, m)$ : the higher  $m$  and the lower  $X_{PML}$ , the higher  $Y_{PML}$  should be. In the Cartesian case, references [Bermudez2007], [Cimpeanu2015] highlighted the merits of unbounded stretching functions such that the imaginary part of  $Y_{PML}$  reaches infinity. In this case  $|\eta_{Cart}|$  is expected to be 0 and the only residual wave reflection is that introduced by the numerical scheme for solving (II.9). Formula (III.16) shows that this favourable property is preserved in cylindrical coordinates.

The parametric region around  $X_{PML}+iY_{PML}=0$  appears unfavourable for low wave reflection by the PML. At fixed PML extension  $\delta R$ , low values of  $X_{PML}$  and  $Y_{PML}$  are reached for low  $k_{\perp}$ , *i.e.* for waves propagating nearly parallel to the plasma/PML interface, similar to the Cartesian case [Jacquot2013]. The size of the unfavourable region gets larger as  $m$  increases: for given  $(X_{PML}, Y_{PML})$ , a critical value of  $m$  always exists above which the PML loses efficiency. Figures 3 map as a function of  $(X_{PML}, Y_{PML})$  the lowest value of  $m$  for which the amplitude ratio exceeds 0.1. In figures 3 this value is  $m=0$  for  $Y_{PML}<1.2$ . The critical  $m$  value increases with both  $X_{PML}$  and  $Y_{PML}$ . It can therefore be made arbitrarily high by proper PML tuning. In practical applications, only a finite number of azimuthal harmonics need to be resolved. The PML can always be tuned so that it remains efficient up to this maximum  $m$ . In particular stretching the real part of  $R$  can be beneficial if it moves artificially the PEC location towards regions of lower curvature. Larger coordinate stretching however produces larger radial variations of  $\epsilon_{PML}(R)$  and therefore can impose a finer discretization of the PML region.

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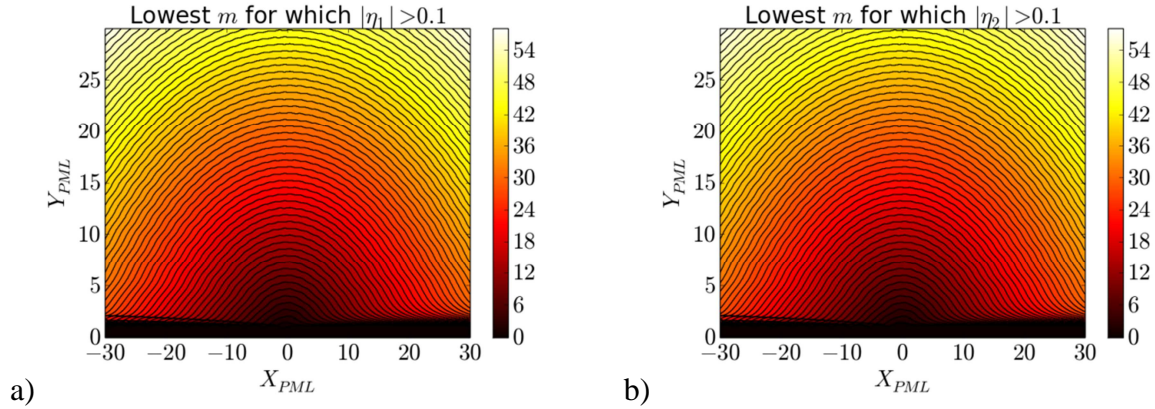


Figure 3: Lowest value of azimuthal mode number  $m$  for which the amplitude ratios exceeds 0.1, versus  $(X_{PML}, Y_{PML})$ . a)  $|\eta_1| > 0.1$ , b)  $|\eta_2| > 0.1$ .

**C. Reflection of evanescent cylindrical waves in a radial PML.**

When  $k_{\perp}^2$  is real negative for the TE mode, a similar analysis as before can be made for waves that are evanescent inwards, *i.e.* waves growing radially as  $\exp(+|k_{\perp}|R)$  for large  $R$ . In formula (III.14) the Hankel functions  $H_m^{(1)}$  and  $H_m^{(2)}$  should be respectively replaced with the modified Bessel functions  $I_m$  and  $K_m$  [Abramowitz]. In the absence of coordinate stretching, the equivalent of  $\eta_1$  writes  $K_m(X_1)/I_m(X_1)$ , where  $X_1 = |k_{\perp}|R_1$  is a real normalized radius at PEC location. After the stretching, argument  $X_1$  should be transformed into  $X_1 + \delta X_{PML} + i\delta Y_{PML}$  where

$$\begin{cases} \delta X_{PML} \equiv |k_{\perp}|[\operatorname{Re}(t_R(R) + R_0 - R_1)] \\ \delta Y_{PML} \equiv |k_{\perp}|\operatorname{Im}(t_R(R)) \end{cases} \quad (\text{III.17})$$

Figures 4 therefore plot the ratio  $|\eta_3| = |K_m(X_1 + \delta X_{PML} + i\delta Y_{PML})/I_m(X_1 + \delta X_{PML} + i\delta Y_{PML})| * I_m(X_1)/K_m(X_1)$  versus  $(\delta X_{PML}, \delta Y_{PML})$ . Only positive  $\delta Y_{PML}$  are shown since negative  $\delta Y_{PML}$  produce a similar result.  $|\eta_3|$  is 1 for  $(\delta X_{PML}, \delta Y_{PML}) = (0, 0)$  and should be ideally as low as possible. For given  $\delta Y_{PML}$ ,  $\delta X_{PML} > 0$  is always beneficial for attenuating the reflected wave compared to  $\delta X_{PML} = 0$ , while  $\delta X_{PML} < 0$  might be very detrimental, especially close to  $\delta X_{PML} = -X_1$ . For positive  $\delta X_{PML}$ , adding  $\delta Y_{PML}$  is generally beneficial but not always. For large positive  $X$ ,  $|K_m(X + iY)/I_m(X + iY)| \sim \exp(-2X)/2|X + iY|$  and one recovers a result similar to the Cartesian case.

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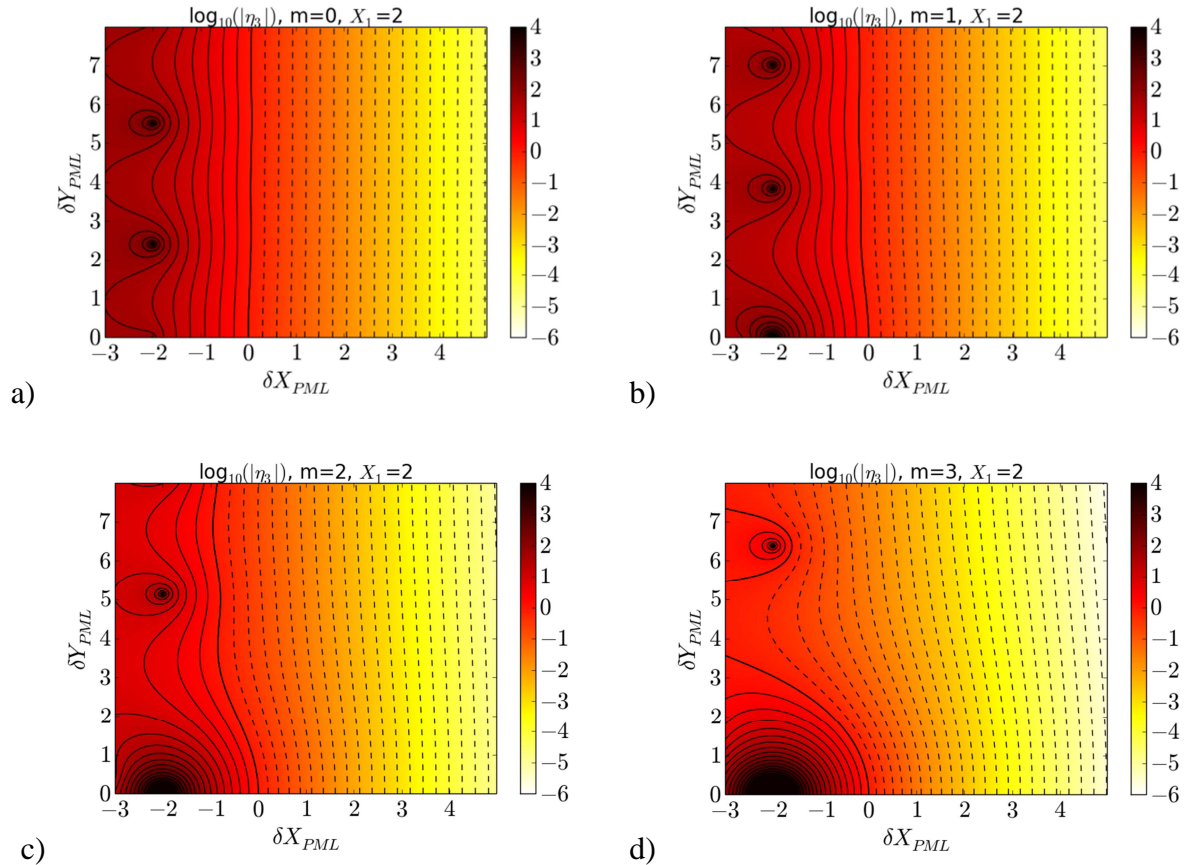


Figure 4: 2D contour plots of amplitude ratio  $|\eta_3|$  (in logarithmic scale) versus  $(\delta X_{PML}, \delta Y_{PML})$  from (III.18) for  $X_1=2.0$  and for the first four values of azimuthal mode number  $m$ . One contour line every 2.5dB. First solid contour line corresponds to  $|\eta_3|=1$ .

### IV. Numerical tests of radial PML with gyrotropic media using 2D finite elements.

The test problem for propagative cylindrical TE waves proposed in part III was implemented with finite elements in two dimensions (2D), and the wave reflection was quantified from the simulation output. This allows assessing numerically the analytical figure of merit  $\eta_{theo}$  from (III-14). Simulations also illustrate specific features and limitations of the PML in cylindrical geometry. We finally investigate enhanced PML reflection associated with the finite element discretization of the simulation domain. We outline how to choose the PML parameters in order to obtain a minimal PML reflection at given numerical cost, taking into account the discretization.

#### A. Simulation and post-processing protocols

Using the COMSOL finite element solver [COMSOL], the test problem was simulated numerically in the 2D (radial, azimuthal) geometry  $(R, \varphi)$  sketched on figure 1, with EM fields assumed to vary as  $\exp(-ik_z Z)$  in the out-of-plane longitudinal direction  $Z$ . COMSOL includes a built-in module to simulate the standard EM problem (II.1) with standard boundary conditions and any user-defined material of type (II.2), possibly inhomogeneous in space. All over the main simulation domain, the homogeneous gyrotropic dielectric tensor (III.1) was applied. A PML was implemented in the inner part of the simulation domain. When not



precised, the artificial inhomogeneous tensors  $\boldsymbol{\epsilon}_{\text{PML}}(R)$  and  $\boldsymbol{\mu}_{\text{PML}}(R)$  from (II.10) were applied there, where  $\boldsymbol{\epsilon}$  is still from (III.1).

Although this choice is non-restrictive, we performed most of our numerical tests using polynomial stretching functions, for easier comparison with earlier work in Cartesian coordinates [Jacquot2013]. Specifically

$$S_R(R)=1-(S'+iS'')[|R_0-R|/\delta R]^p, R_1<R<R_0 \quad (\text{IV.1})$$

From this one can define  $t_R(R)$  explicitly as

$$R \rightarrow t_R(R) = R + \frac{S'+iS''}{p+1} \left( \frac{R_0-R}{\delta R} \right)^{p+1} \delta R, R_1<R<R_0 \quad (\text{IV.2})$$

. From table 1, matrix  $\boldsymbol{\Sigma}(R)$  in formula (II.7) takes the following form

$$\boldsymbol{\Sigma}(R) = \begin{bmatrix} S_R(R) & 0 & 0 \\ 0 & t_R(R)/R & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{matrix} R \\ \varphi \\ z \end{matrix} \quad (\text{IV.3})$$

It differs from a Cartesian-like PML formulation by a non-trivial term  $\Sigma_\varphi(R)=t_R(R)/R$  in the azimuthal direction. The PML medium features complex dielectric tensor elements, introducing artificial losses in PML volume. Besides, the three diagonal elements of  $\boldsymbol{\epsilon}_{\text{PML}}(R)$  are different from each other and  $\boldsymbol{\mu}_{\text{PML}}(R)$  becomes non-trivial. A PEC was implemented at the inner radial boundary of the simulation domain. From equation (II.9) this boundary condition applies to the EM field  $\boldsymbol{\Sigma}\mathbf{E}_{\text{PML}}$  computed in the PML. Since matrix  $\boldsymbol{\Sigma}(R)$  is diagonal in (IV.3), this amounts to cancelling both  $E_{\varphi\text{PML}}(R_1, \varphi)$  and  $E_{z\text{PML}}(R_1, \varphi)$  all over the inner radial boundary.

Several simulation series, summarized in table 2, scanned the plasma and PML parameters identified as important in section III. Only cases with propagative cylindrical waves were envisaged. The cases considered also feature  $\epsilon_x=0$  or highly negative  $\epsilon_{//}$ , so that the EM problem (II.10) involves only (or mainly) the TE mode. TE wave polarization is exact for all series except #12 and #14 highlighted in grey, where it is approximate since  $k_z\epsilon_x \neq 0$ . Consistent with this assumption the longitudinal EM electric field  $E_z$  was imposed null at the outer boundary of the simulation domain, except on series #12 and #14, where the approximate formula (III.8) was used for the FW polarization. The prescribed azimuthal EM electric field at this location was  $E_\varphi(R, \varphi) = E_0 \exp(-im\varphi)$  to select the proper azimuthal mode number. The outer boundary of the simulation domain was always located 1 m outside the PML outer radius. For the sake of comparison, series #1 of table 2 was also repeated using a Cartesian-like PML formulation, where  $\Sigma_\varphi(R)=1$  was imposed in (IV.3), *i.e.* the effect of the cylindrical curvature was artificially suppressed.

As a first step the numerical tests tried to reproduce the analytical expectations from formula (II.14) as accurately as possible, without caring about their numerical cost. Both the main simulation domain and the PML were discretized using an unstructured mesh of quadratic Nedelec-type triangular finite elements, with typical size 1cm. The main simulation domain and the PML were meshed separately: no triangle does cross the interface between them. In simulation series #1, one finds 15 triangles over the length  $1/k_\perp$ . Up to 843474 elements were necessary to mesh the largest simulation domains, corresponding to 5908342 degrees of freedom. Calculations relied on the direct solver MUMPS.

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#	$f_0$ [MHz]	$k_z$ [m <sup>-1</sup> ]	$m$	$\epsilon_{\perp}$	$\epsilon_{\times}$	$S'$	$S''$	$p$	$R_1$ [m]	$\delta R$ [m]
1	50	0	0	40.0	0.0	-2.0	-1.0 +7.0	2	0.5	0.5
2	50	0	0	40.0	0.0	+2.0	3.0	2	0.5	0.05 1.2
3	50	0	0	10.0 810	0.0	+2.0	1.5	2	0.5	0.5
4	25.0 225.0	0	0	40.0	0.0	-4.0	1.5	2	0.5	0.5
5	50	0	0 19	40.0	0.0	+2.0	1.0	2	0.5	0.5
6	50	0	6	40.0	0.0	-4.0 +2.0	1.12	2	0.5	0.5
7	100	0.0 12.0	7	40.0	0.0	3.0	2.0	2	0.5	0.5
8	100	0.0	4	40.0	0.0	0.0	0.55	2	0.05 1.5	0.5
9	100	0.0	4	40.0	0.0	-6.39 +6.12	0.55	2	0.23	0.5
10	50	0.0	0	40.0	0.0	2.0	2.0	0 5	0.5	0.5
11	50	0.0	0	750.0	-735 0	2.0	1.5	2	0.5	0.5
12	50	30.0	0	1500	-672 0	2.0	1.5	2	0.5	0.5
13	50	0.0	3	750.0	-740 +740	2.0	1.5	2	0.5	0.5
14	50	30.0	4	1500	-680 +680	2.0	1.5	2	0.5	0.5

**Table 2:** Overview of parametric space explored over the simulations. Scanned parameters are highlighted in green. In series 1-11,  $\epsilon_{\parallel}=-10^5$  was used but should not play any role. In simulation series #12 and #14 highlighted in grey the TE polarization is only approximate. Series 12 was performed using  $\epsilon_{\parallel}=-10^6$  and  $\epsilon_{\parallel}=-10^7$ . Series 13 and 14 were performed with  $\epsilon_{\parallel}=-10^6$  and  $\epsilon_{\parallel}=-10^8$ .

In order to numerically assess the reflection of propagating cylindrical waves by the PML, the azimuthal average of  $H_Z(R, \varphi) \exp(im\varphi)$  was evaluated numerically from the 2D simulation output using the FEM matrices. The  $\varphi$ -averaged 1D results were then sampled every millimeter in  $R$  over the main simulation domain. This corresponds to 1000 radial points, with a spatial resolution  $\sim 10$  times finer than the typical finite element size. In simulation series #1, one finds 150 points over the length  $1/k_{\perp}$ . Using a least-square minimization procedure, the radial variation of this quantity over the main simulation domain was fitted with a linear combination of  $H_m^{(1)}(k_{\perp}R)$  and  $H_m^{(2)}(k_{\perp}R)$ , with respective complex weights  $H_{Zi0\_sim}$  and  $H_{Zr0\_sim}$ . In the argument of the Hankel functions, dispersion relations (III.6) or (III.7) were used to determine  $k_{\perp}$  from the input parameters. Finally the magnitude of the simulated amplitude ratio  $\eta_{sim}=H_{Zr0\_sim}/H_{Zi0\_sim}$  served as a figure of merit to quantify the PML reflection in the numerical tests. The fitting procedure implicitly assumes that only the TE mode with correct  $m$  is present in the simulation. In practice numerical noise is always superimposed to the ideal results, as well as the other eigenmode of the gyrotropic medium, especially in the cases where the TE polarization is only an approached input. Besides,

dispersion relation (III.7) is only approximate. All this introduces uncertainties in the numerical determination of  $\eta_{sim}$ .

### B. Comparison with analytical figure of merit.

Over the simulation database, Figures 5 compare the numerical reflection coefficient  $\eta_{sim}$  with theoretical expectation  $\eta_{theo}$  from formula (III.14). Direct comparison of  $|\eta_{sim}|$  with figures 2 is only possible for the simulations series #1-#12 with  $m\varepsilon_x=0$ . Quantity  $\eta_2$  should be used for this comparison. An important restriction to the allowed parametric space will be discussed on Figure 6 and is excluded here.  $|\eta_{sim}|$  values well above 1 could be reached, indicating that the reflected wave can be amplified by the PML instead of being attenuated. This situation is met when the imaginary part  $S''$  of the stretching is negative, like in the Cartesian case. For positive  $S''$ , this might also be the case for some values of  $X_{PML}$  in formula (III.15), a peculiarity of the cylindrical geometry producing the peaks on figures 2.  $\eta_{sim}$  agrees well with  $\eta_{theo}$  over eight orders of magnitude down to reflection levels of  $2 \times 10^{-6}$ , when the precision of the simulation gets limited by either the mesh size or the fitting procedure (see section IV-D). The relative difference between  $\eta_{sim}$  and  $\eta_{theo}$  roughly scales as  $1/\min(|\eta_{theo}|, |\eta_{theo}|^{-1})$ . This relative difference is significantly enhanced in simulation series #12 and #14 with  $k_z\varepsilon_x \neq 0$ . We speculate this is not due to the PML but because we used approximated boundary conditions for the quasi-TE polarization: while the simulation points with  $\varepsilon_r=-10^7$  or  $\varepsilon_r=-10^8$  appear in the ballpark of the other series on figure 5.b, the runs with  $\varepsilon_r=-10^6$  are well above.

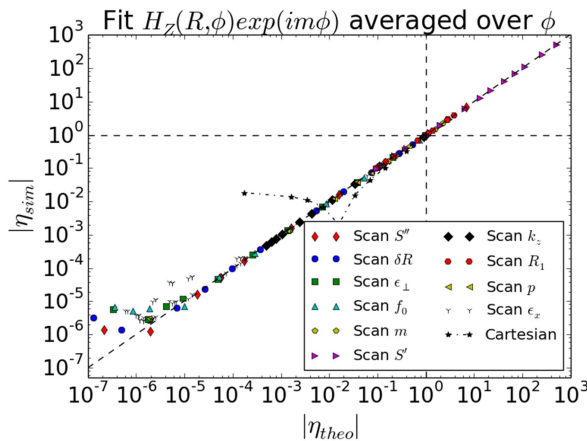


Figure 5.a): numerical amplitude reflection coefficient  $|\eta_{sim}|$  versus theoretical value  $|\eta_{theo}|$  expected from formula (III.14), over simulation series #1-#14 from table 2. Last series: same as series #1, using a Cartesian PML-like PML formulation, with  $\Sigma_\phi(R)=1$  artificially imposed in formula (IV.3)

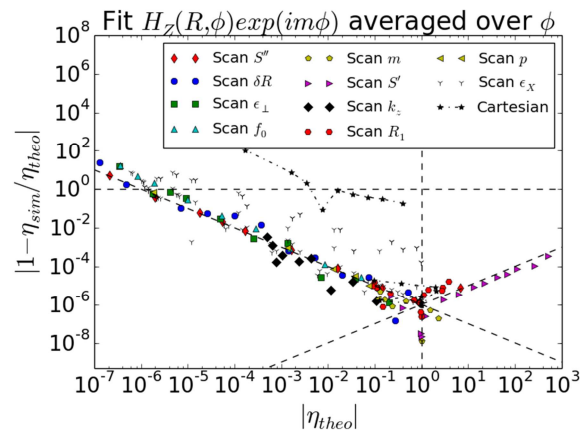


Figure 5.b): Same database as figure 5.a, relative difference  $|1 - \eta_{sim}/\eta_{theo}|$ , vs  $|\eta_{theo}|$  from formula (III.14). Tilted curves:  $y=10^{-6}/x$  and  $y=10^{-6}x$

Figures 5 also show a repeat of series #1 in table 2, using a Cartesian-like formulation of the PML. In this series  $|\eta_{sim}|=1$  for  $S''=0$ , as it should for energetic reasons. For some values of  $S''$ , the Cartesian-like PML behaves better than the cylindrical one. This is however observed over a limited window in parametric space, and it is hardly predictable in advance. For large  $S''$ , the simulated amplitude reflection coefficient reaches an asymptotic value above  $10^{-2}$ , while the cylindrical PML achieves  $|\eta_{sim}| < 10^{-5}$ . This illustrates the merits of the new PML formulation in curved coordinates.

### C. Peculiarities of the cylindrical PML

Figures 6 to 8 illustrate specific properties of the cylindrical geometry that have hardly any equivalent with Cartesian coordinates.

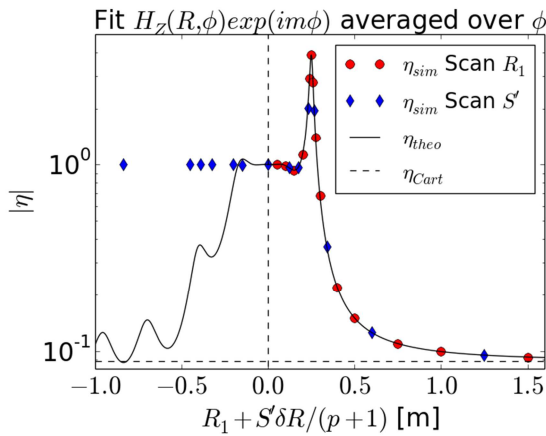


Figure 6: Simulated amplitude reflection coefficient  $|\eta_{sim}|$  vs  $Re(t_R(R_1))$ . Numerical scan of  $R_1$  with  $S'=0$ , scan of  $S'$  with  $R_1=0.23m$  and predictions  $|\eta_{theo}|$  from formula (III.14). Horizontal dashed line: amplitude reflection coefficient  $|\eta_{Cart}|$  from formula (III.14). Simulation series #8 and #9 from table 2.

stretching the real part of  $R$ , through a scan of  $S'$  at fixed  $R_1$ . From formula (III.15) the relevant parameter to plot the results is  $Re(t_R(R_1))=R_1+S'\delta R/(p+1)$ . Negative values of this parameter can be reached, while  $R_1$  remains positive. However Figure 6 shows that in these cases the PML fails to attenuate the incoming cylindrical wave, even when formula (III.14) predicts low  $|\eta_{theo}|$ . This behavior persists when the mesh is refined and cannot be ascribed to the discretization of problem (II.9). It may be linked with the crossing of a singular point of the coordinate system inside the PML. One should therefore avoid this parametric domain. The related simulation points were deliberately excluded from Figures 5.

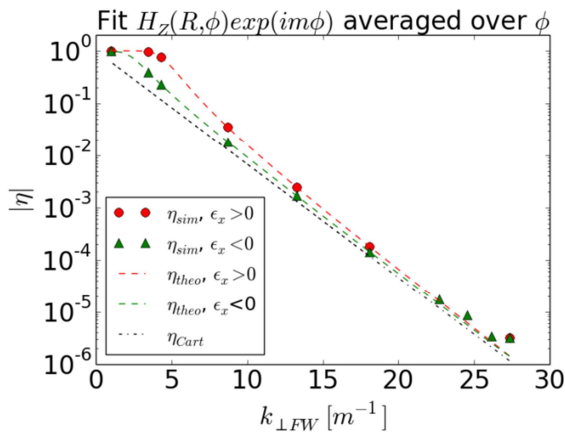


Figure 7: reflection coefficient over a scan of  $\epsilon_x$ , vs normal wavevector  $k_{\perp FW}$  from equation (III.8). Data points with positive and negative  $\epsilon_x$  are plotted with different symbols. Also shown are expressions  $|\eta_{theo}|$  from formula (III.14) and  $|\eta_{Cart}|$  from formula (III.16). Series #14 from table 2 with  $\epsilon_y=-10^8$

Figure 6 shows a scan of the radial position  $R_1$  for the inner PEC boundary of the simulation domain, with  $S'=0$ . Unlike expression  $|\eta_{Cart}|$  from (III.16), the cylindrical reflection coefficient  $|\eta_{theo}|$  from (III.14) depends on  $R_1$ . For given simulation parameters, a minimum value of  $R_1$  exists below which the PML becomes inefficient. The variation of  $|\eta_{sim}|$  with  $R_1$  is non-monotonic. This corresponds to the crossing of peaks in the 2D diagrams on Figures 2. The maximal value of the reflection coefficient can exceed 1. For large  $R_1$  the cylindrical curvature decreases at the PML location and  $|\eta_{sim}|$  reaches an asymptotic value corresponding to  $|\eta_{Cart}|$ .

Figure 6 also shows that an effect similar to the change of  $R_1$  is obtained by

Figure 7 plots the simulated reflection coefficients versus wavevector  $k_{\perp FW}$  from dispersion relation (III.8), over a scan of  $\epsilon_x$  with  $m \neq 0$  (series #14 of Table 2). As for plane waves in Cartesian coordinates, low levels of reflection are observed for large  $k_{\perp FW}$  while the PML loses efficiency for cylindrical waves propagating nearly parallel to the plasma/PML interface. However when  $m \neq 0$  cylindrical waves with positive and negative  $\epsilon_x$  exhibit different  $|\eta_{sim}|$  despite equal  $k_{\perp FW}$ . In the electrodynamics of magnetized plasmas, changing the sign of  $\epsilon_x$  amounts to reversing the magnetic field direction.  $|\eta_{sim}|$  values can differ by a factor of more than two. This peculiarity of gyrotropic media in cylindrical geometry was anticipated from

formula (III.14), and illustrates the role of parameter  $\varepsilon_x/(\varepsilon_\perp - n_z^2)$ . Largest ratios are obtained for medium values of  $k_{\perp FW}$ . For low  $k_{\perp FW}$ ,  $|\eta_{sim}|$  becomes 1 whatsoever. For large  $k_{\perp FW}$  the reflection coefficients converge to  $|\eta_{Cart}|$  from formula (III.16) that does not depend on the sign of  $\varepsilon_x$ . In all cases  $|\eta_{theo}|$  is larger than  $|\eta_{Cart}|$ .

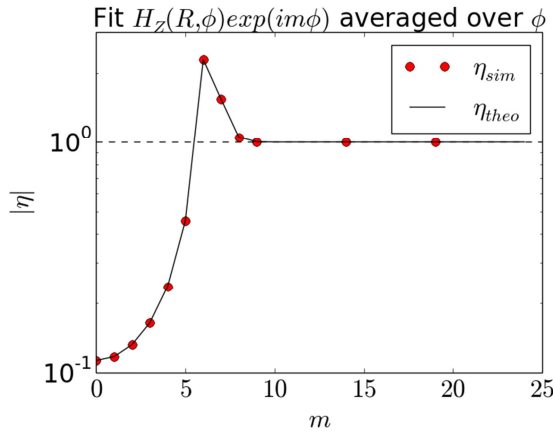


Figure 8: Numerical reflection coefficient  $\eta_{sim}$  and prediction  $\eta_{theo}$  from formula (III.14) vs azimuthal mode number  $m$ . Simulation series #5 from table 2.

#### D. Effect of finite element discretization and indications for PML tuning.

Formula (III.14) is valid in the continuous limit when the typical finite element size tends to zero. Yet a numerical computation always discretizes the simulation domain, which is expected to degrade the PML properties. The memory requirements and computation time of a 2D finite element simulation scale roughly as the inversed square of the typical element size. One therefore needs to find a compromise between these constraints and our initial request to keep the wave reflection low enough to play negligible role on the simulated phenomena. Using the simulation parameters of series #1 in table 2, this section investigates numerically the effect of finite element discretization on our test problem and provides some indications on how to find this compromise.

Figure 9.a plots  $|\eta_{sim}|$  versus  $Y_{PML}$  over a scan of  $S''$  similar to series #1 for various finite element sizes in the PML. Figure 9.b is similar to figure 5.b for the scans figure 9.a. The element size in the main simulation domain was kept at 5mm. For the simulation parameters of series #1,  $k_\perp = 6.63\text{m}^{-1}$ , i.e. in the main simulation domain one finds 30 elements over the length  $1/k_\perp = 15.1\text{cm}$ . For low values of  $S''$ ,  $|\eta_{sim}|$  decreases with increasing  $Y_{PML}$ , following the expectations from formula (III.14). Then  $|\eta_{sim}|$  reaches a minimal value above  $|\eta_{theo}|$ , and subsequently increases. As the mesh gets coarser, the saturation occurs for lower values of  $S''$ , and the minimal value of  $|\eta_{sim}|$  gets larger. Therefore we attribute the saturation to the discretization of the PML domain. Strangely enough the results slightly degrade when the mesh size in the PML is refined from 1 cm to 5 mm. We have probably reached limits due to either the discretization of the main domain or to the least-square fitting procedure to determine  $|\eta_{sim}|$ .  $2 \times 10^{-6}$  is the lowest amplitude reflection coefficient that can be reliably “measured” in our tests. A similar lower limit is also reached in figures 5.a, 7, 10 and 12.

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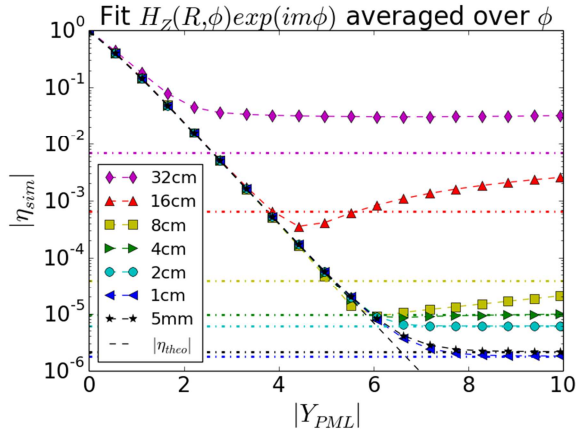


Figure 9.a: Numerical reflection coefficient  $\eta_{sim}$  vs  $Y_{PML}$  from formula (III.15), over a scan of  $S''$  with the parameters of series #1 in table 2, for several finite element sizes in the PML. Element size 5mm in main simulation domain. Colored dash-dotted lines:  $\eta_{sim}$  obtained with unbounded stretching function (IV.4),  $k_{\perp}\delta_0=1.5$  and similar mesh.

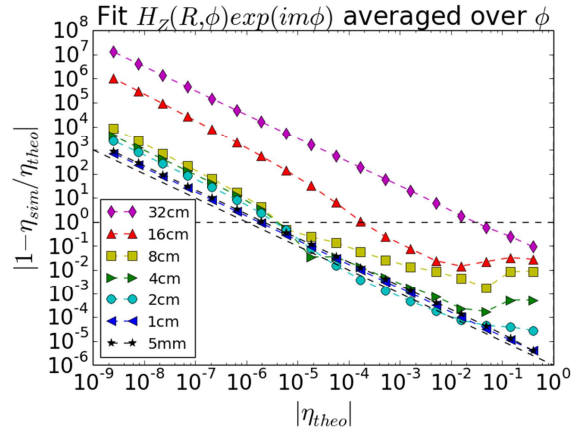


Figure 9.b: Same database as figure 9.a, relative difference  $|1 - \eta_{sim}/\eta_{theo}|$  vs  $|\eta_{theo}|$  from formula (III.14). Tilted curve:  $y=10^{-6}/x$

For a given computational cost, figure 9.a shows an ‘optimal’ value of  $S''$  such that the PML reflection is minimal in presence of discretization. Either this reflection is considered low enough or one should reduce it by refining the mesh, at the expense of larger computational cost. This optimization procedure, similar to the Cartesian case, is however non-exhaustive: one could also play with the order  $p$  in formula (IV.1) or optimize all the coefficients in a polynomial expression of  $S(R)$ . For the FDTD scheme in Cartesian coordinates, an example of more complete optimization was given in [Collino1998], as a function of the number of points over the PML depth and the number of points per wavelength. In the cylindrical case the PML reflection depends also on the stretched PML location (PML radius, real part of stretching function, PML depth) and the boundary conditions that can be tuned in many ways and also affect the numerical cost. When one looks for low reflection coefficients, formula (III.16) suggests that the PML behavior should be comparable to the Cartesian case in the continuous limit. But it tells nothing about discretization effects. Finally, for a realistic simulation, optimization should be undertaken not for one single cylindrical wave but for a relevant spectrum (*i.e.* many  $k_z$  and  $m$  simultaneously, see below, and possibly several wave polarizations). We therefore expect that the optimization outcome should be quite model-dependent.

Formula (III.16) suggested the merits of unbounded stretching functions such that  $Y_{PML}$  reaches infinity and  $|\eta_{sim}|$  is only limited by the numerical scheme. Following [Bermudez2007] and [Cimpeanu2015], we test below stretching functions of the form

$$S_R(R) = 1 - \frac{i\delta_0}{R-R_1}; R_1 < R < R_0 \quad (IV.4)$$

Where length  $\delta_0$  is a tunable parameter. This is not the only possible choice but it is considered as ‘optimal’ in Cartesian geometry [Cimpeanu2015]. The associated stretched radius is

$$t_R(R) = R + i\delta_0 \log\left(\frac{\delta R}{R-R_1}\right); R_1 < R < R_0 \quad (IV.5)$$

Over a scan of  $\delta_0$  figure 10 plots  $|\eta_{sim}|$  versus  $k_{\perp}\delta_0$  for various PML depths  $\delta R$  and fixed  $R_0=0.5m$ . For these simulations, the element size was 5mm both in the main simulation

domain and in the PML.  $\delta R=4\text{mm}$  is used to force the mesher to put one single layer of triangles over the PML depth. For low values of  $\delta_0$  the simulation behaves as in the absence of PML. For very large values of  $\delta_0$ ,  $|\eta_{\text{sim}}|$  is also close to 1, because the change of dielectric properties is too abrupt at the plasma/PML interface. When  $k_{\perp}\delta R \ll 1$ , studies in Cartesian geometry found a minimum of the PML reflection for  $k_{\perp}\delta_0=1$  [Cimpeanu2015]. This was explained since for this choice of the stretching function

$$\exp[k_{\perp}\text{Im}(t_R(R))] = \frac{R-R_1}{\delta R}; R_1 < R < R_0 \quad (\text{IV.6})$$

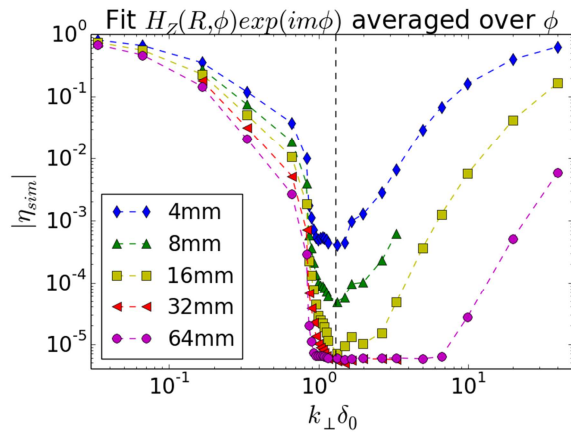


Figure 10: Numerical reflection coefficient  $\eta_{\text{sim}}$ , vs  $k_{\perp}\delta_0$ , for several PML depths  $\delta R$ . Vertical dashed line  $k_{\perp}\delta_0=1.3$ . Simulations using parameters of series #1 in table 2,  $R_0=0.5\text{m}$ , unbounded stretching function (IV.4) and scan of  $\delta_0$ . Element size 5mm over whole simulation domain.

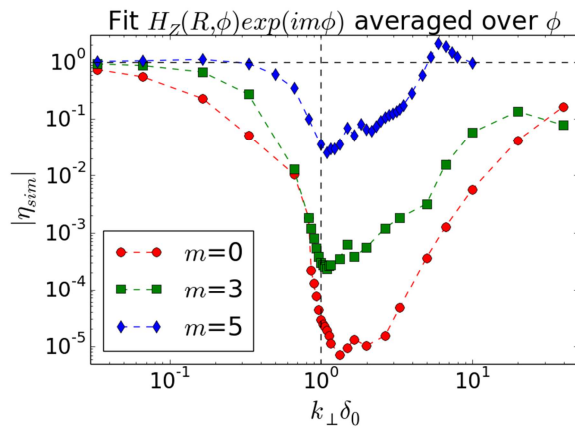
*i.e.* the field amplitudes for plane waves are expected to decay linearly over the PML depth, which is beneficial for the numerical accuracy [Cimpeanu2015]. Unfortunately these arguments do not apply in cylindrical geometry: cylindrical waves behave as  $H_m^{(1)}(z) \sim [2/(\pi z)]^{1/2} \exp[i(z-m\pi/2-\pi/4)]$  for large  $|z|$ , whereas plane waves vary as  $\exp[iz]$  for all  $z$ . In figure 10, one also finds a local minimum of  $|\eta_{\text{sim}}|$ , but in the region  $k_{\perp}\delta_0 \sim 1.3$ . As the PML depth increases, the minimal value of  $|\eta_{\text{sim}}|$  decreases and the range in  $k_{\perp}\delta_0$  with low reflection broadens. The computational cost of the PML scales roughly like  $\delta R$ .

Realistic simulations do not feature one single cylindrical wave, but a whole spectrum with possibly very disparate values of  $k_{\perp}$ . For example, with the dielectric tensor of series #1, depending on  $k_z$ ,  $k_{\perp}$  can take any real value between 0 and  $k_0\epsilon_{\perp}^{1/2}$ . Whatever its tuning the PML cannot behave well all over this possible  $k_{\perp}$  range: from figure 10, once  $\delta_0$  is fixed, one expects the PML properties to degrade for  $k_{\perp} < 1/\delta_0$ . Yet the relevant  $k_{\perp}$  values never go down to 0. Let us suppose that the relevant range is  $[\alpha k_0\epsilon_{\perp}^{1/2}, k_0\epsilon_{\perp}^{1/2}]$  with  $0 < \alpha < 1$ . A possible tuning is first to choose  $\delta_0 = 1/(\alpha k_0\epsilon_{\perp}^{1/2})$  and then choose the mesh size such that the low reflection persists up to  $k_{\perp}\delta_0 = 1/\alpha > 1$ . The lower  $\alpha$ , the higher the numerical cost.

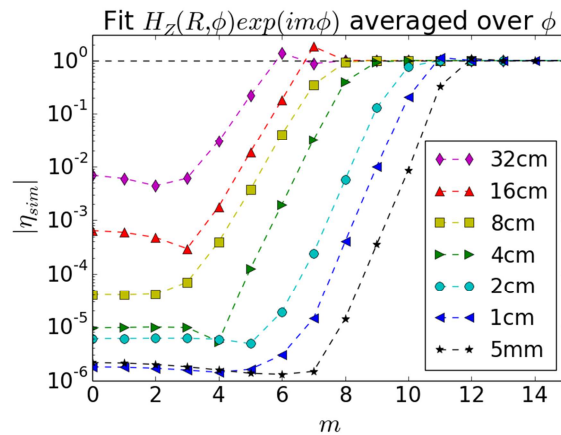
Similarly realistic simulations feature several azimuthal harmonics and the PML should behave well for all relevant values of  $m$ . Figure 11 repeats the scan of  $\delta_0$  in figure 10 for  $\delta R=16\text{mm}$  and several values of  $m$ . Figure 11 shows that a minimal reflection is found in the same range of  $k_{\perp}\delta_0$  for  $m=0, 3$  and  $5$ . However, as  $m$  increases at fixed computational cost, the minimal value of  $|\eta_{\text{sim}}|$  increases and the domain in  $\delta_0$  with low reflection gets smaller. For  $m=5$ , one can find values of  $\delta_0$  for which  $|\eta_{\text{sim}}| > 1$ , like with the bounded stretching functions. For  $k_{\perp}\delta_0=1.5$  and  $\delta R=50\text{cm}$ , figure 12 plots  $|\eta_{\text{sim}}|$  versus  $m$  for various mesh sizes in the PML. For comparison with parabolic stretching function,  $|\eta_{\text{sim}}|$  for  $m=0$  are reproduced as colored horizontal lines on figure 9.a. Depending on the mesh size, the reflection is larger or lower with stretching function (IV.4) than with the parabolic stretching function. In this sense neither of the stretching functions tested is fully optimal. On figure 12 like on figure 8, non-monotonic variations with  $m$  are observed, as well as  $|\eta_{\text{sim}}| > 1$ . At fixed PML parameters a critical value of  $m$  is evidenced above which the PML becomes inefficient. This critical value

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can be made arbitrarily high by refining the mesh, at the expense of higher computational cost.



**Figure 11:** Numerical reflection coefficient  $\eta_{sim}$  vs  $k_{\perp}\delta_0$ , for varying azimuthal number. Vertical dashed line  $k_{\perp}\delta_0=1$ . Simulations using parameters of series #1 in table 2,  $R_0=0.5m$ ,  $\delta R=16mm$ , unbounded stretching function (IV.4) and scan of  $\delta_0$ . Element size 5mm over whole simulation domain.



**Figure 12:** Numerical reflection coefficient  $\eta_{sim}$  vs azimuthal number  $m$ . Simulations using parameters of series #1 in table 2,  $\delta R=50cm$  and unbounded stretching function (IV.4) with  $k_{\perp}\delta_0=1.5$ . Element size 5mm in main simulation domain, various mesh size in the PML.

## V. Conclusions and prospects.

This paper implemented the stretched-coordinate PML technique from [Teixeira1998b] for time-harmonic EM wave propagation in gyrotropic media and in curved geometries relevant for magnetized plasma devices. Specific formulae were given in cylindrical and toroidal coordinates. Other sets of orthogonal coordinates could be treated similarly in the future, *e.g.* spherical coordinates for geophysical and astrophysical plasmas. Extension to transient EM pulse propagation would also be beneficial.

Stretching any system of coordinates does not necessarily ensure good PML properties in all cases. In cylindrical geometry the new formulation was assessed in a gyrotropic medium without losses, using an analytic reflection coefficient  $\eta_{theo}$  for propagative and evanescent cylindrical waves that play a role similar to the plane waves of Cartesian geometry. For simplicity this quantification was restricted here to radial PMLs and longitudinal anisotropy, in situations when only Transverse Electric (TE) modes of the medium play a role. PMLs in the longitudinal direction of our test problem behave like in Cartesian geometry. The exercise remains to be extended to PMLs in the azimuthal direction, azimuthal anisotropy, and/or more complex EM field polarizations, where incident and reflected waves from the two eigenmodes of the medium are coupled by the boundary conditions. In this latter case the reflection coefficient  $\eta_{theo}$  should be replaced with a  $2 \times 2$  reflection matrix whose norm (whatever its definition) should be minimized. The PML is expected to behave well in the continuous limit if all the relevant eigenmodes are sufficiently attenuated before reaching the innermost boundary of the simulation domain. Indeed the boundary conditions only play a minor role in this situation. Analytical quantification of cylindrical TE wave reflection was complemented by finite-element simulations, showing better behaviour for the new PML formulation compared a Cartesian-like one artificially applied in cylindrical geometry.

Peculiarities associated with both gyrotropy and cylindrical geometry were highlighted. For example in presence of non-diagonal tensor elements, the PML behaves differently for opposite azimuthal mode numbers  $m$ . In anisotropic media forward and backward waves can coexist with the same dielectric tensor. In cylindrical geometry, like in



Cartesian one, the proposed radial PML cannot be tuned to simultaneously attenuate forward and backward waves, a limitation inherent to the formulation. Reference [Bécache2017] explored ways to overcome this limitation, in uniaxial media and with Cartesian PMLs.

As far as possible the radial extent  $\delta R$  of the PML should be large, at the expense of larger simulation domains. The PML behaves better for large wavevectors  $k_{\perp}$  normal to the PML and exhibits limitations for cylindrical waves propagating nearly parallel to the plasma/PML interface. Combining the results for propagative and evanescent waves one can see that for given  $k_{\perp}\delta R$ , large positive values for  $S'$  and  $S''$  provide a better behaviour for the radial PML in the continuous limit. Similar results were obtained in Cartesian geometry for  $S''$  with propagative waves and for  $S'$  with evanescent waves [Jacquot2013]. The counterpart is a larger radial variation of the dielectric properties of the adapted material and associated discretization errors. At given computational cost an optimal value of  $S''$  was identified that ensures a minimal reflection, taking into account discretization errors. The merits of unbounded stretching functions were also highlighted. The PML reflection is then only limited by discretization errors and can be reduced arbitrarily by refining the mesh, at the expense of higher numerical cost.

Contrary to Cartesian PMLs, the real part of the radial coordinate stretch affects the reflection of propagative waves. This was interpreted as an artificial displacement of the radial location  $R_1$  for the innermost PEC boundary towards regions of different cylindrical curvature. In practical applications, the geometry of the simulation domain often constrains the value of  $R_1$ . Stretching  $R_1$  using  $S'$  can therefore be used to attenuate potential adverse effects of the local curvature, at the expense of refined mesh inside the PML. This method is also beneficial to better attenuate the evanescent waves, like in the Cartesian case. In numerical simulations, the PML loses efficiency when the real part of the stretched radius becomes negative. This behaviour was not predicted by the analytical figure of merit  $\eta_{theo}$ . This may be related with the crossing of a singular point of the coordinate system inside the PML domain.

For given plasma and fixed settings of the PML, a critical azimuthal mode number  $m$  always exists above which the PML loses efficiency. Such upper limit was evidenced with both the bounded and unbounded stretching functions that we tested. The critical  $m$  value can be made arbitrarily high by increasing the real or imaginary stretching, so that all  $m$  values relevant for a realistic simulation behave correctly. The associated numerical cost in terms of refined radial discretization depends on the requirements about the azimuthal resolution.

So far we have not evidenced a ‘fully optimal’ PML for EM wave propagation in cylindrical gyrotropic media. This ‘fully optimal’ PML is likely model-dependant.

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