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## X-ray microscopic investigation of Molecular Orientation in a Hole Carrier Thin Film for Organic Solar cells

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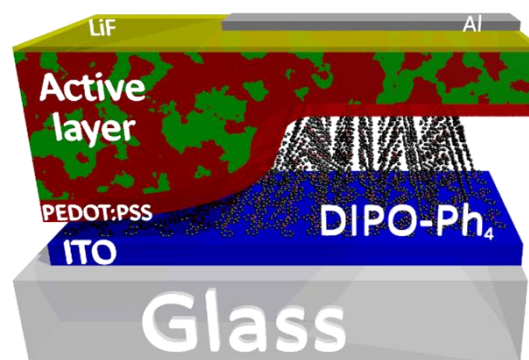
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In the present article, we investigate the morphology of an organic layer (2,2',6,6'-tetraphenyl-4,4'-dipyrylidene, DIPO-Ph<sub>4</sub>) deposited under vacuum on a silicon nitride (Si<sub>3</sub>N<sub>4</sub>) substrate. The films were characterized by Atomic Force Microscopy (AFM) and Scanning Transmission X-Ray Microscopy (STXM) to gain an insight into the material growth.

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# X-ray microscopic investigation of Molecular Orientation in a Hole Carrier Thin Film for Organic Solar cells

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## ABSTRACT

Because dipyranylidenes are excellent hole carriers, their application in organic solar cells or organic light emitting diode is envisaged. In the present article, we investigate the morphology of 2,2',6,6'-tetraphenyl-4,4'-dipyranylidene (DIPO-Ph<sub>4</sub>) deposited under vacuum on a silicon nitride (Si<sub>3</sub>N<sub>4</sub>) substrate, a paradigmatic system for the study of molecular crystal/inorganic substrate interfaces. Samples with various coating ratios and different thermal treatments were prepared. The films were characterized by Atomic Force Microscopy (AFM) and Scanning Transmission X-Ray Microscopy (STXM) to gain an insight into the material growth. Results show a change of orientation at a molecular level depending upon the evaporation conditions. We are now able to tailor organic layer with a specific molecular orientation and a specific electronic behavior.

## KEYWORDS

Organic solar cells; Scanning Transmission X-ray Microscopy; Molecular orientation

## Introduction

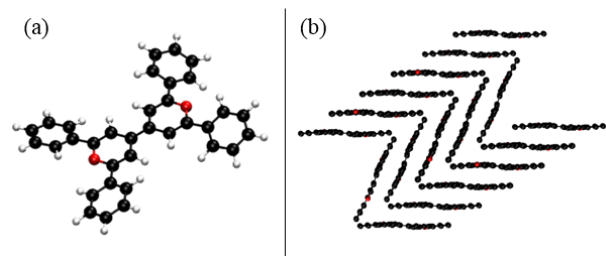
Organic electronic devices are becoming increasingly commercially viable due to their continuously improving efficiency in the recent years and the tremendous amount of research targeted in maintaining relatively high efficiencies along with their low cost of production and technological ease of transfer. [1,2] The recently recorded high efficiencies of these devices [3–7] are still well below the

theoretical maximum efficiencies. [8] It is now well known that to improve the performance of these devices it is imperative to have a thorough understanding and complete control on the various aspects of the complex morphological architecture of these devices. Generally, these electronic devices are fabricated in a stack-like geometry of *p*-type and *n*-type semiconductors, either in the form of bilayers or blends sandwiched between charge transporting electrodes. These electrodes are primarily a

transparent indium tin oxide (ITO) film that acts as a hole collector and an evaporated aluminum metal that acts as an electron collector. To improve the durability and the efficiency of the organic photovoltaics (OPV), interfacial layers (IFLs) are used to bridge the electronic and morphological gap between the electrode and the active layer. The IFL at the anode is made of organic materials (polymers, small molecules), of graphene oxide [9] or even of inorganic material like NiO. [10] It is systematically deposited between the indium tin oxide (ITO) electrode and the standard photovoltaic active layers (often a P3HT:PCBM blend). [11] The most widely used IFL is the PEDOT:PSS polymer because of its high conductivity and easy processability, but its high acidity can lead to ITO deterioration. [11] To circumvent this difficulty some of the present authors have explored the benefit of depositing a *p*-type molecular solid of the DIP family (2,2',6,6'-tetraphenyl-4,4'-dithiapyranylidene, DIPS-Ph<sub>4</sub>) on ITO to finally form an ITO/DIPS-Ph<sub>4</sub>/P3HT:PCBM/LiF/Al cell in which the short-circuit density ( $J_{sc}$ ) [12] is efficiently increased. Current sensing contact mode atomic force microscopy (CS-AFM) shows that there is a considerable increase in the total number of hole-carrying pathways with respect to a PEDOT:PSS IFL. [12]

Besides the favorable alignment of energy levels of the molecular solid with the ITO band structure, the increase in the hole-collection efficiency is also related to the interfacial morphological aspects. Charge conduction goes through molecular orbitals (MOs), more particularly through highest occupied molecular orbital (HOMO) for hole transport and lowest unoccupied molecular orbital (LUMO) for electron transport. With flat conjugated molecules, this implies that charge conduction goes through  $\pi$  MOs which are perpendicular to the mean molecular plane. Thus, from one molecule to another, the charge transfer will be enhanced with molecules packed plane-to-plane and laid flat to the surface. Therefore, the charge transport is strongly linked to the

molecular orientation: angle between the molecules and their respective angles with the substrate. In this context, it is important to stress upon the significance of an adapted characterization tool, such as scanning transmission x-ray microscopy (STXM) that has the potential to disentangle molecular domain orientation information in thin films. [13] STXM, and other synchrotron based soft X-ray characterization tools such as resonant soft X-ray scattering, are already been widely used in conjunction with other laboratory based techniques to investigate the complex morphology of OPVs. [14–20]



**Figure 1.** (a) DFT geometric optimization of the free DIPO-Ph<sub>4</sub> molecule (dark, white and red spheres correspond to C, H, and O atoms respectively); (b) Molecular orientation inside the molecular solid according to Chasseau *et al.* [21]

The present study examines the growth of a molecular solid 2,2',6,6'-tetraphenyl-4,4'-dipyrynylidene (DIPO-Ph<sub>4</sub>, Figure 1) on Si<sub>3</sub>N<sub>4</sub> substrates. DIPO-Ph<sub>4</sub> is a promising molecule to create an effective hole-conducting IFL at the transparent anode in OPV. [22] It forms a high-conductivity donor-acceptor complex with tetracyanoquinodimethane (TCNQ). [23] Its oxidation potential of 0.24 V (relative to ferrocene) makes it a better electron donor than tetrathiafulvalene (TTF). Its ionization energy is  $\sim$  4.6 eV, according to Forrest's relationship. [24] The geometry of the free molecule is depicted in Figure 1(a): the four phenyls are almost parallel to the dipyranylidene core, the dihedral angle being  $\sim$  18°. [25] In the solid state, molecules pack plane-to-plane in columns and the dihedral angle of the phenyl groups reduces to 7.6 – 10.2° (Figure 1(b)). [21]

DIPO-Ph<sub>4</sub> is deposited on commercial Si<sub>3</sub>N<sub>4</sub> substrates in ultra-high vacuum (UHV) conditions by thermal

evaporation. Changes in the morphology of the deposits with thermal treatment are monitored (*ex situ*) by atomic force microscopy (AFM). STXM is then carried out to obtain orientation sensitive information in the bulk of the deposits at a relatively high spatial resolution. Complementary density functional theory (DFT) calculations of the HOMO and LUMOs are performed to estimate the electronic transitions. We performed the measurements for 3 samples prepared under different evaporation conditions. The objective was to obtain a class of reference samples presenting different molecular orientation.

## 1 Experimental

### 1.1 Synthesis and chemical characterization

DIPO-Ph<sub>4</sub> (see Figure 1(a)) has been prepared following a synthesis the CEA team developed. [26] The final compound was characterized via Nuclear Magnetic Resonance (NMR) and via elementary analysis (see Table S1). No contamination was detected. Chasseau *et al.* [21] has described the crystallization structure via X-ray diffraction (XRD) investigations. In molecular solids, molecules are V-shaped such as presented in Figure 1(b).

### 1.2 Sample preparation

All DIPO-Ph<sub>4</sub> deposits were prepared on commercial Si<sub>3</sub>N<sub>4</sub> substrates of thickness of 100 nm. After introduction into a high vacuum ( $\sim 10^{-6}$  mbar) evaporation chamber, the Si<sub>3</sub>N<sub>4</sub> substrate was exposed to a molecular beam of DIPO-Ph<sub>4</sub> using a Knudsen cell positioned at 30 cm from it. The direction of the molecular beam was positioned at normal angles with the surface plane. A quartz balance (QB) monitor allows us to follow the deposition rate. DIPO-Ph<sub>4</sub> is evaporated at a speed of  $1 \text{ \AA} \cdot \text{min}^{-1}$  and the layer is finally characterized by the average number of molecules per cm<sup>2</sup> (QB-coverage).

Two identical samples were prepared while keeping the substrate at room temperature and with a QB-coverage of  $1 \times 10^{15} \text{ molecule} \cdot \text{cm}^{-2}$  evaporated (Sample A & B). One of these samples (Sample B) was post-annealed at  $T \geq 170 \text{ }^\circ\text{C}$  and at atmospheric pressure for 1h. The objectives were to perform molecular desorption and to have access to the first layers

deposited. A third sample (Sample C) was prepared on a hot substrate  $T \sim 100 \text{ }^\circ\text{C}$  during the evaporation of  $10 \times 10^{15} \text{ molecule} \cdot \text{cm}^{-2}$ .

### 1.3 Atomic force microscopy (AFM)

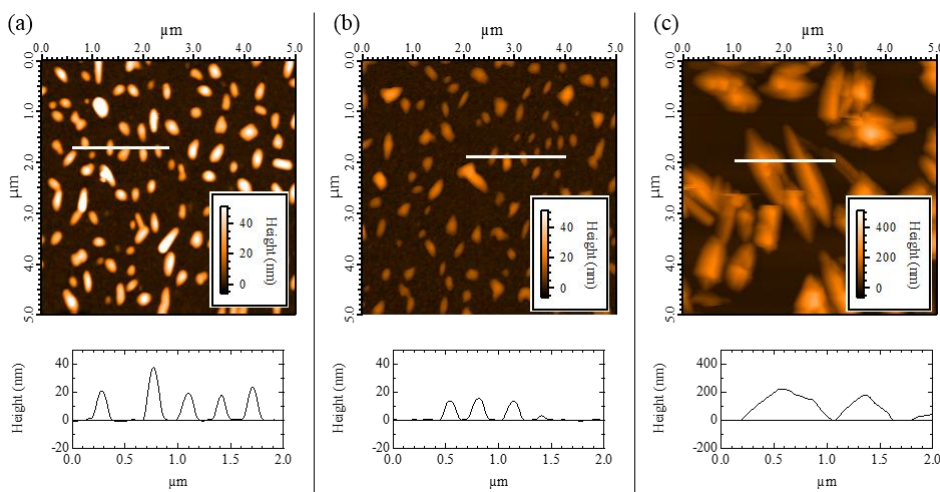
The surface morphology of the DIPO-Ph<sub>4</sub> films was investigated via Atomic Force Microscopy (AFM). The AFM used was a Molecular Imaging from Agilent, PicoLE, used in contact mode using a Pt/Ir tip of radius 20 nm. The spring constant of the cantilever is  $0.2 \text{ N} \cdot \text{m}^{-1}$ . The vertical resolution in contact mode is 0.5 nm.

### 1.4 Density Theory Functional theory (DFT) calculations

We also performed Density Functional Theory (DFT) of the electronic structure of the isolated molecular (Kohn-Sham molecular orbital energies) of the molecule to substantiate the interpretation of our absorption results. We used the TURBOMOL package, adopting the B3-LYP functional and the def2-SV(P) base. The geometric optimization is given in Figure 1(a).

### 1.5 X-ray microscopy and data analysis

STXM has been performed on PolLux beamline (Switzerland Light Source). Samples were introduced in the analysis chamber which was then pumped down to  $\sim 10^{-3}$  mbar. The absorption stacks were recorded at the C 1s edge (280 to 340 eV) with an X-ray beam normal to the surface and horizontally polarized. A zone plate with an outer ring spacing of 25 nm was used that allowed for a spatial resolution of  $\sim 30$  nm. The image stacks were post processed using aXis2000. [27] The optical density (OD) was obtained using the  $I_0$  on the area between the DIPO-Ph<sub>4</sub> clusters (absorption of the Si<sub>3</sub>N<sub>4</sub> substrate) and the decomposition of the stacks into main spectral components was performed via Principal Component Analysis (PCA). For Sample B, we only measured spectra via a linescan to minimize X-ray dosage to the molecular clusters. Absorption spectra were normalized to the edge jump and were fitted using an error function curve as background, [28] centered at 288.9 eV. Energy calibration of the beamline was checked by measuring a reference PCBM (Phenyl-C61-butyric acid methyl ester) film. Main transitions have been fitted with Gaussian.



**Figure 2.** AFM images: (a) Sample A: No annealing treatment sample with QB-coverage of  $1 \times 10^{15}$  molecule $\cdot$ cm $^{-2}$ ; (b) Sample B: QB-coverage of  $1 \times 10^{15}$  molecule $\cdot$ cm $^{-2}$  with a post-annealing treatment at  $T \geq 170$  °C; (c) Sample C: QB-coverage of  $10 \times 10^{15}$  molecule $\cdot$ cm $^{-2}$  evaporated on a hot substrate ( $T \sim 100$  °C).

## 2 Results and discussion

### 2.1 DIPO-Ph<sub>4</sub> layer morphology studied via AFM

Atomic force microscopy (AFM) is used to examine the samples that were subsequently examined by absorption spectroscopy. As the morphological information of the deposited layer is detrimental to correctly interpret our absorption data, the AFM images (shown in Figure 2) are discussed first.

To avoid any damage of the very thin window, the substrates are not cleaned before the evaporation. In that case, the silicon nitride has a native oxide layer at the surface. [29] The roughness of the Si<sub>3</sub>N<sub>4</sub> substrate (presented in Supporting information Figure S1) is 0.5 nm (root mean square, RMS). The DIPO-Ph<sub>4</sub> coverage is monitored during the evaporation in the evaporation chamber via a quartz balance (QB-coverage). The weight increases per surface unit during the evaporation. Finally, it is converted into a molecular surface density using the density given by Chasseau *et al.*. [21] After the deposition of  $1 \times 10^{15}$  molecule $\cdot$ cm $^{-2}$ , the AFM image (Sample A, Figure 2(a)) shows that 3D elongated clusters (“mounds”) cover 25% of Si<sub>3</sub>N<sub>4</sub> surface. The average height of the cluster is 30 nm with an average diameter of 250 nm. Given the cluster density, an average volume of DIPO-Ph<sub>4</sub> per surface unit is obtained, leading to a molecular surface density of  $1.07 \times 10^{15}$  molecule $\cdot$ cm $^{-2}$  in excellent agreement with the QB-coverage. After a post-annealing treatment at  $T \geq 170$  °C (Sample B, Figure 2(b)). The average height of the cluster decreases to 13 nm. The mounds still cover 20% of the Si<sub>3</sub>N<sub>4</sub> surface

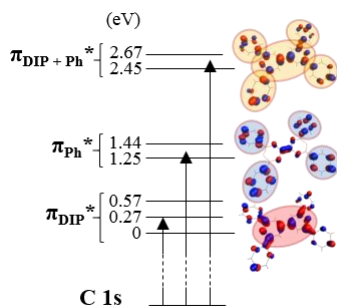
with an average diameter of 230nm. The molecular surface density is divided by 3 ( $0.3 \times 10^{15}$  molecule $\cdot$ cm $^{-2}$ ). For the last sample (Sample C), 10 times more molecular material have been evaporated, *i.e.*  $10 \times 10^{15}$  molecule $\cdot$ cm $^{-2}$  as QB-coverage, on a hot substrate ( $T \sim 100$  °C). The DIPO-Ph<sub>4</sub> layer covers now 60% of the Si<sub>3</sub>N<sub>4</sub> surface. Clusters are no more circular but look like large molecular crystals. The average height is 200 nm and the molecular surface density calculated from AFM image is  $10.5 \times 10^{15}$  molecule $\cdot$ cm $^{-2}$  which is still in great agreement with the QB coverage.

These observations are discussed below. When layers are deposited over homogeneous substrates, like single crystals, two main types of growth are classically considered, namely Volmer-Weber and Stranski-Krastanov growth. [30] In a Volmer-Weber growth, the organic molecules cluster in islands, leaving bare substrate areas. This arises from the fact that the interaction between molecules ( $\pi$ -stacking) is much stronger than the interaction between the DIPO-Ph<sub>4</sub> molecule and the Si<sub>3</sub>N<sub>4</sub> substrate. Alternately, in a Stranski-Krastanov growth, the molecule-molecule interaction competes with molecule-substrate interactions. A thin wetting layer covers all the substrate, on top of which the 3D island growth mode takes place. To calculate the molecular surface density, only the molecular clusters were taken in account. As the measurements are in good agreement with the QB-coverage, the wetting layer, if present, should not exceed  $\sim 2$  nm. Concerning the

post-annealed sample, it was possible to decrease the average height of the clusters while keeping constant the overall area coverage and the average diameter of the cluster. With such a sample, it is possible to have access to the first layers evaporated which are representative of the initial stages of the evaporation in the first sample. As far as the  $10 \times 10^{15}$  molecule·cm<sup>-2</sup> QB-coverage is concerned, there is a complete change in the layer morphology. The energy brought during the evaporation, on the hot substrate, changes the molecular growth by increasing the molecule diffusion on the surface.

## 2.2 Molecular description and electronics transitions

For STXM analysis, it is firstly important to have a good understanding on the electronic mechanism which will take place during the absorption process. DFT calculation was used to describe the empty molecular state (LUMO) centered on the C of DIPO-Ph<sub>4</sub> (Figure 3). Furthermore, it was useful to visualize the concerned molecular orbital (MO) to have information on the orientation. In fact, to determine the molecular orientation inside the clusters, we must be sure that there is an MO with one main direction.



**Figure 3.** Electronic scheme of the C 1s transition, indicated energy are related to the LUMO and three OM are represented with an isovalue of 0.04 (LUMO, LUMO +3 and LUMO +5). The principal weight localization of the OM is emphasized with ellipse.

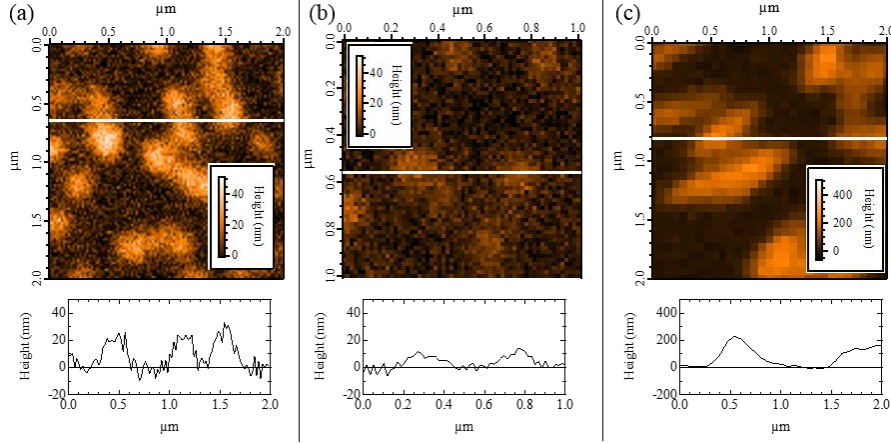
The lowest unoccupied molecular orbital (LUMO) and unoccupied MO of higher energy (LUMO+1 to LUMO+6) where described via DFT calculation. LUMOs are mainly composed of  $\pi^*$  MOs which are

perpendicular to the dipyranylidene (DIP) core or to the phenyl (Ph) groups. They can be divided in 3 groups. A first one is centered at  $\sim 0.3$  eV above the LUMO and composed of LUMO, LUMOs +1 and +2. The second one is centered at 1.3 eV above the LUMO and composed of LUMOs +3 and +4. The last one is centered at 2.3 eV above the LUMO and is composed of LUMOs +5 and +6. Looking to the localization of these groups, it appears that the first one is more localized on the DIP core, whereas the second one is more localized on the Ph groups. For the last one, the MOs are, with the same weight, on the DIP and on the Ph groups. These three groups will be now called  $\pi_{\text{DIP}}^*$ ,  $\pi_{\text{Ph}}^*$  and  $\pi_{\text{DIP+Ph}}^*$ .

The geometry of the free molecule is depicted in Figure 1: the four phenyls are almost parallel to the DIP core, the dihedral angle being 18°. In the solid state, molecules pack plane-to-plane in columns and the dihedral angle of the phenyl groups reduces to 7.6 – 10.2°. Consequently, there is one main plane following the DIP core and four other planes following each of the four Ph groups. Thus, for the  $\pi_{\text{DIP}}^*$  MOs there is one main direction which is perpendicular to the DIP core. As the contrary, for the  $\pi_{\text{Ph}}^*$  MOs, there is no main direction and finally, the  $\pi_{\text{DIP+Ph}}^*$  MOs have no main orientation because they are localized both on the DIP core and on the Ph groups. The X-ray absorption results in the  $e^-$  transition from core level to empty state. Thus, the absorption efficiency depends on the energy level of the empty states. It will also rely on the relative geometry between the electrical component of the X-ray beam and the direction of the MOs. Therefore, for DIPO-Ph<sub>4</sub> molecule, absorption will be high if the polarization is parallel to the  $\pi_{\text{DIP}}^*$ , so perpendicular to the molecule. In other terms, with an X-ray beam normal to the surface (polarization parallel to the surface) there will be high absorption at  $\pi_{\text{DIP}}^*$  energy if DIPO-Ph<sub>4</sub> molecule are perpendicular to the surface ( $\pi_{\text{DIP}}^*$  parallel to the surface).

## 2.3 Scanning X-ray Microscopy

*AFM/STXM correlation*



**Figure 4.** STXM images in thickness at photon energy 285 eV: (a) Sample A; (b) Sample B; (c) Sample C.

The STXM results have firstly been used to confirm the thickness of the layers and thus, compare with the AFM results. The obtained image at  $h\nu = 285\text{eV}$ , corresponding to the maximum absorption at the C K edge (at 285 eV, Figure 4), is extracted from the image stack  $[X;Y;h\nu]$  to obtain the optical density ( $OD$ ) images ( $[X;Y]$ ) at 285 eV. This image is used to calculate the corresponding thickness using (1):

$$OD = \ln\left(\frac{I_0}{I}\right) \quad (1)$$

$$OD = \mu\rho l$$

where  $\mu$  is the photoabsorption cross section,  $\rho$  is the density and  $l$  the thickness. We present the results in the same way as AFM images but in the images (Figure 4) are obtained from the absorption measurements.

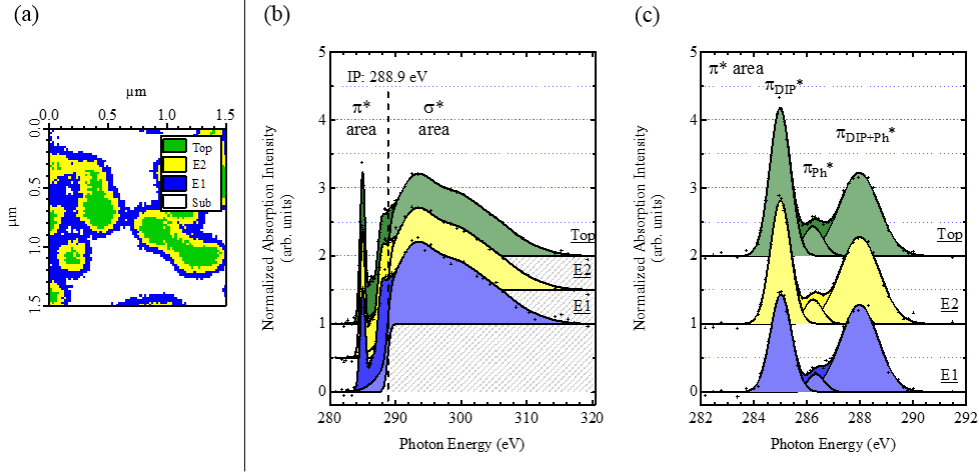
For Sample A ( $1 \times 10^{15}$  molecule $\cdot\text{cm}^{-2}$  QB-coverage sample), the STXM image (Figure 4(a)) shows clusters with average height of 30 nm. This agrees with the AFM results. For the post-annealed sample (Sample B), STXM image (Figure 4(b)) is also in good agreement with an average cluster height of  $\sim 10$  nm. We also have the same conclusion for the Sample C ( $10 \times 10^{15}$  molecule $\cdot\text{cm}^{-2}$  QB-coverage sample) where

the average cluster height is found to be 250 nm. The morphology is also confirmed for the different samples: circular cluster for Sample A and Sample B whereas there is large molecular crystal for Sample C.  $[X;Y]$  STXM analyses are in great agreement with AFM results.

#### Absorption spectra

Further investigation of the  $[X;Y;h\nu]$  stacks were performed with respect to the photon energy related variation (spectroscopy). Using PCA (Principal Component Analysis), we determined the main absorption spectra) of the stacks. Then, the first four components have been used to decompose the STXM stacks in four main regions. For each region, the absorption spectra are then extracted. These spectra are the average one for the defined region. After normalization, spectra have been fitted as described in the experimental part. The potential ionization ( $IP$  at 288.9 eV) value was determined previously from the XPS C 1s binding energy and the measurement from the work function. Results for Sample A are presented in Figure 5.





**Figure 5.** Sample A: (a) STXM decomposition mapping; (b) Absorption spectra extracted for each region (the substrate (Sub) spectrum is not represented as there is no absorption from this region); (c)  $\pi^*$  area after subtraction of the error function step function background (at  $IP$ ) and the  $\sigma^*$  area

**Table 1.** Experimental  $\pi^*$  transitions, FWHM of the Gaussian contribution and  $\pi^*/\sigma^*$  ratio. For post-annealed sample, there were not enough signal to determine  $\pi_{Ph}^*$  contribution.

Sample/Region	Energy (eV)			G-FWHM (eV)			$\pi^*/\sigma^*$ (%)				
	$\pi_{DIP}^*$	$\pi_{Ph}^*$	$\pi_{DIP+Ph}^*$	$\pi_{DIP}^*$	$\pi_{Ph}^*$	$\pi_{DIP+Ph}^*$	$\pi_{DIP}^*$	$\pi_{Ph}^*$	$\pi_{DIP+Ph}^*$	$\Sigma$	
Not-annealed	Top	284.98	286.22	287.96	0.92	0.88	1.77	11	2	12	26
	E2	285.00	286.23	287.98	0.92	0.81	1.76	10	2	13	24
	E1	285.01	286.33	287.97	0.95	0.75	1.68	8	1	12	21
Post-annealed	Top	294.94	–	287.63	0.94	–	1.95	6	–	5	11
	E	294.95	–	287.58	0.93	–	1.34	4	–	3	7

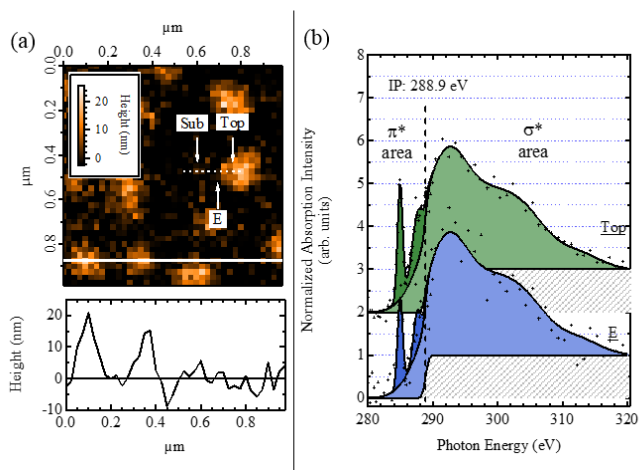
The decomposition of the  $[X; Y; hv]$  stack for Sample A (Figure 5(a)) leads to four regions precisely localized on the sample. The main region is the one localized between the organic cluster. There is no absorption (or at least too low to be seen with the STXM thickness resolution). This is absorption from the substrate. The organic mounds are decomposed in three regions: one at the top and two on the edge. These regions will be now named Top, E2 and E1 respectively.

Absorption spectra have been extracted for these regions and edge-jump normalized for comparison (Figure 5(b)). A clear distinction of the  $\pi^*$  and the  $\sigma^*$  regions is evident in the figure. After subtraction of the background and the  $\sigma^*$  region, the  $\pi^*$  region is emphasized in Figure 5(c) for clarity. It has been fitted with three Gaussian curves (G-FWHM are indicated in Table 1). The first one centered at  $\sim 285$  eV is attributed to the first transition group  $\pi_{DIP}^*$ .  $\pi_{Ph}^*$  is at  $\sim 286$  eV and  $\pi_{DIP+Ph}^*$  centred at  $\sim 288$  eV. DFT

calculation for a free molecule gives a  $\pi_{Ph}^* - \pi_{DIP}^*$  of  $\sim 1.3$  eV and a  $\pi_{DIP+Ph}^* - \pi_{DIP}^*$  of  $\sim 2.3$  eV (see Figure 3). Experimentally, the value for the  $\pi_{Ph}^* - \pi_{DIP}^*$  is  $\sim 1.2$  eV which is very close to the theoretical calculation. For the  $\pi_{DIP+Ph}^*$  transition, the difference with the  $\pi_{DIP}^*$  is  $\sim 3.1$  eV. This value is 1 eV higher than the theoretical one. The G-FWHM of the  $\pi_{DIP}^*$  transition is larger than of the  $\pi_{Ph}^*$  transition.

In the absorption spectra, we also focused on the contribution of the  $\pi^*$  transition in comparison to the  $\sigma^*$  one. The intensity of the  $\sigma^*$  transition remain identical between spectra extracted from Top to E1 regions. This was used as a reference to evaluate the  $\pi^*$  transition in the different regions. The  $\pi^*/\sigma^*$  ratio decreases from Top to E1 (from 26% to 21%). This evolution is mainly due to a decrease of the  $\pi_{DIP}^*$  transition (from 11% to 8%). This transition is the only one which can be used for the molecular orientation. Thus, in the organic layer, there is an evolution in this orientation from the edge to the top of the cluster. In

the later regions, molecules are oriented perpendicularly to a higher degree than in the edge. This implies that there is a change in the orientation between the layer near the substrate and the top layers. The analysis of the post-annealed sample could allow us to extract information on the first layers evaporated. Unfortunately, the small amount of organic material in this sample makes the analysis harder due to a weak signal at normal X-ray incidence. To overcome the issue, we tilted the sample ( $30^\circ$  between the normal and the sample surface). To decrease beam damage, we only performed a linescan along the organic cluster (Figure 6). We extracted from this line the edge (E) and Top spectra. The values of the  $\pi^*$  transitions are given in Table 1. To make a comparison between the two samples, we performed tilted incidence angle experiments on Sample A. Results are presented in supporting information Figure S2, Figure S3 and Table S2. There were not changes from the previous analysis as we chose a small tilt angle: the  $\pi^*$  interaction is more efficient than the  $\sigma^*$  one.

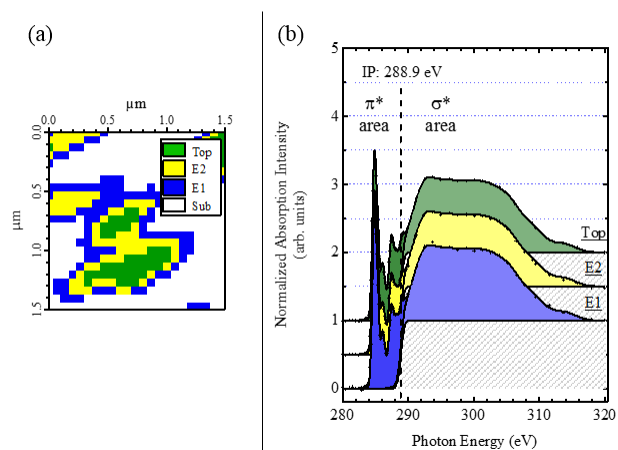


**Figure 6.** Sample B: (a) STXM image in thickness (at 285 eV) with the position of the linescan (white dotted line); (b) Absorption spectra extracted for edge (E) and Top region (the substrate (Sub) spectrum is not represented as there is no absorption from this region).

For Sample B,  $\sigma^*$  transition is much higher than in Sample A and a decrease from the top to the edge of the  $\pi^*$  is also observed (from 11% to 7%). The change from edge to top agrees with the change of molecular orientation inside the organic cluster. Besides, the high  $\sigma^*$  transition results from the several DIPO-Ph<sub>4</sub> molecules that are parallel to the surface.

Therefore, at the beginning of the evaporation on substrates kept at room temperature, molecules

remain parallel to the substrate. Substrate-molecule interactions are minimized in this configuration. In this case, there is a small  $\pi^*$  absorption and a greater  $\sigma^*$  absorption. After few layers, molecules start to straighten and crystallized driven by the  $\pi$ -stacking interaction. There is a change in the molecular orientation resulting in an increase of  $\pi^*$  absorption. The edges of the mount reflect this change as they are close to the first deposited layers. Besides, crystallization leads to molecular orientation as described in Figure 1(b). It shows that molecules are not all parallel to each other: they are V-shaped. Consequently,  $\sigma^*$  transition is still present and absorption spectra on Top are relevant of the absorption spectra of the crystallized organic material.

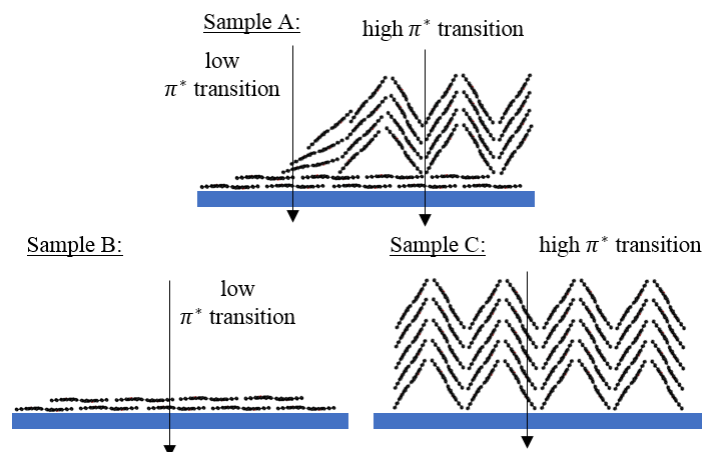


**Figure 7.** Sample C: (a) STXM decomposition mapping; (b) Absorption spectra extracted for each region (the substrate (Sub) spectrum is not represented as there is no absorption from this region).

**Table 2.** Experimental  $\pi^*/\sigma^*$  ratio for the sample annealed under evaporation.

Sample/Region		$\pi^*/\sigma^*$ (%)			$\Sigma$
		$\pi_{DIP}^*$	$\pi_{Ph}^*$	$\pi_{DIP+Ph}^*$	
Under annealing	Top	12	4	11	27
	E2	11	4	10	26
	E1	13	4	11	29

To pursue the analysis, we performed the experiments on a sample prepared with an evaporation under thermal annealing (Sample C). The objective was to bring energy to the molecule and see how it reacts on the substrate. The absorption spectra were obtained following a similar treatment as the first sample (PCA). Results are presented in Figure 7 and in Table 2.



**Figure 8.** Schematic representation of the molecular orientation for Samples A, B & C.

For Sample C, there is no difference between the edge and the top of the organic mount.  $\pi^*$  transition is as high as the top of the not-annealed sample (28 – 31%) with a  $\pi_{DIP}^*$  which remains high (12 – 14%). The mounds are totally crystallized from the first layers deposited. The substrate temperature brings enough energy to start crystallization and overcome molecule-substrate interaction.

Therefore, we obtained with these samples, two opposed molecular orientations: one which present parallel molecules on top of the surface (Sample B) and another in which the organic mounds are directly crystallized as soon as deposited on the substrate (Sample C) (Figure 8). We are thus able to tailor the molecular orientation (thanks to the evaporation conditions). With the perspective of organic electronics, it is important to control the morphology and the molecular orientation to enhance for example the charge mobility through the organic layer.

### 2.3 Photovoltaic response

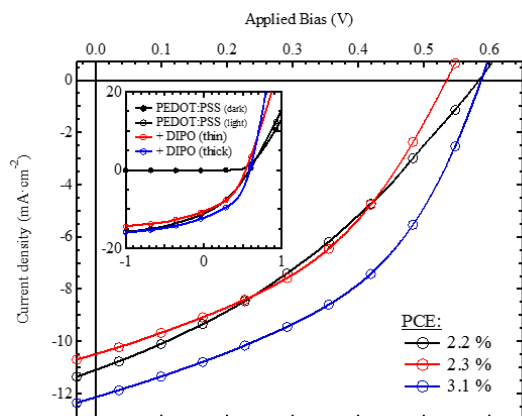
We are comparing three OSCs (the process is described in Supporting information Figure S4) with an active layer of P3HT:PCBM, with a “thin” ( $0.3 \times 10^{15}$  molecule  $\cdot$  cm $^{-2}$ ), a “thick” ( $1 \times 10^{15}$  molecule  $\cdot$  cm $^{-2}$ ) layers of DIPO-Ph $_4$  and a reference based on PEDOT:PSS (5 nm), all three as interfacial layers. Prior to characterization of the photovoltaic cells we ensured that the structuration obtained onto ITO (an oxide surface) was comparable to the one obtained onto Si $_3$ N $_4$  (see Figure S5). The ITO and Si $_3$ N $_4$  have a native oxide layer and present a similar roughness (< 1 nm), the surface energy is assumed to equivalent following the literature. [29,31] In addition, the

ITO/DIPO-Ph $_4$  interface has already been structurally and electronically described. [32] As interfacial layer, DIPO-Ph $_4$  layer will act as a hole-transport and an electron blocking layer. Concerning the solar cell stack, it is worth to note that on top of the DIPO-Ph $_4$  layer a thin layer (5 nm) of PEDOT:PSS was deposited to prevent the organic solvent of P3HT:PCBM deposition to modify the DIPO-Ph $_4$  morphology.

The photovoltaic cell performances are given in Table 3. The thick DIPO-Ph $_4$  layer reaches 3.1% of as maximum power conversion efficiency ( $PCE$ ) using a solar simulator calibrated at 100 mW $\cdot$ cm $^{-2}$ . The open circuit voltage ( $V_{oc}$ ) and the fill factor ( $FF$ ) are 586 mV and 44%, respectively. The current density ( $J_{sc}$ ) is 12.1 mA $\cdot$ cm $^{-2}$  (see Figure 9 and Table 3). These results were compared to a reference cell using only 5 nm PEDOT:PSS as interfacial layer prepared in the same conditions and which shows a lower  $J_{sc}$  (11.1 mA $\cdot$ cm $^{-2}$ ) and a lower  $PCE$  at 2.2%. Using DIPO-Ph $_4$  as interfacial layer, we increase the overall yield by 47%. The thicknesses of the DIPO-Ph $_4$  layers have no effect on the  $V_{oc}$ . Indeed, this parameter mainly depends on the LUMO donor/HOMO acceptor difference in the active layer.

In addition to the performance, we extracted the physical constants from the  $J - V$  curve under illumination (see Figure S6). The series resistance decreases to 7  $\Omega \cdot$ cm $^2$  when for the reference cell the value was measured at 22  $\Omega \cdot$ cm $^2$  and 14  $\Omega \cdot$ cm $^2$  for the thinnest IFL based on DIPO-Ph $_4$ . On the other side the shunt resistance ( $R_{sh}$ ), which reflects the electrical short-cut and more generally the overall electrical recombination at the interface, remained the same for the three layers, enough high to avoid shortcut losses.

The DIPO-Ph<sub>4</sub> layer demonstrates a favorable hole transport collection at the ITO anode which is consistent with the electronic behavior of DIPO-Ph<sub>4</sub>.



**Figure 9.** Photovoltaic characterization with 3 different IFLs under  $100 \text{ mW}\cdot\text{cm}^{-2}$ ; main graphic corresponds to the fourth quadrant part of the general measurement, in inset (DIPO is for DIPO-Ph<sub>4</sub>)

**Table 3.** PV Parameters ( $100 \text{ mW}\cdot\text{cm}^{-2}$ ) of devices with different IFLs and P3HT:PCBM as active layer (Units: *PCE* and *FF* (%);  $V_{OC}$  (mV);  $J_{SC}$  ( $\text{mA}\cdot\text{cm}^{-2}$ );  $R_{sh}$  and  $R_s$  ( $\Omega\cdot\text{cm}^2$ )).

IFLs	<i>PCE</i>	$V_{OC}$	$J_{SC}$	<i>FF</i>	$R_{sh}$	$R_s$
PEDOT:PSS	2.2	583	11.1	34	174	22
DIPO-Ph <sub>4</sub> thin	2.3	534	10.5	41	191	14
DIPO-Ph <sub>4</sub> thick	3.1	586	12.1	44	191	7

We thus observe a  $J_{SC}$  increase of  $1 \text{ mA}\cdot\text{cm}^{-2}$  due to the lowering of the series resistance and thus an increase of the charge carrier collection. The increase of the fill factor reveals a better electronic process at the interface. Besides, less number of holes are recombined to be collected at the ITO electrode due to the electron blocking effect with the DIPO-Ph<sub>4</sub> layer. [32] As hole transport layer and compared to PEDOT:PSS, our organic layer increases the efficiency of charge collection at the ITO anode. On thick DIPO-Ph<sub>4</sub>, it is worth to notice that the fill factor increases significantly despite the surface mounds. Often a highly-structured surface is frequently associated to a rough surface and a bad layer contact which leads to a lower field factor. [33] In the present case, the island structuration leads to a better coverage of the substrate (from 20% for the “thin” layer to 55% for the “thick” layer) and to well-crystallized islands as demonstrated by STXM.

## Conclusions

We have shown that STXM is a powerful characterization technique to access the local organization of molecular domains. By decomposing the resulting absorption spectra, in association with the very high lateral resolution we have been able to distinguish different molecular organizations. Thanks to calculations, the electronic transitions observed by X-ray absorption have been attributed to specific  $\pi$ - $\pi$  interactions. These are related to the molecular orientation which in turn is a function of the deposition thickness. The structuration of the thick layer leads to a herringbone 3D structuration. Because of the core crystallinity due to herringbone, an overlapping of the *pz* orbital is induced. Photovoltaic devices with DIPO-Ph<sub>4</sub> as interfacial layer were characterized and compared to a reference cell. Overall performance of the thick DIPO-Ph<sub>4</sub> layer had been the best. The good series resistance demonstrates an improvement of the hole charge transport through this layer. The fill factor proves that the crystalline mounds, despite the 3D surface clusters, is benefit to the performance. Therefore, the short-circuit current increases.

## Acknowledgements

QA thanks the LABEX MiChem for funding his PhD grant and the great help during the experiments of HERMES team (SOLEIL).

**Electronic Supplementary Material:** Supplementary material (organic material elementary analysis, tilted experiment on sample A, photovoltaic device process, morphology on ITO substrate and equivalent resistant for the organic solar cell) is available in the online version of this article at [http://dx.doi.org/10.1007/s12274-\\*\\*\\*-\\*\\*\\*\\*-](http://dx.doi.org/10.1007/s12274-***-****-) (automatically inserted by the publisher).

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## Electronic Supplementary Material

# X-ray microscopic investigation of Molecular Orientation in a Hole Carrier Thin Film for Organic Solar cells

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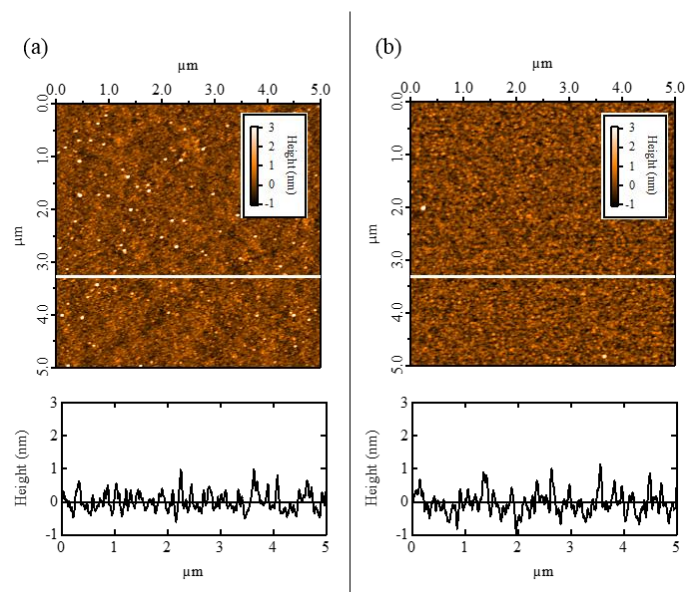
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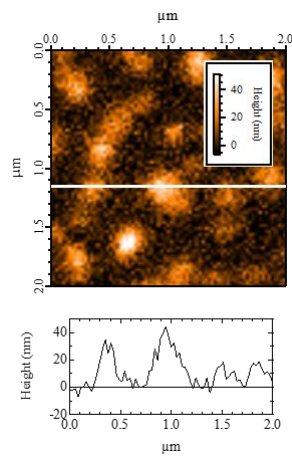
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**Table S1.** Elementary analysis of DIPO-Ph<sub>4</sub>

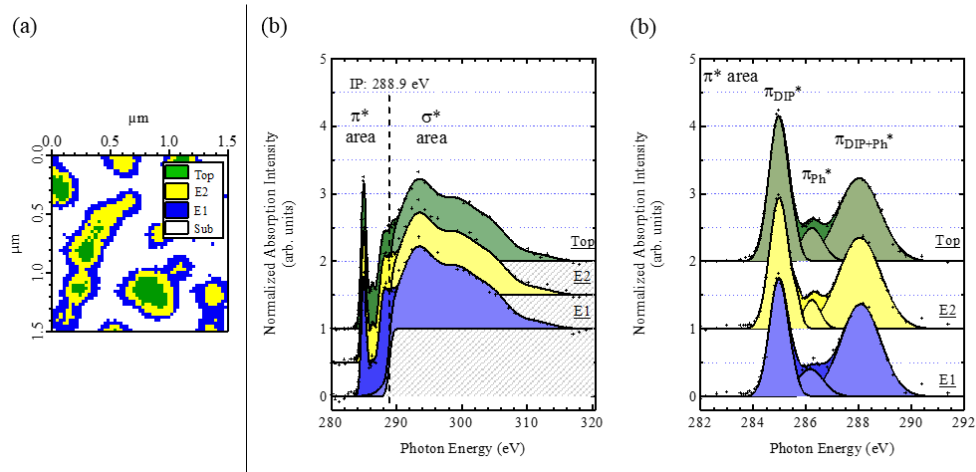
Atom	1 <sup>st</sup> measurement	2 <sup>nd</sup> measurement	Theoretical value
Carbon (%)	86.45	86.17	87.90
Hydrogen (%)	5.12	5.22	5.21



**Figure S1.** AFM images: bare (a) ITO; (b) Si<sub>3</sub>N<sub>4</sub> substrate.



**Figure S2.** STXM images in thickness at photon energy 285 eV of the not-annealed sample with QB-coverage of  $1 \times 10^{15}$  molecule-cm<sup>-2</sup> and a tilted incidence angle.



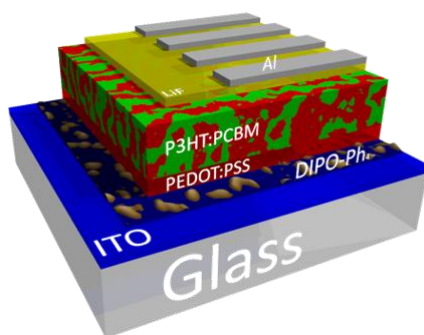
**Figure S3.** Sample A with a tilted incidence angle: (a) STXM decomposition mapping; (b) Absorption spectra extracted for each region (the substrate (Sub) spectrum is not represented as there is no absorption from this region); (c)  $\pi^*$  area after subtraction of the error function background and the  $\sigma^*$  area.

**Table S2.** Experimental  $\pi^*$  transitions for the not-annealed sample with a tilted incidence angle, FWHM of the Gaussian contribution and  $\pi^*/\sigma^*$  ratio.

Sample/Region		$\pi^*/\sigma^*$ (%)			$\Sigma$
		$\pi^*_{\text{DIP}}$	$\pi^*_{\text{Ph}}$	$\pi^*_{\text{DIP+Ph}}$	
Not-annealed (tilted)	Top	12	3	15	30
	E2	11	2	16	29
	E1	9	3	15	28



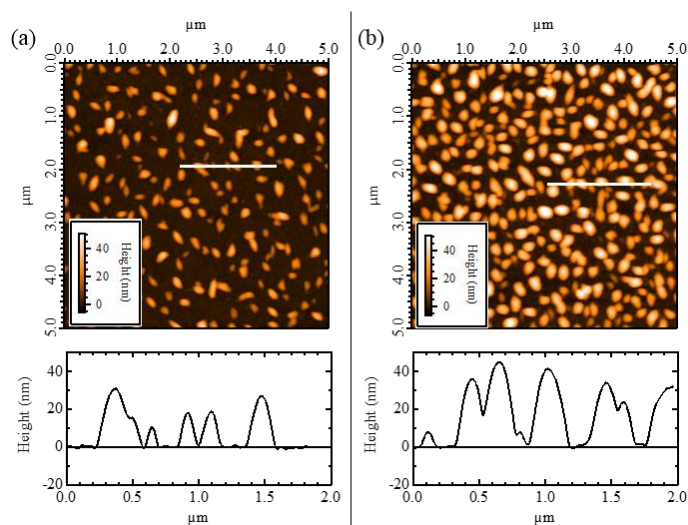
## Photovoltaic devices:



**Figure S4.** Schema of the ITO/DIPO-Ph<sub>4</sub>/PEDOT:PSS/P3HT:PCBM/LiF solar cell.

Commercial ITO substrates (SOLEMS) used have a sheet resistance of  $25 \Omega\text{-sq}^{-1}$ . As solar cells efficiency relies on the good quality of the layers and of the interfaces, ITO is firstly cleaned before being exposed to the first organic layer. Wet cleaning was achieved by sequential ultrasonic baths using alkaline detergent solution (5 vol% in deionized water), dichloromethane, ethanol, and isopropanol, each for 10 minutes. The chemically cleaning procedure leaves a weak carbon contamination. The dried surface is then exposed to UV-ozone treatment for 10 minutes. A too long UV ozone cleaning could result in the degradation of the molecular layer deposition due to the formation of active oxygens.

The photovoltaic device structure is ITO/DIPO-Ph<sub>4</sub>/PEDOT:PSS/P3HT:PCBM/LiF (see Figure S4). The cleaned ITO substrates are immediately transferred into nitrogen glove box and then used to build the devices.



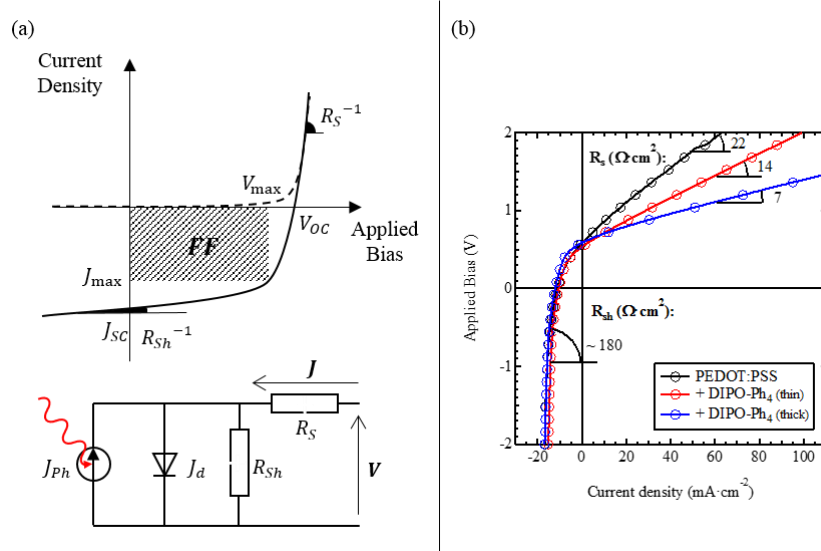
**Figure S5.** AFM images: ITO/DIPO-Ph<sub>4</sub> samples with QB-coverage of (a)  $0.3 \times 10^{15} \text{ molecule-cm}^{-2}$ ; (b)  $1 \times 10^{15} \text{ molecule-cm}^{-2}$ .

DIPO-Ph<sub>4</sub> is deposited on ITO under vacuum (see Figure S5) as the same process already described in Sample preparation part (DIPO-Ph<sub>4</sub> on Si<sub>3</sub>N<sub>4</sub>). PEDOT:PSS is spin-coated and heated at 140°C for 10 minutes in nitrogen glove box and a thickness of approximately 5 nm is achieved. The 100 – 150 nm active layer consisting of P3HT:PCBM (15 mg·mL<sup>-1</sup> for P3HT, 12 mg·mL<sup>-1</sup> for PCBM, blended in ortho-dichlorobenzene) is spin-coated and subsequently annealed at 80°C for 15 minutes in nitrogen glove box. Then, we transport samples into high vacuum chamber to evaporate LiF (1.0 – 1.5 nm, at rates of  $0.01 \text{ \AA}\cdot\text{s}^{-1}$ ) and Al (100 – 150 nm, at rates of  $0.5 \text{ \AA}\cdot\text{s}^{-1}$ ) through a shadow mask to define the active area of 12 mm<sup>2</sup>. Then samples are transferred back to nitrogen box

and post annealing is performed. The post-annealing consists in firstly heating at 100°C for 10 minutes and then at ~ 150°C for 5 minutes.

The photo-electrical characterization of OPVs is performed using a xenon lamp, with an AMG 1.5 filter. The calibration demonstrates an incident light of 100 mW·cm<sup>-2</sup>.

The typical  $J-V$  curve and the equivalent circuit cell is shown in Figure S6a). In this schema,  $R_S$  is the total resistance of all the layers.  $R_{Sh}$  represents current leakage, such as impurity induced or  $p-n$  junction leakage. From a graphical point of view, the value of  $R_S$  can be approximated from the inverse slope of the  $J-V$  curve at forward bias, while  $R_{Sh}$  is the inverse slope of the  $J-V$  curve at reverse bias.



**Figure S6.** Representation of (a) a typical  $J-V$  curve and the equivalent electrical circuit; (b) series and shunt resistance represented as the inverse  $J-V$  curve.

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