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Abstract: Automatic human action annotation is a challenging problem, which overlaps with many computer vision fields such as video-surveillance, human-computer interaction or video mining. In this work, we offer a skeleton based algorithm to classify segmented human-action sequences. Our contribution is twofold. First, we offer and evaluate different trajectory descriptors on skeleton datasets. Six short term trajectory features based on position, speed or acceleration are first introduced. The last descriptor is the most original since it extends the well-known bag-of-words approach to the bag-of-gestures ones for 3D position of articulations. All these descriptors are evaluated on two public databases with state-of-the-art machine learning algorithms. The second contribution is to measure the influence of missing data on algorithms based on skeleton. Indeed skeleton extraction algorithms commonly fail on real sequences, with side or back views and very complex postures. Thus on these real data, we offer to compare recognition methods based on image and those based on skeleton with many missing data.

1 INTRODUCTION

Human activity recognition is becoming a major research topic (see (Aggarwal and Ryoo, 2011) for a review). The ability to recognize human activities would enable the development of several applications. One is intelligent video surveillance in a medical context to monitor, at home, people with a limited autonomy (elderly or disabled person). Such systems could detect, in a non-invasive way, events affecting people safety such as falls or fainting and warn automatically the medical assistance. Human activity recognition could also lead to the construction of gesture-based human computer interface and vision-based intelligent environment.

During the last decade, the analyse of natural and unconstrained videos has known many improvements, such as (Laptev et al., 2008; Liu et al., 2009; Rodriguez et al., 2008). These improvements were driven by recent progresses in object recognition in static image. In this field, most of the state-of-the-art approaches are based on the standard bag-of-words pipeline (Sivic and Zisserman, 2003) that couples low-level features like (Heikkilä et al., 2009; Lazebnik et al., 2005; Lowe, 1999) with semantic understanding.

Besides, some works taking place in a video-surveillance setting, with a constrained environment, explore the characterization of human activities using 3D features (Li et al., 2010; Ni et al., 2011), or, middle-level information related to person poses. 3D features based methods mainly involve bag-of-words pipeline and extends low-level features by adding 3D information provided by depth-map.

Skeleton based methods rely on the extraction of the body-part positions in each frame of a video or motion capture sequence. Despite having a suitable accuracy when based on markers like in pioneer works (Campbell and Bobick, 1995), focused on specific body parts in (Just et al., 2004) or being adapted to dedicated applications such as human sign language recognition (Bashir et al., 2007), skeleton based methods were first not suited to the recognition of various natural actions. But, recent devices like low-cost accelerometers (Parsa et al., 2004) or KINECT allow the use of skeleton information to characterize general activities.

In this work, we take advantage of these recent
progresses and present an algorithm for human-action sequence recognition. This algorithm is based on skeleton data, and on trajectory descriptors. Trajectory of each skeleton articulation is associated with a descriptor. This descriptor is invariant to rotations (toward the vertical axis) and translations of the body, and, captures both trajectory short-term information (throw an extension to 3D of (Ballas et al., 2011) state-of-the-art descriptors) and trajectory middle-term information (throw elementary gesture recognition). These descriptors (one for each skeleton articulation) form the sequence signatures which are analysed by a Multiple-Kernel Learning (MKL).

In addition, we study the effect of missing skeleton on our pipeline: despite large academic efforts to deal with general pose estimation (Baak et al., 2011; Girshick et al., 2011; Shotton et al., 2011), local failures in skeleton extraction are common in real-life color-depth-videos (RGBD-video). Hence, we extend works of (Yao et al., 2011) where effects of noise on joint positions on detection results is studied, by focusing on our classification results according to the degree of failures in skeleton extraction on the daily-life sequences provided in the RGBD-HuDaAct dataset (figure 1).

In this paper, related works are first reviewed in section 2. Studied trajectory descriptors are presented in section 3 and tested in section 4 on public datasets (figure 2) where complete skeleton data are provided (as part of the dataset). Then, impact of non-artificial failures in skeleton extraction on the classification performance of our algorithm is presented in section 5.
3 SKELETON DATA BASED SEQUENCE SIGNATURE

The computation of our sequence-signature based on skeleton data is divided into several steps. First, trajectories are normalized in order to be invariant according to rotation around the vertical axis and translations. Then, one descriptor capturing the trajectory information is computed for each articulation. These descriptors handle intermittent data to manage cases where skeleton extraction failed. Finally, this set of trajectory descriptors forms the final sequence signature.

3.1 Pose Extraction and Normalization

In order to be independent of the skeleton data across datasets and existing systems (such as NITE), we choose to use only trajectories of feet, knees, hips, shoulders, head, elbows and hands articulations as input trajectories.

In these trajectories, the 3D coordinates of the skeleton articulations are expressed in a system of coordinates which is linked to the camera position. To recognize activity under various viewpoints, a normalization scheme has to be applied resulting in articulation coordinates invariant to geometric transformations. For instance, translation, and rotation invariance is achieved in (Raptis et al., 2011; Yao et al., 2011). In (Yao et al., 2011), skeleton information is reduced to a set of features based on distances between articulations. In (Raptis et al., 2011), the skeleton articulation coordinates are expressed in a new system of coordinates defined by the principal axis of torso points. However, with complete rotation invariance, some activities such as lie-down and standing become indistinguishable. To ensure the discriminative power of our final descriptor, we choose to be invariant only toward skeleton rotations around the vertical-axis and to skeleton global translations. To reach this invariance, articulation coordinates are expressed in a new system of coordinates (\(O, u_x, u_y, u_z\)) where the origin is the center of the shoulders, \(u_z\) is an estimation of the vertical vector (built using the video vertical vector), \(u_x\) is the orthogonal projection of the vector connecting the left shoulder to the right shoulder on the horizontal plane determined by \(u_z\), and \(u_y\) is set so that \((u_x, u_y, u_z)\) defines an orthonormal system (figure 3).

Using these new coordinates, we define 6 short-term descriptors which model one frame information and a middle-term descriptor which models elementary gestures.

Mixture Model

All these approaches rely on numerous salient patches with low semantic meaning to construct the video signature. However, it has been noticed by the well-known moving light experiment (Johansson, 1973) that a human is able to recognize a human action only from the set of skeleton articulations. This experiment, coupled with the recent success in skeleton extraction based on depth map provided by active captor, invites to explore the idea of human action analysis based on skeleton data.

Previous works have explored human skeleton-based features for human action recognition. In (Yao et al., 2011), distances between skeleton articulation are used as weak features and Hough-forests framework as detector. They prove that Hough-forests using both skeleton and image features achieve better performance than forests using only image features.

In (Raptis et al., 2011), a system based on skeleton pose estimation is presented. The system considers 120 frames-long skeleton trajectories and applies the maximum normalized cross correlation framework to recognize dance gestures. In (Sung et al., 2011) a two-layered maximum entropy Markov model (MEMM) is built on pose based features, while in (Tenorth et al., 2009), pose based features are considered in a conditional random field (CFR) context. Finally in (Barnachon et al., 2012), activities are represented as words on a gesture alphabet, this allows to use an automaton to recognize activity languages. Those previous works have proven the relevancy of the skeleton-based features for human activity recognition.

In this work, we apply trajectory descriptors to skeleton data to form sequence signatures, invariant both in rotation and translation. These signatures are then used to classify sequences throw a machine learning algorithm. The offered algorithm is tested on both CUHA dataset (Sung et al., 2011) and TUM dataset (Tenorth et al., 2009).

In addition, we study the impact of skeleton extraction failure on our classification results. In every previous works on human action recognition based on skeleton data, skeleton extraction failure is rarely considered. In (Yao et al., 2011), the correct-detection rate is evaluated when adding noise on joint positions. The offered algorithm provides a Gaussian noise robustness on detection performance up to a standard deviation of 0.27m. Following this work, we present the performances of our system when skeleton extraction is only intermittent as frequently observed in natural scenes.
3.2 Short-term Descriptors

Short-term modelling focuses on capturing the distribution of instantaneous information in the sequence represented as \( \{v_1, \ldots, v_I\} \): \( v_i \ (i \in \{1, \ldots, I\} \) where \( I \) is the length of the sequence) is a vector of position, velocity (difference of position between two consecutive frames) or acceleration (difference of velocity between two consecutive frames). To achieve scale invariance, vectors are normalized according to the trajectory maximum vector magnitude \( \max_{i=1,I} \|v_i\|_2 \). Then, the vectors \( v_i \) are quantified to estimate their distributions. We propose a 3D extension of the quantification from (Sun et al., 2009) where polar grid is used to quantize both vector direction and vector magnitude. As final classification performances are empirically stable relatively to this quantification, we introduce the least change from (Sun et al., 2009) quantification. Hence, we use spherical coordinates with 3 equal bins for vector magnitude and respectively 2 and 4 uniform bins for inclination and polar angles. An additional bin is added to represent the null vector resulting in a 25 bins quantification as in (Sun et al., 2009).

Two kinds of models are considered for the trajectories. First, a simple histogram is estimated resulting in a 25 dimensional vector.

As an histogram is an orderless representation, it does not take into account the temporal relation between the elementary vectors. To complete the previous representation, we also consider the Markov Stationary Features (Ni et al., 2009; Sun et al., 2009) that enforces the temporal consistency by considering the temporal co-occurrence statistics. The stochastic matrix counting the co-occurrences of successive bins is computed. As this matrix belongs to a high dimensional space \((25 \times 25)\), it can not be directly used as descriptor and the stationary distribution associated to the Markov process (Breiman, 1992) is used instead. Both representations are \( L_1 \) normalized in order to be invariant to the action duration.

It results in 6 different descriptors for each articulation: Short Term Position, Short Term Motion, Short Term Acceleration, Short Term Markov Position, Short Term Markov Motion, Short Term Markov Acceleration. In addition to these descriptors, a descriptor based on middle-term modelling is also introduced.

3.3 Gesture based Descriptor

An activity can be described as a succession of elementary gestures, defined by several frames of the sequence. Contrary to (Barnachon et al., 2012) where a rough segmentation is performed based on trajectory discontinuities to extract non-overlapping gestures, we choose to adopt a dense and overlapping approach to be independent from ad-hoc gesture-segmentation algorithm, and, to ensure that all discriminative gestures are extracted (figure 4.a). We consider each window (with different allowed sizes) of each trajectory as a gesture. In this work, the set of allowed sizes is \( \{0.2, 0.4, 0.6, 0.8\} \) second. Hence, let \( \{v_1, \ldots, v_I\} \) be the sequence of positions measured at frequency \( f \), then for all size \( s \in \{0.2, 0.4, 0.6, 0.8\} \) and for all offset \( i \in \{1, \ldots, I - sf\} \) the sub-trajectory \( v_i, v_{i+1}, \ldots, v_{i+sf} \) is a gesture (when skeleton is available in all frames of the window).

A bag-of-words model (Sivic and Zisserman, 2003) is then used to capture the gesture distribution contained in a trajectory. A vocabulary of gestures is learned through an unsupervised clustering performed by the K-means algorithm (figure 4.b). K-means is done for each gesture size and articulation separately. Then, each articulation trajectory is described with the corresponding histogram (figure 4.c) which forms our bag-of-gestures descriptor (BOG).

3.4 Classification based Multiple Kernel Learning

Our sequence signatures are composed by 13 trajectory descriptors - one for each skeleton articulation. To exploit this multiple channels representation, a Multiple-Kernels support machine is used along with a \( \chi^2 \) kernel. The \( \chi^2 \) distance between two vectors \( u, v \) of size \( N \) is given by

\[
D_N(u, v) = \frac{1}{2} \sum_{n=1}^{N} \frac{(u_n - v_n)^2}{u_n + v_n}
\]

Let \( A \) and \( B \) be two sequence signatures and let \( H \) be...
Figure 4: Bag-of-gesture descriptor for a trajectory.

The size of the corresponding trajectory descriptor, then the kernel value for $A, B$ is

$$K(A, B) = \exp(-\sum_{s=1}^{13} \beta_s D_H(A_s, B_s))$$

where $\beta_s$ is the weight associated to the channel $s$ (a specific articulation here).

Optimal $\beta_s$ are automatically determined using training samples through MKL implemented by SHOGUN library (Sonnenburg et al., 2010).

4 EVALUATION ON TUM AND CUHA DATASETS

We evaluate all introduced trajectory-based sequences signatures on two public datasets using the same MKL algorithm for classification. In addition, we also evaluate combination of trajectory descriptors.

4.1 Datasets

The CUHA dataset (for Cornell University Human Activity) is presented in (Sung et al., 2011). It deals with 14 classes of daily-life activities performed by four people (figure 2). It contains 68 sequences around 30 seconds each. The evaluation process consists in a leave-one-subject-out cross validation in precision-recall terms.

The TUM dataset is presented in (Tenorth et al., 2009). It deals with 10 action classes occurring when setting a table (figure 2). It contains 19 realistic sequences around 2 minutes each, performed by 5 people. Evaluation from (Yao et al., 2011) consists to split data between training and testing, and to output result in correct-classification rate term. In this dataset, each frame is associated to one action label. As our system expects segmented data (contrary to those from (Tenorth et al., 2009; Yao et al., 2011)), we split each sequence each time the action label changes. this gives around 1000 sub-sequences with homogeneous label which are used as input.

4.2 Results

The evaluation of our trajectory signatures are presented in table 1 (in percentage). These results are completed by the results of 3 well-known methods of the literature:

- The first one (MEMM) proposed by (Sung et al., 2011) uses a two-layered maximum entropy Markov Model on pose-based features.
- The second one (Yao et al., 2010; Yao et al., 2011) uses the Hough forests to classify actions associated to weak classifiers based on distances between skeleton or visual feature.
- Finally, a Dynamic Time Wrapping (DTW) algorithm combined with the nearest neighbour classification approach (Fengjun et al., 2005; Müller and Röder, 2006) has also been evaluated on these datasets.

Only the four best short-term descriptors are presented (Short Term Position, Short Term Motion, Short Term Acceleration, Short Term Markov Motion). The number of centroids for K-means algorithm which provides the best trade-off on all experiments is 60 for BOG. As we do not see any improvement by using multiple windows in the BOG descriptor, we use only 0.4 second windows for gesture extraction.

One result of this experiment is that the introduced descriptors provide complementary results depending on the databases. Short Term Position has the best performances among other Short Term descriptors on the CUHA dataset which deals with several static actions (standing still, relaxing on a couch) whereas Short Term Motion performs better on the TUM dataset, which contains dynamic actions (opening a door, closing a door). Bag-of-gestures (BOG),
**Table 1: Results on CUHA and TUM datasets.**

<table>
<thead>
<tr>
<th>Method</th>
<th>CUHA Dataset</th>
<th>TUM Dataset</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Precision</td>
<td>Recall</td>
</tr>
<tr>
<td>MEMM (Sung et al., 2011)</td>
<td>69</td>
<td>57.3</td>
</tr>
<tr>
<td>Hough forest based visual features (Yao et al., 2010)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hough forest based skeleton features (Yao et al., 2011)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DTW baseline</td>
<td>83.7</td>
<td>74.5</td>
</tr>
<tr>
<td>Short Term Position</td>
<td>80.2</td>
<td>86.3</td>
</tr>
<tr>
<td>Short Term Motion</td>
<td>72.2</td>
<td>75.6</td>
</tr>
<tr>
<td>Short Term Acceleration</td>
<td>67.9</td>
<td>74.2</td>
</tr>
<tr>
<td>Short Term Markov</td>
<td>79.4</td>
<td>84.5</td>
</tr>
<tr>
<td>Bag-of-Gestures</td>
<td>90.1</td>
<td>84.5</td>
</tr>
<tr>
<td>Short Term Combination</td>
<td>70.5</td>
<td>71.4</td>
</tr>
</tbody>
</table>

which combines pose and movement on a middle-term duration, has better results than any individual short term descriptor on CUHA and TUM datasets. It can also be noted that both short term descriptors and bag-of-gestures lead to results similar or better than those of the literature on the two databases.

The size of short-term descriptor and bag-of-gesture descriptor are really different since the first vector size is $25 \times 13 = 325$ while the second one is $60 \times 13 = 780$. Moreover, as the best short-term descriptor depends on the database, we propose to combine these short-term descriptors into a single one with size $325 \times 4 = 1300$. As shown in Figure 1, this new descriptor called short-term combination leads to better results on the TUM database and is less effective on the CUHA database. As its size is much larger, a good compromise is to use the bag-of-gesture descriptor which leads to better results than the state-of-the-art methods, regardless of the test database.

As it has been noticed by previous work, skeleton based approaches provided high performances. In this work, we show that on datasets where skeleton is provided (CUHA, TUM), our approach leads to high results and outperforms the state-of-the-art. However if skeleton based approaches seem to be robust, one may wonder what happens on real sequences where the skeleton is obtained only intermittently.

Indeed, some algorithms such as DTW combined with the nearest neighbour classification framework which relies directly on comparison between skeleton articulations positions can not deal with missing observation. In the last section, we describe the behaviour of our algorithm on intermittent data. More precisely, we show that the BOG descriptor is flexible enough to adapt to missing data and provides results comparable to state-of-the-art ones.

## 5 BEHAVIOUR OF THE METHOD ON INTERMITTENT DATA

In order to evaluate the performance of our system in presence of missing skeleton data, incomplete sequences could be generated from complete ones by removing data. However real-life failure can be correlated with specific part of the action and in the worst cases, with the most discriminative parts of the action. Hence, we decide to study the performance of our system on real intermittent data instead of artificial ones. In that purpose, we use the NITE software suite to extract skeleton from RGBD-HuDaAct dataset. As this database is not designed for skeleton extraction, some problems occur with side or back views of people, or when the subject has very specific postures that does not allow skeleton extraction. This provides incomplete sequences, and, we evaluate our algorithm according to various percentages of missing data.

### 5.1 Dataset

The RGBD-HuDaAct dataset is presented in (Ni et al., 2011). It deals with 12 classes (+ one random class) of daily-life activities performed by 30 people. It is composed by 1189 RGBD-video sequences, around 1 minute each. Contrary to other datasets like (Tenorth et al., 2009; Sung et al., 2011), RGBD-HuDaAct is not designed for skeleton extraction. In TUM dataset (Tenorth et al., 2009), skeleton stream is provided by a body-part tracker which is manually helped when tracking failures occur. In CUHA dataset (Sung et al., 2011), skeleton stream is provided by the general public NITE software suite, but there is no skeleton extraction failures, maybe be-
cause actions are fronto-parallel to the camera and relatively constrained. In RGBD-HuDaAct, sequences seem more natural (actions are not fronto-parallel to the camera...) and skeleton extraction based on NITE software suite suffers from many failures.

NITE software provides for each skeleton articulation, a boolean describing if the position is considered as reliable by the system. Hence, we consider that the skeleton is correctly estimated in a frame, if and only if, one skeleton only is extracted from the frame and for all body-parts of interest (feet, knees, hips, shoulders, head, elbows and hands) the corresponding booleans are true.

In order to measure the intensity of failures in skeleton extraction, the ratio between the number of frames where skeleton is correctly estimated and the total number of frames is computed for each sequence of the dataset. This ratio expressed in percentage is called, in this work, SER for Skeleton Extraction Ratio, and is used as a measure of the sequence validity.

The histogram corresponding to the number of sequences versus SER is presented in Figure 5.

We can already notice that for more than ten percents of the sequences, the skeleton is never extracted during the entire sequence.

Figure 6 shows the average SER for each action of the database. It varies between 51.3% for stand up (T) and 82.3% for put on jacket (D). It can be noticed that the sequences where the skeleton is not well extracted do not rely on the performed actions.

The large range of SER presented in Figure 5 allows us to evaluate our action classification system with different levels of skeleton extraction failures. For this purpose, we extract all sequences with a SER greater than \( \lambda \% \) (where \( \lambda \) is an integer varying from 0 to 100) and evaluate our algorithm on this subset using the leave-one-subject-out scheme as in (Ni et al., 2011).

5.2 Classification

As the number of sequences vary with the level of SER, we have to deal sometimes with classes having a small number of sequences. Hence, we use a multiple-C-SVM framework (as suggested in (He and Ghodsi, 2010)) instead of MKL to perform classification.

Basically, in binary C-SVM, data are mapped to point in some vector space and a plane is designed to minimize the number of misclassification between
positive and negative points. But, it has been empha-
sized in (He and Ghodsi, 2010) that SVM classifiers
efficiency decreases when positive and negative mis-
classification rates (number of misclassifications over
number of points) are too different, which is common
when data are not balanced. Hence, in binary 2C-
SVM, a plane is designed to minimize both the num-
ber of misclassifications and the difference between
positive and negative misclassification rates.

In practice, this is performed by dividing the mis-
classification cost of a point by the number of points
of the corresponding class. The standard multiple-
classes classifier built on the poll of one-vs-one bi-
ary 2C-SVM is then used to perform classification
(Hsu and Lin, 2002). Linear-SVM implementation is
provided by LIBSVM (Chang and Lin, 2011).

5.3 Results on Intermittent Data

The evolution of the correct-classification rate versus
\( \lambda \) (minimal SER allowed) is presented in figure 7. We
do not evaluate the system for \( \lambda \) greater than 88 as
only 10% of sequences from RGBD-HuDaAct have a
SER greater than 88%. The correct-classification rate
is low both when there are too few data (\( \lambda \) close to 88)
or when data contains some heavily corrupted sam-
pled sequences (\( \lambda \) close to 0). However, for \( \lambda = 69 \)
(corresponding to 442 sequences) a good compromise is found be-
tween the number of sequences and their quality: the
algorithm has 82.41% of correct-classification rate.

In order to link these results to the state-of-the-art,
let us remind that the best known results (Ni et al.,
2011) on this dataset is a correct-classification rate of
81.5% on 59% of all sequences (655 sequences ran-
domly sampled). Two multi-modal strategies, com-
bining color and depth information, have been de-
developed: spatio-temporal interest points (STIPs) and
motion history images (MHIs). These two methods
do not use skeleton and thus are not sensitive to the
failure problems during skeleton extraction. It is not
easy to predict their results on the complete dataset,
or, on the 442 sequences leading to our 82.41% of
correct-classification rate. Moreover, as the 655 used
sequences were randomly selected, it is not possible
to build their testing database in order to compare
both results. However, our algorithm is competitive
with their method as the recognition rates are simi-
lar. Hence, we can conclude that skeleton based ap-
proaches like our algorithm provide state-of-the-art
results even on intermittent data and thus can be used
for action recognition in real-life.

6 CONCLUSIONS

In this paper, we evaluate 7 trajectory descriptors in
context of human action recognition based on skele-
ton trajectory. We first presented 6 short term trajec-
tories descriptors based on position, speed or accel-
eration. The last descriptor is more original since it
extends the well-known bag-of-words approach to the
bag-of-gestures ones, defined only on 3D position of
articulations. To our knowledge, this is the first time
that bag-of-gestures are defined using 3D points.

Performances of each descriptor and combina-
tion of them associated with a same MKL classifier
have been evaluated on the public CUHA and TUM
datasets, for which skeleton stream is provided. The main result is that the descriptor based on bag-of-gestures outperforms three very recent methods of the state-of-the-art on the two databases: 88.9% of $F_{0.5}$ measure on CUHA database and 84.5% of correct classification on TUM dataset.

We also study the proposed algorithm on a more difficult database not designed to extract skeleton: the public RGBD-HuDaAct database. During the skeleton estimation performed with NITE software, some problems occurred with side or back views of people, or when the person has very specific postures that do not allow skeleton extraction. Even if the RGBD-HuDaAct database is really challenging to perform action recognition based on skeletons, it has been considered here to represent some conditions of video surveillance system at home. The main result of these tests is that even in these conditions, and with a significant amount of missing data, our descriptor achieves the state-of-the-art performance of 82% of recognition rate. To our knowledge, it is the first time that failures in skeleton extraction are considered during action recognition.

In future works, we will continue to explore the links between high-level semantic gestures and elementary gestures and design a framework to learn middle-semantic gestures which are the most relevant for human action recognition. Such framework will allow us to recognize an action from a small number of middle-semantic gestures whereas the algorithm presented in this work recognizes actions from the set of all gestures. Hence, such framework is likely to both speed up and increase accuracy of the system. In addition, we will extend current bag-of-gestures descriptor by taking into account the co-occurrence relation of different articulations and co-occurrence relation of pairs of successive gestures of a given articulation.

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